

# Improved Compact Routing Scheme with Applications in Static Sensor Networks and Internet

(Invited Paper)

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**Abstract**— In this paper, we investigate a compact routing scheme with applications in static sensor networks and Internet. More precisely, we propose a new geometric ad-hoc routing algorithm to route queries in static geometric graphs in which the number of transmissions for each query and the space used to store the routing information on each node in terms of the number of registers (each able to store an integer/real number) are bounded by  $O(c^{\frac{\log D}{\log c}})$  and  $O(\log^3 D)$  respectively, where  $c$  is the length of the shortest path between the source and the destination and  $D$  is the diameter of the network. Our algorithm significantly improves the complexity of the currently best known algorithm by Gaşieniec, Su, Wong and Xin in [13] [J. Discrete Algorithms 5(1): 1-11 (2007)] for the scenario when  $D \leq \Theta(2^{\sqrt{\log n}})$  that has  $O(c \log n)$  transmissions for each query and  $O(\log n \log D)$  registers in each node, where  $n$  is the number of nodes in the network. Moreover, our new routing algorithm also reserves extremely better scalability compared with the currently best known algorithm in [13] since it does not depend on the size of the network. Unsurprisingly, our new compact routing scheme for geometric graphs also outperforms the currently best known algorithm for general graphs by Abraham, Gavoille, and Malkhi in [2] [SPAA 2006], e.g., our scheme can reduce the space requirement from  $O(\log^5 n)$  per node to  $O(\log^3 D)$  with the exactly same stretch factor  $O(\log n)$ . While our work directly applies to sensor networks, we hope that it can stimulate the future research on impact of the graph properties to the performance of compact routing schemes.

**Index Terms**— Compact routing, design and analysis of algorithms, distributed computing, geometric graphs, Internet, sensor networks.

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## I. INTRODUCTION

The growth and multiplication of communication networks imposes the search for ever more performant routing schemes for the transport of information. A shortest path routing requires a memory per node that scales linearly with the size of the network, thus is only practical in small networks. In general, it is desirable to find a compact routing scheme, i.e., a routing scheme where the quantity of information stored at every node grows sub-linearly with the number of nodes, while ensuring that the routing path between every two node exceeds the shortest path by a multiplicative factor, called the stretch, that grows slowly with the size of the network. Different types of networks, such as the Internet or sensor networks, due to different technological constraints, different order of magnitudes in terms of size or memory possibilities, call for different solutions. However techniques adopted from one field may very well prove fruitful in another, as we show in this article. For example, to break the trade-off between worst-case stretch and memory per node for Internet-like networks of general topology [2], one must design routing schemes exploiting particular properties known to be possessed by the Internet topology. For example, it has been shown that bounded doubling dimension in [1], or embeddability of the network into a geometric space [6, 19] allows for efficient routing schemes. It is therefore natural to establish connections with the compact routing problem for networks naturally embedded into the Euclidean plane, such as sensor networks.

Wireless ad-hoc sensor network [3, 4, 26, 29, 30] is one of the fastest growing technologies emerged in recent years. A sensor network consists of a large number of densely and arbitrarily deployed sensor nodes. The sensor nodes are self-organized and cooperate among themselves such that the sensor network is able to monitor an area

of interest. Sensor networks have found applications in various areas such as environmental, medical, and military.

Sensor networks differ from traditional networks and other ad-hoc networks in many aspects. Sensor nodes usually have strong constraints like small transmission range, limited power, limited memory, and limited computational capacity. Multi-hop transmission is involved in sensor networks when two sensor nodes outside of transmission range communicate via intermediate nodes. Due to the limited memory, the topology of the sensor network is usually unknown to the sensor nodes. Moreover, there is no global identification (ID) of the sensor nodes since large number of sensor nodes leads to too much overhead in computing the ID. Because of the power constraint, sensor networks have to minimize the number of transmissions used in communication as more transmissions result in higher power consumption.

In this paper, we mainly study geometric ad-hoc routing [21–23] in sensor networks. The problem is to route a message from a source to a destination via some intermediate nodes, we call this communication a *query*. In geometric ad-hoc routing, it is assumed that each sensor node is informed of its own and its neighbours’ coordinates [5, 8, 27]; and the source node of a query knows the position of the destination. Note that having position information in the sensor nodes (e.g., GPS [15]) becomes more and more realistic with increasing availability of inexpensive positioning systems [21]. The objective of the routing algorithm is to minimise the total number of transmissions sent for each query. The problem is non-trivial because although the coordinates of the destination node is known to the source node, the source node has no idea of what routing paths are available and which is the best path to route the query; this is because each node only has local information about its neighbours but no global information about the network topology.

