

# Exponential Path Order EPO\*

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polynomial time

$\mathcal{B}$

polynomial time



Stephen Bellantoni and Stephen Cook

*A new Recursion-Theoretic Characterization of the Polytime Functions.*

CC, pages 97–110, 1992

$\mathcal{B}$  $>_{\text{pop}^*}$ 

polynomial time



Martin Avanzini and Georg Moser  
*Complexity Analysis by Rewriting*.  
FLOPS '09, pages 130–146, 2008

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SNRN $\mathcal{N}$ 

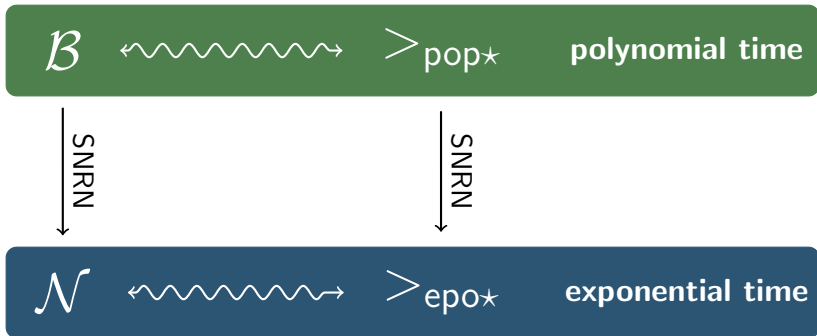
exponential time



Toshiyasu Arai and Naohi Eguchi

*A new Function Algebra of EXPTIME Functions by Safe Nested Recursion.*

TCL, pages 130–146, 2008



Martin Avanzini and Naohi Eguchi and Georg Moser

*A Path Order for Rewrite Systems that Compute Exponential Time Functions.*

RTA'11, pages 123–138, 2011



## Main Result

Let **FEXP** denote class of functions computable in time  $2^{O(n^k)}$  ( $k \in \mathbb{N}$ )

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If  $\mathcal{R} \subseteq \succ_{\text{epo}\star}$  then  $f \in \text{FEXP}$ .



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## Rewriting as Computational Model

We suppose ...

in this talk

- ▶  $\mathcal{R}$  confluent and terminating
- ▶ signature  $\mathcal{F}$  underlying TRS  $\mathcal{R}$  partitioned into defined symbols  $\mathcal{D}$  and constructors  $\mathcal{C}$
- ▶ values  $\mathcal{V}al := \mathcal{T}(\mathcal{C}, \mathcal{V})$  are terms over constructors  $\mathcal{C}$

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### Definition

TRS  $\mathcal{R}$  **computes** for each  $f \in \mathcal{D}$  partial function  $f : \mathcal{Val}^k \rightarrow \mathcal{Val}_\perp$  s.t.

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### Theorem

Let  $\mathcal{R}$  denote a constructor TRS. There exists  $k \in \mathbb{N}$  such that

$$\mathcal{R} \subseteq >_{\text{epo}^*} \implies \text{rc}_{\mathcal{R}}^i \in 2^{O(n^k)}$$

$$\text{rc}_{\mathcal{R}}^i(n) = \max\{\text{dh}(f(\vec{s}), \dot{\rightarrow}_{\mathcal{R}}) \mid \vec{s} \in \text{Val}^k \text{ and } f(\vec{s}) \text{ of size upto } n\}$$

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*On Constructor Rewrite Systems and the Lambda-Calculus.*

36th ICALP, pages 163–174, 2009

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Martin Avanzini and Georg Moser

*Closing the Gap Between Runtime Complexity and Polytime Computability.*

RTA'10, pages 33–48, 2010



# The class $\mathcal{N}$

Syntactic, Recursion-theoretic Characterisation of FEXP

# The class $\mathcal{N}$

is the smallest class ...

