



# **Nano-Plasmonics: Material Models**

# and Computational Methods

AG Theoretische Optik & Photonik

## Kurt Busch

Humboldt-Universität zu Berlin, Institut für Physik, AG Theoretische Optik & Photonik and

Max-Born-Institut für Nichtlineare Optik und Kurzzeitspektroskopie, Berlin, Germany



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Hybrid Inorganic/Organic Systems for Opto-Electronics



### **Theoretical Optics & Photonics Group**



## **Periodic Nanostructures**

Photonic Crystals Functional Elements Radiation Dynamics



## Metamaterials / Plasmonics

Coupling Mechanisms EELS Nonlinear Metal Optics



## Method Development

## **Quantum Photonics**

Discontinuous Galerkin Methods Fourier Modal Method Operator Exponential Methods Photonic Wannier Functions



Few-Photon Transport Counting Statistics Quantum Field Theory





### Outline



#### Motivation

- Discontinuous Galerkin Time-Domain Approach
- Example: Electron Energy Loss Spectroscopy
- Advanced Modeling: Transition Metals (Magneto-Plasmonics)
- Advanced Modeling: Nonlinear Metal Optics
- Conclusions & Outlook



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#### **Nano-Photonics**



#### Accurate & Efficient Simulation of Nano-Photonics Systems



Opt. Lett. 35, 1094 (2010)



Nature Photonics 2, 614 (2008)



Nano Letters 11, 1323 (2011)



Science 325, 1513 (2009)



Nature Photonics 1, 65 (2007)



#### Propagating Surface Plasmon Polariton

Localized Particle Plasmon Polariton

Graphs taken from Annu. Rev. Phys. Chem. 58, 267 (2007)

Not to forget: Longitudinal (bulk) plasmons



### Nano-Plasmonics: Challenges for Modeling



- Complex Objects: Shape and Material Properties
  - Geometry: Curved Surfaces, Tiny Gaps, Large Aspect Ratios
  - Light-Matter Interaction: Dispersive, Nonlinear, Active Materials
  - Strong Multiple Scattering Effects
  - Multiple Time- and Length-Scales
- Very Few Analytical Solutions Available
- Frequency-Domain Solvers
  - FEM, BEM, MMP, FMM (aka RCWA), DDA, GF, ...
- Time-Domain
  - FDTD, (FVTD), (FETD), (MoL-TD)
  - MicPIC, DFT, TDDFT, Multi-Scale (Hybrid)



### Do not trust Computers, Part I



The Gospel of the SERS Enhancement Factor:







#### Do not trust Computers, Part II





These EM enhancements are

significantly larger than has been reported in most of the past EM studies of fused structures [6, 23, 35], and in fact they suggest that in these systems non-resonant SMSERS would be possible. The reason for this exotic behavior is that the crevice formed by the dimer overlap is incredibly sharp, yet the overlap is not severe enough to exclude the antenna position where strong localization of the EM enhancements occur.

**EM-Field Enhancement:** 

 $(10^{14})^{1/4} \approx 3 * 10^3$ 



## Do not trust Computers, Part III



• Fermi Wavelength of Gold (Longitudinal):

$$\lambda_F=0.5~\mathrm{nm}$$

Tranverse Length Scale:

$$\ell_T = \frac{v_F}{c} \ \lambda = \frac{1.4 * 10^6 \text{ m/s}}{3 * 10^8 \text{m/s}} \ 785 \text{ nm} \approx 3.6 \text{ nm}$$

Typical Parameters:

$$I = \frac{P}{A} = \frac{10 \text{ mW}}{\pi (0.5 \ \mu \text{m})^2} = \frac{E_0^2}{376} = \frac{E_0^2}{Z_0}$$
$$E_0 \approx 2 * 10^6 \ \frac{\text{V}}{\text{m}} \rightarrow E \approx 6 * 10^9 \ \frac{\text{V}}{\text{m}}$$

Electron Tunneling, Nonlocal Effects, Local Heating, Nonlinear Optical Properties?





