

WEPA 2022

# Enumeration and Related Problems in Query Answering

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- Enumeration in query answering
- Enumeration-related tasks
- Enumeration-related tasks in query answering

# Example

Employees

Name	Role	Address
Jack	Junior dev	Boston
Jill	Senior dev	Brookline
Joanna	Senior dev	Braintree

Remuneration

Period	Role	Salary
11/2020	Junior dev	4000
11/2020	Senior dev	4500
12/2020	Junior dev	7000
12/2020	Senior dev	7100

Travel

Address	Cost
Boston	50
Brookline	100
Braintree	200

- Join query:  $Q(N, R, A, P, S, C) \leftarrow \text{Employees}(N, R, S), \text{Remuneration}(P, R, S), \text{Travel}(A, C)$

Join Results

Name	Role	Address	Period	Salary	Cost
Jack	Junior dev	Boston	11/2020	4000	50
Jill	Senior dev	Brookline	11/2020	4500	100
Joanna	Senior dev	Braintree	11/2020	4500	200
Jack	Junior dev	Boston	12/2020	7000	50
Jill	Senior dev	Brookline	12/2020	7100	100
Joanna	Senior dev	Braintree	12/2020	7100	200

# Example

Employees

Name	Role	Address
Jack	Junior dev	Boston
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12/2020	Senior dev	7100

Travel

Address	Cost
Boston	50
Brookline	100
Braintree	200

- Conjunctive query:  $Q(N, C) \leftarrow \text{Employees}(N, R, A), \text{Travel}(A, C)$

Query Results

Name	Cost
Jack	50
Jill	100
Joanna	200

# Challenges

- Many answers
- Many intermediate answers

R	
x	y
a1	b1
a2	b1
a3	b1

S	
y	z
b1	c1
b1	c2

T	
x	z
a2	c1
a4	c2

$$Q_1(x, y, z) \leftarrow R(x, y), S(y, z)$$

x	y	z
a1	b1	c1
a1	b1	c2
a2	b1	c1
a2	b1	c2
a3	b1	c1
a3	b1	c2

$$Q_2(x, y, z) \leftarrow R(x, y), S(y, z), T(x, z)$$

x	y	z
a2	b1	c1

# Complexity Guarantees

---

- Data complexity
  - input = database
  - query size = constant
- Possibly: output  $\gg$  input  
(Polynomial number of answers)
- Minimal requirements:
  - Linear time (to read input)
  - Constant time per answer (to print output)
- RAM model
- We allow log factors

# Complexity Measures

[C, Kröll; TODS 21]

- Linear total time / Amortized constant delay
  - Total time  $O(n + m)$



- Linear partial time
  - Time before the  $i$ th answer is  $O(n + i)$



- Linear preprocessing and constant delay
  - Time before the first answer  $O(n)$
  - Time between successive answers  $O(1)$

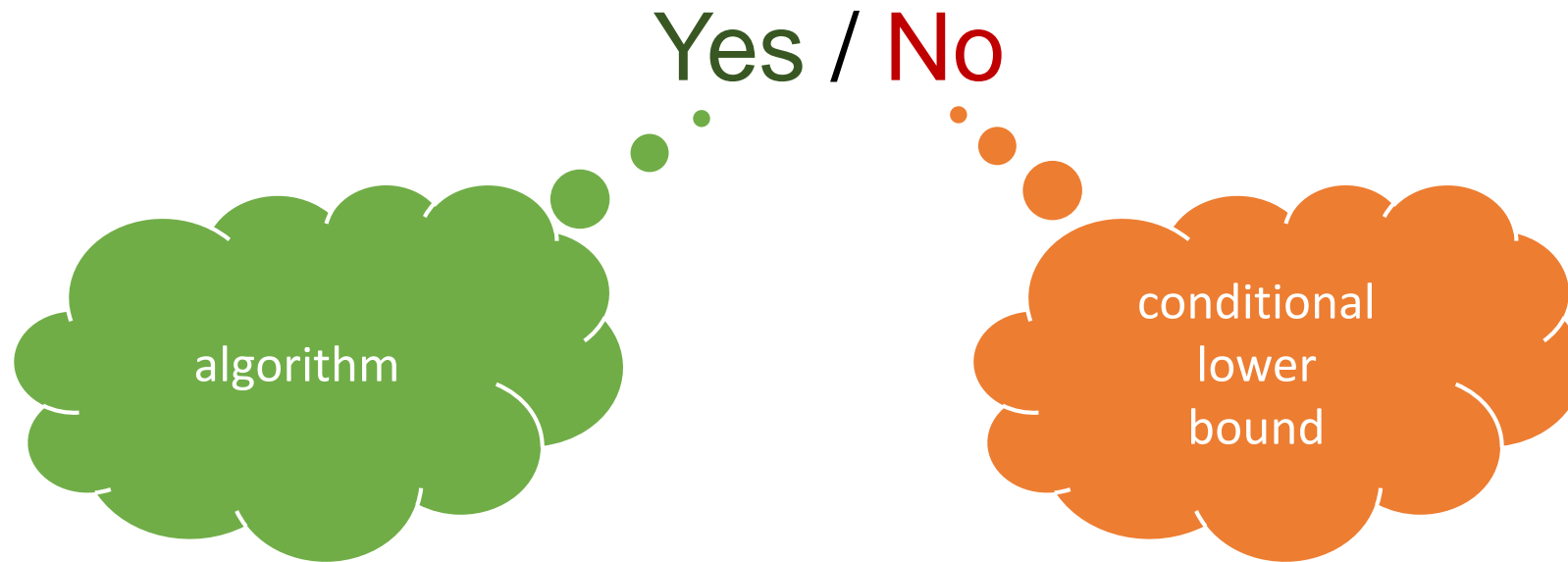


equivalent  
assuming  
polynomial space  
(Cheater's Lemma)

$n$  = input size,  $m$  = output size

# Type of Results

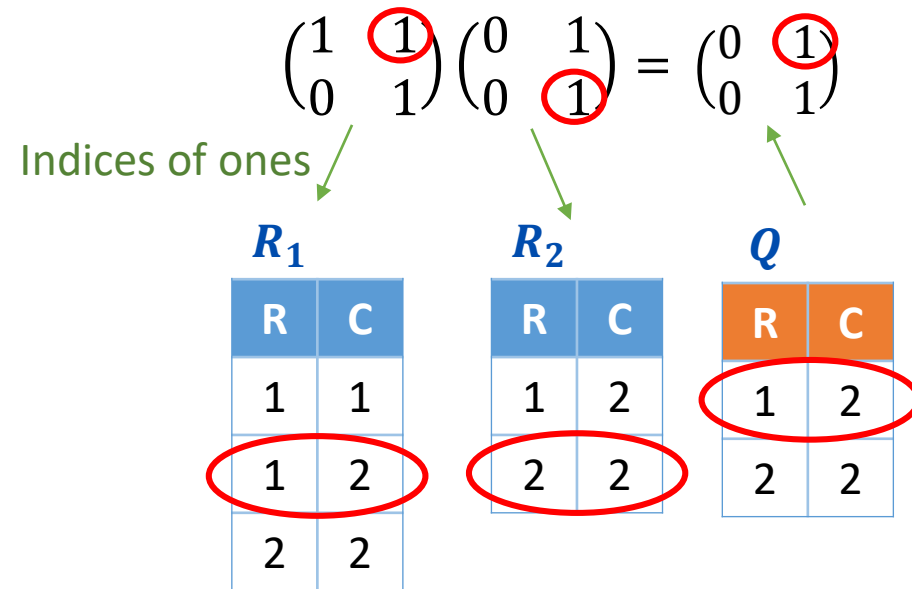
- Can we solve a task for a given query in a given time complexity?





# Conditional Lower Bounds [Bagan, Durand, Grandjean; CSL 07]

Assumption: Boolean  $n \times n$  matrices cannot be multiplied in time  $O(n^2)$



$$Q(x, z) \leftarrow R_1(x, y), R_2(y, z)$$







$O(n^2)$  preprocessing +  $O(1)$  delay =  $O(n^2)$  total  $\Rightarrow$  no linear preprocessing constant delay

- Enumeration in query answering
- Enumeration-related tasks
- Enumeration-related tasks in query answering







# Limitations of Enumeration

- Must produce all answers to get:
  - The best answer
  - The median answer
  - A random answer
- Partial solution: ordered enumeration

ranked  
enumeration

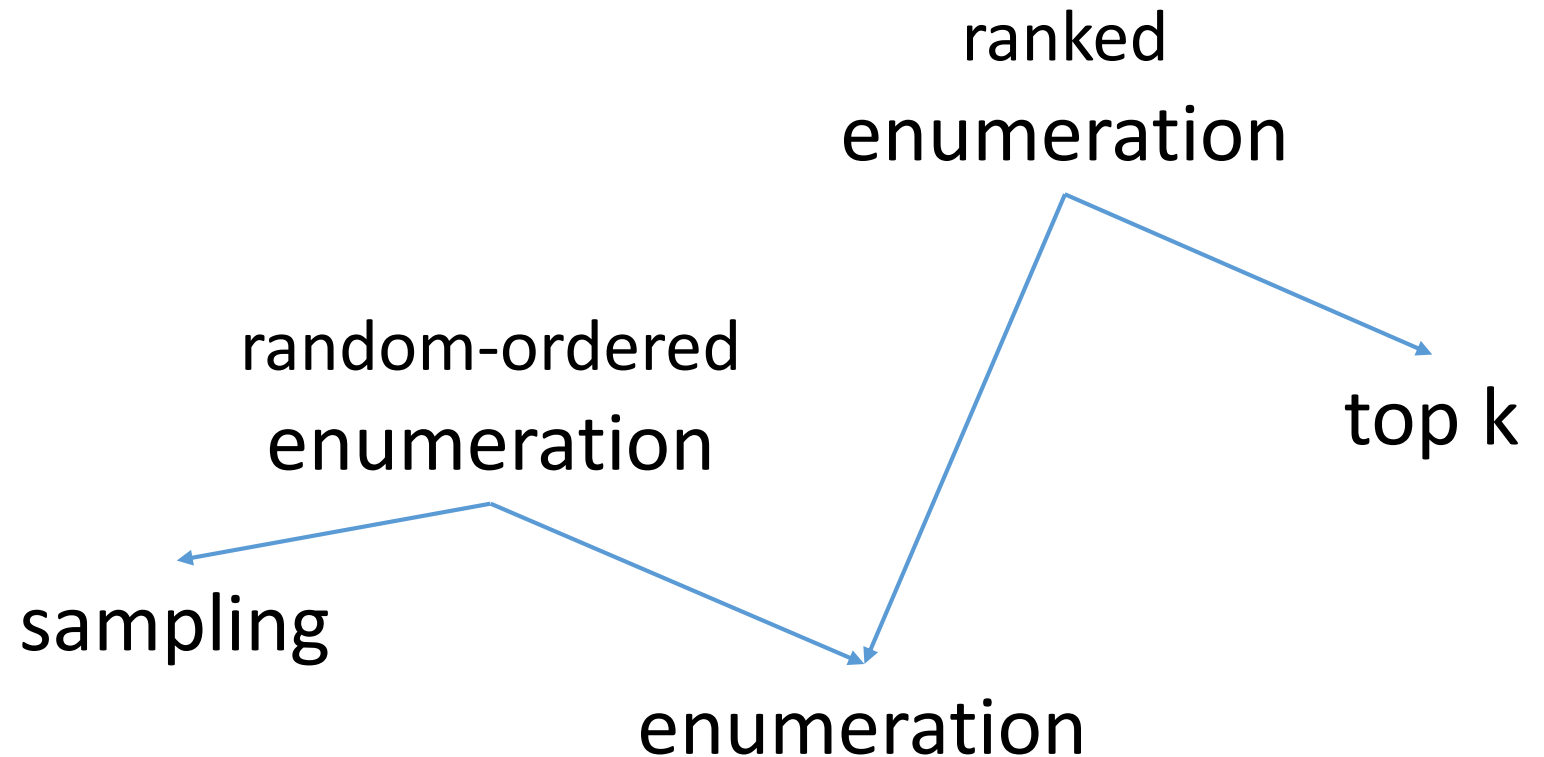
answers







random-order  
enumeration

answers







# Enumeration-Related Problems

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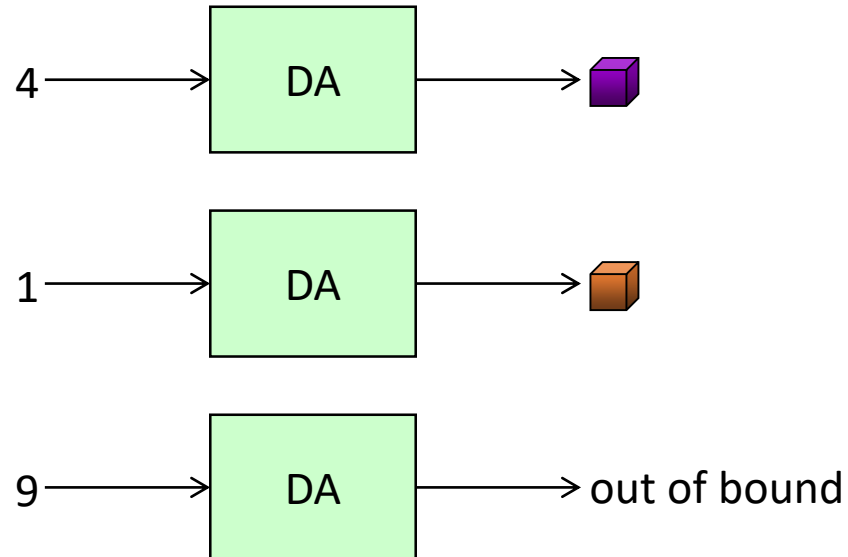
# Enumeration as a data structure