- ① containing certain initial function      projections, successors, ...
- ② closed under **safe nested recursion** on notation
- ③ closed under **weak safe composition**

## Safe Recursion on Notation

- ▶ syntactical restriction of primitive recursion scheme

$$f(\underbrace{x_1, \dots, x_k}_{\text{normal}}; \underbrace{y_1, \dots, y_l}_{\text{safe}})$$

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$$f(\epsilon, \vec{x}; \vec{y}) = g(\vec{x}; \vec{y})$$

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$$\text{where } h_i(\epsilon, \vec{x}; \vec{y}, \mathbf{r}) = r_i(\vec{x}; \vec{y}, \mathbf{r})$$

$$h_i(\mathbf{zi}, \vec{x}; \vec{y}, \mathbf{r}) = s_{i,j}(z, \vec{x}; \vec{y}, h_i(z, \vec{x}; \vec{y}, \mathbf{r}))$$

no recursion on recursively computed result

## Safe Nested Recursion on Notation

extends safe recursion on notation with ...

① nesting of recursive function calls

nested recursion

$$f(\epsilon; y) = g(; y)$$

$$f(xi; y) = r_i(x; y, f(x; s_i(x; y, f(x; \dots))))$$

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- ② simultaneous recursion on all normal arguments

multiple recursion

$$f(\epsilon, \epsilon; z) = g(; z)$$

$$f(xi, \epsilon; z) = r_{i,\epsilon}(x, \epsilon; z, f(x, \epsilon; s_{i,\epsilon}(x, \epsilon; f(x, \epsilon; z))))$$

$$f(\epsilon, yj; z) = r_{\epsilon,j}(\epsilon, y; z, f(\epsilon, y; s_{\epsilon,j}(\epsilon, y; f(\epsilon, y; z))))$$

$$f(xi, yj; z) = r_{i,j}(x, y; z, f(xi, y; s_{i,j}(x, y; f(x, yj; z))))$$

- case analysis on least significant “bits” of recursion parameters

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- case analysis on least significant “bits” of recursion parameters
- **lexicographic decreasing** recursion parameters

# Safe Composition

## Requirements

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employed in  $\mathcal{B}$

$$f(\vec{x}; \vec{y}) = g(\vec{r}(\vec{x}; ); \vec{s}(\vec{x}; \vec{y}))$$

## Safe Composition

### Requirements

- 1 composition maintains separation of safe and normal arguments
- 2 reflects that FEXP is **not closed under composition**

## Safe Composition

employed in  $\mathcal{B}$

$$f(\vec{x}; \vec{y}) = g(\vec{r}(\vec{x};); \vec{s}(\vec{x}; \vec{y}))$$

## Weak Safe Composition

employed in  $\mathcal{N}$

$$f(\vec{x}; \vec{y}) = g(x_{i_1}, \dots, x_{i_k}; \vec{s}(\vec{x}; \vec{y})) \quad \{x_{i_1}, \dots, x_{i_k}\} \subseteq \{\vec{x}\}$$



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## Theorem

$$\mathcal{N} = \text{FEXP}$$



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TCL, pages 130–146, 2008

# Exponential Path Order $>_{\text{epo}\star}$

A Path Order based on  $\mathcal{N}$

## Exponential Path Order $>_{\text{epo}\star}$

- ▶ induced by precedence  $>$  *and* **safe mapping** safe :  $\mathcal{F} \rightarrow 2^{\mathbb{N}}$

## Exponential Path Order $>_{\text{epo}^*}$

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```
> tct -s "epo*" fib.trs
YES(?,EXPO)
```

We consider the following Problem:

Strict Trs:

```
{ fib(s(s(x)), y) -> fib(s(x), fib(x, y))
  , fib(s(0()), y) -> s(y)
  , fib(0(), y) -> s(y) }
```

StartTerms: basic terms

Strategy: innermost

The system is compatible with 'epo\*' induced by

Precedence: fib > s, 0

Safe Mapping: **safe(fib) = {2}**, **safe(s) = {1}**

## Exponential Path Order $>_{\text{epo}^*}$

- ▶ induced by precedence  $>$  and safe mapping  $\text{safe} : \mathcal{F} \rightarrow 2^{\mathbb{N}}$

### Definition

precedence  $>$  and safe mapping  $\text{safe}$  are **admissible** if

- ① constructors are minimal

$$f > g \Rightarrow f \notin \mathcal{C}$$

- ② all argument positions of constructors are safe

$$f \in \mathcal{C} \Rightarrow \text{safe}(f) = \{1, \dots, \text{ar}(f)\}$$

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### Notation

we suppose  $\text{safe}(f) = \{l + 1, \dots, l + m\}$ , we write

$$f(s_1, \dots, s_l; s_{l+1}, \dots, s_{l+m})$$

## Exponential Path Order $>_{\text{epo}^*}$

### Preliminary Definition

Let  $s = f(s_1, \dots, s_l; s_{l+1}, \dots, s_{l+m})$ , let  $>$  and  $\text{safe}$  be admissible.



# Exponential Path Order $>_{\text{epo}\star}$

## Preliminary Definition

Let  $s = f(s_1, \dots, s_l; s_{l+1}, \dots, s_{l+m})$ , let  $>$  and safe be admissible.

**ST**

$$\frac{s_j \geq_{\text{epo}\star} t}{s >_{\text{epo}\star} t}$$

**WSC**  $\frac{\text{"}t_j \text{ are normal arguments of } s\text{"} \quad s >_{\text{epo}\star} t_{k+1} \cdots s >_{\text{epo}\star} t_{k+n}}{s >_{\text{epo}\star} g(t_1, \dots, t_k; t_{k+1}, \dots, t_{k+n})} f > g$

**SNRN**  $\frac{\langle s_1, \dots, s_l \rangle >_{\text{lex}'} \langle t_1, \dots, t_l \rangle \quad s >_{\text{epo}\star} t_{l+1} \cdots s >_{\text{epo}\star} t_m}{s >_{\text{epo}\star} f(t_1, \dots, t_l; t_{l+1}, \dots, t_{l+m})}$

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Recall Weak Safe Composition ...

$$f(\vec{x}; \vec{y}) = g(x_{i_1}, \dots, x_{i_k}; \vec{s}(\vec{x}; \vec{y})) \quad \{x_{i_1}, \dots, x_{i_k}\} \subseteq \{\vec{x}\}$$

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Recall Safe Nested Recursion on Notation ...

$$f(x_i, y_j; \dots) = r(\dots; \dots, f(x_i, y; \vec{s}(\dots; \dots, f(x, y_j; \dots))))$$

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## Auxiliary Order $\sqsupset_{\text{epo}\star}$

Order for  $\mathcal{V}\text{al}$

$$\mathbf{ST}_n \frac{s_i \sqsupset_{\text{epo}\star} t}{f(s_1, \dots, s_l; s_{l+1}, \dots, s_{l+m}) \sqsupset_{\text{epo}\star} t} \text{ if } f \in \mathcal{D} \text{ then } i \in \{1, \dots, l\}$$

Note

①  $\sqsupset_{\text{epo}\star} = \triangleright$  on  $\mathcal{V}\text{al}$

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### Note

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②  $\sqsupset_{\text{epo}\star} \subseteq \triangleright$