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Maxwell Equations in Flux-Conservative Form

$$\hat{\mathcal{Q}}\frac{\partial}{\partial t}\begin{pmatrix}\vec{E}\\\vec{H}\end{pmatrix}+\nabla\cdot\vec{\mathcal{F}}(\vec{E},\vec{H})=0$$

$$\hat{\mathcal{Q}} = \begin{pmatrix} \epsilon(\vec{r}) & 0\\ 0 & \mu(\vec{r}) \end{pmatrix} \qquad \mathcal{F}_i = \begin{pmatrix} -\mathbf{e}_i \times \vec{H} \\ \mathbf{e}_i \times \vec{E} \end{pmatrix}$$

#### Tessalate Domain into Triangles/Tetrahedra





• On each Element: Expand Fields into a Nodal Basis

$$\begin{pmatrix} \vec{E}^k(\vec{r},t)\\ \vec{H}^k(\vec{r},t) \end{pmatrix} \approx \tilde{\mathbf{q}}^k(\vec{r},t) = \sum_{j=1}^{N_p} \mathbf{q}^k(\vec{r}_j,t) L_j(\vec{r}) = \sum_{j=1}^{N_p} \tilde{\mathbf{q}}_j^k(t) L_j(\vec{r})$$

Insert into the Maxwell Equations

$$\hat{\mathcal{Q}}\frac{\partial}{\partial t}\begin{pmatrix}\vec{E}^k\\\vec{H}^k\end{pmatrix} + \nabla\cdot\vec{\mathcal{F}}\left(\vec{E}^k,\vec{H}^k\right) = \operatorname{Res}$$





Choose Nodal Points to Minimize Interpolation Errors



T. Warburton, Eng. Math. 56(3),247-262 (2006)





Strong Formulation on Each Element

$$\int_{D^k} \mathrm{d}^3 r \left[ \hat{\mathcal{Q}} \, \frac{\partial}{\partial t} \begin{pmatrix} \vec{E}^k \\ \vec{H}^k \end{pmatrix} + \nabla \cdot \vec{\mathcal{F}} \right] L_j(\vec{r}) = 0$$

Coupling between Elements: Penalty Term

$$\int_{D^k} \mathrm{d}^3 r \left[ \hat{\mathcal{Q}} \, \frac{\partial}{\partial t} \begin{pmatrix} \vec{E} \\ \vec{H} \end{pmatrix} + \nabla \cdot \vec{\mathcal{F}} \right] L_j(\vec{r}) = \oint_{\partial D^k} \mathrm{d}\vec{A} \cdot \vec{\mathcal{P}}^k$$





Strong Formulation on Each Element

$$\int_{D^k} \mathrm{d}^3 r \left[ \hat{\mathcal{Q}} \, \frac{\partial}{\partial t} \begin{pmatrix} \vec{E} \\ \vec{H} \end{pmatrix} + \nabla \cdot \vec{\mathcal{F}} \right] L_j(\vec{r}) = \oint_{\partial D^k} \mathrm{d}\vec{A} \left( \vec{\mathcal{F}} - \vec{\mathcal{F}}^* \right) L_j(\vec{r})$$

• Explicit Time-Stepping Scheme

$$\overline{\frac{\partial}{\partial t} \begin{pmatrix} \vec{E}_{\rm N} \\ \vec{H}_{\rm N} \end{pmatrix}} = \mathcal{H} \begin{pmatrix} \vec{E}_{\rm N} \\ \vec{H}_{\rm N} \end{pmatrix}$$

J. Hesthaven and T. Warburton, J. Comput. Phys. **181**, 186 (2002)







- Determine Numerical Flux
  - → Riemann Problem



The Fields may be Discontinuous: Values on the Edges stored Twice

J. Hesthaven and T. Warburton, Nodal Discontinuous Galerkin Methods, Springer (2008)



### **Required Add-Ons**



- Total Field / Scattered Field Framework
- Sources
- \*Absorbing Boundaries\*:

Uniaxial Perfectly Matched Layers Complex Frequency-Shifted PMLs

- Dispersive Materails: Auxiliary Differential Equations
- Nice to have:

Curved Elements Flexible Time-Stepping Methods Anisotropic Materials



#### **Performance of the DGTD-Approach**







Courtesy of R. Diehl (REM picture from Appl. Phys. Lett. 96, 013303 (2010))



