Validation of an Automatic Video Monitoring System for the Detection of Instrumental Activities of Daily Living in Dementia Patients

- ⁵ Alexandra König^{a,b,*}, Carlos Fernando Crispim Junior^d, Alexandre Derreumaux^a, Gregory
- ⁶ Bensadoun^a, Pierre-David Petit^a, François Bremond^{a,d}, Renaud David^{a,c}, Frans Verhey^b, Pauline
- 7 Aalten^b and Philippe Robert^{a,c}
- ⁸ ^aEA CoBTeK, University of Nice Sophia Antipolis, France
- ⁹ ^bSchool for Mental Health and Neuroscience, Alzheimer Center Limburg, Maastricht University Medical Center,
- 10 Maastricht, The Netherlands
- ¹¹ ^cCentre Mémoire de Ressources et de Recherche, CHU de Nice, Nice, France
- ¹² ^dINRIA STARS team Sophia Antipolis, France

Accepted 17 September 2014

Abstract. Over the last few years, the use of new technologies for the support of elderly people and in particular dementia 13 patients received increasing interest. We investigated the use of a video monitoring system for automatic event recognition for 14 the assessment of instrumental activities of daily living (IADL) in dementia patients. Participants (19 healthy subjects (HC) 15 and 19 mild cognitive impairment (MCI) patients) had to carry out a standardized scenario consisting of several IADLs such 16 as making a phone call while they were recorded by 2D video cameras. After the recording session, data was processed by a 17 platform of video signal analysis in order to extract kinematic parameters detecting activities undertaken by the participant. We 18 compared our automated activity quality prediction as well as cognitive health prediction with direct observation annotation 19 and neuropsychological assessment scores. With a sensitivity of 85.31% and a precision of 75.90%, the overall activities were 20 21 correctly automatically detected. Activity frequency differed significantly between MCI and HC participants (p < 0.05). In all activities, differences in the execution time could be identified in the manually and automatically extracted data. We obtained 22 statistically significant correlations between manually as automatically extracted parameters and neuropsychological test scores 23 (p < 0.05). However, no significant differences were found between the groups according to the IADL scale. The results suggest 24 that it is possible to assess IADL functioning with the help of an automatic video monitoring system and that even based on the 25 extracted data, significant group differences can be obtained. 26

Keywords: Alzheimer's disease, assessment, autonomy, dementia, mild cognitive impairment, information and communication
 technologies, instrumental activities of daily living, video analyses

INTRODUCTION

*Correspondence to: Alexandra König, School for Mental Health and Neuroscience, Alzheimer Center Limburg, Maastricht, EA CoBTek - Centre Mémoire de Ressources et de Recherche, Institut Claude Pompidou, 10 Rue Molière, 06100 Nice, France. Tel.: +33 0 4 92 03 47 70; Fax: +33 0 4 92 03 47 72; E-mail: a.konig@maastrichtuniversity.nl.

The increase of persons with dementia is accompanied by the need to identify methods that allow for an easy and affordable detection of decline in functionality in the disorder's early stages. Consequently, the development of computerized assessment systems for

29

30

31

32

33

the elderly is of high interest, and represents a promis-35 ing new research domain that aims to provide clinicians 36 with assessment results of higher ecological validity. 37 Dementia is one of the major challenges affecting 38 the quality of life of the elderly and their caregivers. 39 Progressive decline in cognitive function represents a 40 key symptom and results often in the inability to per-41 form activities of daily living (ADL) and instrumental 42 activities of daily living (IADL) [1] such as managing 43 finances or cooking. 44

Many efforts are currently being undertaken to 45 investigate dementia pathology and develop efficient 46 treatment strategies considering its rapidly increasing 47 prevalence. Mild cognitive impairment (MCI) [2-4] 48 is considered as a pre-dementia stage for Alzheimer's 49 disease (AD), as many MCI patients convert to AD 50 over time [5]. Studies show that impairment in complex 51 functional tasks, notably due to slower speed of execu-52 tion [6], may already be detectable in the early stages 53 of cognitive decline and therefore gradually becomes 54 an important target in clinical assessments [7, 8]. Rat-55 56 ing scales and questionnaires constitute the essential tools for the assessment and monitoring of symptoms, 57 treatment effects, as well as (I)ADL functioning. 58 Nevertheless, changes in (I)ADL functioning 59 observed in MCI may be too subtle to be detected by 60 traditional measures assessing global ADLs [9, 10]. 61 Thus, standard tools are limited to some extent in eco-62 logical validity, reproducibility, and objectivity [11]. 63 They do not fully capture the complexity of a patient's 64 cognitive, behavioral, and functional statuses, which 65 do not always evolve in parallel but rather idiosyncrat-66 ically. 67

To overcome these problems, Schmitter-Edgecombe
 et al. developed a naturalistic task in a real world setting
 to examine everyday functioning in individuals with
 MCI using direct observation methods [12]. However,
 this method can also suffer from possible observation
 biases and difficulties in reproducibility.