#### A. Previous work

The simplest routing algorithm used in sensor networks is the *flooding* algorithm [7, 17] in which every node, upon receiving a message, will forward the message to all of its neighbours. The major problem of employing flooding algorithms in sensor network is that it is difficult for a sensor node to make sure that the same message will not be forwarded more than once because a sensor node cannot keep track of all messages it has received so far with its limited memory. As a result, termination of flooding cannot be controlled easily. In addition, the total number of transmissions used to route a query from the source to the destination can be huge; the lifetime of the sensor network would be much reduced by a flooding algorithm.

Another simple algorithm is the *greedy* algorithm [11, 16, 18, 28] in which a node forwards a message to the neighbour node that is the closest to the destination node. However, it has been observed that greedy algorithm

does not guarantee the query can ultimately reach the destination [22].

More recent work on geometric routing tries to exploit structural property of the graph representing the network and algorithms with bounded number of transmissions are derived. These include face routing by Kranakis et al. [20], which uses  $O(n)$  transmissions for a network with  $n$  nodes. Later this algorithm is enhanced to adaptive face routing [22], the number of transmissions used is bounded by  $O(c^2)$ , where  $c$  is the length of the shortest path between the source and the destination. However, both algorithms are not applicable in practice due to large computation complexity. Kuhn et al. [21, 23] combined face routing and greedy routing and came up with an algorithm, called GOAFR<sup>+</sup> which also uses  $O(c^2)$  transmissions and this algorithm can be implemented practically. A lower bound of  $\Omega(c^2)$  transmissions has been proved to be necessary to finish geometric routing [22], implying that GOAFR<sup>+</sup> is asymptotically optimal. Note that the above algorithms work on Unit-Disk Graph that possesses Gabriel Graph property (definitions will be given in Section II). Recently, Gasieniec et al. [13] studied two variants of the geometric routing problem: *single-source-queries* routing and *multiple-source-queries* routing. For the former, there is a distinguished source node and it has a number of queries to be routed to different destination nodes; for the latter, each sensor node can be a source node and it might have queries to route to different destination nodes. In either case, we know neither the shortest path  $C$  between a source  $s$  and a destination  $t$  nor its length  $c$  in advance. Note that the shortest path may be much longer than the Euclidean distance between  $s$  and  $t$ . For the single-source-queries routing, Gasieniec et al. gave an algorithm whose total number of transmissions used is  $O(c)$  for each query. For the multiple-source queries routing (compact routing scheme), a novel algorithm had been proposed which takes  $O(c \log n)$  transmissions for each query and  $O(\log n \log D)$  space complexity in terms of the number of registers on each node. For both single-source and multiple-source routing in [13], the routing stage is preceded by preprocessing procedures requiring  $O(nD)$  and  $O(n^2D)$  transmissions, respectively, where  $D$  is the diameter of the network. (Note that a lower bound of  $\Omega(c^2)$  transmissions has been proved if preprocessing is not allowed [22].) The preprocessing is worthwhile if it is followed by frequent queries. For example, in a network in the form of a grid with length  $d$ , the number of nodes in the network is  $O(d^2)$ . The preprocessing requires  $O(d^3)$  and  $O(d^5)$  transmissions respectively while the previous best solution takes  $O(d^2)$  transmissions [21–23], thus, the preprocessing is worthwhile when on average there are  $\Omega(d) = \Omega(\sqrt{n})$  queries per node. Moreover, the preprocessing approaches are also acceptable in the real sensor networks. We could imagine that there is an

extra initial power (say, batteries) available during the preprocessing stage or alternatively the position of the sensors are known in advance and the preprocessing can be done before the sensors are deployed in the field. Geometric routing in directed graphs was also studied [9]. In this paper, we assume symmetric transmission power for the sensor nodes and we focus on undirected graph.

