Finite Groundings for ASP with Functions: A Journey through Consistency (Technical Report)

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Abstract

Answer set programming (ASP) is a logic programming formalism used in various areas of artificial intelligence like combinatorial problem solving and knowledge representation and reasoning. It is known that enhancing ASP with function symbols makes basic reasoning problems highly undecidable. However, even in simple cases, state of the art reasoners, specifically those relying on a ground-and-solve approach, fail to produce a result. Therefore, we reconsider consistency as a basic reasoning problem for ASP. We show reductions that give an intuition for the high level of undecidability. These insights allow for a more fine-grained analysis where we characterize ASP programs as "frugal" and "non-proliferous". For such programs, we are not only able to semi-decide consistency but we also propose a grounding procedure that yields finite groundings on more ASP programs with the concept of "forbidden" facts.

1 Introduction

Answer set programming [Brewka *et al.*, 2011; Gebser *et al.*, 2012] is a logic-based formalism used in multiple fields of artificial intelligence research such as knowledge representation and reasoning but also combinatorial problem solving. State-of-the-art ASP solvers like clasp [Gebser *et al.*, 2009] or wasp [Alviano *et al.*, 2022] rely on a ground-and-solve approach. During (i) *grounding*[1] a given ASP program is instantiated with all relevant terms. Then, when (ii) *solving* the ground program, the ASP solver, which is a SAT solver extended by unfounded set propagation, excludes sets of atoms that lack foundation (i.e. unfounded sets), thereby efficiently computing answer sets. Unfortunately, with function symbols involved, already the grounding step may not terminate.

Example 1. *The program* $\{(1), (2), r(a, b)\}$ *admits exactly one answer set:* $\{r(a, b), stop(b), r(b, f(b)), stop(f(b))\}$. *Still, the grounding is infinite with terms* $b, f(b), f(f(b)), \ldots$

$$r(Y, f(Y)) \leftarrow r(X, Y), \neg stop(X). \quad (1)$$

$$stop(Y) \leftarrow r(X, Y). \quad (2)$$

[1]In the introduction, *grounding* (informally) refers to the result of a (naive) grounding procedure. We formalize this later on.

Figure 1: Wolf, Goat, Cabbage Puzzle

Such problems indeed manifest in real world applications e.g. in knowledge respresentation contexts. One prominent example is an approach for simulating sets in ASP (using function symbols) [Gaggl *et al.*, 2022]. For combinatorial problems, bounds on the size of natural numbers (which could be modelled using function symbols) are often introduced to ensure termination of the ground-and-solve approach. This is observable in many typical ASP examples.

Example 2. *We consider the famous puzzle of a farmer who needs to cross a river with a wolf, a goat, and a cabbage. They may only take one item at a time and must not leave the wolf and the goat or the goat and the cabbage alone since then the former will eat the latter (see Figure 1). One essential part of the considered modelling is a rule as the following together with enough generated atoms, e.g.* $steps(0...100)$.[2]

$$position(X, C, N + 1) \leftarrow transport(X, N),$$
$$position(X, B, N), opposite(B, C), steps(N + 1).$$

That is, if we guess that item X *is transported in step* N*, then its position is updated to the opposite river bank if we are not out of steps yet. Additional rules are introduced to detect and avoid redundant positions; we ellaborate on this idea later in Example 5. However, despite the redundancy check, we need to bound (guard) the term* $N+1$*, as otherwise the grounding is infinite. Such guards are common in ASP.*

Related Work. Due to the complications with function symbols, some works avoid them altogether [Marek and Remmel, 2011]. However, some existing reasoning approaches seem promising. We observe that lazy-grounding, as used by Alpha [Weinzierl *et al.*, 2020], achieves termination on more programs than ground-and-solve approaches. For example, Alpha yields the expected finite answer set in Example 1 but still fails in Example 2 (without the $N+1$ guard). As an extension of ground-and-solve approaches, incremental solving have been proposed [Gebser *et al.*, 2019],

[2]Full example in the technical appendix.

where one can increment the maximal number of steps used for grounding and interatively reground. Thereby, one could prevent the issue of infinite groundings when only finite answer sets exist. Various efforts have also gone into characterizing ASP programs into classes that e.g. yield finite groundings [Alviano *et al.*, 2012]. One particular idea defines the semi-decidable class of *finitely ground* programs including the decidable restriction of *finite domain* programs [Calimeri *et al.*, 2008]. This approach has been implemented in the DLV solver [Alviano *et al.*, 2010]. Still, we observe that (i) DLV [Calimeri *et al.*, 2017] does not (seem to) terminate on Examples 1 and 2, (without the $N{+}1$ guard). Grounding is active research, ranging from traditional instantiation [Kaminski and Schaub, 2021], over size estimations [Hippen and Lierler, 2021], lazy grounding [Weinzierl *et al.*, 2020], ASP modulo theory [Banbara *et al.*, 2017; Janhunen *et al.*, 2017; Cabalar *et al.*, 2020], and treewidth-based methods [Bichler *et al.*, 2020].

Contributions. We aim to improve existing reasoning techniques further in terms of termination. As a prerequisite, a better understanding of the hardness of reasoning is required.

- In Section 3, we consider consistency as our exemplary highly undecidable (Σ^1_1-complete) reasoning problem. We give easy to follow reductions that give an intuition into the cause of the high level of undecidability.
- Based on these studies, in Section 4, we characterize ASP programs by two essential causes for undecidability of reasoning and infinite groundings. Surprisingly, even if a program is *frugal* (only finite answer sets) and *non-proliferous* (only finitely many finite answer sets), we still obtain undecidability for program consistency.
- To still tackle consistency, in Section 5, we propose a semi-decision algorithm for frugal and non-proliferous programs that also terminates on many inconsistent programs. Based on the underlying idea of *forbidden* atoms, we moreover define a grounding procedure that terminates in more cases; like the above examples.

2 Preliminaries

We assume familiarity with propositional satisfiability (SAT) [Kleine Büning and Lettman, 1999; Biere *et al.*, 2009], where we use clauses, formulas, and assignments as usual.

Ground Answer Set Programming (ASP). We follow standard definitions of propositional ASP [Brewka *et al.*, 2011; Janhunen and Niemelä, 2016]. Let ℓ, m, and n be non-negative integers with $0 \leq \ell$, $0 \leq m \leq n$, and let $b_1, \dots, b_\ell, a_1, \dots a_n$ be propositional atoms. Moreover, a *literal* is an atom or its negation. A *ground rule* r is an implication of the form $b_1, \dots, b_\ell \leftarrow a_1, \dots, a_m, \neg a_{m+1}, \dots, \neg a_n$ where $0 \leq \ell \leq 1$; that is, a formula with at most one atom before $\leftarrow$. For such a rule, we define $H_r = \{b_1, \dots, b_\ell\}$ and $B_r = \{a_1, \dots, a_m, \neg a_{m+1}, \dots, \neg a_n\}$. Note that ground rules are non-disjunctive since $|H_r| \leq 1$; for *constraints* we have $|H_r| = 0$. Moreover, for a set of literals L (such as B_r), let L^+ be the set of all positive literals in L and let $L^- = \{a \mid \neg a \in L\}$. A *(normal) ground program* is a set of ground rules (and constraints).

An *interpretation* I is a set of atoms. An interpretation I *satisfies* a ground rule r if $(H_r \cup B_r^-) \cap I \neq \emptyset$ or $B_r^+ \setminus I \neq \emptyset$; it is a *model* of a ground program $\mathcal{P}$ if it satisfies all rules is $\mathcal{P}$. For a set A of atoms, a function $\varphi : A \to \mathbb{N}$ is an *ordering* over A. Consider a model I of a ground program $\mathcal{P}$, and an ordering φ over I. An atom $a \in I$ is *proven (justified)* if there is a ground rule $r \in \mathcal{P}$ with $a \in H_r$ such that (i) $B_r^+ \subseteq I$, (ii) $I \cap B_r^- = \emptyset$ and $I \cap (H_r \setminus \{a\}) = \emptyset$, as well as (iii) $\varphi(b) < \varphi(a)$ for every $b \in B_r^+$. Then, I is an *answer set* of $\mathcal{P}$ if (I) I is a model of $\mathcal{P}$, and (II) I *is proven*, i.e., every $a \in I$ is proven. Deciding whether a ground program has an answer set is called *consistency*, which is NP-complete [Marek and Truszczyński, 1991] for normal ground programs. For non-ground normal programs without functions, this is NExpTime-complete [Eiter *et al.*, 1994]; Σ^{P}_2-complete with bounded arities [Eiter *et al.*, 2007].

Non-Ground ASP. We define $\mathtt{Preds}$, $\mathtt{Funs}$, $\mathtt{Cons}$, and $\mathtt{Vars}$ to be mutually disjoint and countably infinite sets of predicates, function symbols, constants, and variables, respectively. Every $s \in \mathtt{Preds} \cup \mathtt{Funs}$ is associated with some *arity* $\mathsf{ar}(s) \geq 0$. For every $i \geq 0$, both $\mathtt{Preds}_i = \{P \in \mathtt{Preds} \mid \mathsf{ar}(P) = i\}$ and $\mathtt{Funs}_i = \{f \in \mathtt{Funs} \mid \mathsf{ar}(f) = i\}$ are countably infinite. The set $\mathtt{Terms}$ of terms includes $\mathtt{Cons}$ and $\mathtt{Vars}$; and contains $f(t_1, \dots, t_i)$ for every $i \geq 1$, every $f \in \mathtt{Funs}_i$, and every $t_1, \dots, t_i \in \mathtt{Terms}$. A term $t \notin \mathtt{Vars} \cup \mathtt{Cons}$ is *functional*. A *(ground) substitution* is partial function from variables to *ground terms*; that is, to variable-free terms. We write $[x_1/t_1, \dots, x_n/t_n]$ to denote the substitution mapping $x_1, \dots, x_n$ to $t_1, \dots, t_n$, respectively. For an expression ϕ and a substitution σ, let $\phi\sigma$ be the expression resulting from ϕ by uniformly replacing every syntactic occurrence of every variable x by $\sigma(x)$ if defined.