### Performance of the DGTD-Approach





- J. Niegemann et al., Photonics and Nanostructures 7, 2 (2009)
- K. Stannigel et al., Optics Express 17, 14934 (2009)
- R. Diehl et al., J. Comput. Theor. Nanosci. 7, 1572 (2010)
- M. König et al., Photonics and Nanostructures 8, 303 (2010)
- M. König et al., Optics Express **19**, 4618 (2011)
- C. Matyssek et al., Photonics and Nanostructures 9, 367 (2011)
- J. Niegemann et al., J. Comput. Phys. 231, 364 (2012)

Review: K. Busch, M. König, J. Niegemann, Laser & Photonics Reviews 5, 773 (2011)

- Domain: 51x50x13 μm
- 690.000 Tetrahedra
- DOF = 6\*[(n+2)\*(n+3)\*(n+4)/6]\*N
- 4<sup>th</sup>-Order Polynomials:
  - 2.1\*10<sup>8</sup> DOF:
    - → 9 GByte RAM
  - 10 Roundtrips at  $\lambda = 1.3 \ \mu m$ :
    - → 12 d CPU time on a single 12-core node
  - GPU acceleration:
    - → Speedup factor ~30





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#### Assume Free Electron Gas



- Standard Model: Constant Density  $\rightarrow$  Drude Model
- Fixed Jellium Background  $ho^+(ec{r})$  and varying Electron Density  $ho(ec{r},t)$

$$\bar{\rho}(\vec{r},t) = \rho^+(\vec{r}) - \rho(\vec{r},t)$$



#### **Drude Model of Free Electrons**



Free Electrons with Velocity 
$$\vec{v}$$

$$\left(\partial_t + \gamma\right)\vec{v} = -\frac{e}{m}\left(\vec{E} + \vec{v} \times \vec{H}\right)$$

• Coupling to Maxwell's Equations





• Free Electrons with Velocity 
$$\vec{v}$$

$$\left(\partial_t + \gamma\right)\vec{v} = -\frac{e}{m}\left(\vec{E} + \vec{v}\right)$$

**Nonrelativistic Limit** 

Continuum Description

Coupling to Maxwell's Equations





Free Electrons with Velocity 
$$ec{v}$$

$$\left(\partial_t + \gamma\right)\vec{v} = -\frac{e}{m}\left(\vec{E} + \vec{v}\right)$$

**Nonrelativistic Limit** 

• Continuum Description 
$$\vec{j} = \rho_0 \vec{v}(\vec{r}, t)$$

$$\left(\partial_t + \gamma\right)\vec{j} = -\omega_p^2\vec{E}$$

Coupling to Maxwell's Equations





Free Electrons with Velocity 
$$ec{v}$$

$$\left(\partial_t + \gamma\right)\vec{v} = -\frac{e}{m}\left(\vec{E} + \vec{v}\right)$$

**Nonrelativistic Limit** 

Continuum Description 
$$ec{j}=
ho_0ec{v}(ec{r},t)$$
  
 $\left(\partial_t+\gamma\right)ec{j}=-\omega_p^2ec{E}$ 

$$\partial_t \vec{E} = \frac{1}{\epsilon} \vec{\nabla} \times \vec{H} - \vec{j}$$
  
 $\partial_t \vec{H} = -\frac{1}{\mu} \vec{\nabla} \times \vec{E}$ 





Free Electrons with Velocity 
$$ec{v}$$

$$\left(\partial_t + \gamma\right)\vec{v} = -\frac{e}{m}\left(\vec{E} + \vec{v}\right)$$

**Nonrelativistic Limit** 

Continuum Description 
$$\vec{j} = \rho_0 \vec{v}(\vec{r}, t)$$

$$(\partial_t + \gamma) \, \vec{j} = -\omega_p^2 \vec{E}$$

Coupling to Maxwell's Equations

$$\partial_t \vec{E} = \frac{1}{\epsilon} \vec{\nabla} \times \vec{H} - \vec{j}$$
$$\partial_t \vec{H} = -\frac{1}{\mu} \vec{\nabla} \times \vec{E}$$

#### Linear and Local Model for the Optical Response of Metals





Dielectric Function of Gold: Good Description in the Infrared (and Visible)