### B. Our results

In this paper, we adopt the Unit-Disk Graph [10] to model a sensor network [21] (formal definition to be given in Section II). Note that this is the exactly same model as the one used in [13]. In this paper, we mainly focus on the compact routing scheme (e.g., corresponds the *multiple-source-queries* routing problem in [13]) with applications in static sensor networks. More precisely, we propose a new geometric ad-hoc routing algorithm to route queries in static sensor networks in which the number of transmissions for each query and the space used to store the routing information on each sensor node in terms of the number of registers are bounded by  $O(c \frac{\log D}{\log c})$  and  $O(\log^3 D)$  respectively, where  $c$  is the length of the shortest path between the source and the destination and  $D$  is the diameter of the network. Our algorithm significantly improves the complexity of the currently best known algorithm in [13] for the scenario when  $D \leq \Theta(2^{\sqrt{\log n}})$  that has  $O(c \log n)$  transmissions for each query and  $O(\log n \log D)$  registers in each node, where  $n$  is the number of nodes in the network. Our algorithm is a tuned version of the one in [13] but we propose a new pruning approach based on a more smarter parameter for construction of the clusters. Moreover, our new routing algorithm also reserves extremely better scalability compared with the currently best known algorithm in [13] since it does not depend on the size of the network. Furthermore, our new compact routing scheme for geometric graphs also significantly improves the currently best known algorithm for general graphs in [2], e.g., our scheme can reduce the space requirement from  $O(\log^5 n)$  per node to  $O(\log^3 D)$  with the exactly same stretch factor  $O(\log n)$  but for geometric graphs. Note that most of the existing compact routing schemes including the one in [2] require an online storage (e.g., the space for the headers) but we do not take this assumption in this work. We hope that our work can stimulate the future research on impact of the graph properties to the performance of compact routing schemes. In addition to the results for static sensor networks, we also address some compact routing related issues for the Internet. Due to the space constraints, we defer these results to the full version of this paper.

### C. Organization of the paper

The rest of the paper is organised as follows. In section II, we recall the formal definition of the network

model. Later in section III, we introduce the concepts, data structures and procedures used for the single-source routing scheme in [13] which will be adopted as a sub-procedure in our new compact routing scheme. In section IV, we show how to perform almost optimal queries originating from any node of the network in a distributed fashion. Finally in section VI, we conclude this work.

## II. MODEL

In this section, we recall the formal definition of the network model [13, 21–23]. A sensor network is represented as a collection of  $n$  nodes arbitrarily distributed in the Euclidean plane  $\mathcal{R}^2$ . Precisely, a sensor network is modelled as a graph  $G = (V, E)$ , with the set of nodes  $V \subseteq \mathcal{R}^2$  and the set of wireless undirected connections  $E$ . We assume that every node in  $V$  has the same transmission range, i.e., we adopt here the *Unit-Disk Graph model*. In this model, neighbouring nodes with edges connected are at distance at most 1. It is also assumed that the graph  $G$  possesses graph properties of  $\Omega(1)$  model [23] (also called civilized graph). As mentioned earlier, in geometric routing, every node knows its own and its neighbours' coordinates.

It has been shown that the unit disk graph with the  $\Omega(1)$  model has a bounded size in terms of the diameter of the graph.

*Lemma 1:* The number of nodes  $n$  (e.g. the size of the network) for a unit disk graph under the  $\Omega(1)$  model assumption can be bounded by  $O(D^2)$ , where  $D$  is the diameter of the graph.

In this work, we investigate the multiple-source-queries (e.g., distributed compact routing), where a query is defined as follows: A source node  $s$  wants to communicate via exchange of a control message with a destination node  $t$ , knowing only its coordinates  $(x_t, y_t)$  in  $\mathcal{R}^2$ . Note that  $s$  is aware of neither the topology of  $G$  nor the shortest (or in fast end) path between  $s$  and  $t$ . Furthermore, we assume that the network is static [13, 17, 24, 29]. In this context our paper differs from the previous model [21–23], which assumes that the network is temporarily static i.e., it does not change for the duration of each query, though between any two queries, the network topology can change arbitrarily. In sensor networks the complexity of a solution is usually expressed in terms of the total number of transmissions rather than the time used to complete a particular task. This is due to the concern of limited power of the sensor nodes.

Our model is summarised as follows:

- 1) Each node  $v \in V$  knows its coordinates  $(x_v, y_v)$  as well as the coordinates of its neighbours.
- 2) The source node  $s$  knows only  $(x_t, y_t)$ , the coordinates of the destination node  $t$ .
- 3) Each node has  $O(1)$  number of neighbours.
- 4) Each node's memory is limited to poly-logarithmic number of registers in terms of the diameter of the

network (each able to store an integer) used to keep local information, e.g., up to  $O(\log^3 D)$  registers per node.

- 5) Nodes exchange messages limited in size to  $O(1)$  integers.

### III. PRELIMINARIES

For the completeness of our presentation and clarification of the differences and significant improvement compared to the existing work in the literature, we reproduce some concepts, data structures and procedures as ones used in [13] as follows.