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- Enumeration provides:
  - Initialize
  - Get next answer
- An array of answers provides access to any index:
  - Initialize
  - Get answer number  $i$

# Direct Access Definition

- Given  $i$ , returns the  $i^{\text{th}}$  answer or “out of bound”.
- No constraints on the ordering used



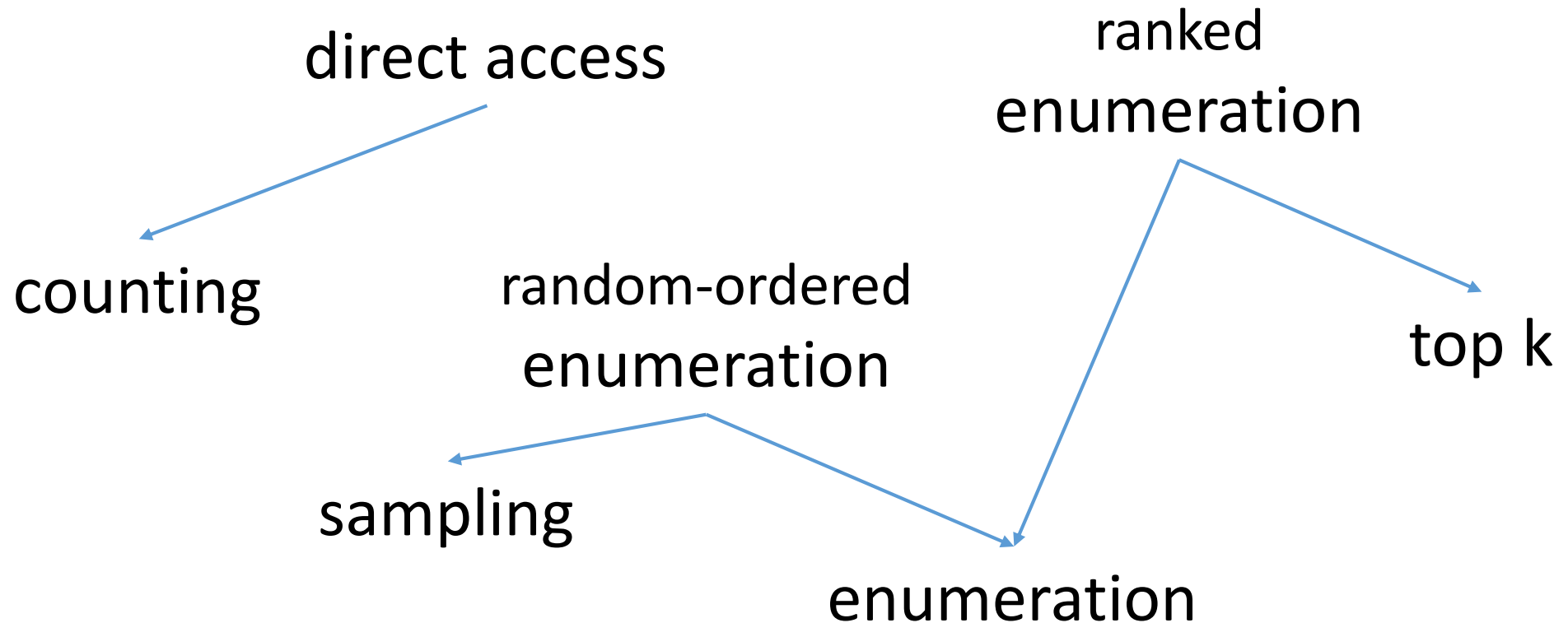
answers







# Counting via Direct Access

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- Assumption: the number of answers is bounded by a polynomial
- Direct Access returns “out of bound” if needed
  - Allows checking if  $|answers| > k$
- Binary search for  $|answers|$ 
  - Requires  $O(\log(|answers|))$  calls for Direct Access
  - If  $|answers|$  is polynomial,  $\log(|answers|) = O(\log(input))$
  - This takes  $O(\log(input) \cdot cost(access))$  time

# Connection between problems



\* with log time per answer after linear preprocessing



# Random-Ordered Enumeration via Direct Access

[C, Zeevi, Berkholz, Kimelfeld, Schweikardt; PODS 20]

1) Find the number  $N$  of answers

6

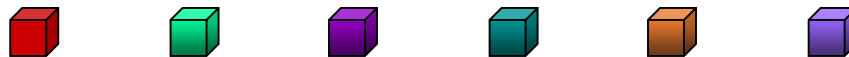
Direct Access  
+  
Binary Search

2) Find a random permutation of  $1, \dots, N$







5 6 4 2 1 3

Modified Fisher-  
Yates Shuffle

3) Direct access to answers



Direct Access

answers







# Fisher-Yates Shuffle

[Durstensfeld 1964]

Place  $1, \dots, n$  in array  
For  $i$  in  $1, \dots, n$ :  
    choose  $j$  randomly from  $\{i, \dots, n\}$   
    replace  $i$  and  $j$

<b>3</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>3</b>
<i>i</i>	<i>i</i>	<i>ij</i>	<i>i</i>	<i>ij</i>

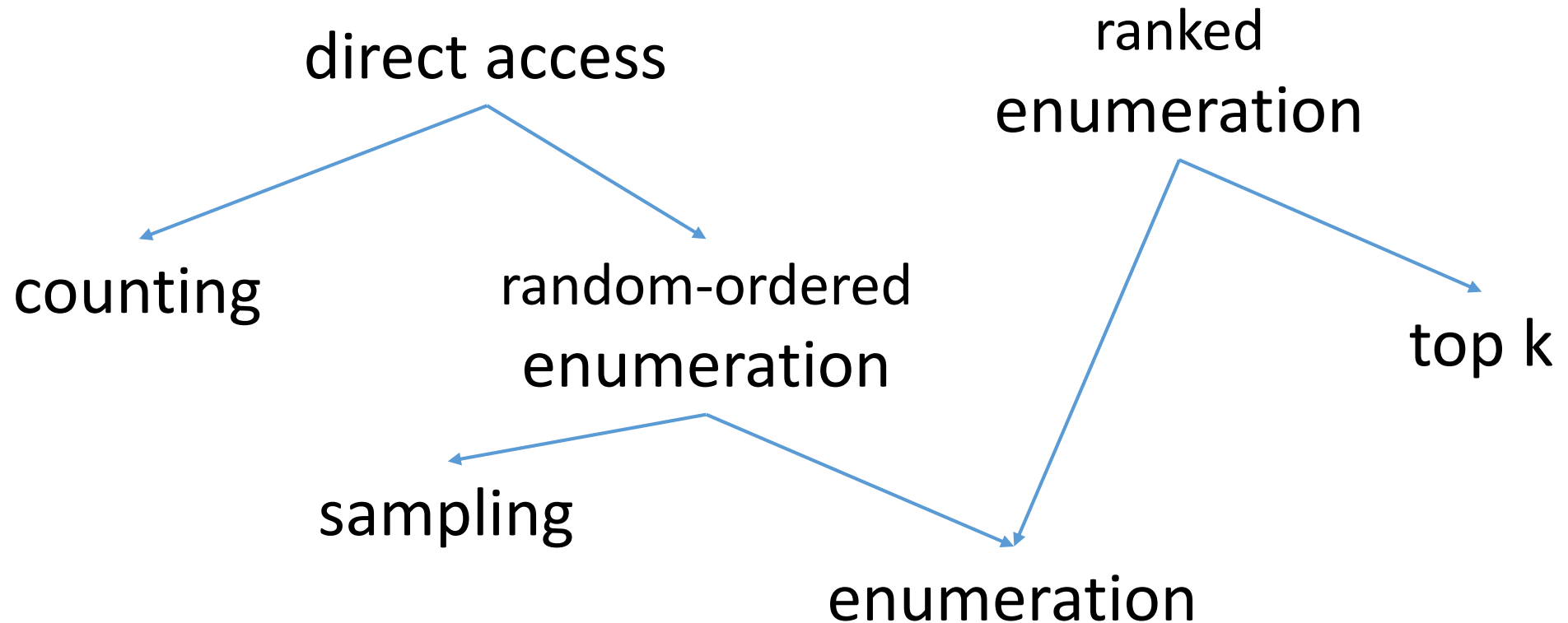
# Fisher-Yates Shuffle

## Constant delay variant:

```
place  $1, \dots, n$  in array (lazy initialization)
for  $i$  in  $1, \dots, n$ :
    choose  $j$  randomly from  $\{i, \dots, n\}$ 
    replace  $i$  and  $j$ 
    print  $a[i]$ 
```

3	2	3	4	3
$i$	$i$	$ij$	$i$	$ij$

# Connection between problems



\* with log time per answer after linear preprocessing

# Quantile Computation via Ranked Access

Employees

Name	Role	Address
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Jill	Senior dev	Brookline
Joanna	Senior dev	Braintree

Remuneration

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12/2020	Junior dev	7000
12/2020	Senior dev	7100

Travel

Address	Cost
Boston	50
Brookline	100
Braintree	200

- What is the median monthly cost of an employee?

- **Solution 1:**  
join, sort, access the middle
- **Solution 2:**  
count, ranked enumeration until the middle
- **Solution 3:**  
count, ranked access to the middle

Join Results

Name	Role	Address	Period	Salary	Cost
Jack	Junior dev	Boston	11/2020	4000	50
Jill	Senior dev	Brookline	11/2020	4500	100
Joanna	Senior dev	Braintree	11/2020	4500	200
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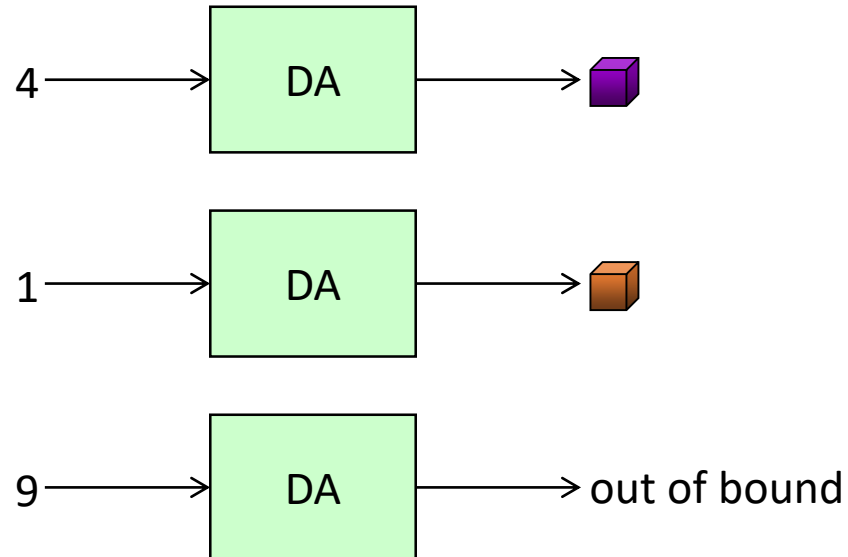
3<sup>rd</sup>