Let $q_1, \dots, q_\ell, p_1, \dots, p_n \in \mathtt{Preds}$ be predicates and $S_1, \dots, S_\ell, T_1, \dots, T_n$ be vectors over $\mathtt{Terms}$. A *(non-ground) program* $\mathcal{P}$ is a set of *(non-ground) rules* of the form $H \leftarrow p_1(T_1), \dots, p_m(T_m), \neg p_{m+1}(T_{m+1}), \dots, \neg p_n(T_n)$ where $H = q_1(S_1), \dots, q_\ell(S_\ell)$ with $0 \leq \ell \leq 1$, $|S_i|{=}\mathsf{ar}(q_i)$ for every $1 \leq i \leq \ell$, and $|T_i|{=}\mathsf{ar}(p_i)$ for every $1 \leq i \leq n$. Note again that we do not consider disjunctive rules. We assume that rules are *safe*; that is, every variable in a rule occurs in some T_i with $1 \leq i \leq m$. We define $\mathsf{HUniv}(\mathcal{P})$ as the set of all (ground) terms that only feature function symbols and constants in $\mathcal{P}$. For a set of ground terms T, let $\mathsf{Ground}(\mathcal{P}, T)$ be the set of ground rules containing $\rho\sigma$ for every $\rho \in \mathcal{P}$ and every substitution σ from the the variables of ρ into T. Let $\mathsf{Ground}(\mathcal{P}) = \mathsf{Ground}(\mathcal{P}, \mathsf{HUniv}(\mathcal{P}))$. Note that $\mathsf{Ground}(\mathcal{P})$ might be infinite. An *answer set* of a program $\mathcal{P}$ is an answer set of $\mathsf{Ground}(\mathcal{P})$. A ground program $\mathcal{P}_g$ is a *valid grounding* for a program $\mathcal{P}$ if $\mathcal{P}_g$ and $\mathcal{P}$ have the same answer sets.

Recap: Arithmetical/Analitical Hierarchy. We view the *arithmetical hierarchy* as classes of formal languages Σ^0_i with $i \geq 1$ where Σ^0_1 is the class of all semi-decidable languages and Σ^0_{i+1} results from Σ^0_i by a Turing jump. The respective co-classes are denoted by Π^0_i. We also consider the first level of the *analytical hierarchy*, i.e. Σ^1_1 and Π^1_1, which is beyond the arithmetical hierarchy [Rogers, 1987]. These classes are merely considered via reductions to and from languages contained in or hard for the respective classes [Harel, 1986].

3 Checking Consistency of ASP Programs

In this section, we prove that the problem of checking if a program admits an answer set is highly undecidable. The upper bound follows from Lemma 1 and Proposition 1; the lower bound from Lemma 2 and Proposition 2. We include complete proofs for these lemmas in the technical appendix.

Theorem 1. *Deciding program consistency is Σ^1_1-complete.*

Although Theorem 1 has been proven before (see Corollary 5.12 in [Marek *et al.*, 1994] and Theorem 5.9 in [Dantsin *et al.*, 2001]), we present complete proofs using (more) intuitive reductions that also lay our foundation for Section 4.

3.1 An Upper Bound for ASP Consistency

Our only goal in this subsection is to show that checking consistency is in Σ^1_1 by reduction to the following problem.

Proposition 1 ([Harel, 1986, Corollary 6.2][3])**.** *Checking if some run of a non-deterministic Turing machine on the empty word visits the start state infinitely many times is in Σ^1_1.*

For a program P and an interpretation I, let $\mathsf{Active}_I(P)$ be the set of all rules in $\mathsf{Ground}(P)$ that are not satisfied by I. If I is finite, then so is $\mathsf{Active}_I(P)$ and Active_I is computable.

Definition 1. *For a program P, let $\mathcal{M}_P$ be the non-deterministic machine that, regardless of the input, executes the following instructions:*

1. *Initialise an empty set L_0 of literals, and some counters $i := 0$ and $j := 0$.*
2. *If L_i^+ and L_i^- are not disjoint,* halt.
3. *If L_i^+ is an answer set of P,* loop *on the start state.*
4. *Initialise $L_{i+1} := L_i \cup H_r \cup \{\neg a \mid a \in B_r^-\}$ where r is some non-deterministically chosen rule in $\mathsf{Active}_{L_i^+}(P)$.*
5. *If L_i satisfies all of the rules in $\mathsf{Active}_{L_j^+}(P)$, then increment $j := j + 1$ and visit the start state once.*
6. *Increment $i := i + 1$ and go to Step 2.*

Lemma 1. *A program P is consistent iff some run of $\mathcal{M}_P$ on the empty word visits the start state infinitely many times.*

Intuitively, a run of $\mathcal{M}_P$ attempts to produce an answer set for P; if successful, it visits the start state infinitely many times. The answer set is materialised via the non-deterministic choices that instantiate $L_1, L_2, \ldots$; see Step 4.

It is important to realize that the machine $\mathcal{M}_P$ only adds proven atoms in the sequence $L_1^+, L_2^+, \ldots$ To show this, consider some $k \geq 1$ and the ordering that maps the only atom in $L_i^+ \setminus L_{i-1}^+$ to i for every $1 \leq i \leq k$. Hence, if L_k^+ is a model of P, then L_k^+ also an answer set of P and the run loops at the k-th iteration because of Step 3. Otherwise, $\mathsf{Active}_{L_k^+}(P)$ is non-empty and a rule from this set can be chosen in Step 4.

If the sequence $L_1, L_2, \ldots$ is infinite and $\mathcal{M}_P$ visits the start state infinitely many times during the considered run, then $\bigcup_{i\geq 1} L_i^+$ is a model of P. This is because, for every $j \geq 1$, there is some $i \geq j$ such that L_i^+ satisfies all of the rules in $\mathsf{Active}_{L_j^+}(P)$. Therefore, since every atom in $\bigcup_{i\geq 1} L_i^+$ is proven, this interpretation is an answer set of P.

[3]The original result shows Π^1_1-completeness for the complement.

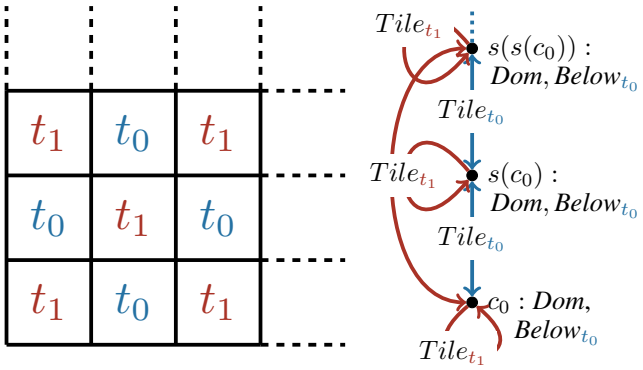

Figure 2: A Solution of $\mathfrak{X}$ and the Corresponding Answer Set of $P_{\mathfrak{X}}$

3.2 A Lower Bound for ASP Consistency

Our only goal in this subsection is to show that checking consistency is Σ^1_1-hard by reduction from the following problem.

Definition 2. *A* tiling system *is a tuple $\langle T, HI, VI, t_0\rangle$ where T is a finite set of tiles, HI and VI are subsets of $T \times T$, and t_0 is a tile in T. Such a tiling system admits a* recurring solution *if there is a function $f : \mathbb{N} \times \mathbb{N} \to T$ such that:*

1. *For every $i, j \geq 0$, we have that $\langle f(i,j), f(i+1,j)\rangle \notin HI$ and $\langle f(i,j), f(i,j+1)\rangle \notin VI$.*
2. *There is an infinite subset S of $\mathbb{N}$ such that $f(0,j) = t_0$ for every $j \in S$.*

Proposition 2 ([Harel, 1986, Theorem 6.4][4])**.** *Checking if a tiling system admits a recurring solution is Σ^1_1-hard.*

Condition 2 in Defintion 2 implies that, given any position in the first column, we will eventually find the special tile if we move upwards on the grid after a finite amount of steps.