#### A. Data structures

In the querying systems, we use several objects/data structures, including

- Breadth first search tree (BFS)  $B$  rooted in the source node  $s$ , spanning all nodes in  $G$ . We assume that each node  $(x, y) \in G$  learns about its BFS level  $bfs(x, y)$  in  $B$  during the construction of  $B$ .
- $P(x, y)$  denotes the post order number of the node  $(x, y)$  in  $B$ . This post order number is used as the physical address of the node.
- Pre-super levels  
The BFS levels in  $B$  are split into  $\lceil \log D \rceil + 1$  pre-super levels such that each pre-super level is composed of a number of consecutive BFS levels. More formally the  $i$ -th pre-super level contains BFS levels from  $2^i$  to  $2^{i+1} - 1$ , for  $i = 1, \dots, \lceil \log D \rceil - 1$ . Level 0 contains BFS levels 0 and 1. Level  $\lceil \log D \rceil$  contains BFS levels from  $2^{\lceil \log D \rceil}$  to  $D$ . The pre-super levels are used in the construction of super levels.
- Super levels and borders  
In each pre-super level with even index, say  $2i$ , we choose a BFS level with the smallest number of nodes. This BFS level forms the  $i$ -th *border*. The borders split the BFS levels of  $B$  into  $\lceil \frac{\lceil \log D \rceil + 1}{2} \rceil$  super levels. The  $i$ -th super level contains the BFS levels between the  $i$ -th and the  $(i + 1)$ -th border (but not including the  $(i + 1)$ -th border). Each node  $(x, y)$  in  $B$  is aware of its super level  $S(x, y) \in [0, \lceil \frac{\lceil \log D \rceil + 1}{2} \rceil - 1]$ .
- Image  
Each node  $(x, y)$  generates an image containing the key in the form of a 3-tuple  $(S(x, y), x, y)$  and the content  $P(x, y)$ . The image is denoted by  $I(x, y) = ((S(x, y), x, y), P(x, y))$ .
- A priority queue  $PQ$  is a heap-like structure embedded into the BFS tree  $B$  to rearrange the images according to their keys. I.e., the key of an image stored in a parent is smaller than those stored in its children.  $PQ$  is used to sort the images within super levels in  $B$ .

Using this priority queue, we rearrange the images within a particular super level  $i$  such that the following properties are satisfied.

- Suppose there are  $k$  subtrees  $T_1, T_2, T_3, \dots, T_k$  rooted at the nodes in the  $i$ -th border. Give the post order number  $P_{T_j}(x, y)$  to every node  $(x, y)$  in each subtree  $T_j$ , for  $j = 1, \dots, k$ .
- Each node  $(x, y) \in T_j$  at super level  $i$  gets a rank  $R_i(x, y) = (\sum_{q=1}^{j-1} |T_q|) + P_{T_j}(x, y)$ .
- Sort all images at super-level  $i$  such that images with smaller keys are placed in nodes with smaller ranks. I.e., if two images  $I(x_1, y_1) = ((i, x_1, y_1), p(x_1, y_1))$  and  $I(x_2, y_2) = ((i, x_2, y_2), p(x_2, y_2))$  with the lexicographic order  $(x_1, y_1) < (x_2, y_2)$ <sup>1</sup> are moved to two locations  $(u_1, v_1)$  and  $(u_2, v_2)$  in super level  $i$  respectively, then  $R_i(u_1, v_1) < R_i(u_2, v_2)$ .

According to the sorting strategies, the following Lemmas have been shown in [13].

*Lemma 2:* If a node  $u$  belongs to the  $i$ -th super-level, then the image of  $u$  will be stored at a node  $v$  in the  $i$ -th super-level as well.

*Corollary 3:* If the distance between  $s$  and  $t$  is  $c$ , then the distance between  $s$  and the node  $m$  used to store the image of  $t$  is bounded by  $O(c)$ .

- A search path is the longest connected path in the BFS tree  $B$  which starts from the source node. The images stored in the nodes in the  $i$ -th border will be replicated to the nodes along the search path in the  $i$ -th super level correspondingly (see Figure 1 for an example).

The following lemma in [13] also guarantees that replication requires  $O(1)$  memory in each node.

*Lemma 4:* The nodes in the search path need  $O(1)$  memory to store all the images of the nodes in the borders.

#### B. The outline of single-source-queries routing

In our new distributed compact routing scheme (e.g., multiple-source-queries routing) described in Section IV, we will adopt the *single-souce-queries* routing scheme from [13] as a sub-procedure in the design and analysis of the algorithm. To clarify our presentation, we briefly introduce the key idea and necessary approaches from [13] as follows.

In *single-source-queries* routing, a distinguished source node  $s$  wants to communicate with a destination node  $t$ , knowing only the coordinates  $(x_t, y_t)$  of the destination node in  $\mathcal{R}^2$ . Note that  $s$  is neither aware of the topology of  $G$  nor the shortest path between  $s$  and  $t$ . This is due to the lack of memory in the nodes of the network.

<sup>1</sup>We say  $(x_1, y_1) < (x_2, y_2)$  iff  $x_1 < x_2$  or  $x_1 = x_2$  and  $y_1 < y_2$ .