For this reason, information and communication 74 technology (ICT) involving imaging and video pro-75 cessing could be of interest by adding more objectively 76 measured data to the diagnostic procedure. Functional-77 ity in (I)ADL, which is very closely linked to executive 78 functions [13, 14], may be reflected in activity pat-79 terns measurable through computerized systems such 80 as automatic video detection of activities. 81

Dawadi et al. showed that it is possible to automatically quantify the task quality of daily activities and to perform limited assessment of the cognitive functioning of individuals in a 'smart' home environment (equipped with various sensors) as long as the activities are properly chosen and the learning algorithms are appropriately trained [15]. Sablier and colleagues developed a technological solution designed for people with difficulties managing ADL, providing a schedule manager as well as the possibility to report occurrences of experiences of symptoms such as depression and agitation [16]. However, indicators of cognitive functioning and autonomy were measured using a test battery and scales [16]. Okahashi et al. created a Virtual Shopping Test-using virtual reality technology to assess cognitive functions in brain-injured patients-correlating variables on the virtual test with scores of conventional assessments of attention and memory [17]. Similar work has been done by Werner et al. using a virtual action planning Supermarket game for the diagnosis of MCI patients [18].

87

88

89

90

91

92

93

94

95

96

97

98

99

100

101

102

103

104

105

106

107

108

109

110

111

112

113

114

115

116

117

118

119

120

121

122

123

124

125

126

127

128

129

130

131

132

133

134

135

136

137

138

Along this line, a project was launched under the name Sweet-HOME (2012), defining a standardized scenario where patients are asked to carry out a list of autonomy relevant (I)ADLs, such as preparing tea, making a phone call, or writing a check, in an experimental room equipped with video sensors. Within this project, Sacco et al. performed a functional assessment with the help of visual analyses by computing a DAS (Daily Activity Scenario) score able to differentiate MCI from healthy control (HC) subjects [19]. However, analysis was based purely on annotations made by a direct observer, and therefore still risked lack of objectivity and reliability. Automatic, computer-based video analysis, which allows for the recognition of certain events and patients' behavioral patterns, may offer a new solution to the aforementioned assessment problems.

To date, automatic video event recognition has been employed in clinical practice simply for feasibility studies with small samples [20–22]. Banerjee et al. presented video-monitoring for fall detection in hospital rooms by extracting features from depth information provided by a camera [23]. Wang et al. used automatic vision analyses for gait assessment using two cameras to differentiate between the gait patterns of residents participating in realistic scenarios [22].

In order to further evaluate the potential contribution of such technologies for clinical practice, this study aims to validate the use of automatic video analyses for the detection of IADL performance within a larger group of MCI patients and HC subjects carrying out a predefined set of activities. More specifically, the objectives of the study are (1) to compare IADL performances of elderly HC subjects and patients with MCI in a predefined scenario; (2) to compare automatically extracted video data with so-called 'ground-truth'

(GT) annotations made manually by a human observer; 139 and (3) to assess the importance of automatic video 140 analyses data for the differentiation between the two 141 populations. As a secondary objective, we investigate 142 the relationship between the participants' performance 143 in the scenario and the results of classical neuropsy-144 chological testing, in order to verify whether or not the 145 performance in the created scenario is associated with 146 the status of cognitive functioning. 147

We expect automatically extracted video detection 148 to achieve results as GT annotations when differenti-149 ating between the MCI group and the HC group. We 150 also hypothesize that individuals with MCI will per-151 form poorer in the predefined IADL scenario than HC 152 subjects and that difficulties in executive functioning 153 will be related to the amount of completed activities. 154 Further, we expect a significant relationship between 155 the video captured performance in the scenario and the 156 classical neuropsychological test results such as the 157 Frontal Assessment Battery (FAB) [24] or the Mini-158 Mental State Examination (MMSE) [25] and IADL 159 scales [26]. 160

161 METHODS

162 Participants

The study was approved by the local Nice ethics 163 committee and only participants with the capacity to 164 consent to the study were included. Each participant 165 gave informed consent before the first assessment. Par-166 ticipants aged 65 or older were recruited at the memory 167 center in Nice located at the Geriatric Department of 168 the University Hospital. For the MCI group, patients 169 with a MMSE score higher than 24 were included 170 using the Petersen clinical criteria [4]. Participants 171 were excluded if they had any history of head trauma, 172 loss of consciousness, psychotic aberrant motor behav-173 ior, or a score higher than 0 on the Unified Parkinson's 174 Disease Rating scale (UPDRS) [27] in order to control 175 for any possible motor disorders influencing the ability 176 to carry out IADLs. 177

178 Assessments

Participants were administered a cognitive and
behavioral examination prior to completing the video
monitoring session. General cognitive status was
assessed using neuropsychological tests including:
MMSE [25], Frontal Assessment Battery (FAB) [24],
Instrumental Activities of Daily Living scale (IADL-E)
[28], Montgometry-Asberg Depression Rating Scale

(MADRS) [29], and Geriatric Depression Scale (GDS) to assess depression levels [30]. Additionally, neuropsychiatric symptoms were assessed using the Neuropsychiatric Inventory Scale (NPI) [31].