Definition 3. *For a* tiling system $\mathfrak{T} = \langle T, HI, VI, t_0\rangle$*, let $P_{\mathfrak{T}}$ be the program that contains the ground atom $Dom(c_0)$ and all of the following rules:*

$$Dom(s(X)) \leftarrow Dom(X) \tag{3}$$
$$Tile_t(X,Y) \leftarrow Dom(X), Dom(Y), \{\neg Tile_{t'}(X,Y) \mid t' \in T \setminus \{t\}\} \ \forall t \in T \tag{4}$$
$$\leftarrow Tile_t(X,Y), Tile_{t'}(s(X),Y) \ \forall \langle t,t'\rangle \in HI \tag{5}$$
$$\leftarrow Tile_t(X,Y), Tile_{t'}(X,s(Y)) \ \forall \langle t,t'\rangle \in VI \tag{6}$$
$$Below_{t_0}(Y) \leftarrow Tile_{t_0}(c_0, s(Y)) \tag{7}$$
$$Below_{t_0}(Y) \leftarrow Below_{t_0}(s(Y)) \tag{8}$$
$$\leftarrow Dom(Y), \neg Below_{t_0}(Y) \tag{9}$$

Lemma 2. *A tiling system $\mathfrak{T}$ admits a recurring solution iff the program $P_{\mathfrak{T}}$ is consistent*

Lemma 2 holds since each answer set of $P_{\mathfrak{T}}$ faithfully encodes a recurring solution of a tiling system $\mathfrak{T}$. We clarify this brief intuition with an example.

Example 3. *The tiling system $\mathfrak{X} = \langle\{t_0, t_1\}, HI, VI, t_0\rangle$ where $HI = VI = \{\langle t_0, t_0\rangle, \langle t_1, t_1\rangle\}$ admits two recurring solutions. The program $P_{\mathfrak{X}}$ admits two answer sets; each of them encodes a solution of $\mathfrak{X}$. One of these solutions and the*

[4]The original result shows Σ^1_1-completeness.

corresponding answer set are depicted in Figure 2. Note how the tile t_0 covers the position $\langle 0, 1\rangle$ of the positive quadrant; this is encoded by the atom $Tile_{t_0}(c_0, s(c_0))$ in the answer set.

Intuitively, Rule 3 in Definition 3 ensures that the domain of every answer set is countably infinite to provide enough space for a possible recurring solution. Rule 4 ensures that every position in the positive quadrant is covered by exactly one tile. Constraints 5 and 6 are violated if the horizontal and vertical incompatibilities are not satisfied, respectively. Formulas 7, 8, and 9 ensure that every position in the left column is below a position covered with the special tile that appears infinitely often in a valid recurring solution.

4 Frugal and Non-Proliferous Programs

In this section, we aim to develop a better understanding for why consistency has such a high level of undecidability. One particularly hard (undecidable) case to check is the existentence of an infinite answer set, so it is straightforward to restrict to ASP programs that only admit finite answer sets (they might not admit any or infinitely many of these). Especially in cases, where we are not only interested in consistency but in enumerating all answer sets, it is also of interest that there is only finitely many of them.

Definition 4. *A program is* frugal *if it only admits finite answer sets; it is* non-proliferous *if it only admits finitely many finite answer sets (but arbitrarily many infinite ones).*

Not every frugal program is also non-proliferous.

Example 4. *The following ASP program admits infinitely many finite answer sets but no infinite one.*

$$\begin{aligned} next(Y, f(Y)) &\leftarrow next(X, Y), \neg last(Y). \\ last(Y) &\leftarrow next(X, Y), \neg next(Y, f(Y)). \\ done &\leftarrow last(Y). \quad \leftarrow \neg done. \quad next(c, d). \end{aligned}$$

Clearly, $\{next(c, d), last(d), done\}$ is an answer set. Also, any finite chain of $next$ relations terminated by $last$ is an answer set. However, an infinite $next$-chain is not an answer set as it cannot contain any $last$ atom, hence does not feature $done$, and therefore violates the constraint.

4.1 Undecidability of These Notions

Within the scope of this subsection, let P be an arbitrary program. Both of the above problems, i.e. P being frugal or non-proliferous, are undecidable and not even semi-decidable. We start with the second problem since it is comparably "easy".

Theorem 2. *Deciding if P is non-proliferous is Σ_2^0-complete.*

The previous result follows directly from Lemmas 3 and 4.

Lemma 3. *Deciding if P is non-proliferous is in Σ_2^0.*

Proof. We show first (†) that one can semi-decide for a given n if a program has at least n finite answer sets. This is possible by enumerating and checking all answer set candidates. Once the n-th answer set has been found, the procedure halts and accepts (otherwise it may run forever).

The decision problem from the lemma can now be semi-decided with an oracle for (†) as follows. Enumerate all naturals n and check for each, if P admits at least n finite answer sets (with the oracle). If yes, continue with $n + 1$; otherwise accept (since only finitely many finite answer sets exist). □

Lemma 4. *Deciding if P is non-proliferous is Σ_2^0-hard.*

Proof. Consider the universal halting problem, which is Π_2^0-hard, i.e. the check if a Turing machine halts on all inputs. We construct M' for a given TM M that on input n runs M on all inputs of length at most n. We have that M' terminates on infinitely many inputs if and only if M halts on all inputs. Hence, deciding if a TM halts on infinitely many inputs is Π_2^0-hard. Therefore, the complement, i.e. deciding if a TM halts on only finitely many inputs, is Σ_2^0-hard. We generate all (finite) inputs with an ASP program and ensure that the program has a finite answer set for a generated input iff the TM halts on that input.[5] Therefore, deciding if an ASP program only admits finitely many finite answer sets is Σ_2^0-hard. □

The Turing machine simulation utilizes a frugal program. Therefore, checking if a program is non-proliferous remains Σ_2^0-hard for frugal programs. Deciding if an ASP program is frugal on the other hand is way beyond Σ_2^0 and not even in the arithmetical hierarchy (just as checking consistency).

Theorem 3. *Deciding if P is frugal is Π_1^1-complete.*

Proof Sketch. For membership, we adjust the machine from Definition 1 to halt instead of loop when it encounters a finite answer set. Hardness follows from the same reduction as Lemma 2. To see that this holds, note that $P_{\mathfrak{T}}$ either has an infinte answer set (i.e. is not frugal) if $\mathfrak{T}$ has a solution or has no answer set at all (i.e. is frugal) if $\mathfrak{T}$ has no solution. □

4.2 Consistency Becomes (Only) Semi-Decidable

If an ASP program is frugal, consistency is semi-decidable.

Theorem 4. *Consistency for frugal programs is in Σ_1^0.*

Proof. Enumerate all answer set candidates and check if they are answer sets. If there is an answer set, then there must be a finite one so the procedure terminates in this case. □

Somewhat surprisingly, even for frugal and non-proliferous programs consistency remains undecidable. The issue is that the maximum answer set size is still unknown.

Theorem 5. *Consistency for frugal and non-proliferous programs is Σ_1^0-hard.*

Proof. We reduce from the halting problem with part of the program used for the TM simulation in Lemma 4. We omit the part that generates all possible inputs. Instead, we encode the input word with ground atoms directly. The program admits a (single) finite answer set if the machine halts on its input. Otherwise, it does not admit any answer set. In any case, the program is both frugal and non-proliferous. □

The programs in the introduction have a finite bound on the size of their answer sets; they are frugal and non-proliferous.

[5] We show the machine simulation in the technical appendix.

5 Improved Reasoning Procedures

In this section, we describe an approach for the computation of answer sets that builds upon a basic procedure for consistency checking. For frugal programs, this is a semi-decision procedure. However, unsatisfiable programs are often not detected as such. Therefore, we improve the procedure by ignoring *forbidden* atoms that may never occur in any answer set. While it is undecidable to check if an atom is forbidden, we give a proof-of-concept algorithm for a sufficient condition. For some simplified but unsatisfiable versions of Examples 1 and 2, we argue that the sufficient condition is powerful enough to detect the essential forbidden atoms. This makes the enhanced semi-decision procedure detect them as unsatisfiable. Later on, we also propose a procedure based on the forbidden atoms idea to produce valid groundings that are finite more often compared to tranditional approaches.

5.1 Limits of Semi-Decision

Even when $\mathsf{Ground}(\mathcal{P})$ is infinite, it is arguably not hard to come up with a semi-decision procedure for consistency when only considering frugal ASP programs $\mathcal{P}$. This is the same as asking if an arbitrary program has a finite answer set. We have shown semi-decidability in Theorem 4 and we can also achieve this by modifying $\mathcal{M}_P$ from Definition 1 such that it accepts when it encounters a finite answer set instead of entering an infinite loop. While this machine resembles a lazy-grounding idea, we may also describe a semi-decision procedure that incrementally enlarges a ground program.

Definition 5. *Consider the procedure* $\mathsf{IsConsistent}(\cdot)$ *that takes a program* P *as input where* $P_g = \mathsf{Ground}(P)$*:*

1. *Initialize* $i := 1$ *and* $A_0 := \emptyset$.
2. *Set* $A_i := A_{i-1} \cup \bigcup\{H_r \mid r \in P_g; B_r^+ \subseteq A_{i-1}\}$.
3. Reject *if* $A_i = A_{i-1}$.
4. *Set* $P_i := \{r \in P_g \mid B_r^+ \subseteq A_i\}$.
5. Accept *if* P_i *has an answer set* I *with* $\mathsf{Active}_I(P) = \emptyset$.
6. *Set* $i := i + 1$ *and go to Step 2.*

Proposition 3. *Given some program* P*, the procedure* $\mathsf{IsConsistent}(P)$ *accepts (and halts) if and only if* P *has a finite answer set.*

Proof. If P has a finite answer set I, then pick the smallest i such that $A_i \supseteq I$. Since every atom in I is proven, the procedure does not reject up until reaching i. Moreover, since I is an answer set of P, it is an answer set of P_i; and $\mathsf{Active}_I(P) = \emptyset$. Therefore, the procedure accepts in step i.