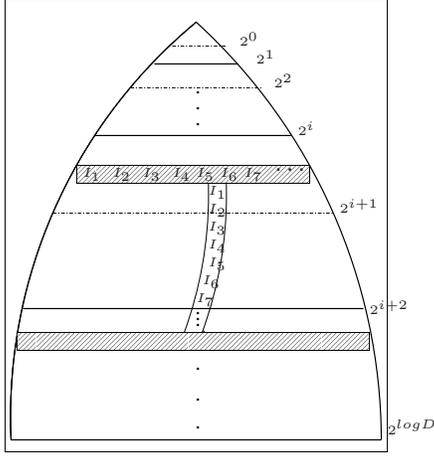


Fig. 1. [13] A BFS tree divided into super levels and a search path in one of the superlevels. (The shaded levels are the border chosen in the corresponding super levels and the images stored in the borders are replicated to the search path.)

The *single-source-queries* routing algorithm consists of two phases: preprocessing phase and routing phase. In the preprocessing phase, it runs the procedure called `SINGLEPREPROCESS()`. The objective of this procedure is to store, for any node  $t$ , a copy of its information (including a post order number of  $t$  in the BFS spanning tree rooted at the source node  $s$ ) in a “special” node  $m$  such that it is easy to find a path from  $s$  to  $m$  according to the coordinates of  $t$  (precisely, (1) if the distance between  $s$  and  $t$  is  $c$ , the distance between  $s$  and  $m$  is bounded by  $O(c)$ ; (2) according to the coordinates  $(x_t, y_t)$ , the source node  $s$  could communicate with the node  $m$  in limited number of transmissions bounded by  $O(c)$ ). In the routing phase, it runs the procedure called `SINGLEROUTING()`. For a given destination node  $t$ , the procedure firstly finds the node  $m$ , and then communicate with the node  $t$  using an optimal number of transmissions  $O(c)$  according to the additional information (a post order number of  $t$ ) stored in the node  $m$ .

Note that the preprocessing procedure is done only once. After that the source node  $s$  can query any other nodes of the network using an optimal number of transmissions.

### C. Preprocessing in single-source-queries routing

In this section, we remark the  $O(nD)$ -transmissions preprocessing procedure for single-source-queries routing from [13]. Procedure `SINGLEPREPROCESS()` creates the data structures discussed in section III-A. The number of transmissions involved is bounded in Lemma 5.

#### Procedure `SINGLEPREPROCESS(s)`

- 1) Create BFS spanning tree  $B$  rooted at the source node  $s$ ;
- 2) Give the post order number to each node in  $B$ ;
- 3) Construct the search path;

- 4) Split the BFS levels in  $B$  into the pre-super levels;
- 5) Construct the borders and the super-levels in  $B$ ;
- 6) Sort the nodes in each super-level, using the sorting step (described on page 4) and the priority queue  $PQ$  (described on page 4).

*Lemma 5:* The number of transmissions used to complete Procedure `SINGLEPREPROCESS(s)` can be bounded by  $O(nD)$ .

#### D. Procedure `SINGLEROUTING`

After the preprocessing phase has been performed, it enters into the routing phase. The following procedure describes how the routing between the source node  $s$  and any destination node  $t$  runs.

#### Procedure `SINGLEROUTING(s, t)`

- 1) Set  $i = 0$ ; (starting from the first super level)
- 2) While  $t$  has not been found
  - a) Find the first node on the search path in super level  $i$ , of which the image is greater than  $(x_t, y_t)$  (we call this image *crucial image*  $I(x_c, y_c)$  recall that the images stored in the search path is in ascending order);
  - b) Copy this crucial image in the query and route the query back to  $s$ ;
  - c) Find the node  $u$  on the border which stores  $I(x_c, y_c)$ ;
  - d) Go through the subtree routed at  $u$ ; If we find  $I(x_t, y_t)$ , then go back to  $s$  and find  $t$  using  $P(x_t, y_t)$ ;
  - e) Set  $i = i + 1$ ;

One of the crucial theorems from [13] which will be used in the analysis of our new distributed compact routing scheme in Section IV states:

*Theorem 6:* For any destination node  $t$ , communication between the source node  $s$  and  $t$  can be completed using  $O(c)$  transmissions and  $O(1)$  space complexity in terms of the number of registers, where  $c$  is the length of the shortest path from  $s$  to  $t$ .

## IV. MULTIPLE-SOURCE-QUERIES ROUTING

In multiple-source-queries routing, each sensor node can be a source node and it might have queries to route to different destination nodes. Unlike single-source-queries routing, there is no single distinguished source node. A simple way to exploit the algorithm for single-source queries would be to choose one central node  $r$  such that every communication between  $s$  and  $t$  is done via  $r$ . Yet there is no guarantee that the length of the route via  $r$  is comparable with the length of the shortest path between  $s$  and  $t$ . Therefore, it highlights the necessities of time-efficient algorithms to accomplish almost optimal multiple-source queries.