Clinical scenario: The ecological assessment

The ecological assessment of IADLs was conducted 191 in an observation room located in the Nice Research 192 Memory Center. This room was equipped with every-193 day objects for use in ADLs and IADLs, e.g., an 194 armchair, a table, a tea corner, a television, a personal 195 computer, and a library. Two fixed monocular video 196 cameras (eight frames per second) were installed to 197 capture the activity of the participants during the exper-198 iment. Using an instruction sheet, participants had to 199 carry out 10 daily-living-like activities, such as making 200 a phone call or preparing a pillbox, in a particular order 201 within a timeframe of 15 min (Table 1). The aim of this 202 ecological assessment of autonomy was to determine 203 to which extent the participant could undertake a list of 204 daily activities with respect of some constraints after 205 being given a set of instructions. After each participant 206 carried out the scenario, a clinician verified the amount 207 of activities initiated and carried out completely and 208 correctly, as well as repetitions and omissions. The 209 information was manually annotated and entered into 210 the database via a tablet. The scenario was recorded 211 using a 2D-RGB video camera (AXIS, Model P1346, 212 8 frames per second) and a RGB-D camera (Kinect, 213 Microsoft). 214

Table 1 List of the activities proposed to the patient during the ecological assessment

	Daily Living scenario associated with the protocol	
Activities	« Your task is to perform this list of 10 activities in a logical manner within 15 minutes. These 15 minutes represent a typical morning period of everyday life. »	
	 Read the newspaper 	
	– Water the plant	
	 Answer the phone 	
	– Call the taxi	
	 Prepare today's medication 	
	- Make the check for the Electricity Company	
	- Leave the room when you have finished all activities	
~	– Watch TV	
Constraints	– Prepare a hot tea	
	 Write a shopping list for lunch 	
	1. Watch TV before the phone call	
	2. Water the plant just before leaving the room	
	3. Call the taxi which will take 10 min to arrive and	
	ask the driver to bring you to the market	

186

187

188

189

For a more detailed analysis, the main focus was 215 placed particularly on three IADLs, namely prepar-216 ing a pillbox, making a phone call, and preparing 217 tea, because they fall within the commonly used 218 IADL-Lawton scale, and are the most challenging 219 activities for appropriately representing a patient's gen-220 eral autonomy level. However, all other activities were 221 included in the overall IADL assessment procedure and 222 analyses. 223

Automatic video monitoring system and event recognition

In the first step, after each assessment, a clinician 226 manually gathered data of the amount of activities car-227 ried out by the participants. This included parameters 228 such as activity occurrence, activity initiation, and the 229 number of activities carried out completely and cor-230 rectly. In the next step, a computer vision algorithm was 231 used to automatically extract different parameters rep-232 resenting movement patterns of the participants during 233 the ecological assessment period. 234

The Automatic Video Monitoring System (AVMS) 235 herein used has been fully described [32]. It is com-236 posed of two main modules: the vision and the event 237 recognition. The vision module is responsible for 238 detecting and tracking people on the scene. The event 239 recognition module uses the generic constraint-based 240 ontology language proposed by Zouba et al. [33] for 241 event modeling and the reasoning algorithm proposed 242 by Vu and colleagues [34] to describe and detect the 243 activities of daily living of interest in this study. 244

The vision module detects people in the scene using 245 an extension of the Gaussian Mixture Model algo-246 rithm for background subtraction proposed by Nghiem 247 et al. [35]. People tracking over time is performed by a 248 multi-feature algorithm proposed by Chau et al. using 249 features such as 2D size, 3D displacement, color his-250 togram, and dominant color. The detected people and 251 their tracking information (their current and previous 252 positions in the scene) are then passed to the event 253 recognition module [36]. 254