If the procedure accepts, it does so for some i and there is a (finite) answer set I of P_i. Since $\mathsf{Active}_I(P)$ is empty, all rules in $\mathsf{Ground}(P)$ are satisfied by I. Since I is an answer set of P_i, all atoms in I are proven in P. Hence, I is a finite answer set of P. □

Since IsConsistent semi-decides consistency for frugal programs, it will necessarily yield a finite answer set for all examples from the introduction. Still, inconsistent programs $\mathcal{P}$ are rarely caught by IsConsistent, unless $\mathsf{Ground}(\mathcal{P})$ is finite. To illustrate this, we condense the encoding of Example 2 to a simple case of detecting redundancies.

Example 5. *Consider the following program* P*.*

$$\mathit{fct}(a,0). \qquad \mathit{eq}(X,X) \leftarrow \mathit{fct}(X,N). \qquad \leftarrow \mathit{redundant}.$$
$$\mathit{lt}(N,s(N)) \leftarrow \mathit{fct}(X,s(N)).$$
$$\mathit{lt}(N,N') \leftarrow \mathit{lt}(N,M), \mathit{lt}(M,N').$$
$$\mathit{fct}(b,s(N)) \leftarrow \mathit{fct}(a,N). \qquad \mathit{fct}(a,s(N)) \leftarrow \mathit{fct}(b,N).$$
$$\mathit{diff}(N,M) \leftarrow \mathit{fct}(X,N), \mathit{fct}(Y,M), \neg \mathit{eq}(X,Y), \mathit{lt}(N,M).$$
$$\mathit{redundant} \leftarrow \mathit{fct}(X,N), \mathit{fct}(Y,M), \mathit{lt}(N,M), \neg \mathit{diff}(N,M).$$

Intuitively, a timeline is constructed that always flips fact (fct) a *to* b *and vice versa in each step. We forbid redundancies, e.g. we do not want fct* a *in time steps say* 0 *and* 2*. This is impossible and we will always be forced to derive redundant at some point because some of the diff*(N, M) *atoms cannot be proven. However, the procedure* IsConsistent *simply does not terminate here.*

Note that we ran the example with Alpha, but encountered a stack overflow. This may indicate that Alpha introduces too many ground atoms. Also, (i)DLV as well as gringo/clingo do not (seem to) terminate. The IsConsistent check also runs into similar problems for a slight variation of Example 1.

Example 6. *Consider the following extension of Example 1.*

$$r(a,b). \qquad \mathit{stop}(Y) \leftarrow r(X,Y). \qquad \leftarrow r(b,f(b)).$$
$$r(Y,f(Y)) \leftarrow r(X,Y), \neg \mathit{stop}(X).$$

The program does not have any answer set. Furthermore, the IsConsistent *check does not terminate.*

To our surprise, Alpha captures this as unsatisfiable. Still, (i)DLV and gringo/clingo do not (seem to) terminate.

5.2 Ignoring Forbidden Atoms

We aim to extend IsConsistent further to capture the previous examples as unsatisfiable. We adjust the assignment of A_i in Item 2 as follows; keeping Proposition 3 intact.

2. Set $A_i := A_{i-1} \cup \{a \mid a$ not *forbidden* in P and $\{a\} = H_r$ for some $r \in \mathsf{Ground}(P)$ with $B_r^+ \subseteq A_{i-1}\}$.

To keep the A_i (and thus P_i) small, we only consider atoms that are not forbidden in P. Formally, an atom a is *forbidden* (in a program P) if a does not occur in any answer set of P. Intuitively, a forbidden atom will necessarily lead to a contradiction or it will be impossible to show that it is proven. Unfortunately, it is undecidable to check if an atom is forbidden in the formal sense, essentially because entailment of ground atoms over ASP programs is undecidable.

Proposition 4. *It is undecidable if an atom is forbidden.*

Proof. We reuse the Turing machine simulation from Theorem 5 to show a reduction from the complement of the halting problem. If the machine halts, the simulation has a single answer set with the atom Halt. Otherwise, the simulation does not admit an answer set. Hence, the machine does not halt iff Halt is forbidden. □

We introduce some auxiliary definitions with the aim of giving a (rather tight) sufficient condition for finding forbidden atoms. Given a rule r in P and any two interpretations L^+ and L^-, we define the following.

- $r^+(L^+, L^-)$ as the minimal interpretation that contains the single atom in $H_r\sigma$ (unless $|H_r| = 0$) for every substitution σ with $B_r^+\sigma \subseteq L^+$ and $B_r^-\sigma \subseteq L^-$; and, if $|B_r^-| = 1$, also contains $B_r^-\sigma$ for every substitution σ with $B_r^+\sigma \subseteq L^+$ and $H_r\sigma \subseteq L^-$.
- $r^-(L^+, L^-)$ is $\emptyset$ if $|B_r^+| \neq 1$; otherwise it is defined as the minimal interpretation that contains $B_r^+\sigma$ for every substitution σ with $B_r^-\sigma \subseteq L^-$ and $H_r\sigma \subseteq L^-$

We define a way of applying rules "in reverse" here and restrict these cases to $|B_r^-| = 1$ and $|B_r^+| = 1$, respectively. In principle one could relax this by considering every possible choice for the atoms from B_r^- or B_r^+. It remains for practical evaluations to determine if this is a good trade-off between simplicity and generality. For a program P and two interpretations L^+ and L^-, we define the following. For any interpretation L, let the *term-atoms* $TA^P(L)$ be the set of all (ground) atoms with predicates and ground terms from P, terms in L, and arbitrary constants. Also, for each sign $s \in \{+, -\}$:

- $P_0^s(L^+, L^-)$ is L^s, and
- for every $i \geq 0$, $P_{i+1}^s(L^+, L^-)$ is the minimal interpretation that contains $P_i^s(L^+, L^-)$ and, for every $r \in P$, $r^s(P_i^+(L^+, L^-), P_i^-(L^+, L^-)) \cap TA^P(L^+ \cup L^-)$;
- $P_\infty^s(L^+, L^-)$ is $\bigcup_{i \geq 0} P_i^s(L^+, L^-)$

We intersect with $TA^P(L^+ \cup L^-)$ only to keep $P_j^s(\dots)$ finite for all j (and hence for ∞); almost arbitrary extensions are possible here. Intuitively, for sets of atoms that must be true L^+ and must be false L^-, P_∞^+ and P_∞^- close these sets under certain (not all, as there might be infinitely many) inferences to obtain larger sets of atoms that must be true or false, respectively. By definition, we can show via induction that P_∞^s only makes sound inferences of atoms.

Lemma 5. *For a program P any two interpretations L^+ and L^- and any answer set I of P; if $L^+ \subseteq I$ and $L^- \cap I = \emptyset$, then $P_\infty^+(L^+, L^-) \subseteq I$ and $P_\infty^-(L^+, L^-) \cap I = \emptyset$.*

To check if an atom a is forbidden in a program P, we backtrack atoms that must be true (L^+) and must be false (L^-) to be able to prove a. To this aim, we describe a procedure with the help of some auxiliary definitions. We say that an atom *has support* in P for interpretations L^+ and L^- if there is a rule $r \in P$ and a substitution σ with $H_r\sigma = \{a\}$, $B_r^+\sigma \subseteq L^+$ and $B_r^-\sigma \subseteq L^-$. Intuitively, having support is almost like being proven but the set of non-derived atoms is given explicitly using L^-. For a rule $r \in P$ and a substitution σ, an *r-extension* of σ is a substitution σ' that agrees with σ on variables from H_r and additionally, for each variable X in r that occurs in B_r^+ but not in H_r, if X occurs in a position that can only feature constants, $\sigma'(X)$ is one of these constants; otherwise, i.e. if functional terms may occur in the position of X, $\sigma'(X)$ is a fresh constant.[6] To check if a is forbidden, we call IsForbidden$(P, \{a\}, \emptyset)$ from Algorithm 1. The general idea of the procedure is as follows. We first check if L^+ and L^- contradict each other. When we reach this base case, we know that our initial atom a must be forbidden. Otherwise, we check if there is an unproven atom a left in line 6. If so, we check all ways in which a could be proven in line 8. If a can potentially be proven with some unknown function symbols, we assume a not to be forbidden in line 9. Otherwise, we perform recursive calls to IsForbidden within the loop in line 14 such that a is marked as not forbidden if at least one recursive call does not involve forbidden atoms or a contradiction. This means, a might be provable. By a recursive analysis of the algorithm, one can verify correctness with the help of Lemma 5.

[6] We can perform static analysis on the positive part of P to obtain the possible constants for each position in P and also to determine if a function symbol might occur in a position.