Roughly speaking, we divide the graph into clusters (which may overlap with each other); a node is chosen

in each cluster as the central node and communication between the nodes in the same cluster will be handled, as in the single-source-queries case described in Section III, between the nodes and the central node. To ensure that the nodes communicate within clusters efficiently (not using too many transmissions), the clusters have to be constructed in a way to preserve local distances between the nodes. For any pair of source and destination nodes  $s_i$  and  $t_i$  having a distance  $d_i$  apart, (1) they are both contained in some cluster with diameter comparable to  $d_i$ ; and (2) the source node  $s_i$  can locate this cluster using a number of transmissions that is also comparable to  $d_i$ ; more precisely, both quantities are  $O(d_i \frac{\log D}{\log d_i})$  which significantly improves the current best known solution in [13] in which the both complexities are bounded by  $O(d_i \log n)$ . It is the crucial property we achieved in our new distributed compact routing scheme.

#### A. Preprocessing for multiple-source-queries routing

In this section, we are going to describe a preprocessing for multiple-source-queries routing. We first construct a set of clusters as mentioned above and then apply the procedure SINGLEPREPROCESS() to each of the clusters. It is a similar approach as the one used in [13] but we derive a better construction of the clusters which allows us to improve the currently best known solution in [13] by a logarithmic factor.

1) *Construction of clusters:* We adopt the similar clusters concept as used by Gaşieniec et al. [13,14], and Gaber and Mansour [12] but a different pruning parameter. Initially we pick an arbitrary node  $r \in V$  as the central node of the graph  $G$  and construct a BFS tree in  $G$  with respect to  $r$ . Recall that the BFS level of a node is  $j$  if its shortest distance to  $r$  is  $j$ . Let  $D$  be the radius of  $G$ , which is the maximum distance between  $r$  and any other node. The construction of clusters takes a parameter  $d$ , and we will run the construction for  $d = 1, 2, \dots, 2^{\log D}$ .

*Definition 7:* A partition  $\pi(x)$  of the graph  $G$  is a division of  $V$  into groups, each of which comprises  $4d$  consecutive BFS levels, where the first group starts from BFS level  $x$ . Precisely, for  $i = 1, 2, \dots, \lceil \frac{D-x}{4d} \rceil$ , the  $i$ -th group, denoted as  $G_i(x)$ , contains all nodes at BFS levels  $j$  with  $(i-1-x) \cdot 4d \leq j \leq (i-x) \cdot 4d - 1$ .

The 2-partition of the graph  $G$  comprises two different partitions:  $\pi(0)$  which starts with the group  $G_1(0)$ , and  $\pi(2d)$  which starts with the group  $G_1(2d)$ .

Note that BFS levels  $0, 1, \dots, x-1$  are excluded from the partition  $\pi(x)$ . For any group  $G_i(x)$ , its *top* level is  $(i-1-x) \cdot 4d$ , and its *bottom* level is  $(i-x) \cdot 4d - 1$ . Note that  $G_i(x)$  is not necessarily connected. In each group  $G_i(x)$ , we first construct some pre-clusters, based on which we construct the clusters.

*Definition 8:* For each node  $u$  belonging to the top level of  $G_i(x)$ , the *pre-cluster*  $S_u^i$  is defined to be the set of all nodes in  $G_i(x)$  whose distance from  $u$  is at most  $4d$ .

Now we briefly describe the key idea of our new strategy for the clusters construction, which is similar as the one suggested by Gaşieniec et al. [14] but we derive a better trade-off between the diameters and the number of colors for the constructed *clusters* by growing appropriate pre-clusters.

The growing algorithm executes in  $O(\log d \log n)$  stages, where  $d$  is the diameter of the pre-cluster. In Stage  $j$ , where  $1 \leq j \leq \log d \log n$ , a collection of clusters  $C_*^j$  would be created. An arbitrary pre-cluster is chosen as a core of a new cluster  $C_0^j$ . The core  $C_0^j$  is extended, by adding a layer all pre-clusters that intersect with  $C_0^j$  or are at distance at most 1 from  $C_0^j$ , to form a new core and is then further extended similarly. The extension continues as long as the number of new nodes to be added is at least  $\log d$  times than the number of nodes already present in the core  $C_0^j$  which is the key difference on the construction of the clusters with the one in [13, 14], where  $d$  is the diameter of the original pre-cluster  $C_0^j$ ; otherwise, the extension of  $C_0^j$  is terminated and the pre-clusters in the new layer are promoted for consideration in Stage  $j+1$ . Note that in [14] the extension of the core  $C_0^j$  will be continued when the number of new nodes that will be included in the cluster  $C_0^j$  is no less than the number of nodes that have already been in the core  $C_0^j$ . We then grow the clusters  $C_1^j, C_2^j, \dots$  similarly until all pre-clusters are either included in a cluster or promoted to Stage  $j+1$ .