• Allows to Determine the Parameters  $\gamma$ ,  $\omega_p$  from Experiment P. B. Johnson and R. W. Christy, Phys. Rev. B 6, 4370 (1972)





Aluminum-Nanosphere, Radius 10nm







- Electron Energy Loss Spectroscopy via DGTD
- Electron Energy Loss

$$\Delta E = \int_{0}^{\infty} d\omega \ \hbar \omega P(\omega) \sim 5 \dots 25 \text{eV}$$

Electron Energy Loss Probability

$$P(\omega) = \frac{e}{\pi \hbar \omega} \int dt \, \Re\{e^{-i\omega t} \vec{v} \cdot \vec{E}^{\,\text{ind}}(\vec{r_e}(t), \omega)\}$$

Scattering Angle

$$\theta_E \approx \frac{\Delta E}{E} \cdot 0.1 \,\mathrm{mrad}$$

→ No-Recoil Approximation:  $\vec{v}(t) = \text{const.}$ 

Beyond No-Recoil Approximation: PIC





#### Movie: EELS on a single aluminium sphere













C. Matyssek, J. Niegemann, W. Hergert, K. Busch, Photonics and Nanostructures **9**, 367 (2011)





#### Movie: EELS on an aluminium sphere dimer


## **EELS: Experiment and Theory**





F. von Cube et al., Optical Materials Express 1, 1009 (2011)



# **EELS: Experiment and Theory**





F. von Cube et al., Optical Materials Express 1, 1009 (2011)



# **EELS: Experiment and Theory**





F. von Cube et al., Optical Materials Express 1, 1009 (2011)



## **EELS: Coupling between Split-Ring Resonators**





F. von Cube et al., Nano Lett. 13, 703 (2013)



# **EELS: Coupling between Split-Ring Resonators**





F. von Cube et al., Nano Lett. 13, 703 (2013)



# Cathodoluminescence via DGTD



Gold-Nanosphere, Radius 10nm





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Drude Model

 $\left(\partial_t + \gamma\right)\vec{j} = -\omega_p^2\vec{E}$ 





Drude Model

$$\left(\partial_t + \gamma\right)\vec{j} = -\omega_p^2\vec{E}$$

Transition Metals: Correlated Electron Dynamics leads to Memory Effects

$$(\partial_t + \gamma) \vec{j} = \int_0^\infty \mathrm{d}s \, Z(s) \vec{E}(t-s)$$





Drude Model

$$\left(\partial_t + \gamma\right)\vec{j} = -\omega_p^2\vec{E}$$

Transition Metals: Correlated Electron Dynamics leads to Memory Effects

$$(\partial_t + \gamma) \vec{j} = \int_0^\infty \mathrm{d}s \, Z(s) \vec{E}(t-s)$$

Characteristic Time Scale set by Correlation Length

$$au = \ell_c / v_F \approx 1 ext{ fsec}$$





Drude Model

$$\left(\partial_t + \gamma\right)\vec{j} = -\omega_p^2\vec{E}$$

- Transition Metals: Correlated Electron Dynamics leads to Memory Effects  $(\partial_t + \gamma) \, \vec{j} = \int_0^\infty \mathrm{d}s \, Z(s) \vec{E}(t-s)$
- Characteristic Time Scale set by Correlation Length  $au = \ell_c / v_F \approx 1 \; {
  m fsec}$
- Drude Model plus Retardation

$$\left(\partial_t + \gamma\right)\vec{j} = -\omega_p^2\left(1 + \tau\partial_t\right)\vec{E}$$

 $\rightarrow$  Additional Fit Parameter:  $\tau$  (isotropic response)















#### **Transition Metals: Magneto-Optic Response**

Drude Model plus Retardation

$$\left(\partial_t + \gamma\right)\vec{j} = -\omega_p^2 \left(1 + \tau\partial_t\right)\vec{E}$$

Primary Source of Anisotropic Behavior: Lorentz Force

$$\left(\partial_t + \gamma\right)\vec{j} = -\omega_p^2\left(1 + \tau\partial_t\right)\vec{E} + \vec{\phantom{x}}\times\vec{j}$$

$$\vec{} = -\frac{e}{m}\vec{B}_{\text{ext}}$$

 $\rightarrow$  Additional Fit Parameter: e/m (magneto-optic response)