Count = 6

# ~~Direct Access~~ Definition

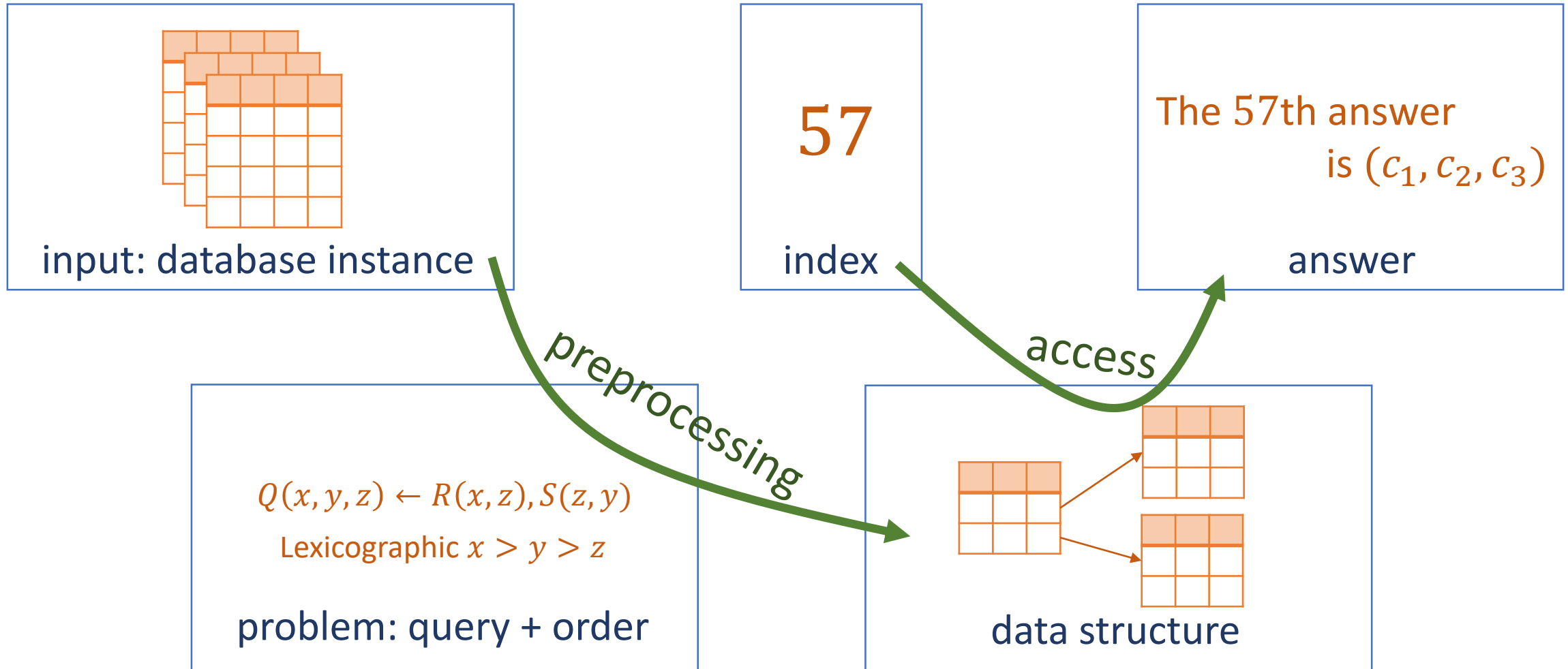
## Ranked

- Given  $i$ , returns the  $i^{\text{th}}$  answer or “out of bound”.
- ~~No constraints on the ordering used~~  
User-specified order

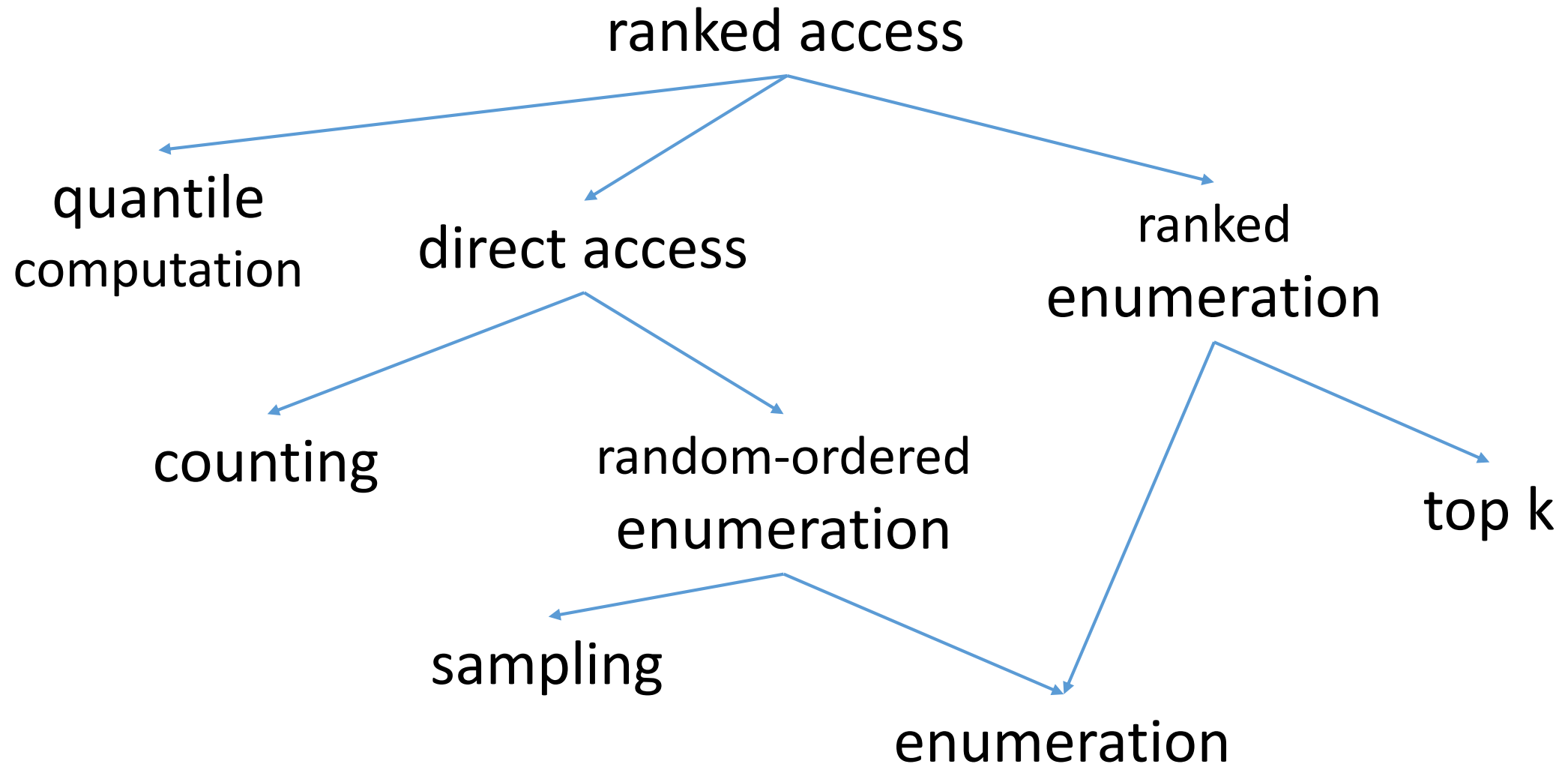


answers







# Goal: efficient ranked access



# Overview of Tasks



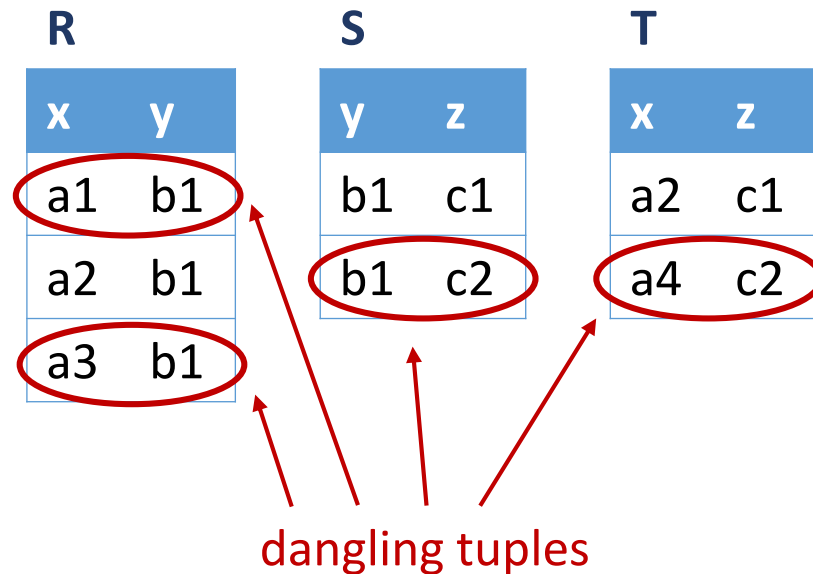
\* with log time per answer after linear preprocessing



- Enumeration in query answering
- Enumeration-related tasks
- Enumeration-related tasks in query answering

# Challenges

- Many answers
- Many intermediate answers



$$Q_1(x, y, z) \leftarrow R(x, y), S(y, z)$$

x	y	z
a1	b1	c1
a1	b1	c2
a2	b1	c1
a2	b1	c2
a3	b1	c1
a3	b1	c2

$$Q_2(x, y, z) \leftarrow R(x, y), S(y, z), T(x, z)$$

x	y	z
a2	b1	c1

# Definitions

[BaganDurandGrandjean 2007]

An acyclic CQ has a graph with:

A free-connex CQ also requires:

1. a node for every atom  
possibly also subsets

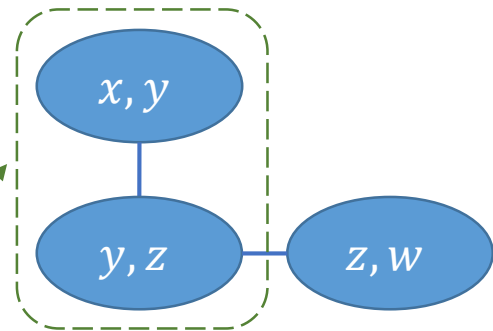
2. tree

3. for every variable X:  
the nodes containing X form a subtree

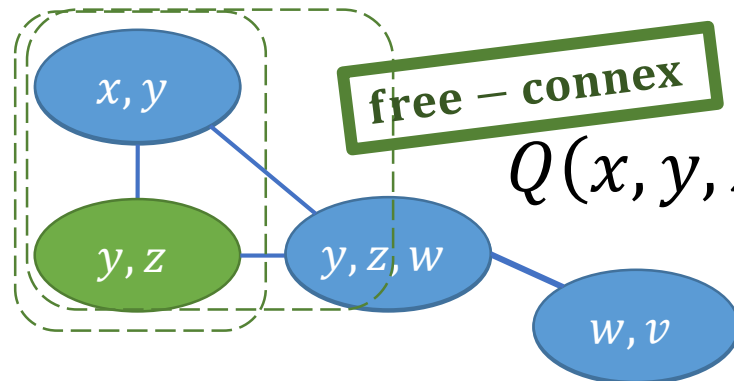
free – connex

acyclic

$$Q(x, y, z) \leftarrow R_1(x, y), R_2(y, z), R_3(z, w)$$



4. a subtree with exactly the free variables



$$Q(x, y, z) \leftarrow R_1(x, y), R_2(y, z, w), R_3(w, v)$$

# Free-Connex CQs

$$Q(x, y, z) \leftarrow R_1(x, y), R_2(y, z, w), R_3(w, v)$$

x	y
<del>a1</del>	<del>b1</del>
a1	b2
a2	b2

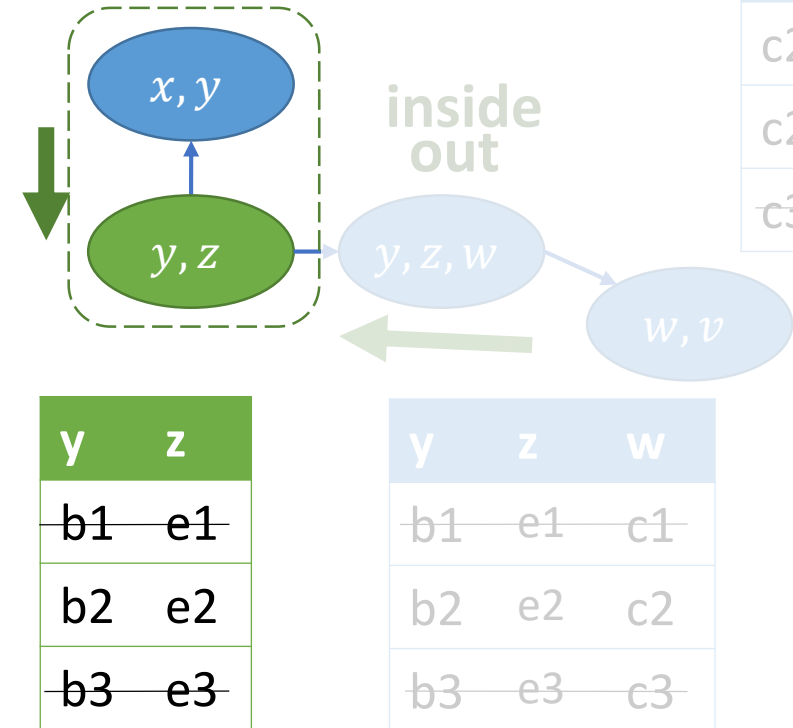
w	v
c2	d1
c2	d2
<del>c3</del>	<del>d2</del>

Reduce to acyclic no projections

1. Find a join tree
2. Remove dangling tuples  
[\[Yannakakis81\]](#)
3. Ignore existential variables

Then, join efficiently

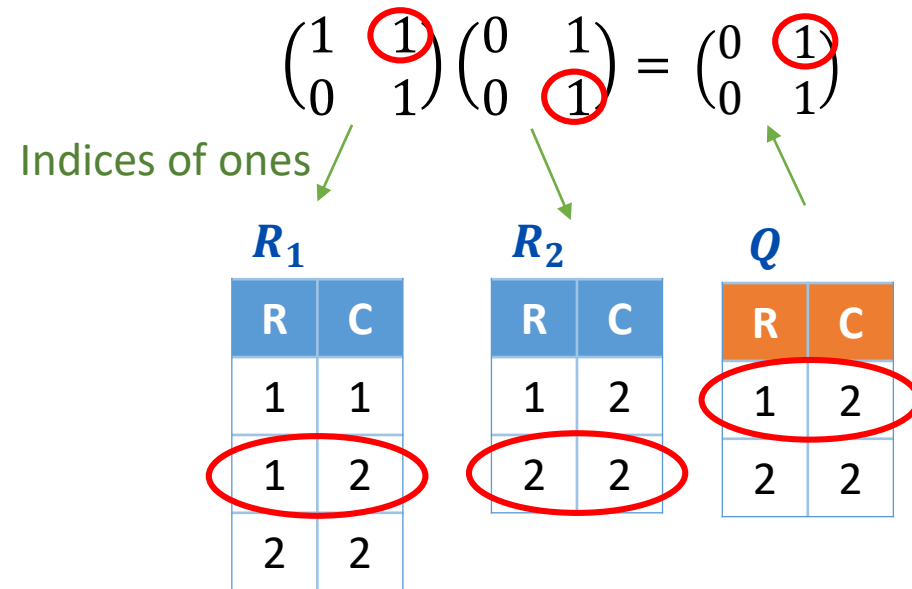
1. Nested loops



# Lower Bound: acyclic non-free-connex

[Bagan, Durand, Grandjean; CSL 07]