It can be easily observed that each cluster is a union of some pre-clusters; each pre-cluster belongs to exactly one cluster; and each cluster is a connected sub-graph of  $G$ . A more detailed analysis of the growing process gives in the following theorem.

*Theorem 9:* For every  $d$  considered in the graph of diameter  $D$ , (a) the diameter of each cluster is  $O(d \frac{\log D}{\log d})$ , (b) every node only belongs to  $O(\log d \log D)$  number of clusters, and (c) for any two nodes whose shortest path between them is of length  $d$ , then in at least one of the partitions of the 2-partition, there exists at least one cluster that contains both nodes.

*Proof:* According to the construction of the clusters, we know that the number of iterations for the extension of each core cluster can be bounded by  $O(\frac{\log n}{\log d})$  since the number of new nodes in the core cluster will increase at least by a  $\log d$  factor in each iterations. Combining with the factor that  $n = O(D^2)$  (Lemma 1), the diameter of each cluster can be bounded by  $O(d \frac{\log D}{\log d})$ . In each stage, there are at least  $O(\frac{n}{\log d})$  nodes that will be reduced for the consideration in next stage. Consequently, there are at most  $O(\log d \log n)$  stages needed for the construction of the clusters. According to Lemma 1, we know the property (b) holds. Based on the exactly same arguments in [14], the property (c) for the constructed clusters directly follows. ■

*Lemma 10:* The number of transmissions required

to construct all clusters for different values of  $d$  is  $O(D^5 \log D)$ , where  $D$  is the diameter of the network.

*Proof:* (Sketch) The crucial step in the construction is the growing of a core cluster. First consider the growing for a particular  $d$  value. In Stage 1, to grow a core cluster, we start traversal from the (newly added) nodes in the core cluster for distance  $4d$  to reach all other pre-clusters that have intersection or with distance one apart. This can be done by using  $O(n'nd)$  transmissions, where  $n'$  is the number of (newly added) nodes in the core cluster. Notice that the clusters constructed in the same stage are all disjoint. Therefore, Stage 1 requires at most  $O(n^2d)$  transmissions. After Stage 1, there are at most  $(1 - \frac{1}{\log d})n$  nodes remained. Therefore, the number of transmissions required for a particular  $d$  value is  $O(\sum_{0 \leq j \leq 2 \cdot \log d \log D} ((1 - \frac{1}{\log d})^j n)^2 d) = O(n^2 d \log d)$ . Hence, the total number of transmissions required is  $O(\sum_{0 \leq i \leq \log D} (n^2 \cdot 2^i \cdot i)) = O(n^2 D \log D)$ . Combining with Lemma 1, it directly follows. ■

## 2) Applying SINGLEPREPROCESS() in the clusters:

After constructing the clusters, we apply Procedure SINGLEPREPROCESS() to each of these clusters. This is done by arbitrarily picking one node  $r$  in each cluster, say the central node of the first pre-cluster chosen in the corresponding cluster; and then applying SINGLEPREPROCESS( $r$ ). The following lemmas state the number of transmissions required for this preprocessing and the memory requirement needed in each node.

*Lemma 11:* Applying SINGLEPREPROCESS() to all clusters requires  $O(D^3 \log^2 D)$  transmissions.

*Proof:* By Lemma 5, applying SINGLEPREPROCESS() on a cluster of size  $n'$  and diameter  $O(d \frac{\log D}{\log d})$  takes  $O(n' d \frac{\log D}{\log d})$  transmissions. By Lemma 9 (b), every node belongs to at most  $O(\log d \log D)$  clusters. Therefore, the total number of transmissions required for applying SINGLEPREPROCESS() for a particular  $d$  is  $O(nd \log^2 D) = O(dD^2 \log^2 D)$ . Counting all  $d$  values we use, the total number of transmissions for applying SINGLEPREPROCESS() is  $O(D^3 \log^2 D)$ ; thus, the lemma follows. ■

*Lemma 12:* For any node  $u$  in the graph, the memory size required to store the information of all clusters that  $u$  belongs to is  $O(\log^3 D)$ .

*Proof:* By Lemma 9 (b) and the fact that there are  $O(\log D)$  different  $d$  values, a node only belongs to at most  $O(\log^2 D)$  clusters. Together with Lemma 4 which states that  $O(1)$  memory is required for one cluster, the total memory size required is  $O(\log^3 D)$ ; thus, the lemma follows. ■

*Remark 13:* The multiple-source-queries can be performed in constant space with a poly-logarithmic transmission overhead.