Algorithm 1 IsForbidden

Require: program P, interpretations L^+ and L^-
Ensure: some atom in L^+ is forbidden or $L^+ \cap L^- \neq \emptyset$
1: $L^+ \leftarrow P_\infty^+(L^+, L^-)$ and $L^- \leftarrow P_\infty^-(L^+, L^-)$
2: **if** $L^+ \cap L^- \neq \emptyset$ **then**
3: **return true**
4: **end if**
5: someFrbdn $\leftarrow$ **false**
6: **for all** $a \in L^+$ without support **do**
7: aFrbdn $\leftarrow$ **true**
8: **for all** $r \in P$ **and** substitution σ **and** g mapping all fresh constant to arbitrary terms except such constants **with** $H_r\sigma = \{g(a)\}$ **do**
9: **if** $g(a)$ features terms not in $L^+ \cup L^-$ **then**
10: aFrbdn $\leftarrow$ **false**
11: **break**
12: **end if**
13: $K^+ \leftarrow g(L^+)$ and $K^- \leftarrow g(L^-)$
14: **for all** r-extensions σ' of σ **do**
15: $J^+ \leftarrow K^+ \cup (B_r^+\sigma' \cap TA^P(K^+ \cup K^-))$ and $J^- \leftarrow K^- \cup (B_r^-\sigma' \cap TA^P(K^+ \cup K^-))$
16: aFrbdn $\leftarrow$ aFrbdn $\wedge$ IsForbidden(P, J^+, J^-)
17: **end for**
18: **end for**
19: someFrbdn $\leftarrow$ someFrbdn $\vee$ aFrbdn
20: **end for**
21: **return** someFrbdn

Theorem 6. *If the output of IsForbidden$(P, \{a\}, \emptyset)$ is true, then the atom a is forbidden in P.*

We are able to show for Example 5 that *fct*$(a, s(s(0)))$ is forbidden since the atom *diff*$(0, s(s(0)))$ cannot possibly have support. This would require $\neg$*eq*(a, a), which contradicts *eq*(a, a). For Example 6, it is key to notice that $r(f(b), f(f(b)))$ is forbidden.

Example 7. *We show how Algorithm 1 verifies that $r(f(b), f(f(b)))$ is forbidden in Example 6.*

- *Initialize L^+ with $r(f(b), f(f(b)))$ and L^- with $\emptyset$.*
- *In line 1, $P_\infty^-(L^+, L^-) = \{r(b, f(b))\}$; $P_\infty^+(L^+, L^-) = \{r(f(b), f(f(b))), r(a, b), stop(b), stop(f(f(b)))\}$.*
- *In the loop in line 6, pick $r(f(b), f(f(b)))$.*
- *In the loop in line 8, there is only one choice with r as the last rule, g the identity, and σ mapping Y to $f(b)$.*
- *Since g is the identity, the condition in line 9 is false.*

- *K^+ and K^- are $P^+_\infty(L^+, L^-)$ and $P^-_\infty(L^+, L^-)$.*
- *For the r-extension of σ in line 14, we pick σ' with $\sigma'(X) = c_x$ where c_x is a fresh constant. (The first position of r may feature function symbols.)*
- *In line 15, J^+ is set to K^+ extended with $r(c_x, f(b))$ and J^- is set to K^- extended with $stop(c_x)$.*
- *In the recursive call, we initialize L^+ and L^- with J^+ and J^- from outside the call.*
- *In line 1, the atom closures are $P^-_\infty(L^+, L^-) = L^-$ and $P^+_\infty(L^+, L^-) = L^+ \cup \{stop(f(b))\}$.*
- *In the loop in line 6, pick $r(c_x, f(b))$.*
- *In the loop in line 8, there is only one choice with r as the last rule, g mapping c_x to b, and σ mapping Y to b.*
- *In line 13, obtain K^+ and K^- from $P^+_\infty(L^+, L^-)$ and $P^-_\infty(L^+, L^-)$ by replacing $r(c_x, f(b))$ by $r(b, f(b))$ and $stop(c_x)$ by $stop(b)$, respectively.*
- *For the r-extension of σ in line 14, we pick σ' with $\sigma'(X) = c_x$ where c_x is again a fresh constant.*
- *In line 15, J^+ is set to K^+ extended with $r(c_x, b)$ and J^- is set to K^- extended with $stop(c_x)$.*
- *In the recursive call, we initialize L^+ and L^- with J^+ and J^- from outside the call.*
- *In line 1, the atom closures are $P^-_\infty(L^+, L^-) = L^-$ and $P^+_\infty(L^+, L^-) = L^+ \cup \{stop(b)\}$.*
- *We return true in line 3 since $stop(b) \in L^+ \cap L^-$.*

Based on these insights, we conclude that the IsConsistent check ignoring forbidden atoms according to the IsForbidden check captures Examples 5 and 6 as unsatisfiable.

5.3 Towards Finite Valid Groundings

Avoiding forbidden atoms does not only improve the IsConsistent procedure but can reduce grounding efforts.

Definition 6. *Define GroundNotForbidden$(\cdot)$ that takes a program P as input and executes the following instructions:*

1. *Initialize $i := 1$, $A_0 := \emptyset$, and $P_g := \emptyset$.*
2. *Initialize $A_i := A_{i-1}$ and for each $r \in$ Ground(P) with $B^+_r \subseteq A_{i-1}$, do the following. If all atoms in H_r are forbidden in P, add $\leftarrow B_r$ to P_g. Otherwise, add r to P_g and add the single atom in H_r to A_i.*
3. Stop *if $A_i = A_{i-1}$; else set $i := i + 1$ and go to Step 2.*

The output of the procedure is P_g.

Observe that for any answer set I of a program P, P_g still proves all atoms in I and the rules $P_g \setminus$ Ground(P) must be satisfied by I. Vice versa, all atoms in any answer set I' for P_g are also proven in Ground(P) and I' satisfies all rules in Ground(P) as otherwise a contradiction would follow from one of the constraints in $P_g \setminus$ Ground(P).

Theorem 7. *For a program P, GroundNotForbidden(P) is a valid grounding, i.e. I is an answer set of P iff I is an answer set of GroundNotForbidden(P).*

Interestingly, the procedure always yields a finite ground program P_g for frugal and non-proliferous programs.

Proposition 5. *For a frugal and non-proliferous program P, GroundNotForbidden(P) is finite.*

Proof. By Definition 4, P has only finitely many answer sets and all of them are finite. Hence, there is only a finite number of atoms in all answer sets of P and all other atoms are forbidden. Therefore, GroundNotForbidden(P) only takes a finite number of steps to compute. □

This result might be surprising but it is less so once we realize that this only holds because the definition of GroundNotForbidden assumes that we can decide if an atom is forbidden. So GroundNotForbidden is actually not computable. In practice however, any sufficient check for forbiddenness (like IsForbidden) can be used in the procedure to make it computable without sacrificing the validity of P_g, as then P_g will only contain more rules from Ground(P) and Theorem 7 still holds. This allows us to compute finite valid groundings for all of our Examples 1, 2, 5, and 6.

6 Conclusion

In this work, we have been undergoing an in-depth reconsideration of undecidability for ASP consistency. We have shown intuitive reductions from and to similarly hard problems, which can be of interest even outside of the ASP community. We also considered two characteristics of ASP programs that can make reasoning hard, that is having infinite answer sets or infinitely many answer sets. We identified *frugal* and *non-proliferous* programs as a desirable class of programs that at least ensures semi-decidability of reasoning. To cover more negative cases with a semi-decision procedure, we ignore *forbidden* atoms and show a proof-of-concept algorithm implementing a sufficient condition that captures our main examples. Furthermore, we can leverage forbidden atoms also to compute a valid grounding. In principle, GroundNotForbidden yields finite valid groundings for all frugal and non-proliferous programs if we are able to ignore all forbidden atoms. Note that, while we considered non-disjunctive programs for simplicity, our results can be extended towards disjunctions as well. This requires careful reconsiderations for Algorithm 1 but is almost immediate for all other results.

Future Research Directions and Outlook. We hope this work will reopen the discussion about function symbols and how we can design smart techniques to avoid non-terminating grounding procedures. We expect that the ASP community would benefit from incorporating recent research on termination conditions and updating their grounding strategies accordingly. After all, the ASP language is first-order based and we are convinced that function symbols are a key ingredient for elegant and convenient modeling of real-world scenarios.

An obvious future work is an efficient implementation of sufficient checks for forbidden atoms, requiring a good tradeoff between generality and performance. Our proposed procedure can function as a reference for implementation. Grounding procedures ignoring forbidden atoms can then be evaluated for existing ASP solvers. Even in lazy-grounding, termination of solvers can be improved by ignoring forbidden atoms. We think this idea is promising for improving existing reasoners like Alpha, gringo/clingo, and (i)DLV.

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A Additional material for Section 1

We give the full modelling of the Wolf Goat Cabbage Puzzle inspired by lecture slides from Jean-François Baget. We also provide a Github repository containing all examples used in the paper.[7]

```
steps(0..100).

bank(east).
bank(west).
opposite(east,west).
opposite(west,east).
passenger(wolf).
passenger(goat).
passenger(cabbage).
position(wolf,west,0).
position(goat,west,0).
position(cabbage,west,0).
position(farmer,west,0).
eats(wolf,goat).
eats(goat,cabbage).

win(N) ← position(wolf,east,N),
  position(goat,east,N),
  position(cabbage,east,N).
winEnd ← win(N).
← not winEnd.
lose ← position(X,B,N),
  position(Y,B,N), eats(X,Y),
  position(farmer,C,N), opposite(B,C).
← lose.

goAlone(N) ← position(farmer,B,N),
  not takeSome(N), not win(N).
takeSome(N) ← position(farmer,B,N),
  passenger(Y), position(Y,B,N),
  not goAlone(N), not win(N).

transport(X,N) ← takeSome(N),
  position(X,B,N), position(farmer,B,N),
  passenger(X), not othertransport(X,N).
othertransport(X,N) ← position(X,B,N),
  transport(Y,N), X ≠ Y.

position(X,C,N+1) ← transport(X,N),
  position(X,B,N), opposite(B,C), steps(N+1).
position(X,B,N+1) ← position(X,B,N),
  passenger(X), not transport(X,N),
  not win(N), steps(N+1).
position(farmer,C,N+1) ←
  position(farmer,B,N), opposite(B,C),
  not win(N), steps(N+1).

change(N,M) ← position(X,B,N),
  position(X,C,M), opposite(B,C), N < M.
redundant ← position(X,B,N),
  position(X,B,M), N < M, not change(N,M).
← redundant.
```

Listing 1: "Wolf Goat Cabbage Puzzle in ASP"

[7] https://github.com/monsterkrampe/ASP-Termination-Examples

B Proofs for Section 3

B.1 Proof of Lemma 1

In this subsection we prove Lemmas 6 and 7, which directly imply Lemma 1.