Assumption: Boolean  $n \times n$  matrices cannot be multiplied in time  $O(n^2)$



Works also for log delay

works for every  
self-join-free  
acyclic non-free-connex  
conjunctive query

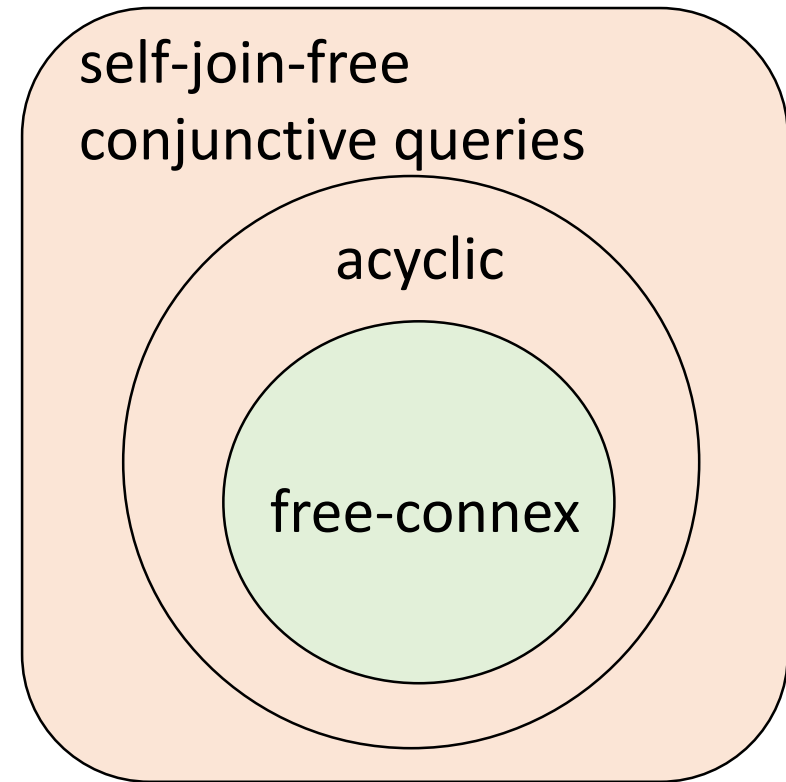
Acyclic non-free-connex:  $Q(x, z) \leftarrow R_1(x, y), R_2(y, z)$

$O(n^2)$  preprocessing +  $O(1)$  delay =  $O(n^2)$  total  $\Rightarrow$  no linear preprocessing constant delay

# Enumeration Dichotomy

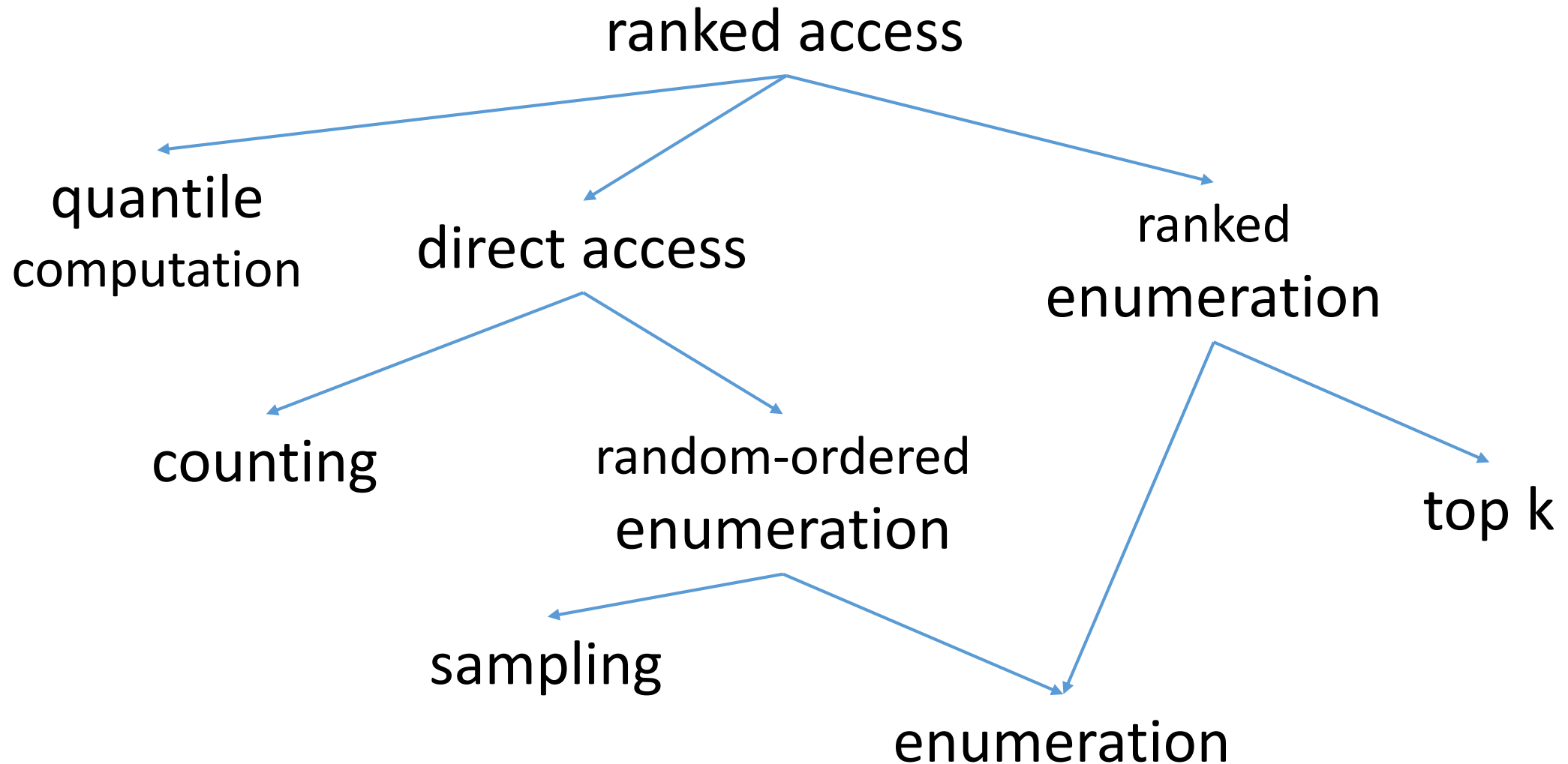
[BaganDurandGrandjean 2007]  
[Brault-Baron 2013]

enumerable in  
linear preprocessing  
and log delay  $\iff$  free-connex



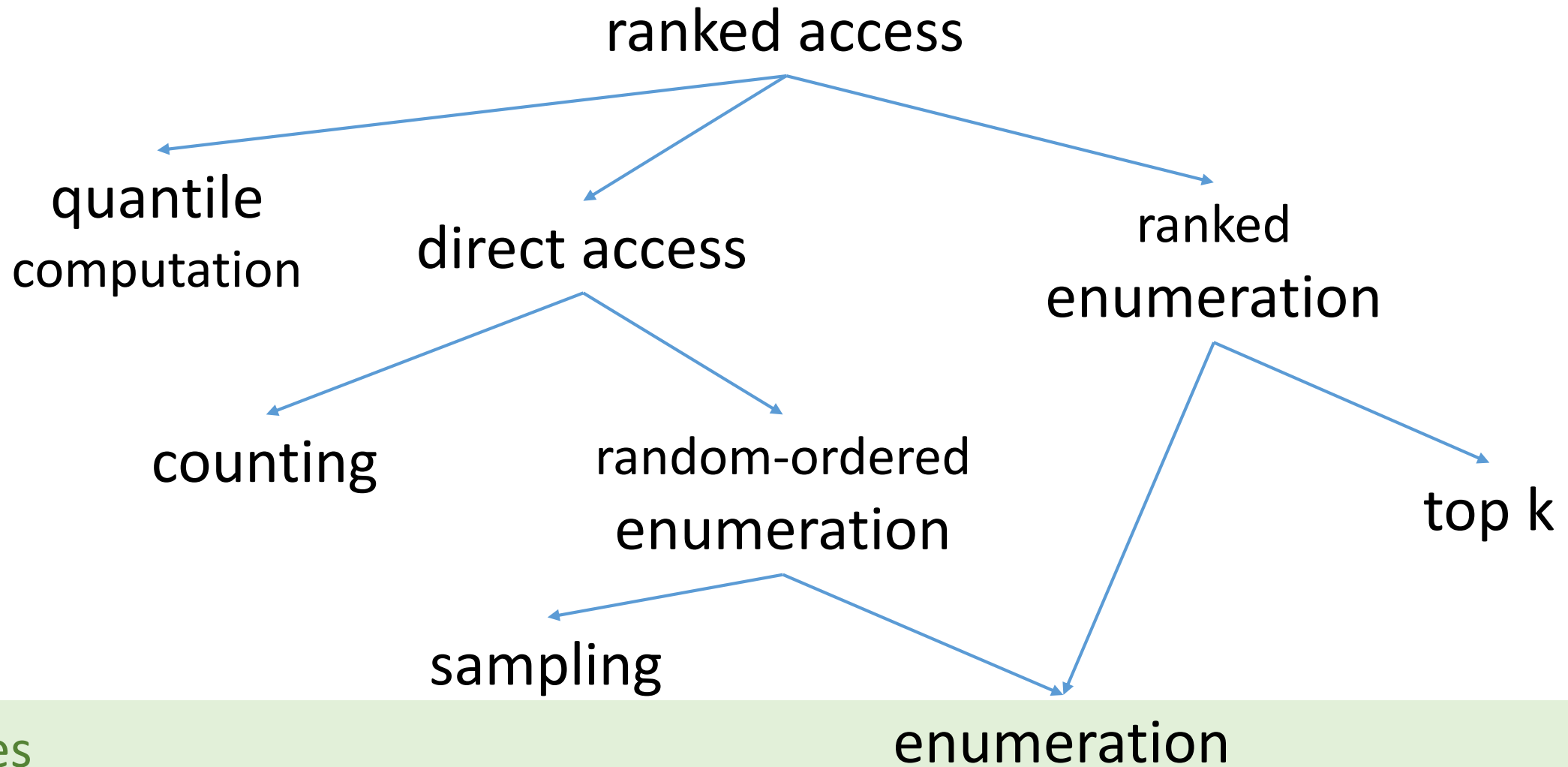
\* Assuming the hardness of Boolean matrix multiplication and hyperclique detection