- Higher-Order Corrections: Spin-Orbit Coupling (Ongoing Work)
- Methodology also Applicable to Lorentz-Oscillator Model

   Interband Transitions



#### Nickel: Magneto-Optic Response





C. Wolff et al., Opt. Express, in press



## **Magneto-Optics of Nickel Anti-Dot Arrays**





C. Wolff et al., Opt. Express, in press

Experimental data courtesy of G. Ctistis: Opt. Express 23, 23867 (2011)



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## **Nonlinear Metal Optics**





W. Cai et al., Science 333, 1720 (2011)

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Electron charge density no longer fixed. Instead

$$\bar{\rho}(\vec{r},t) = \rho^+(\vec{r}) - \rho(\vec{r},t)$$





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Conservation of Charge

$$\partial_t \rho + \vec{\nabla} \cdot \vec{j} = 0$$





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Conservation of Charge

$$\partial_t \rho + \vec{\nabla} \cdot \vec{j} = 0$$

Conservation of Momentum (Euler equation)

$$\left(\partial_t + \gamma\right)\vec{j} + \vec{\nabla}\cdot\left(\frac{\vec{j} \quad \vec{j}}{\rho}\right) = -\frac{e}{m}\left(\rho\vec{E} + \vec{j}\times\vec{H}\right) - \frac{1}{m}\vec{\nabla}p$$





Electron charge density no longer fixed. Instead

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Closure: Thomas-Fermi Pressure

$$p(\rho) = e \frac{(2\pi^2)^{2/3}\hbar^2}{5m} \rho^{5/3}$$

J. Sipe et al., Phys. Rev. B **21**, 4389 (1980)





- Rigorous Derivation from the Boltzmann Equation
- Nonlocal and Nonlinear Terms

$$\left(\partial_t + \gamma\right)\vec{j} + \vec{\nabla}\cdot\left(\frac{\vec{j} \quad \vec{j}}{\rho}\right) = -\frac{e}{m}\left(\rho\vec{E} + \vec{j}\times\vec{H}\right) - \frac{1}{m}\vec{\nabla}p$$

- Perturbation Theory:  $\vec{E}(\vec{r},\omega) = \vec{E}_0 + \vec{E}_1 e^{i\omega t} + \vec{E}_2 e^{2i\omega t}$ 
  - 0th Order: Thomas-Fermi Model (Static Electron Density Distribution)
  - 1st Order: Drude Model → Fixes all Free Parameters
  - Higher Orders: Higher-Harmonic Generation (SHG, THG)
- Quantum Mechanical Generalization
  - G. Manfredi and F. Haas, Phys. Rev. B 64, 075316 (2001)





## **Nonlinear Optics of Metal Nano-Structures**



Maxwell's Equations

$$\partial_t \vec{E} = rac{1}{\epsilon} \vec{
abla} imes \vec{H} - \vec{j}$$
 $\partial_t \vec{H} = -rac{1}{\mu} \vec{
abla} imes \vec{E}$ 
 $\vec{
abla} \cdot \vec{E} = \bar{
ho}$ 
 $\vec{
abla} \cdot \vec{H} = 0$ 

Free Electrons as a Plasma ("Hard Wall BCs")

$$\begin{aligned} \partial_t \rho + \nabla \cdot \vec{j} &= 0\\ (\partial_t + \gamma) \, \vec{j} + \nabla \cdot \left( \frac{\vec{j} \quad \vec{j}}{\rho} \right) &= -\frac{e}{m} \left( \rho \vec{E} + \vec{j} \times \vec{H} \right) - \frac{1}{m} \nabla p\\ p(\vec{r}, t) &= \zeta \left[ \rho(\vec{r}, t) \right]^{5/3} \end{aligned}$$



## Gold Cylinder: Radius 5 nm





 $h_{max} = 5.0 \text{ nm}$ 



<u>Movie ( $h_{max} = 5.0 \text{ nm}, p = 3$ )</u>

Need to resolve the longitudinal (bulk) plasmons

Movie (
$$h_{max} = 1.0 \text{ nm}, p = 3$$
)