The event recognition module is composed of a 255 framework for event modeling and a temporal scenario 256 recognition algorithm which assess whether the con-257 straints defined in the event models are satisfied [34]. 258 Event models are built taking into account a priori 259 260 knowledge of the experimental scene and attributes dynamically obtained by the vision module. Event 261 modeling follows a declarative and intuitive ontology-262 based language that uses natural terminology to allow 263 end users (e.g., medical experts) to easily add and 264

modify the models. The *a priori* knowledge consists of a decomposition of a 3D projection of the room's floor plan into a set of spatial zones that have semantic information regarding the events of interest (e.g., TV position, armchair position, desk position, tea preparation). The ontology employed by the system hierarchically categorizes event models according to their complexity, described here in ascending order:

- **Primitive State** models an instantaneous value of a property of a person (posture or position inside a certain zone.
- **Composite State** refers to a composition of two or more primitive states.
- **Primitive Event** models a change in a value of person's property (e.g., change in posture to model whether or not a person changes from a Sitting to a Standing state).
- **Composite Event** refers to the composition of two of the previous event model types in terms of a temporal relationship (e.g., Person changes from Sitting to Standing posture before Person in Corridor).

IADL modeling

The semantic information of the observation room where patients conducted the activities of daily living was defined. Contextual or Semantic Elements were defined at the locations where the activities of daily living would be carried out (e.g., telephone zone at top-left corner, tea and plant zones at top-right corner, and pharmacy zone at bottom-left corner).

The activity modeling was performed with the support of domain experts. The models were mostly made taking into account one or more of the following constraints: the presence of the person in a specific zone, their posture, and their proximity to the object of daily living (when static, e.g., the telephone). These constraints were defined as primitive state models. The combination of these models, along with their temporal order, was defined as a composite event. Duration constraints were also used to establish a minimum time of execution for the whole or sub-components of the composite event.

Statistical analysis

Spearman's correlations were performed to determine the association between the extracted video parameters and the established assessment tools in particular for executive functioning, e.g., the FAB.

297

298

299

300

301

302

303

304

305

306

307

308

309

310

311

265

266

267

268

269

339

340

341

342

343

344

345

346

347

Comparison between the two groups (i.e., MCI patients and HC subjects) was performed with a Mann-Whitney test for each outcome variable of the automatic video analyses. Differences were reported as significant if p < 0.05.

317 Automatic activity recognition evaluation

The evaluation compared the performance of the 318 AVMS at automatically detecting IADL with respect 319 to the annotations manually made by human experts. 320 The AVMS performance was measured based on the 32 indices of recall and precision, described in Equations 322 1 and 2, respectively. Recall index measures the per-323 centage of how many of the targeted activities have 324 been detected compared to how many existed. Preci-325 sion index evaluates the performance of the system at 326 discriminating a targeted activity type from others. 327

1. Recall = TP/(TP+FN) 2. Precision = TP/(TP+FP)

TP: True Positive rate, FP: False Positive rate, FN: False Negative rate.

331 RESULTS

328

332 Population

³³³ 19 MCI patients (age = 75.2 ± 4.25) and 19 HC ³³⁴ (age = 71.7 ± 5.4) were included. Table 2 shows the ³³⁵ clinical and demographic data of the participants. Sig-³³⁶ nificant intergroup differences in demographic factors ³³⁷ (gender and age) were not seen. However, significant ³³⁸ differences were found between for the MMSE score, with a mean of 25.8 (\pm 2.2) for the MCI group and 28.8 (\pm 1.0) for the HC group (p, 0.001), as well as for the FAB score with a mean of 14.16 (\pm 1.92) for the MCI group and 16.2 (\pm 1.44) for the HC group. The mean IADL-E scores did not differ between groups, with a mean IADL-E score of 9.9 (\pm 1.7) for the MCI group and 9.6 (\pm 1.1) for the HC group.

Automatic video monitoring results versus ground-truth annotation

The participants performed differently on the IADL 348 scenario according to their diagnostic group; in all 349 three activities (preparing the pillbox, preparing tea, 350 and making/receiving a phone call), the obtained 351 parameters (manually as automatic) showed variations. 352 All results are presented in detail in Table 3. The 353 total frequency of activities as well as the number 354 of correctly completed activities according to man-355 ual annotations differed significantly between MCI and 356 HC groups (p < 0.05). Two activities, namely prepar-357 ing the pillbox and making/receiving the phone call, 358 generally took the MCI participants a longer time to 359 carry out. In turn, for the activity of preparing tea, 360 HC participants took a longer time. The same trends, 361 even if not significant, were detected as well by the 362 automatic video analyses; a significant difference was 363 found between MCI and HC groups (p < 0.05) in the 364 phone call time. Furthermore, MCI and HC partici-365 pants differed in the total amount of detected activities 366 carried out; the same activities, preparing the pillbox 367 and making/receiving a phone call took longer for MCI 368