# Overview of Tasks



\* with log time per answer after linear preprocessing

# Can be solved efficiently\* for all free-connex CQs?

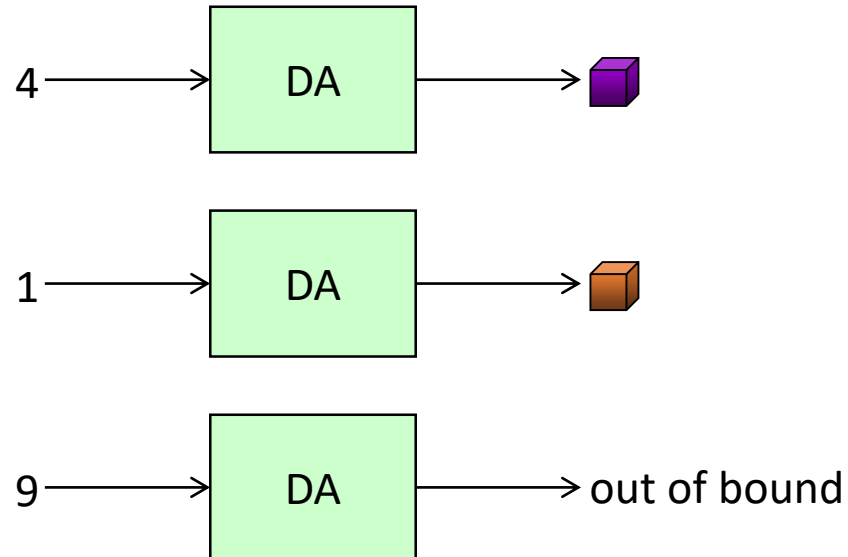








\* with log time per answer after linear preprocessing



# Direct Access Definition

- Given  $i$ , returns the  $i^{\text{th}}$  answer or “out of bound”.
- No constraints on the ordering used



answers







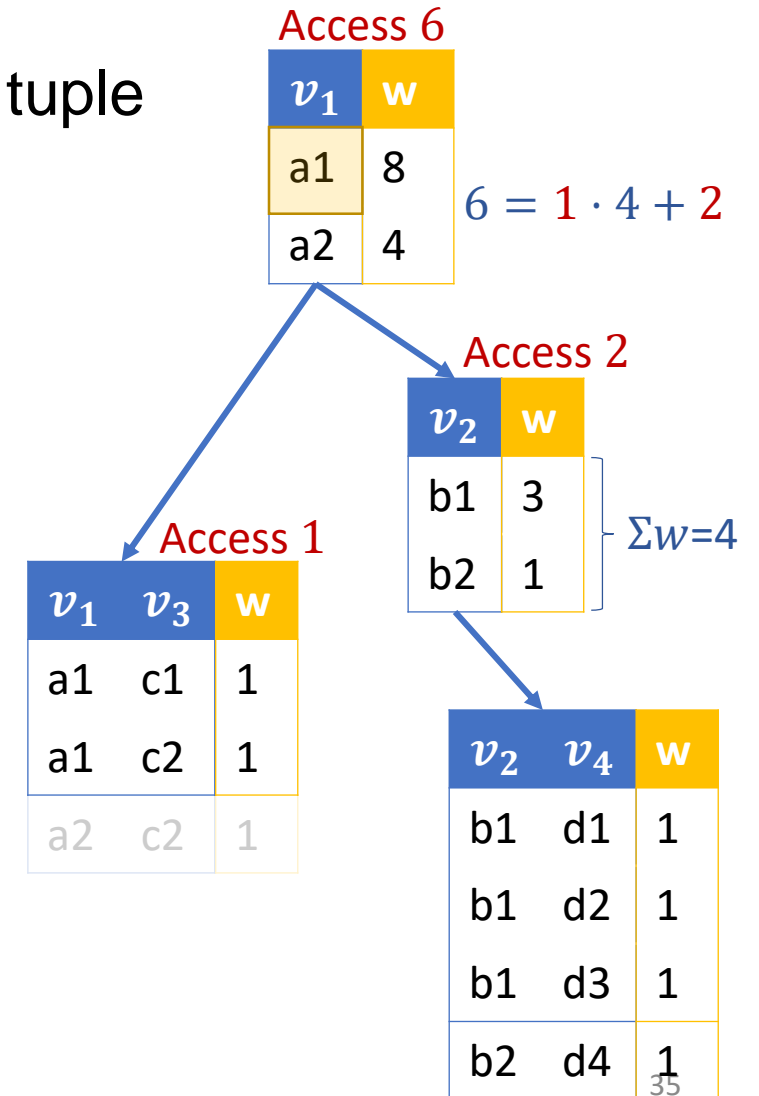
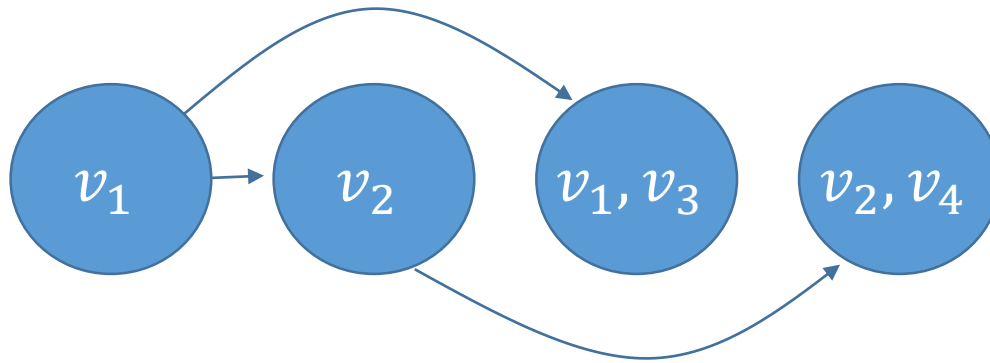
## Direct Access Algorithm

linear preprocessing + log access

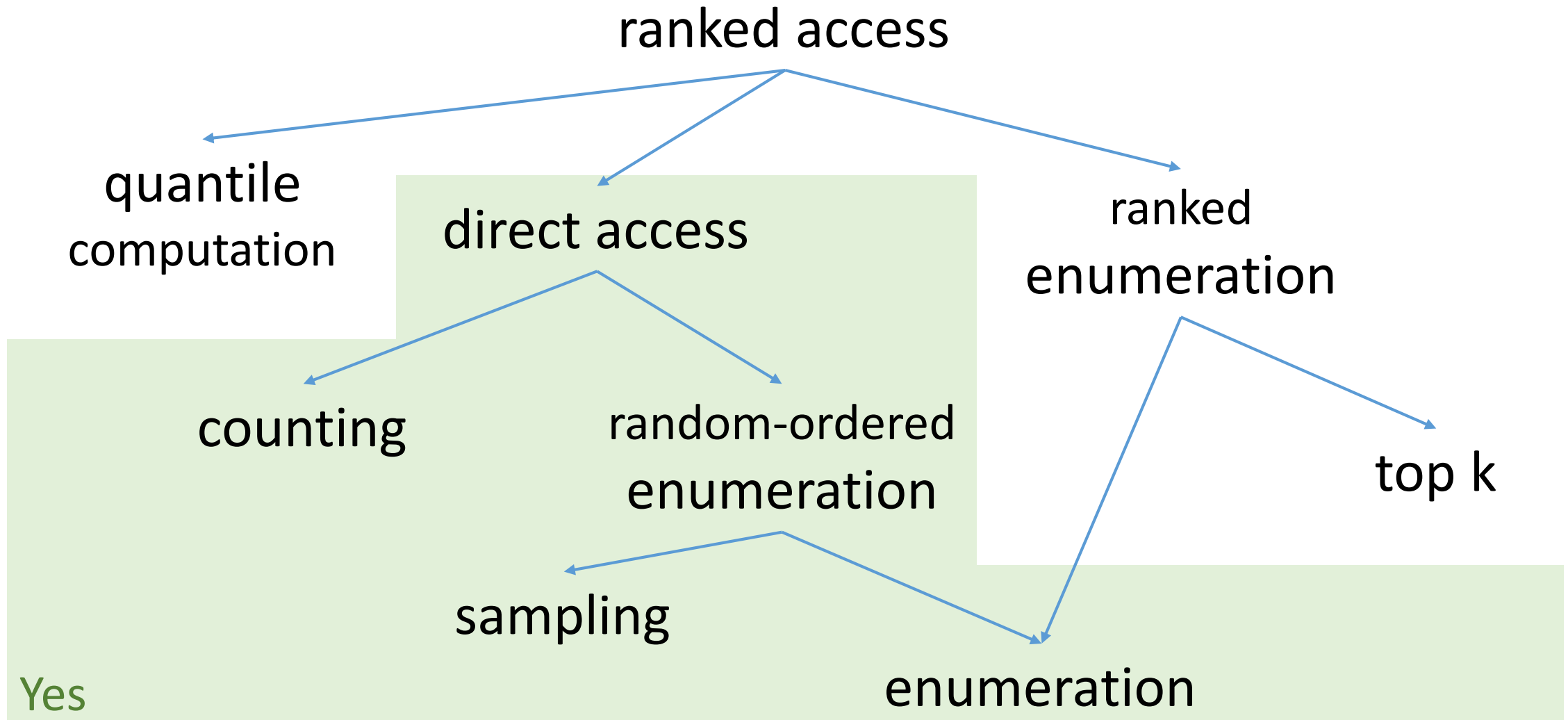
# Algorithm

[C, Zeevi, Berkholz, Kimelfeld, Schweikardt; PODS 20]

- Preprocessing:
  - DP up the tree
  - computes how many answers in a subtree use each tuple
- Access:
  - recurse down the tree
  - splits the desired index between the children



# Can be solved efficiently\* for all free-connex CQs?



\* with log time per answer after linear preprocessing

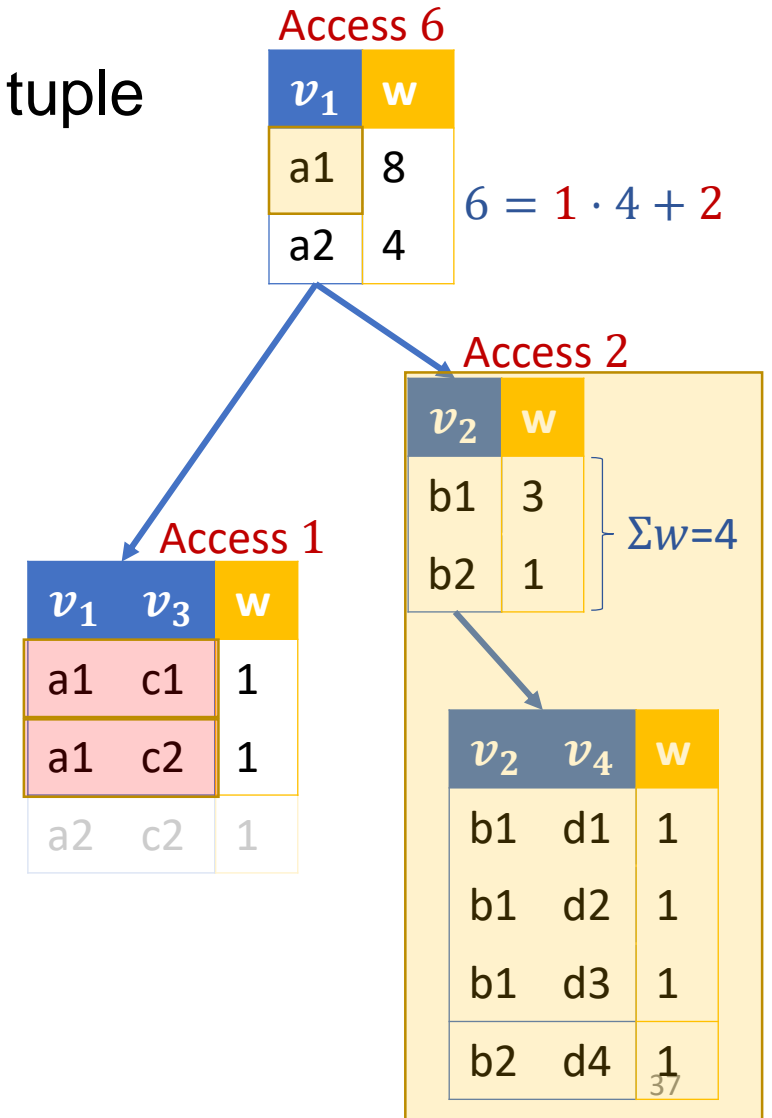
# Algorithm

[C, Zeevi, Berkholz, Kimelfeld, Schweikardt; PODS 20]

- Preprocessing:
  - DP up the tree
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- Access:
  - recurse down the tree
  - splits the desired index between the children

Resulting order:

$v_1$	$v_3$	$v_2$	$v_4$
a1	c1	b1	d1
a1	c1	b1	d2
a1	c1	b1	d3
a1	c1	b2	d4
a1	c2	b1	d1
a1	c2	b1	d2
a1	c2	b1	d3
a1	c2	b2	d4
...			

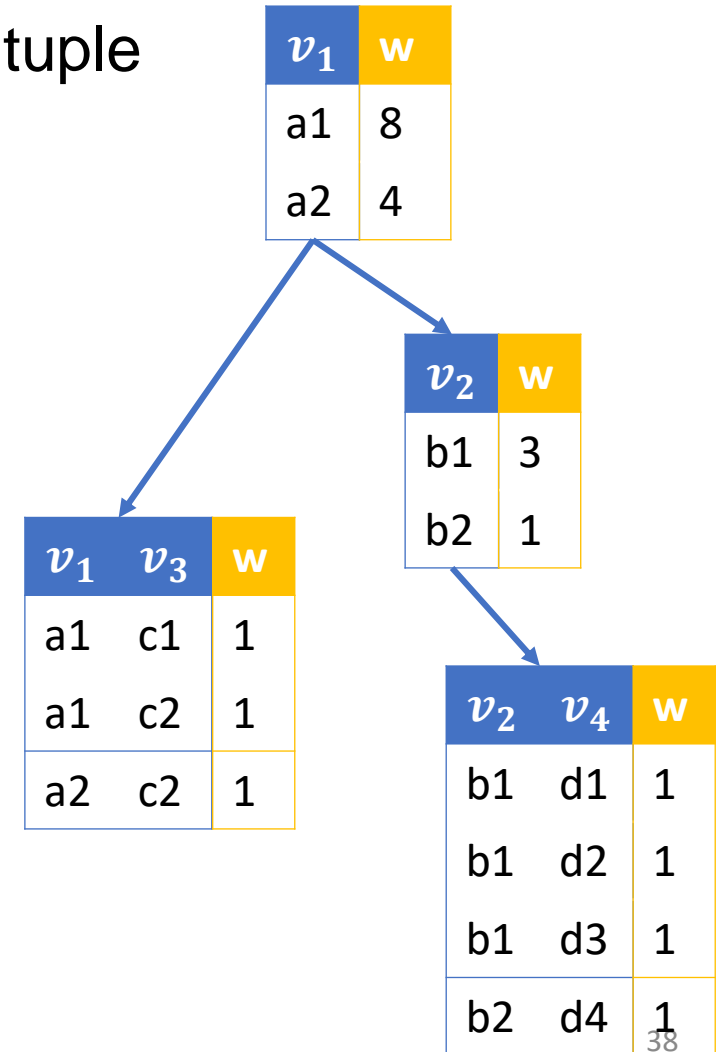


# Algorithm

[C, Zeevi, Berkholz, Kimelfeld, Schweikardt; PODS 20]