- if  $f \in \mathcal{D}$ ,  $\text{safe}(f) = \{2\}$  then  $f(x; z) \sqsupset_{\text{epo}\star} x$  but  $f(x; z) \not\sqsupset_{\text{epo}\star} z$

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$$\frac{s \sqsupset_{\text{epo}^*} t_1 \cdots s \sqsupset_{\text{epo}^*} t_k \quad s >_{\text{epo}^*} t_{k+1} \cdots s >_{\text{epo}^*} t_{k+n}}{s >_{\text{epo}^*} g(t_1, \dots, t_k; t_{k+1}, \dots, t_{k+n})} f > g$$

SNRN

$$\frac{\langle s_1, \dots, s_l \rangle >_{\text{lex}'} \langle t_1, \dots, t_l \rangle \quad s >_{\text{epo}^*} t_{l+1} \cdots s >_{\text{epo}^*} t_m}{s >_{\text{epo}^*} f(t_1, \dots, t_l; t_{l+1}, \dots, t_{l+m})}$$

# Exponential Path Order $>_{\text{epo}^*}$

## Preliminary Definition

Let  $s = f(s_1, \dots, s_j; s_{l+1}, \dots, s_{l+m})$ , let  $>$  and safe be admissible.

ST

$$\frac{s_j \geq_{\text{epo}^*} t}{s >_{\text{epo}^*} t}$$

WSC

$$\frac{s \sqsupset_{\text{epo}^*} t_1 \cdots s \sqsupset_{\text{epo}^*} t_k \quad s >_{\text{epo}^*} t_{k+1} \cdots s >_{\text{epo}^*} t_{k+n}}{s >_{\text{epo}^*} g(t_1, \dots, t_k; t_{k+1}, \dots, t_{k+n})} f > g$$

SNRN

$$\frac{(\dagger) \quad s >_{\text{epo}^*} t_{l+1} \cdots s >_{\text{epo}^*} t_m}{s >_{\text{epo}^*} f(t_1, \dots, t_l; t_{l+1}, \dots, t_{l+m})}$$

$$\textcircled{1} \quad s_1 = t_1 \cdots s_{i-1} = t_{i-1},$$

$$(\dagger) \quad \textcircled{2} \quad s_j \sqsupset_{\text{epo}^*} t_j, \text{ and}$$

$$\textcircled{3} \quad s \sqsupset_{\text{epo}^*} t_{i+1} \cdots s \sqsupset_{\text{epo}^*} t_l.$$

# Exponential Path Order $>_{\text{epo}\star}$

Let  $s = f(s_1, \dots, s_l; s_{l+1}, \dots, s_{l+m})$ , let  $>$  and safe be admissible.

ST

$$\frac{s_j \geq_{\text{epo}\star} t}{s >_{\text{epo}\star} t}$$

WSC

$$\frac{s \sqsupset_{\text{epo}\star} t_1 \cdots s \sqsupset_{\text{epo}\star} t_k \quad s >_{\text{epo}\star} t_{k+1} \cdots s >_{\text{epo}\star} t_{k+n}}{s >_{\text{epo}\star} g(t_1, \dots, t_k; t_{k+1}, \dots, t_{k+n})} f > g$$

SNRN

$$\frac{(\dagger) \quad s >_{\text{epo}\star} t_{l+1} \cdots s >_{\text{epo}\star} t_m}{s >_{\text{epo}\star} f(t_1, \dots, t_l; t_{l+1}, \dots, t_{l+m})}$$

①  $s_1 = t_1 \cdots s_{i-1} = t_{i-1}$ ,

(†) ②  $s_i \sqsupset_{\text{epo}\star} t_i$ , and

③  $s \sqsupset_{\text{epo}\star} t_{i+1} \cdots s \sqsupset_{\text{epo}\star} t_l$ .

## The Good ...

the exponential path order  $EPO^*$  is ...

- ▶ a restriction of LPO that induces exponentially bounded  $rc_{\mathcal{R}}^i$
- ▶ sound *and* complete for FEXP, implemented in our tool TCT  
<http://cl-informatik.uibk.ac.at/software/tct>

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## The Bad ...

- ▶ rules out some natural definitions:

$$\begin{array}{ll} d(0) \rightarrow 0 & e(0) \rightarrow s(0) \\ d(s(x)) \rightarrow s(s(d(x))) & e(s(x)) \rightarrow d(e(x)) \end{array}$$

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## The Ugly ...

- ▶  $>_{pop^*} \not\subseteq >_{epo^*}$