Table 2 Characteristics of the participants			
Characteristics	HC group $n = 19$	MCI group $n = 19$	р
Female, n (%)	15 (78.9%)	9 (47.4%)	0.091
Age, years mean ST	71.7 ± 5.37	75.2 ± 4.25	0.07
Level of Education, n (%)			
Unknown	2 (10.5%)	2 (10.5%)	1
No formal education	0 (0%)	0 (0%)	_
Elementary school	1 (5.3%)	5 (26.3%)	0.405
Middle school	4 (21.0%)	7 (36.8%)	0.269
High school	4 (21.0%)	3 (15.8%)	1
Post-secondary education	8 (42.1%)	2 (10.5%)	0.062
MMSE, mean \pm SD	28.8 ± 1.03	25.8 ± 2.22	0.001**
FAB, mean \pm SD	16.2 ± 1.44	14.16 ± 1.92	0.002*
IADL-E, mean \pm SD	9.6 ± 1.12	9.9 ± 1.73	0.488
NPI total, mean \pm SD	0.42 ± 1.43	6.16 ± 6.73	0.00*

Data shown as mean \pm SD. Bold characters represent significant *p*-values <0.05. Scores on the Mini Mental State Examination (MMSE) range from 0 to 30, with higher scores indicating better cognitive function; Scores on the Instrumental Activities of Daily Living for Elderly (IADL-E) range from 0 to 36, with lower score indicating a better functional independency; Scores on the Montgomery Asberg Depression Rating Scale (MADRS) range from 0 to 60 (10 items range from 0 to 6), with higher scores indicating depressive state; Scores on the Geriatric Depression Scale (GDS) range from 0 to 30, with higher scores indicating depressive state. HC, healthy control; MCI, mild cognitive impairment.

Video analyses data	HC $n = 19$	MCI <i>n</i> = 19	p
Manually annotated:			
Activities carried out completely and correctly [†]	9.68 ± 0.48	8.21 ± 1.48	0.00*
Activity frequency total ^{\ddagger} (activities initiated) ^{\ddagger}	11.74 ± 2.62	9.58 ± 1.89	0.007*
Preparing Pillbox (f)	1.05 ± 0.23	0.89 ± 0.32	0.086
Preparing Pillbox time	41.17 ± 17.04	46.17 ± 31.18	0.609
Making tea (f)	2.68 ± 0.82	2 ± 1	0.068
Making tea time	41.21 ± 30.60	32.16 ± 35.3	0.175
Phone call (f)	2 ± 0.47	2.21 ± 0.53	0.198
Phone call time	66.61 ± 21.75	83.30 ± 30.96	0.118
Automatically extracted: Activity frequency total	13.26 ± 3.89	10.95 ± 3.15	0.056
Preparing Pillbox (f)	1.05 ± 0.23	1.17 ± 0.38	0.271
Preparing Pillbox time	47.64 ± 22.28	70.26 ± 38.01	0.204
Making tea (f)	2.74 ± 1.33	2.12 ± 1.22	0.136
Making tea time	102.3 ± 77.3	79.57 ± 40.92	0.531
Phone call (f)	1.95 ± 0.52	2.17 ± 0.79	0.38
Phone call time	60.32 ± 21.52	112.61 ± 46.31	0.000*

Table 3
Comparison of parameters from video analyses between groups

Mann-Whitney test: *p < 0.05, **p < 0.01 HC, healthy control; MCI, mild cognitive impairment; (f), mean frequency of detected event; [†]Represents the total amount of completely carried out activities without a mistake, [‡]Represents the total of simply initiated activities which are not always necessarily accomplished completely and without mistakes.

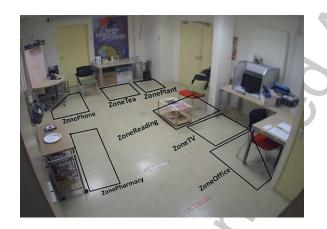


Fig. 1. The experimental room for the IADL assessment. For the automatic activity detection, the room was divided in different zones according to the designated IADL.

participants whereas making tea took longer for the HCgroup.

According to the amount of carried out activi-371 ties and rapidity, the best and worst performers were 372 determined in each group. Next, we investigated if par-373 ticipants that performed well showed a difference in the 374 parameters extracted from the automated video anal-375 yses compared to participants that did not perform as 376 well on the assessment. This, in turn, could help estab-377 lish diagnostic-specific profiles of IADL functioning. 378 The results are presented in Fig. 2. 379

Moreover, the manually and automatically extracted video data parameter 'activity frequency' correlated significantly with neuropsychological test results namely the MMSE (p < 0.01) and FAB score (p < 0.05). The obtained correlation analyses results are presented in Table 4. Particularly, from the manually annotated parameters, the time spent to prepare the pillbox correlated significantly negatively with the MMSE scores (p < 0.01), whereas the time spent to make a phone call correlated significantly negatively with the FAB scores (p < 0.05). The mean frequency of the activity 'making tea' correlated significantly positively with the FAB scores (p < 0.05). From the automatically extracted parameters, the detected time spent to prepare the pillbox (p < 0.01) and to make the phone call (p < 0.05) correlated significantly negatively with the MMSE scores. None of the extracted parameters correlated with the IADL-E scores.