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Orders the algorithm can achieve:  
DFS of a join tree



# Example

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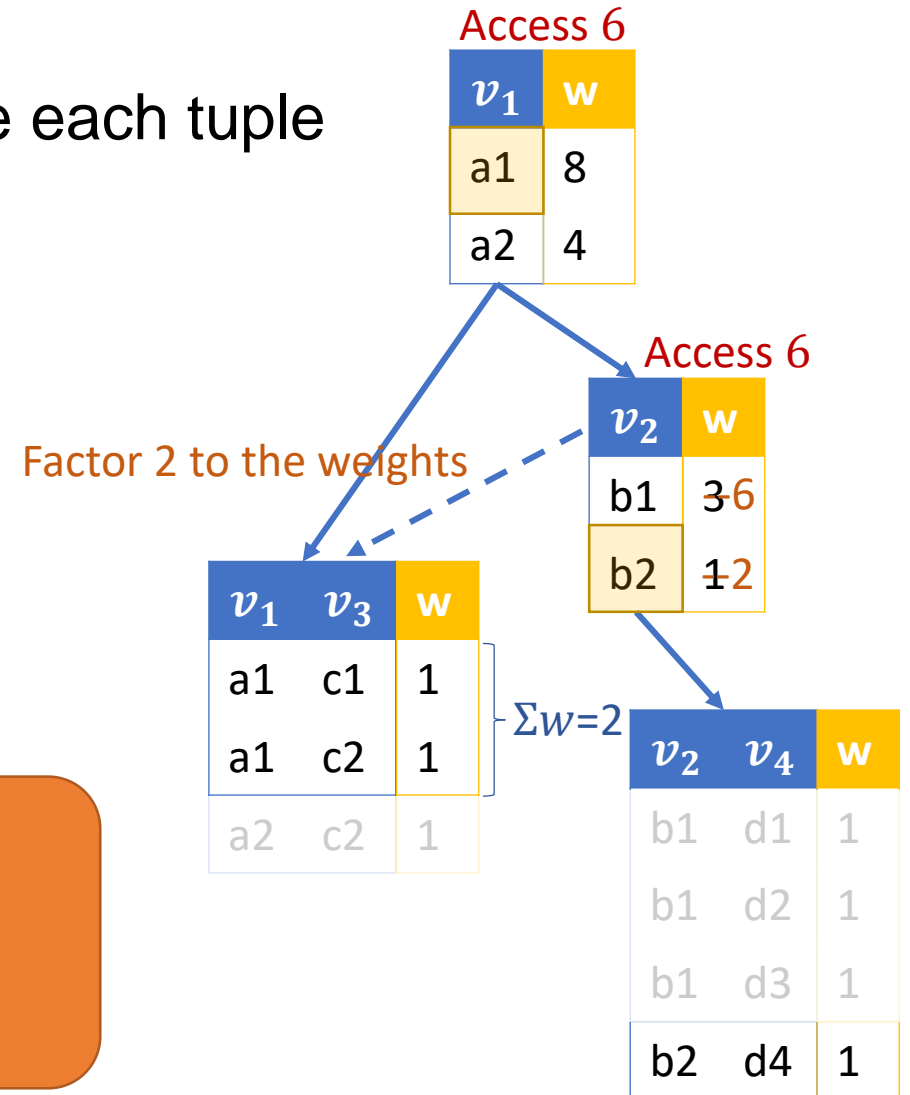
$$Q_2(v_1, v_2, v_3, v_4) \leftarrow R(v_1, v_3), S(v_2, v_4)$$

- Not a DFS of a join tree
- Can it be solved with ideal guarantees?
- Yes!

# Algorithm

- Preprocessing:
  - DP up the tree
  - computes how many answers in a subtree use each tuple
- Access:
  - [C, Zeevi, Berkholz, Kimelfeld, Schweikardt; PODS 20]
  - recurse down the tree
  - splits the desired index between the children
- Modified Access:
  - [C, Tziavelis, Gatterbauer, Kimelfeld, Riedewald; PODS 21]
  - Move children on the fly

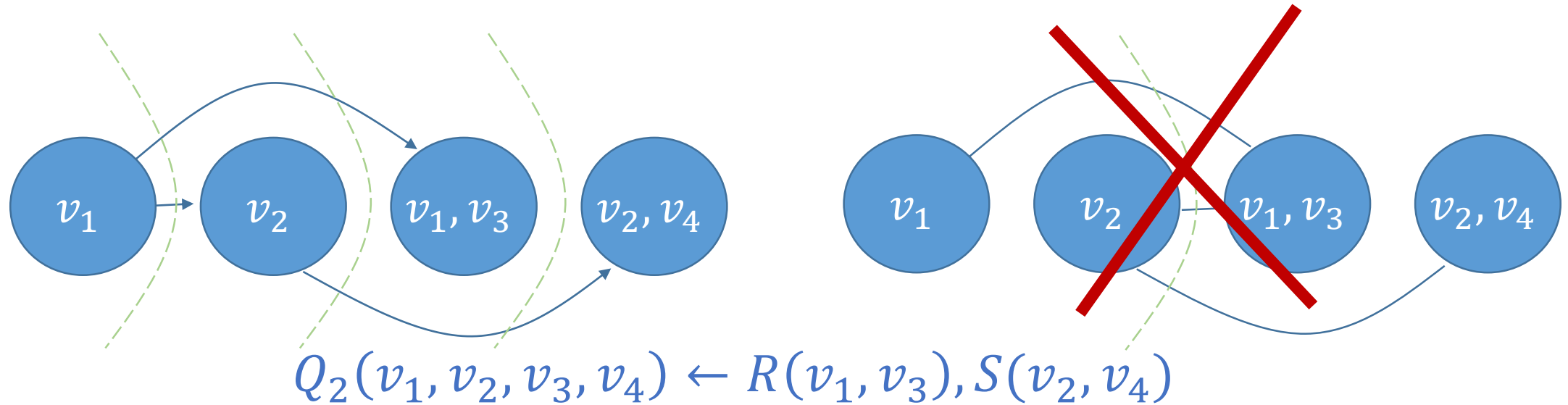
Orders the algorithm can achieve:  
Orders matching a layered join tree





# Layered Trees

- Layered tree for a **CQ** and a variable **ordering**:
  - Join-tree for an inclusive extension
  - Layer  $i$  = one node with last variable  $v_i$
  - The induced graph by the first  $k$  layers is a tree, for all  $k$



# Enumeration with Projections via Ranked Access

- Reduction:

binary search  
for next  
different  $v_1$ ,  
 $v_2$  values

$v_1$	$v_2$	$v_3$
$a_1$	$b_1$	$c_1$
$a_1$	$b_1$	$c_2$
$a_1$	$b_1$	$c_3$
$a_1$	$b_1$	$c_4$
$a_1$	$b_1$	$c_5$
$a_1$	$b_2$	$c_1$
$a_1$	$b_2$	$c_2$
$a_2$	$b_1$	$c_1$

[C, Tziavelis, Gatterbauer, Kimelfeld, Riedewald; PODS 21]

Enumerate

$$Q_1(v_1, v_2) \leftarrow R(v_1, v_3), S(v_3, v_2)$$

Not free-connex



using

Lexicographic access

$$Q_2(v_1, v_2, v_3) \leftarrow R(v_1, v_3), S(v_3, v_2)$$

Disruptive trio

Log number of direct-access calls between answers

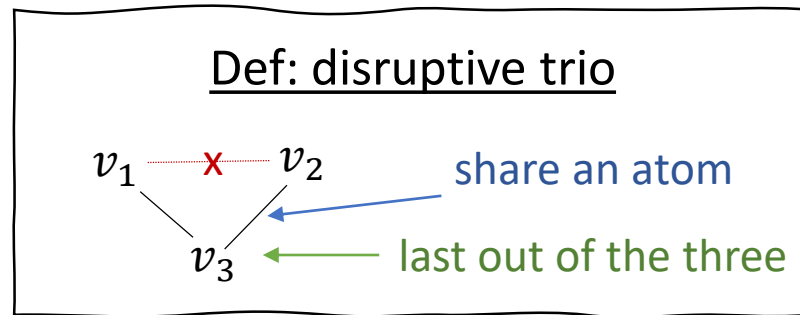
$Q_1$  has no enumeration  
with polylog delay



$Q_2$  has no lexicographic access  
with polylog access time

# Hardness Result [C, Tziavelis, Gatterbauer, Kimelfeld, Riedewald; PODS 21]

- Can be extended whenever there is a disruptive trio
- Example:  $Q_2(v_1, v_2, v_3) \leftarrow R(v_1, v_3), S(v_3, v_2)$



$\exists$  Layered join tree  $\Leftrightarrow \neg \exists$  disruptive trio

## Ranked Access Dichotomy

linear preprocessing + log access

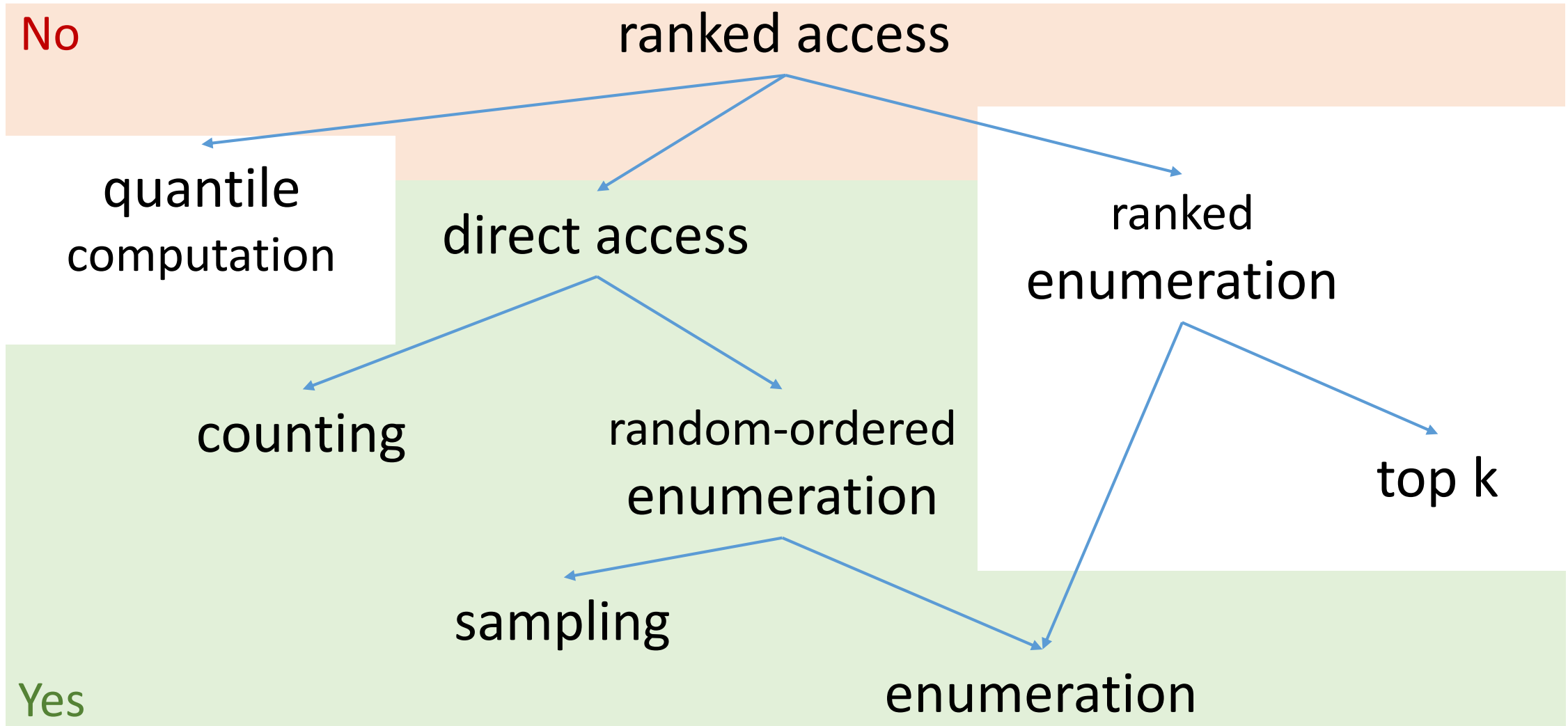


no disruptive trio in order

\* Assuming the hardness of Boolean matrix multiplication and hyperclique detection

# Can be solved efficiently\* for all free-connex CQs?

For lexicographic orders:



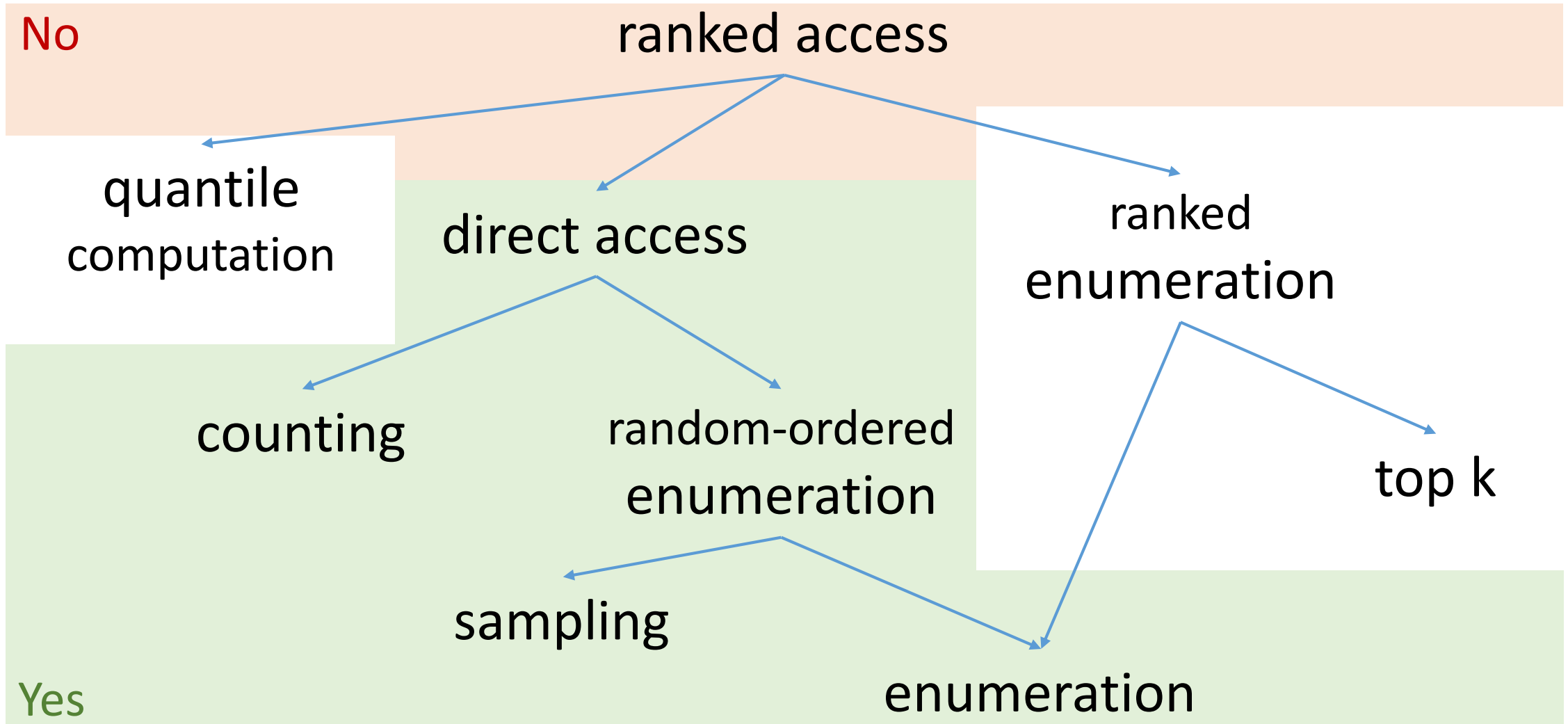
\* with log time per answer after linear preprocessing

## Ranked Enumeration Algorithm

for any lexicographic user-specified order  
linear preprocessing + log delay

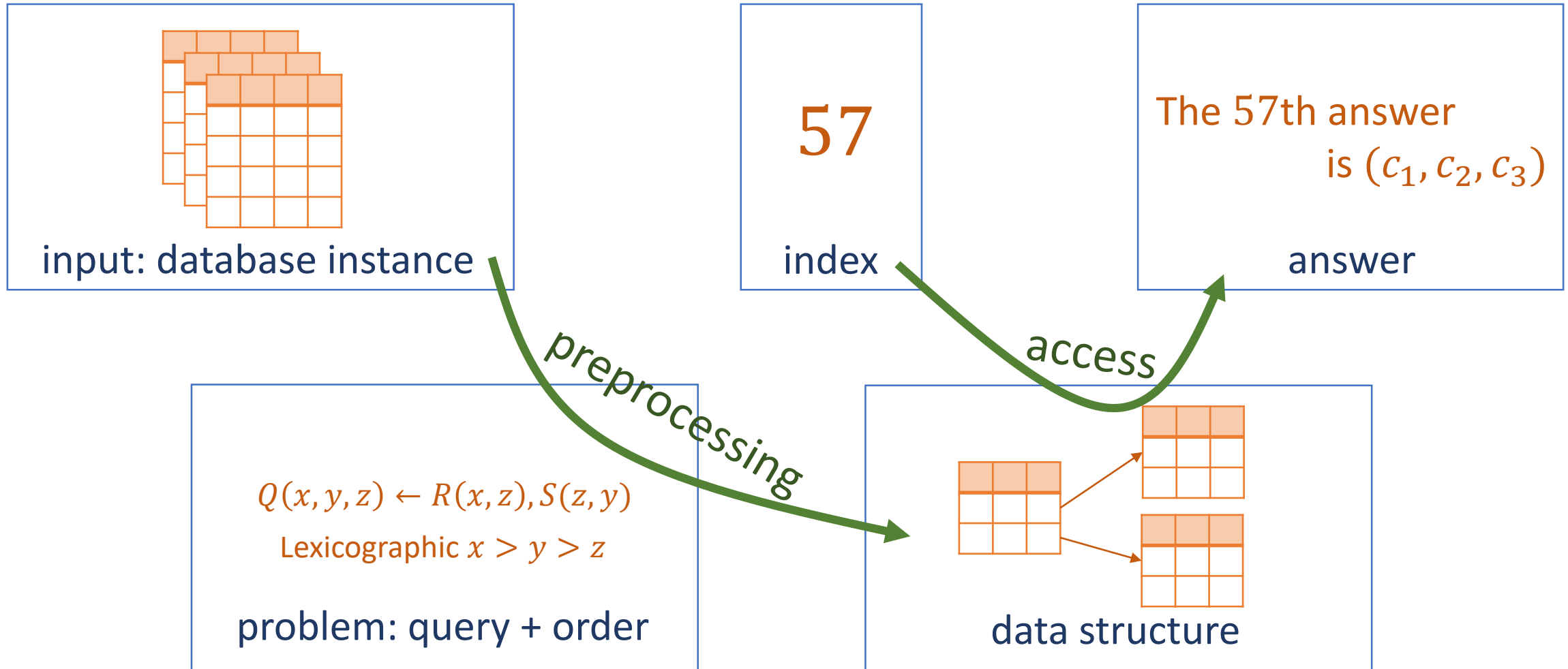
# Can be solved efficiently\* for all free-connex CQs?

For lexicographic orders:



\* with log time per answer after linear preprocessing

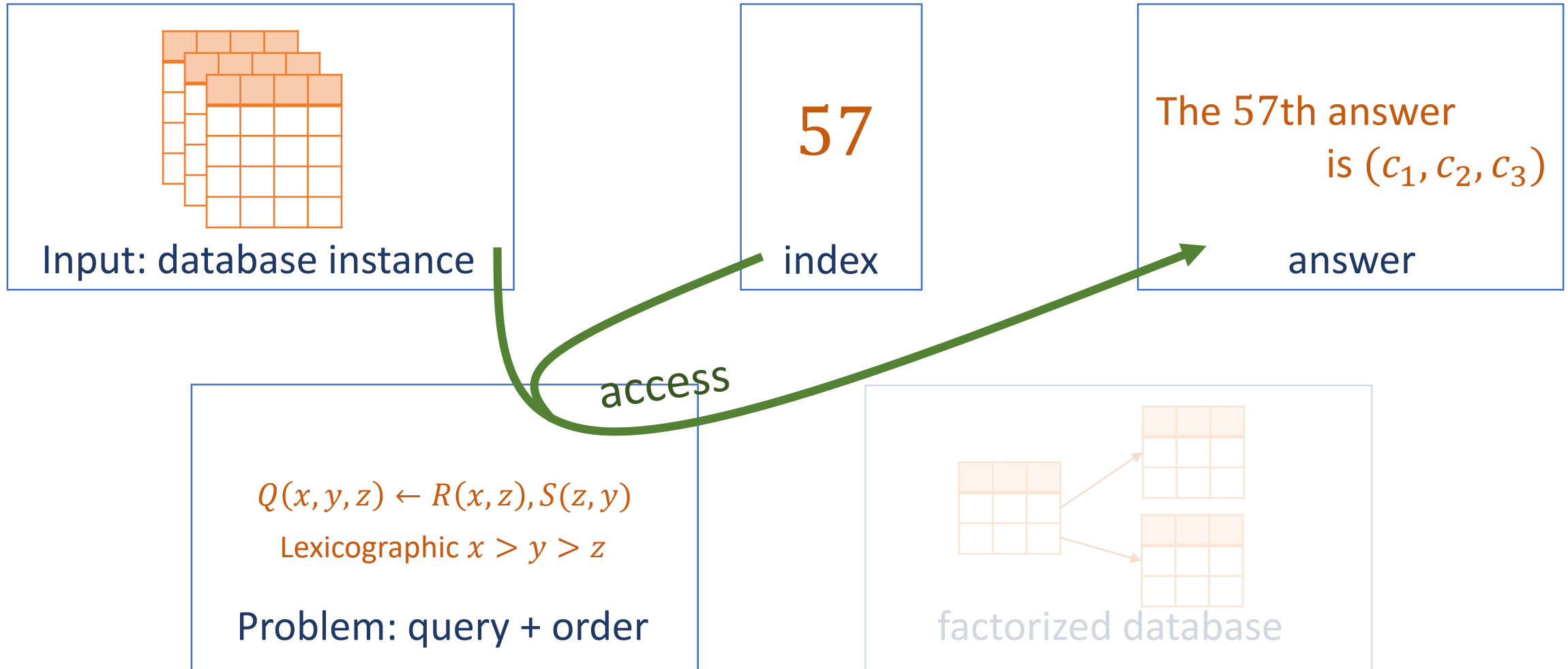
# Ranked Access Problem





# Selection Problem

(supports a single access call)



## Selection Algorithm

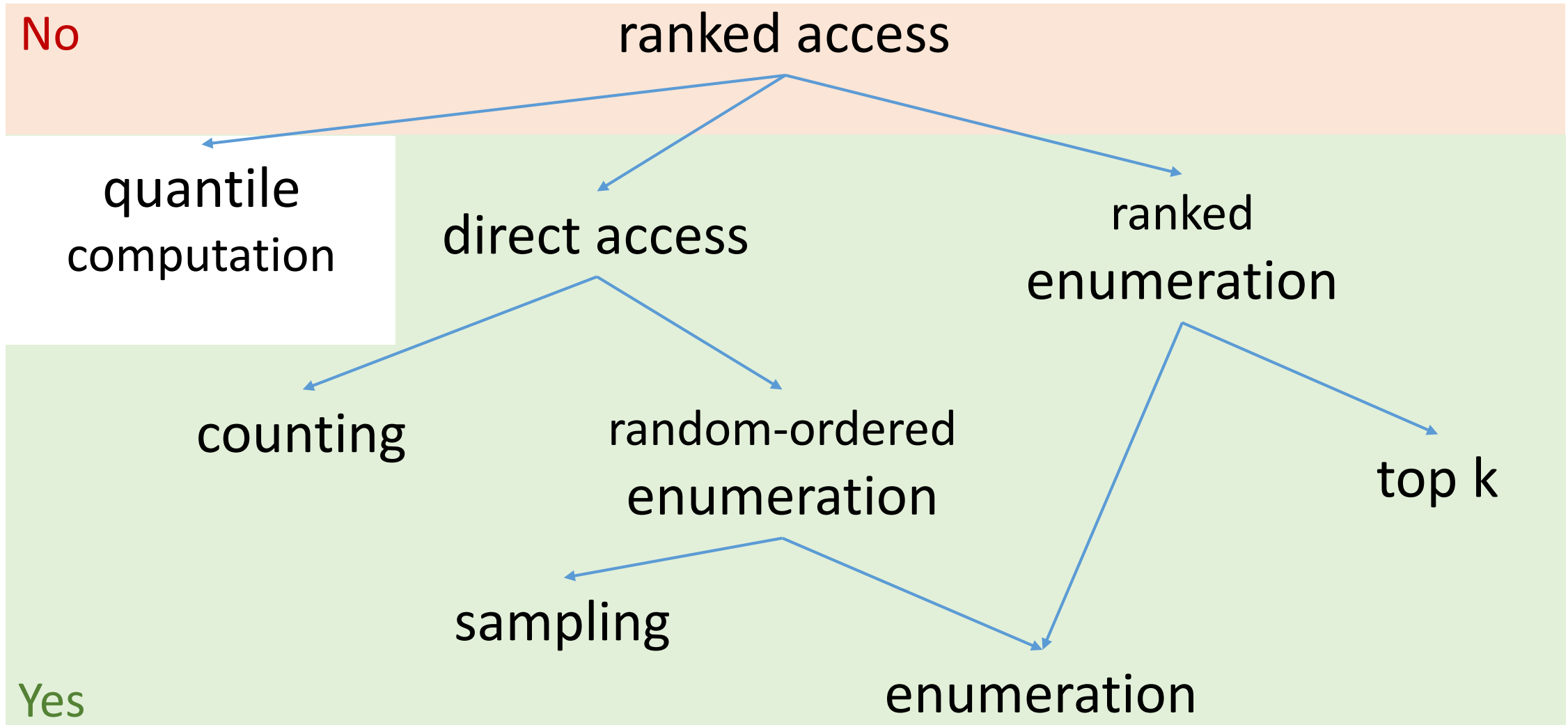
for any lexicographic order  
linear time