Lemma 6. *If a program P is consistent, then a run of $\mathcal{M}_P$ on the empty word visits the start state infinitely many times.*

Proof. Let I be an answer set of P, i.e. I is an answer set of $\mathsf{Ground}(P)$. Hence, there is an ordering φ on the atoms in I. Every atom $a \in I$ is proven by some ground rule $r \in \mathsf{Ground}(P)$ using only atoms that are ancestors of a with respect to φ. There is a non-deterministic computation branch of $\mathcal{M}_P$ that chooses these rules in an order that respects φ. If I is finite, there is an $i \geq 0$ with $L_i^+ = I$ and the machine $\mathcal{M}_P$ visits its start state infinitely many times. If I is infinite, we still know that eventually $\mathsf{Active}_I(P) = \emptyset$. Hence, for every $j \geq 0$, there is an $i \geq j$ such that $\mathsf{Active}_{L_j^+}(P)$ and $\mathsf{Active}_{L_i^+}(P)$ are disjoint. Also in this case, the machine $\mathcal{M}_P$ visits its start state infinitely many times. □

Lemma 7. *A program P is consistent if a run of $\mathcal{M}_P$ on the empy word visits the start state infinitely many times.*

Proof. If $\mathcal{M}_P$ has a non-deterministic computation path that visits its start state infinitely many times, then there are two cases to consider. First, L_i^+ is an answer set of P for some $i \geq 0$. Then the claim follows. Second, j grows towards infinity, i.e. for each $j \geq 0$, there is a $k \geq j$ such that $\mathsf{Active}_{L_j^+}(P)$ and $\mathsf{Active}_{L_k^+}(P)$ are disjoint. This also requires that for every $i \geq 0$, L_i^+ and L_i^- are disjoint. Now on one hand by construction we get that for every $i \geq 0$, every ground atom in L_i^+ is proven. (The construction directly yields the necessary ordering since each step adds one additional atom to L_i^+.) And on the other hand, for every rule in $r \in \mathsf{Ground}(P)$, there is an $i \geq 0$ such that $L_{i'}^+$ satisfies r for every $i' \geq i$. Hence, for $I = \bigcup_{i \geq 0} L_i^+$, we have that $\mathsf{Active}_I(P) = \emptyset$ (and still that every atom in I is proven). Therefore, I is an answer set of P. □

B.2 Proof of Lemma 2

In this subsection we prove Lemmas 8 and 9, which directly imply Lemma 2.

Lemma 8. *If a tiling system $\mathfrak{T}$ admits a recurring solution, then the program $P_{\mathfrak{T}}$ admits an answer set.*

Proof. Consider a tiling system $\mathfrak{T} = \langle T, \mathit{HI}, \mathit{VI}, t_0 \rangle$ that does admit a recurring solution. That is, there is function $f : \mathbb{N} \times \mathbb{N} \to T$ such as the one described in Definition 2. To prove the lemma we verify that the following set of ground atoms is an answer set of $P_{\mathfrak{T}}$:

$$I = \{\mathit{Dom}(s^i(c_0)), \mathit{Below}_{t_0}(s^i(c_0)) \mid i \geq 0\} \cup \{\mathit{Tile}_{f(i,j)}(s^i(c_0), s^j(c_0)) \mid i, j \geq 0\}$$

We first show that I satisfies every rule in $\mathsf{Ground}(P_{\mathfrak{T}})$ with a case-by-case analysis:

- The atom $\mathit{Dom}(c_0)$, resulting from a rule with empty body in $\mathsf{Ground}(P_{\mathfrak{T}})$, must be in I.

- Rules of Type (3) are satisfied since $\mathit{Dom}(s^i(c_0)) \in I$ for every $i \geq 1$.
- Rules of Type (4) are satisfied because f is a total function and $\{s^i(c_0) \mid i \geq 0\}$ is the set of all terms in I.
- Rules of Type (5) and (6) are satisfied because $\langle f(i,j), f(i+1,j)\rangle \notin \mathit{HI}$ and $\langle f(i,j), f(i,j+1)\rangle \notin \mathit{VI}$ for every $i, j \geq 0$ by Definition 2.
- Rules of Type (7), (8) and (9) are satisfied since $\mathit{Below}_{t_0}(s^i(c_0)) \in I$ for every $i \geq 0$.

Moreover, we argue that every atom $a \in I$ is proven (obtaining an appropriate ordering of atoms in I is straightforward):

- $\mathit{Dom}(s^i(c_0))$ is proven for every $i \geq 0$ by the single ground atom $\mathit{Dom}(c_0)$ and Rules of Type (3).
- $\mathit{Tile}_{f(i,j)}(s^i(c_0), s^j(c_0))$ is proven for every $i, j \geq 0$ by Rules of Type (4).
- $\mathit{Below}_{t_0}(s^j(c_0))$ is proven for every $j \geq 0$ by Rules of Type (7) or (8) and the fact that there are is an infinite set $S \subseteq \mathbb{N}$ with $f(0,j) = t_0$ for every $j \in S$.

□

Lemma 9. *If the program $P_{\mathfrak{T}}$ admits an answer set for some tiling system $\mathfrak{T}$, then $\mathfrak{T}$ admits a recurring solution.*

Proof. Consider some tiling system $\mathfrak{T} = \langle T, \mathit{HI}, \mathit{VI}, t_0\rangle$ such that $P_{\mathfrak{T}}$ admits an answer set I. Then, we argue that:

- The set of all terms in I is $\{s^i(c_0) \mid i \geq 0\}$. Note that $\mathit{Dom}(c_0), \mathit{Dom}(s(X)) \leftarrow \mathit{Dom}(X) \in P_{\mathfrak{T}}$.
- For every $i, j \geq 0$, there is exactly one tile $t \in T$ such that $\mathit{Tile}_t(s^i(c_0), s^j(c_0)) \in I$ because of rules of Type (4) such that constraints in *HI* and *VI* are not violated by rules of Type (5) and (6).
- Rules of Type (7), (8), and (9) ensure that for every $j \geq 0$, there is a $j' \geq j$ with $\mathit{Tile}_{t_0}(c_0, s^{j'}(c_0)) \in I$. In other words, there is an infinite set $S \subseteq \mathbb{N}$ such that $\mathit{Tile}_{t_0}(c_0, s^j(c_0)) \in I$ for every $j \in S$.

For every $i, j \geq 0$, let $f(i,j) = t$ where t is the only element in T with $\mathit{Tile}_t(s^i(c_0), s^j(c_0)) \in I$. As per Definition 2, the existence of f implies that $P_{\mathfrak{T}}$ admits a recurring solution. □

C Proofs for Section 4

C.1 Turing Machine Simulation for Lemma 4

We give details about how to emulate the computation of a Turing machine on all inputs with a program, as we discuss in the proof of Lemma 4.

Definition 7. *A (Turing) machine (TM) is a tuple $\langle Q, \delta, q_s, q_a, q_r\rangle$ where Q is a set of states, δ is a total function from $Q \setminus \{q_a, q_r\} \times \{0, 1, B\}$ to $Q \times \{0, 1, B\} \times \{L, R\}$, $q_s \in Q$ is the starting state, $q_a \in Q$ is the accepting state, and $q_r \in Q$ is the rejecting state. The machine M halts on a word $w \in \{0,1\}^*$ if the computation of M on input w reaches the accepting or rejecting state.*

We assume a binary input alphabet w.l.o.g. while the working tape of the TM additionally uses a blank symbol. The tape is only one-way infinite to the right and the Turing machine bumps on the left, i.e. when the TM tries to go to the left beyond the first tape cell, it just stays on the first tape cell.