Automatic video monitoring results: Experimental results

Table 5 presents the results of the evaluation of the AVMS with respect to its accuracy at detecting the number of activities of daily living annotated by domain experts while watching the experiment video.

From all 10 proposed activities, 'Reading' was detected automatically with the highest precision of 91.30%, followed by 'Preparing pillbox' with 90.24%, and 'Making phone call' with 89.47%.

DISCUSSION

The presented study demonstrates the additional value of employing new technologies such as automatic video monitoring system in clinical practice for

408

409

410

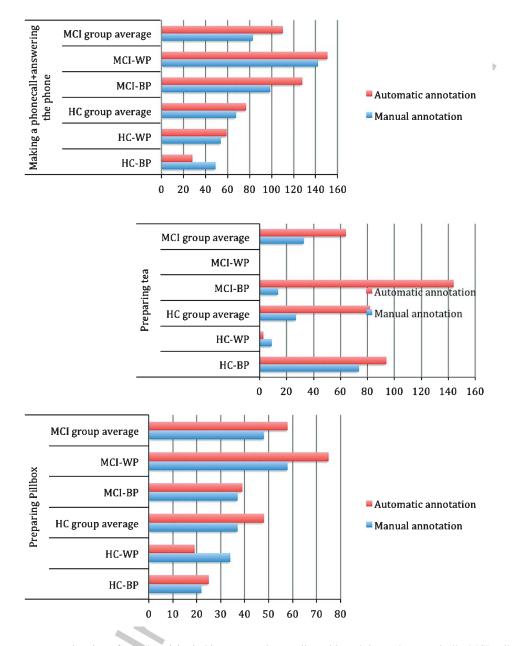


Fig. 2. The average execution times for each activity in blue annotated manually and in red detected automatically. MCI, mild cognitive impairment; WP, worst performer; BP, best performer; HC, healthy control.

the assessment of (I)ADL in dementia patients. The
two main goals of the study were (1) to investigate
if differences in IADL functioning can be detected
between MCI and HC and (2) to compare between
manual and automated assessments of IADL performances in contrast to standard paper scales.

The obtained results demonstrate that significant group differences between MCI and HC participants (even with just a small sample size) can be detected when using such techniques, and this when regular 421 assessment tools such as the IADL-E questionnaire 422 lack sensitivity to detect these group differences. A 423 detection accuracy of up to 90% for the 'Preparing pill-424 box' activity has been achieved validating clearly the 425 use of AVMS for evaluation and monitoring purposes. 426 Furthermore, the correlation analyses demonstrated 427 that extracted parameters, particularly execution times 428 of activities, correlated significantly with neuropsy-429

Video analyses data	MMSE	FAB	IADL-E
Spearman correlation coefficient (r) / p-values			
Manually annotated	<i>(r)</i>	(r)	<i>(r)</i>
Activities frequency	0.491**	0.394*	-0.035
	p = 0.002	p = 0.014	p = 0.834
Activities completed correctly	0.819**	0.660**	-0.107
	p = 0.000	p = 0.000	p = 0.522
Automatically extracted			
Activity frequency	0.415**	0.273*	-0.071
	p = 0.005	p = 0.048	p = 0.337
Manually annotated			
Preparing Pillbox (f)	0.055	0.299	-0.149
	p = 239	p = 0.063	p = 0.127
Preparing Pillbox time	-0.468**	-0.114	-0.179
	p = 0.001	p = 0.409	p = 0.211
Making tea (f)	0.27	0.363*	-0.5
	p = 0.083	p = 0.042	p = 0.391
Making tea time	-0.143	0.053	-0.002
	p = 0.222	p = 0.343	p = 0.396
Phone call (f)	-0.123	-0.235	0.002
	p = 0.128	p = 0.084	p = 0.465
Phone call time	-0.280^{*}	-0.332^{*}	-0.145
	p = 0.044	p = 0.041	p = 0.291
Automatically extracted			
Preparing Pillbox (f)	-0.287^{*}	-0.073	0.125
	p = 0.043	p = 0.295	p = 0.222
Preparing Pillbox time	-0.618**	-0.241	-0.05
	p = 0.001	p = 0.340	p = 0.128
Making tea (f)	0.223	0.221	-0.264
	p = 0.60	p = 0.083	p = 0.051
Making tea time	0.016	-0.101	-0.114
	p = 0.392	p = 0.261	p = 0.197
Phone call (f)	-0.248	0.077	0.158
	p = 0.095	p = 0.330	p = 0.223
Phone call time	-0.373*	-0.277*	-0.054
	P = 0.002	p = 0.049	p = 0.451
* <i>p</i> <0.05, ** <i>p</i> <0.01.			