More tractable <query,order> pairs (than ranked access)

Example:  $Q_2(v_1, v_2, v_3) \leftarrow R(v_1, v_3), S(v_3, v_2)$

# Can be solved efficiently\* for all free-connex CQs?

For lexicographic orders:



\* with log time per answer after linear preprocessing

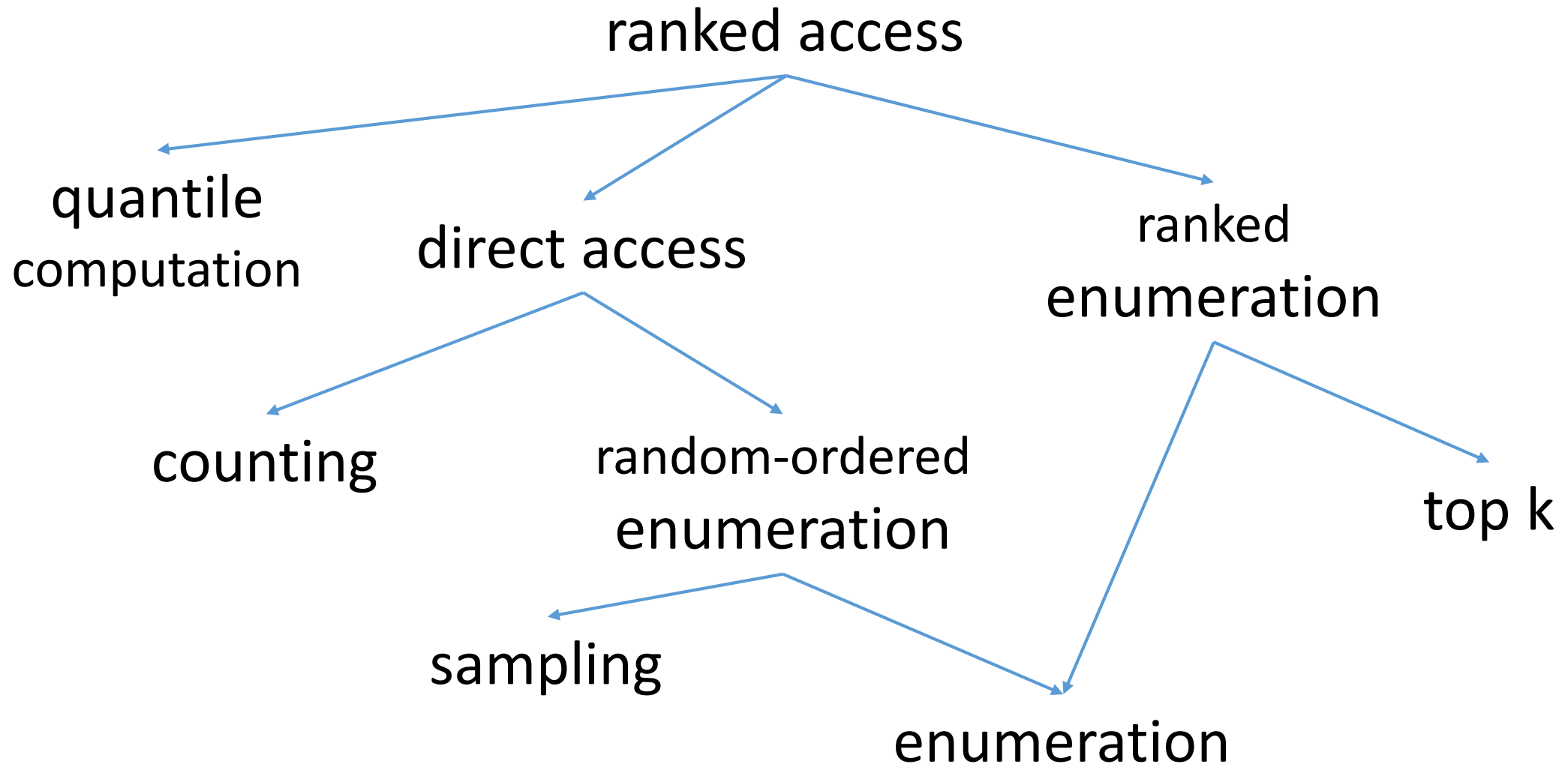
- Enumeration in query answering
- Enumeration-related tasks
- Enumeration-related tasks in query answering

# Conclusion

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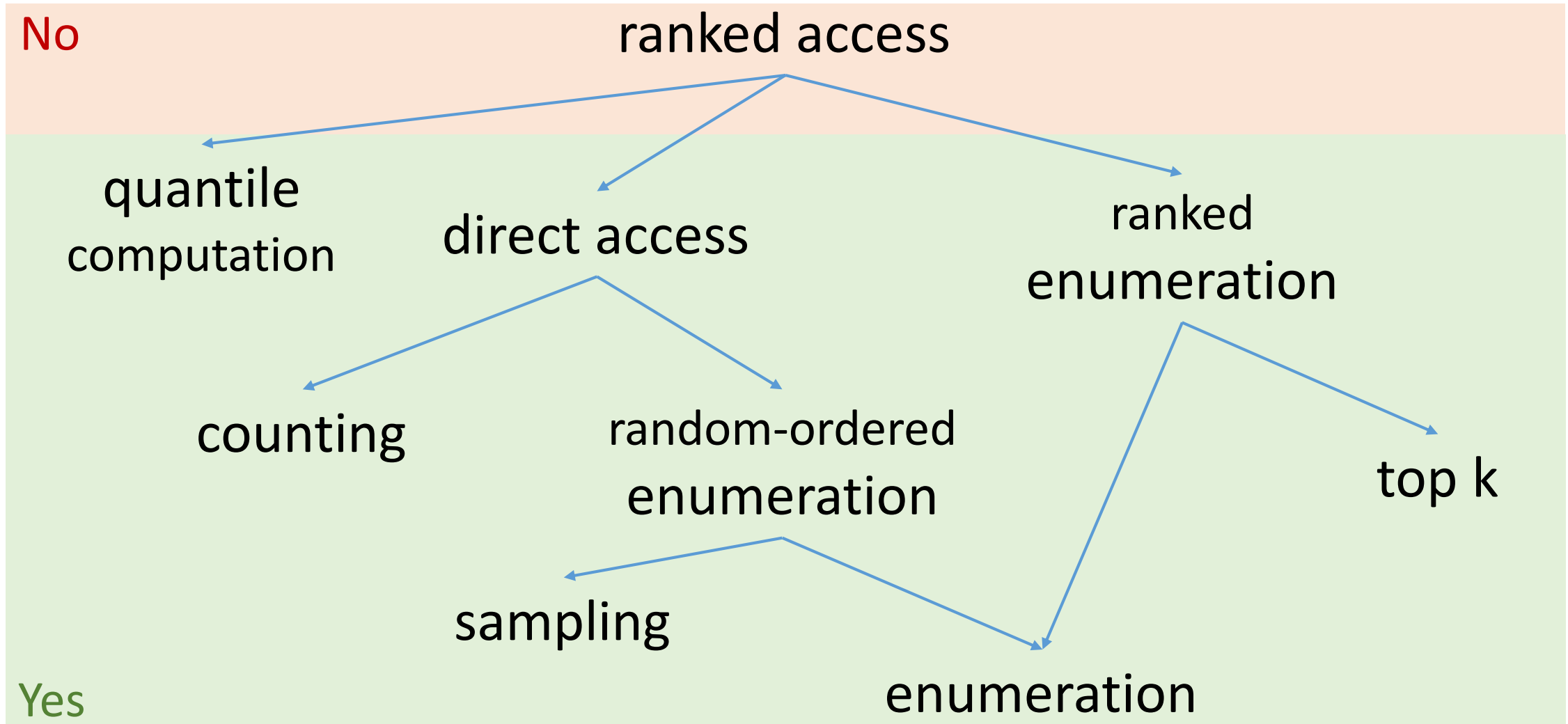
- Change of approach for answering queries:  
materializing answers → structure for accessing answers
- Defined relevant tasks, studied their connections
- Sometimes, can solve more elaborate tasks without higher complexity

# Enumeration-Related Problems



# Can be solved efficiently\* for all free-connex CQs?

For lexicographic orders:



\* with log time per answer after linear preprocessing

# Outlook

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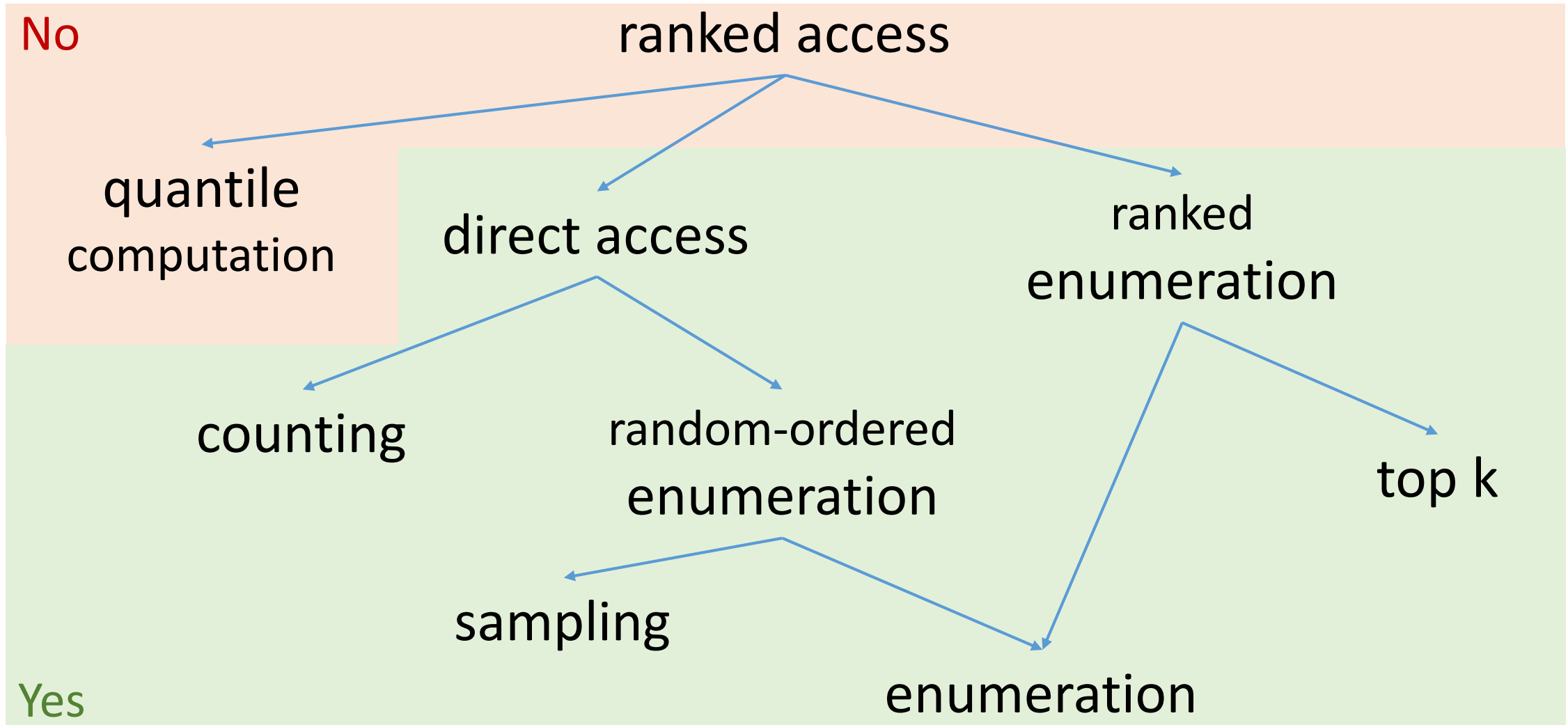
- Handle hard cases (next talk)
- Consider other orders and queries





# Can be solved efficiently\* for all free-connex CQs?

For sum of weights orders:



\* with log time per answer after linear preprocessing

# Outlook

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- Handle hard cases (next talk)
- Consider other orders and queries
- Enumeration-related tasks in other domains



# Extra Slides

# Self-Joins

- Lower bounds do not apply with self-joins
- Can they be easier?
  - Yes! [Berkholz, Gerhardt, Schweikardt; SIGLOG News 20]

- A simpler example:

$$Q_1(x, y, z, w) \leftarrow R_1(x, y), R_2(y, z), R_3(x, w) R_4(w, z)$$

No Constant delay

$$Q_2(x, y, z, w) \leftarrow R_1(x, y), R_2(y, z), R_1(x, w) R_2(z, w)$$

Constant delay