Definition 8. *For a machine $M = \langle Q, \delta, q_s, q_a, q_r\rangle$, let P_M be the program that contains the atoms $H_{q_s}(c)$ and $\mathit{Input}(c)$, as well as all of the following rules:*

$$\mathit{Right}(X, r(X)) \leftarrow \mathit{Input}(X), \neg\mathit{Last}(X) \quad (10)$$
$$\mathit{Last}(X) \leftarrow \mathit{Input}(X), \neg\mathit{Right}(X, r(X)) \quad (11)$$
$$\mathit{Input}(r(X)) \leftarrow \mathit{Input}(X), \mathit{Right}(X, r(X)) \quad (12)$$
$$\mathit{FiniteInput} \leftarrow \mathit{Input}(X), \mathit{Last}(X) \quad (13)$$
$$\leftarrow \neg\mathit{FiniteInput} \quad (14)$$
$$S_B(X) \leftarrow \mathit{Last}(X) \quad (15)$$
$$S_0(X) \leftarrow \mathit{Input}(X), \neg S_1(X), \neg S_B(X) \quad (16)$$
$$S_1(X) \leftarrow \mathit{Input}(X), \neg S_0(X), \neg S_B(X) \quad (17)$$
$$\mathit{Step}(Y, s(Y)) \leftarrow \mathit{Right}(X, Y), \mathit{Step}(X, s(X)) \quad (18)$$
$$\mathit{Step}(Y, s(Y)) \leftarrow \mathit{Right}(Y, X), \mathit{Step}(X, s(X)) \quad (19)$$
$$\mathit{Right}(s(X), s(Y)) \leftarrow \mathit{Step}(X, s(X)), \mathit{Right}(X, Y) \quad (20)$$
$$\mathit{Right}(s(X), r(s(X))) \leftarrow \mathit{Step}(X, s(X)), \mathit{Last}(X) \quad (21)$$
$$\mathit{Last}(r(s(X))) \leftarrow \mathit{Step}(X, s(X)), \mathit{Last}(X) \quad (22)$$
$$\mathit{Halt} \leftarrow H_{q_a}(X) \quad (23)$$
$$\mathit{Halt} \leftarrow H_{q_r}(X) \quad (24)$$
$$\leftarrow \neg\mathit{Halt} \quad (25)$$

Moreover, for every $q \in Q \setminus \{q_a, q_r\}$, we add the rule

$$\mathit{Step}(X, s(X)) \leftarrow H_q(X) \quad (26)$$

For every $a \in \{0, 1, B\}$, we add

$$S_a(s(X)) \leftarrow \{\neg H_q(X) \mid q \in Q\}, S_a(X), \mathit{Step}(X, s(X)) \quad (27)$$

For every $(q, a) \mapsto (\_, b, \_) \in \delta$, we add

$$S_b(s(X)) \leftarrow H_q(X), S_a(X) \quad (28)$$

For every $(q, a) \mapsto (r, \_, L) \in \delta$, we add

$$H_r(Y) \leftarrow \mathit{Right}(Y, s(X)), H_q(X), S_a(X) \quad (29)$$
$$\mathit{NotFirst}(X) \leftarrow \mathit{Right}(Y, X), H_q(X), S_a(X) \quad (30)$$
$$H_r(s(X)) \leftarrow \neg\mathit{NotFirst}(X), H_q(X), S_a(X) \quad (31)$$

For every $(q, a) \mapsto (r, \_, R) \in \delta$, we add

$$H_r(Y) \leftarrow \mathit{Right}(s(X), Y), H_q(X), S_a(X) \quad (32)$$

Consider a machine M and the following remarks:

1. Because of Rules (10-17), we have that for every answer set I of P_M there is some (finite) word $w_1, \ldots, w_n$ over the binary alphabet $\{0,1\}$ such that I includes $\{S_{w_1}(c), S_{w_2}(r(c)), \ldots, S_{w_n}(r^n(c)), S_B(r^{n+1}(c))\}$. Hence, we can associate every answer set I of P_M with a word, which we denote with $\mathsf{Word}(I)$.
2. Consider some word $\boldsymbol{w}$ over $\{0,1\}$ such that M halts on $\boldsymbol{w}$. Then, there is a finite answer set for P_M; namely, the only answer set I with $\mathsf{Word}(I) = \boldsymbol{w}$.

3. Consider some answer set I for P_M. Then, we can show that M halts on $\mathsf{Word}(I)$.
4. The previous two items hold because Rules (18-32) ensure that every answer set of P_M encodes the computation of M on some input word over the binary alphabet. Namely, an answer set I faithfully encodes the computation of M on $\mathsf{Word}(I)$.

C.2 Proof of Theorem 3

Theorem 3 follows from the upcoming Lemmas 10 and 11.

Definition 9. *For a program P, let $\mathcal{M}'_P$ be a modified version of $\mathcal{M}_P$ (from the Section 3) that does not loop on its start state if L_i^+ is an answer set in step 3 but just halts.*

Lemma 10. *Deciding if an ASP program is frugal is in Π_1^1.*

Proof. A program P admits only finite answer sets iff $\mathcal{M}'_P$ does not admit an infinite run that visits its start state infinitely many times.

If P has an infinite answer set, then by the proof of Lemma 6, we already know that $\mathcal{M}_P$ admits an infinite run that visits its start state infinitely many times without encountering a finite answer set in this run. The same run is also possible in $\mathcal{M}'_P$ by construction.

If $\mathcal{M}'_P$ admits an infinite run that visits its start state infinitely many times, and since $\mathcal{M}'_P$ does not loop on its start state if it encounters a finite answer set, P must have an infinite answer set as argued in the proof of Lemma 7. □

Lemma 11. *Deciding if an ASP program is frugal is Π_1^1-hard.*

Proof. We can make direct use of the tiling system $\mathfrak{T}$ from the proof of Lemma 2 since if $\mathfrak{T}$ has a solution, then $P_{\mathfrak{T}}$ has an infinite model but otherwise, the program does not have any model (i.e. all (0) models are finite). □

D Proofs for Section 5

D.1 Proof of Lemma 5

We show Lemma 5 as follows.

Proof. Assume that the precondition holds, i.e. we have a program P and an answer set I of P and two interpretations L^+, L^- such that $L^+ \subseteq I$ and $L^- \cap I = \emptyset$. We prove that $P_\infty^+(L^+, L^-) \subseteq I$ and $P_\infty^-(L^+, L^-) \cap I = \emptyset$ by showing the respective property for each $P_i^+(L^+, L^-)$ and $P_i^-(L^+, L^-)$ via induction over $i \geq 0$.

For the base of the induction ($i = 0$), the claim follows directly from the precondition; that is, $P_0^+(L^+, L^-) = L^+ \subseteq I$ and $P_0^-(L^+, L^-) \cap I = \emptyset$ since $P_0^-(L^+, L^-) = L^-$.

We show the induction step from i to $i + 1$. Let $J^+ = P_i^+(L^+, L^-)$ and $J^- = P_i^-(L^+, L^-)$. By induction hypothesis, we have $J^+ \subseteq I$ and $J^- \cap I = \emptyset$.

For the claim for $P_{i+1}^+(L^+, L^-)$, suppose for a contradiction that there is a rule $r \in P$ and an atom $a \in r^+(J^+, J^-)$ with $a \notin I$. Then, by the definition of r^+, there are two cases:

1. a is the single atom in $H_r\sigma$ for some substitution σ with $B_r^+\sigma \subseteq J^+$ and $B_r^-\sigma \subseteq J^-$, or
2. a is the single atom in $B_r^-\sigma$ for some substitution σ with $B_r^+\sigma \subseteq J^+$ and $H_r\sigma \subseteq J^-$ with $|B_r^-| = 1$.

By the supposition that $a \notin I$ and the induction hypothesis, we obtain that I does not satisfy the ground rule $r\sigma$. This contradicts I being an answer set of P.

For the claim for $P_{i+1}^-(L^+, L^-)$, suppose for a contradiction that there is a rule $r \in P$ and an atom $a \in r^-(J^+, J^-)$ with $a \in I$. By the definition of r^-, a is the single atom in $B_r^+\sigma$ for some substitution σ with $B_r^-\sigma \subseteq J^-$ and $H_r\sigma \subseteq J^-$ with $|B_r^+| = 1$. By the supposition that $a \in I$ and the induction hypothesis, we obtain that I does not satisfy the ground rule $r\sigma$. This contradicts I being an answer set of P. This concludes the induction step and the proof. □

D.2 Proof of Theorem 6

We show Theorem 6 as follows.

Proof. We prove that a is forbidden, i.e. that a cannot occur in any answer set of P, if $\mathsf{IsForbidden}(P, \{a\}, \emptyset)$ returns true. More precisely, we show the contrapositive, i.e. if a occurs in some answer set of I, then $\mathsf{IsForbidden}(P, \{a\}, \emptyset)$ returns false.

Let I be an answer set for P. We establish the following more general claim (†) over the execution of one (recursive) call of $\mathsf{IsForbidden}$. Given two interpretations L^+, L^- such that there is a mapping h from fresh constants to terms with $h(L^+) \subseteq I$ and $h(L^-) \cap I = \emptyset$, we show that either, $\mathsf{IsForbidden}(P, L^+, L^-)$ returns false immediately or there is a similar mapping h' with $h'(J^+) \subseteq I$ and $h'(J^-) \cap I = \emptyset$ where J^+ and J^- are the inputs to the recursive call. The recursion is finite by intersecting with $TA^P(K^+ \cup K^-)$ in line 15, and making sure $g(a)$ only features terms in $L^+ \cup L^-$ in line 9. This eventually also means that $\mathsf{IsForbidden}(P, L^+, L^-)$ returns false.