Table 4 Correlation between automatic video parameters, manually annotated parameters and conventional cognitive assessments (Spearman's correlation coefficient)

Table 5 Activity/Event detection performance

Activity	Recall	Precision
Phone call	85	89.47
Watching TV	83.33	73.77
Making tea	80.9	80
Preparing Pillbox	100	90.24
Watering Plant	75	61.22
Reading	75	91.3
Average Recognition	85.31	75.9

n: 38, MCI: 19 / HC: 19.

chological tests results, namely the MMSE and FABscores.

The study's results were consistent with those previously presented in [32], where a recall of 88.30 and a precision of 71.23 were demonstrated. Although our evaluation results were obtained from different patients and from a larger cohort, small differences were observed in precision index which is higher by $\sim 5\%$, and in the recall index which is lower by 3%. These differences are a result of a trade-off between AVMS precision and recall performance due to a refinement of the event-modeling step. By opting for more strict constraints in such models, we make the system less prone to errors such as misleading evidence. For instance, instead of patients walking toward the plant to water it, they just stretch from the tea table to do so, as this table is just beside the plant.

Activities where the AVMS presented lower precision refer to at least one of two factors: participants performing the activity far from the camera and/or noise from low-level vision components of the AVMS. For example, a few patients stopped close by or inside the activity zones for long periods to read the instructions sheet, which caused false-positive detections of the zone-related activities. In addition, noisy data from

454

low-level vision components sometimes shifted the 455 estimation of the position of participants from their 456 actual location to an activity zone close by, mostly 457 when the participants were far from the camera. For 458 the described problems, possible solutions include 459 the adoption of a probabilistic framework to handle 460 noise and event modeling uncertainty, and a multi-461 sensor approach for cases where the activities are 462 mis-detected by a lack of view of the participants. 463

If we try to interpret the results, it is not surpris-464 ing that MCI participants carried out fewer activities 465 in general and took more time, especially for preparing 466 the pillbox and the phone call, which was detected by 467 the observer as well as by the automatic video analysis. 468 Recent studies demonstrated that even in MCI patients, 469 difficulties in the execution of complex IADL tasks, 470 could be observed and linked to possible early impair-471 ment of executive function [8]. This is further in line 472 with our finding of significant group differences in the 473 studied population (see Table 2) on the FAB, a test that 474 specifically measures levels of executive functioning. 475

Interestingly, the preparing tea activity took longer 476 for HC participants and can be explained by the fact 477 that, for the most part, they correctly completed this 478 activity (which takes at least a minimum of 60 s), 479 whereas MCI patients initiated this activity but did not 480 always finish it completely. Therefore, their execution 481 time was shorter but may serve as an indicator of poor 482 task performance. 483

One major drawback of this study was that healthy 484 control subjects were recruited through the Memory 485 Clinic and therefore suffered in most cases from sub-486 jective memory complaints. However, according to 487 classical assessment tools and diagnostic manual they 488 were cognitively healthy. Thus it is debatable whether 489 or not to classify them as healthy controls, as the 490 MMSE and FAB mean scores for that group were rel-491 492 atively low. Furthermore, the study was only based on a small population size. This does not mean that 493 the chosen parameters were not helpful indicators, and 494 they should be validated with a larger population in the 495 future, potentially combined with other ICT data such 496 as actigraphy [37] or automatic speech analyses [38], 497 given the fact that certain significant group differences 498 could be observed. 499

It can be further argued that the experiment was conducted in an artificial laboratory environment and not in a complete natural setting such as a patient's home. This could have had increased the stress level of the participants and consequently an impact on their IADL performance. It is therefore desirable in the future to conduct this type of assessment in more naturalistic settings, but that may also represent a less controlled environment and therefore a bigger challenge from a technical point of view. Finally, the current study placed less emphasis on multi-tasking in IADL performances, but rather focused more on the simple execution of tasks sequentially. However, in real life, multi-tasking is of great importance and represents complex cognitive processing required for functional ability.