The memory consumption in each node of the network can be reduced to a constant in the following way which is the same approach as in [13]. Note, that the  $O(\log^3 D)$

space requirement comes from the need of use of the clustering system, where each node has to remember the clusters to which it does belong to. In the space efficient solution the set of single nodes is replaced by the set of *super-nodes*, where each super-node is a small cluster of neighbouring nodes of size  $\Theta(\log^3 D)$ . The set of super-nodes is computed as follows. We first build a spanning tree  $T_S$  in  $G = (V, E)$ . Then the algorithm partitions the set of nodes into super-nodes by cutting branches of  $T_S$  of size  $O(\log^3 D)$ . This is always feasible since the maximum degree of  $T_S$  is  $O(1)$ . The super-nodes (each based on a branch from  $T_S$ ) form the set of nodes  $\bar{V}$  in the new graph  $\bar{G} = (\bar{V}, \bar{E})$ . In  $\bar{G}$ , for any  $v, w \in \bar{V}$  a pair  $(v, w) \in \bar{E}$  iff there exist  $x, y \in V$ , s.t.,  $x \in v, y \in w$  and  $(x, y) \in E$ . Note that the maximum degree in  $\bar{G}$  is bounded by  $O(\log^3 D)$ . The original nodes in each super-node play a role of single cells in a distributed memory. The new graph  $\bar{G}$  can be preprocessed similarly as  $G$ . Now, the information about the  $O(\log^3 D)$  clusters can be distributed evenly within each super-node, s.t., each original node stores information about a constant number of the clusters. However on the downside there will be a poly-logarithmic transmission overhead related to the larger degree and to the cost of internal search for an appropriate information in the distributed memory of each super-node.

## B. Procedure MULTIROUTING

After the construction of clusters and the preprocessing, we enter the routing stage. Procedure MULTIROUTING() describes how the routing between any pair of source  $s$  and destination  $t$  runs which is the same approach as in [13] but under a different clustering system.

### Procedure MULTIROUTING( $s, t$ )

- 1) Set  $d = 1$ ;
- 2) While the cluster containing both  $s$  and  $t$  has not been found
  - a) For every cluster with diameter  $O(d \frac{\log D}{\log d})$  that  $s$  belongs to
    - i) Based on the BFS tree in this cluster,  $s$  sends a message to the root  $r$  with information including the coordinates of the destination  $t$ ;
    - ii) Apply SINGLEROUTING( $r, t$ );
    - iii) If  $t$  can be found, the routing is completed and the procedure terminates; otherwise, continue with the next cluster;
  - b) Set  $d = 2 * d$ ;

The following theorem gives the performance of Procedure MULTIROUTING().

*Theorem 14:* For any pair of source  $s$  and destination  $t$ , the communication between  $s$  and  $t$  can be completed using  $O(c \frac{\log D}{\log c})$  transmissions, where  $c$  is the length of

the shortest path from  $s$  to  $t$  and  $D$  is the diameter of the network.

*Proof:* Procedure MULTIROUTING starts from  $d = 1$  and doubles  $d$  in each iteration. By Lemma 9 (c), the largest value of  $d$  tried by MULTIROUTING is at most  $2c$ . Together with Theorem 6, the total number of transmissions required is  $O(\sum_{0 \leq i \leq \lceil \log 2c \rceil} 2^{i \frac{\log D}{i}}) = O(c^{\frac{\log D}{\log c}})$ . Thus, the theorem follows. ■

## V. COMPACT ROUTING IN INTERNET

As mentioned in the Introduction, compact routing techniques for the Internet and for sensor networks can cross-fertilise each other. Due to the space constraint, we only list our result here and will defer the details of our new approach for the compact routing with application in Internet in the journal version of this paper.

*Theorem 15:* For each weighted  $n$ -node graph, and integer  $k \geq 1$ , there is a polynomial time constructible name-independent routing scheme with stretch factor  $10k$  that uses  $O(k^2 \sqrt[n]{n} \log^2 n)$ -register routing tables per node.

Note that our new scheme significantly improves the currently best known solution in [2] that has the stretch factor  $64k$  and the exactly same size for the routing tables at each node.

## VI. CONCLUSION

In this paper, we propose a distributed compact routing scheme with applications in static sensor networks and Internet. Our new routing algorithm can significantly improve the complexity of the currently best known geometric ad-hoc routing algorithm in [13]. Moreover, we also show the interesting observations that the properties of graph (network) can be used to significantly improve the performance of compact routing schemes. We hope our work can stimulate the future work on compact routing in sensor networks and Internet.

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