We show in the following that (‡) Line 1 retains the precondition after updating L^+ and L^-, that is, we still have $h(L^+) \subseteq I$ and $h(L^-) \cap I = \emptyset$. For $s \in \{+, -\}$ and $n \in \mathbb{N} \cup \{\infty\}$, let $Pout_n^s := h(P_n^s(L^+, L^-))$ and $Pin_n^s := P_n^s(h(L^+), h(L^-))$. Now the precondition still holds by Lemma 5 and the observation that $Pout_\infty^s \subseteq Pin_\infty^s$ for each $s \in \{+, -\}$. To see why the observation holds, consider the following argument. We show $Pout_i^+ \subseteq Pin_i^+$ via induction over i. The proof for $s = -$ is analogous. For the base case with $i = 0$, $Pout_i^+ = h(L^+) \subseteq h(L^+) = Pin_i^+$ holds trivially. For the induction step from i to $i + 1$, we have $Pout_i^+ \subseteq Pin_i^+$ by induction hypothesis. Note also that $h(TA^P(L^+ \cup L^-)) \subseteq TA^P(h(L^+) \cup h(L^-))$. Now let $rOut^+ := h(r^+(P_i^+(L^+, L^-), P_i^-(L^+, L^-)))$ and $rIn^+ := r^+(P_i^+(h(L^+), h(L^-)), P_i^-(h(L^+), h(L^-)))$. It only remains to show that $rOut^+ \subseteq rIn^+$ for each $r \in P$. For every atom in $a' \in rOut^+$, there are two cases to consider:

- There is a substitution σ such that a' is the single atom $h(H_r\sigma)$, $B_r^+\sigma \subseteq P_i^+(L^+, L^-)$, and $B_r^-\sigma \subseteq P_i^-(L^+, L^-)$. Then, $B_r^+(h \circ \sigma) \subseteq Pout_i^+ \subseteq Pin_i^+$ and $B_r^-(h \circ \sigma) \subseteq Pout_i^- \subseteq Pin_i^-$. Therefore, $H_r(h \circ \sigma) = h(H_r\sigma) \subseteq rIn^+$.

- There is a substitution σ such that a' is the single atom in $h(B_r^-\sigma)$, $B_r^+\sigma \subseteq P_i^+(L^+, L^-)$, and $H_r\sigma \subseteq P_i^-(L^+, L^-)$. Then, $B_r^+(h \circ \sigma) \subseteq Pout_i^+ \subseteq Pin_i^+$ and $H_r(h \circ \sigma) \subseteq Pout_i^- \subseteq Pin_i^-$. Therefore, $B_r^-(h \circ \sigma) = B_r^-(h \circ \sigma) \subseteq rIn^+$.

This concludes the induction step and the proof of (‡).

By the precondition, i.e. $h(L^+) \subseteq I$ and $h(L^-) \cap I = \emptyset$, we have $h(L^+) \cap h(L^-) = \emptyset$ and therefore also $L^+ \cap L^- = \emptyset$. Thus, we do not reach line 3.

If all atoms in L^+ have support, we do not enter the loop in line 6. Then, the algorithm returns false as claimed. Otherwise, let $a' \in L^+$ be any atom picked in line 6. Since $h(a') \in I$, $h(a')$ is proven in I and P. Therefore, there is a suitable $r \in P$ and a substitution σ with $H_r\sigma = \{h(a')\}$ in line 8. We pick g to be h. Since we already established that $h(a') \in I$, we know that $h(a')$ does not contain any fresh constants. However, $h(a')$ might contain terms that are not in $L^+ \cup L^-$. In this case, we enter the condition on line 9 and return false as claimed. Otherwise, we proceed as follows.

For what follows, note that h is idempotent; fresh constants are not mapped to other fresh constants and all other terms are not mapped (i.e. effectively mapped to themselves). Therefore, in line 13, $h(K^+) = h(h(L^+)) = h(L^+) \subseteq I$ holds and $h(K^-) = h(h(L^-)) = h(L^-)$ so $h(K^-) \cap I = \emptyset$ holds as well. Again, since $h(a')$ is proven in I and P, there is a substitution σ'' with $H_r\sigma = H_r\sigma''$, $B_r^+\sigma'' \subseteq I$, and $B_r^-\sigma'' \cap I = \emptyset$. In line 14, we pick σ' to be the r-extension of σ with $\sigma'(X) = \sigma''(X)$ for every body variable X that occurs in a position that can only feature constants (and $\sigma'(Y)$ being a fresh constant all other variables Y).

To wrap up (†) it remains to show that we find a mapping h' from fresh constants to terms with $h'(J^+) \subseteq I$ and $h'(J^-) \cap I = \emptyset$. We define h' as an extension of h additionally mapping the newly introduced constants in σ' such that $\sigma'' = h' \circ \sigma'$. We obtain $h'(K^+) = h(K^+)$ and $h'(K^-) = h(K^-)$. Hence, according to line 15, the proof of (†) concludes once we prove $h'(B_r^+\sigma') \subseteq I$ and $h'(B_r^-\sigma') \cap I = \emptyset$. This is straightforward since $h'(B_r^+\sigma') = B_r^+\sigma''$ and $h'(B_r^-\sigma') = B_r^-\sigma''$ and we already know that $B_r^+\sigma'' \subseteq I$ and $B_r^-\sigma'' \cap I = \emptyset$ hold.

The claim of the theorem now simply follows by applying (†) to $\{a\}$ and $\emptyset$. We pick h to be the identity. Hence with the assumption that $a \in I$, the precondition holds and we therefore infer that $\mathsf{IsForbidden}(P, \{a\}, \emptyset)$ returns false. □

D.3 Run of Algorithm 1 for Example 5

We show how Algorithm 1 verifies that *fct*$(a, s(s(0)))$ is forbidden in Example 5.

- Initialize L^+ with *fct*$(a, s(s(0)))$ and L^- with $\emptyset$.
- In line 1, we obtain $P_\infty^-(L^+, L^-) = \{$*redundant*$\}$ as well as $P_\infty^+(L^+, L^-) = \{$*fct*$(a, 0)$, *fct*$(b, s(0))$, *eq*$(a, a)$, *eq*$(b, b)$, *lt*$(0, s(0))$, *lt*$(s(0), s(s(0)))$, *lt*$(0, s(s(0)))$, *diff*$(0, s(0))$, *diff*$(s(0), s(s(0)))$, *diff*$(0, s(s(0)))\}$.
- In the loop in line 6, pick *diff*$(0, s(s(0)))$.
- In the loop in line 8, there is only one possible choice with r being the next to last rule, g being the identity, and σ mapping N to 0 and M to $s(s(0))$.
- For the r-extension of σ in line 14, there are four possible choices that we consider individually. Both X and Y can each be mapped to a or b. No fresh constants are involved since the first position of *fct* may only feature constants, namely a or b.
  1. $X \mapsto a$ and $Y \mapsto a$.
     - In line 15, we add *eq*(a, a) to J^-.
     - We reach line 3 since *eq*$(a, a) \in L^+ \cap L^-$.
  2. $X \mapsto b$ and $Y \mapsto b$.
     - In line 15, add *eq*(b, b) to J^-. (J^+ also changes.)
     - We reach line 3 since *eq*$(b, b) \in L^+ \cap L^-$.
  3. $X \mapsto b$ and $Y \mapsto a$.
     - In line 15, add *fct*$(b, 0)$ to J^+ and *eq*(b, a) to J^-.
     - In line 6, we pick *fct*$(b, 0)$.
     - We cannot enter the loop in line 8; therefore we return true in the end.
  4. $X \mapsto a$ and $Y \mapsto b$.
     - In line 15, we add *fct*$(b, s(s(0)))$ to J^+ and *eq*(a, b) to J^-.
     - In line 6, we pick *fct*$(b, s(s(0)))$.
     - In the loop in line 8, there is only one possible choice with r being *fct*$(b, s(N)) \leftarrow$ *fct*(a, N)., g being the identity, and σ mapping N to $s(0)$.
     - In line 14, $\sigma' = \sigma$.
     - In line 15, we add *fct*$(a, s(0))$ to J^+.
     - In line 6, we pick *fct*$(a, s(0))$.
     - In the loop in line 8, there is only one possible choice with r being *fct*$(a, s(N)) \leftarrow$ *fct*(b, N)., g being the identity, and σ mapping N to 0.
     - In line 14, $\sigma' = \sigma$.
     - In line 15, we add *fct*$(b, 0)$ to J^+.
     - Now we eventually return true as in case 3.

D.4 Proof of Theorem 7

We show Theorem 7 as follows.

Proof. Let P_g be the result of $\mathsf{GroundNotForbidden}(P)$. For every answer set I of $\mathsf{Ground}(P)$, we have that $I \subseteq \bigcup_{i \geq 0} A_i$ (with the A_i from the construction of P_g). This holds, since every atom $a \in I$ is proven and not forbidden (as it occurs in an answer set), so there is an i with $a \in A_i$.

So if I is an answer set of $\mathsf{Ground}(P)$, then all atoms in I are still proven by P_g and rules in $\mathsf{Ground}(P) \cap P_g$ are still satisfied. It only remains to show that all rules in $P_g \setminus \mathsf{Ground}(P)$ are satisfied. Such rules r must be of the form $\leftarrow B_{r'}$ introduced for rules $r' \in \mathsf{Ground}(P)$ where $H_{r'}$ is forbidden. If I would not satisfy r, it would also not satisfy r' unless $H_{r'} \in I$, which contradicts I being an answer set of $\mathsf{Ground}(P)$. This completes the "only if" direction.

If I' is an answer set of P_g, every atom $a \in I'$ is still proven in $\mathsf{Ground}(P)$. It only remains to show that I' is indeed a model of $\mathsf{Ground}(P)$. Suppose for a contradiction that $r \in \mathsf{Ground}(P)$ is not satisfied by I'. By construction of P_g, this can only be the case if all atoms in H_r are forbidden. But then, the rule $\leftarrow B_r.$ in P_g is also not satisfied by I', which contradicts I' being an answer set of P_g. This completes the "if" direction. □