 $h_{max} = 1.0 \text{ nm}$ 

 $h_{max} = 3.0 \text{ nm}$ 



# **Resolving Longitudinal (Bulk) Plasmons**



## <u>Movie: $h_{max} = 5.0 \text{ nm vs. } h_{max} = 1.0 \text{ nm}$ </u>

#### Electron Density @Second Harmonic



 $h_{max} = 5.0 \text{ nm}$ 



 $h_{max} = 3.0 \text{ nm}$ 



 $h_{max} = 1.0 \text{ nm}$ 

Courtesy of Christian Wolff



## **Resolving Longitudinal (Bulk) Plasmons**





MicPIC computations from the group of Thomas Fennel: New J. Phys. **14**, 065011 (2012)



## Gold Dimer (Cylinder Radius 10 nm)





# <u>Movie: $E_0 = 2*10^{10}$ V/m, Gap = 4 nm</u>

#### Courtesy of Christian Wolff



I I I I I I I I I

#### Electron Density @SHG



|Electric Field| @SHG



## Gold Dimer (Cylinder Radius 20 nm)









Electron Density @SHG |Electric Field| @SHG

Courtesy of Christian Wolff



#### **Gold Dimer: Nonlinear Spectra**





Courtesy of Christian Wolff



# **Conclusions & Outlook**



- Discontinuous Galerkin Time-Domain Approach
- Examples
  - Electron Energy Loss Spectroscopy
  - Cathodoluminescence
- Transition Material Modeling
  - Isotropic Response: Drude Model plus Retardation
  - Magneto-Optic Response
- Nonlinear Hydrodynamic Model for Conduction Electrons
  - Particle Plasmon Polaritons & Bulk Plasmons
  - Wave Mixing
  - Outlook: "Soft Walls"











## Hydrodynamic Model – Treatment of Surfaces

$$\begin{aligned} \partial_t \rho + \nabla \cdot \dot{j} &= 0\\ (\partial_t + \gamma) \, \vec{j} + \nabla \cdot \left(\frac{\vec{j} \quad \vec{j}}{\rho}\right) &= -\frac{e}{m} \left(\rho \vec{E} + \vec{j} \times \vec{H}\right) - \frac{1}{m} \nabla p\\ p(\vec{r}, t) &= \zeta \left[\rho(\vec{r}, t)\right]^{5/3} \qquad \zeta = \frac{\hbar^2}{5m} \left(3\pi^2\right)^{3/2} \end{aligned}$$

#### Initial Condition: 0<sup>th</sup>-Order Equation

$$\nabla \cdot \vec{E}_0(\vec{r}) = -e \left( \rho_0(\vec{r}) - \rho^+(\vec{r}) \right) \\ \lambda_{\rm TF} = \left( \frac{\pi^4}{3\bar{n}} \right)^{1/6} \left( \frac{\epsilon_0 \hbar^2}{me^2} \right)^{1/2}$$
$$\nabla \rho_0(\vec{r}) = -\frac{3e}{5\zeta} \rho_0^{1/3}(\vec{r}) \vec{E}_0(\vec{r})$$



## Hydrodynamic Model – Equilibrium Density





Courtesy of Timo Köllner



## Hydrodynamic Model – Equilibrium Density





Courtesy of Timo Köllner








## **Straight-Sided vs. Curvilinear Elements**





#### Scattering by a Silver Sphere (r = 50nm)





### **Performance of the DGTD-Approach**









Comparison with FDTD: MEEP: Memory ~100, Speed ~8 Commercial Codes: Even worse







### **Performance of the DGTD-Approach**





K. R. Hiremath, J. Niegemann, and K. Busch, Optics Express 19, 8641 (2011)







# Metamaterials: Single-Particle Spectroscopy





0.0

1.1

1.2

1.3

Wavelength  $\lambda$  (µm)

M. Husnik et al., Nature Photonics 2, 614 (2008)

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1.5

1.6

1.4



### Metamaterials: Single-Particle Spectroscopy





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## Interaction between SRRs: Experiment vs. Theory





N. Feth et al., Optics Express 18, 6545 (2010)



### **Role of Resistance**





M. Husnik et al., Phys. Rev. Lett. 109, 233902 (2012)