It is important to mention that in the field of auto-515 matic video analysis, it is almost impossible to achieve 516 100% accuracy in the activity recognition, often caused 517 as well by inaccurate manual annotations. The chal-518 lenge is to define, for example, the beginning and the 519 end of an activity, which represents a common problem 520 in video analyses. Nevertheless, the activity detection 521 by video analyses might be actually a much closer rep-522 resentation of the reality and the real events happening 523 than annotations of a human observer because the lat-524 est can be influenced by various confounding factors 525 such as fatigue, distraction, lack of concentration, etc. 526

The advantages of using such techniques are that the application in daily practice is easy and reproducible, and add an objective measure to the assessment of autonomy. Furthermore, this evaluation provides quicker results than manual annotations and could be even used as an outcome measure in clinical trials in order to evaluate the effect of certain treatments (pharmacological and non-pharmacological) on the functioning of IADLs of patients.

Overall, the study showed in particular that manually annotated data gives a more accurate picture of a patient's status to date, and is better validated by traditional diagnostic and neuropsychological assessment tools. This means that qualitative assessments still seem to better correlate with conventional scoring than quantitative video extracted parameters. Until now, the obtained data still needs interpretation of an experienced clinician regarding the quality of the carried out activities. It should be emphasized that this cannot be replaced by technology and is not the objective of this research.

However, in future studies, we aim for improvement in the activity detection with a larger group sample, in particular to improve the detection of the quality of activity execution, i.e., if an activity was carried out successfully and completely.

ACKNOWLEDGMENTS

This study was supported by grants from the ANR-	554
09-TECS-016-01 - TecSan - SWEET HOME, the	555

507

508

509

510

511

512

513

514

527

528

529

530

531

532

533

534

535

536

537

538

539

540

541

542

543

544

545

546

547

548

549

550

551

552

- FP7 Dem@care project, by the Innovation Alzheimer
 associations, by the CoBTek (Cognition Behaviour
 Technology) Research Unit from the Nice SophiaAntipolis University (UNS), the CMRR Nice team and
 by the platform patients of the Nice CHU member of
 the CIU-S.
- Authors' disclosures available online (http://www.jalz.com/disclosures/view.php?id=2560).

564 **REFERENCES**

571

572

573

574

575

576

577

578

579

580

581

582

583

584

585

586

587

588

589

590

591

592

593

594

595

596

597

598

599

600

601

602

603

604

605

606

607

- [1] Reppermund S, Brodaty H, Crawford JD, Kochan NA, Draper
 B, Slavin MJ, Trollor JN, Sachdev PS (2013) Impairment
 in instrumental activities of daily living with high cognitive
 demand is an early marker of mild cognitive impairment: The
 Sydney memory and ageing study. *Psychol Med* 43, 24372445.
 - [2] Albert MS, DeKosky ST, Dickson D, Dubois B, Feldman HH, Fox NC, Gamst A, Holtzman DM, Jagust WJ, Petersen RC, Snyder PJ, Carrillo MC, Thies B, Phelps CH (2011) The diagnosis of mild cognitive impairment due to Alzheimer's disease: Recommendations from the National Institute on Aging-Alzheimer's Association workgroups on diagnostic guidelines for Alzheimer's disease. *Alzheimers Dement* 7, 270-279.
 - [3] Artero S, Petersen R, Touchon J, Ritchie K (2006) Revised criteria for mild cognitive impairment: Validation within a longitudinal population study. *Dement Geriatr Cogn Disord* 22, 465-470.
 - [4] Petersen RC, Smith GE, Waring SC, Ivnik RJ, Tangalos EG, Kokmen E (1999) Mild cognitive impairment: Clinical characterization and outcome. *Arch Neurol* 56, 303-308.
 - [5] Morris JC, Cummings J (2005) Mild cognitive impairment (MCI) represents early-stage Alzheimer's disease. J Alzheimers Dis 7, 235-239; discussion 255-262.
 - [6] Wadley VG, Okonkwo O, Crowe M, Ross-Meadows LA (2008) Mild cognitive impairment and everyday function: Evidence of reduced speed in performing instrumental activities of daily living. *Am J Geriatr Psychiatry* 16, 416-424.
 - [7] Gold DA (2012) An examination of instrumental activities of daily living assessment in older adults and mild cognitive impairment. J Clin Exp Neuropsychol 34, 11-34.
 - [8] Marshall GA, Rentz DM, Frey MT, Locascio JJ, Johnson KA, Sperling RA, Alzheimer's Disease Neuroimaging I (2011) Executive function and instrumental activities of daily living in mild cognitive impairment and Alzheimer's disease. *Alzheimers Dement* 7, 300-308.
 - [9] Burton CL, Strauss E, Bunce D, Hunter MA, Hultsch DF (2009) Functional abilities in older adults with mild cognitive impairment. *Gerontology* 55, 570-581.
 - [10] Jefferson AL, Byerly LK, Vanderhill S, Lambe S, Wong S, Ozonoff A, Karlawish JH (2008) Characterization of activities of daily living in individuals with mild cognitive impairment. *Am J Geriatr Psychiatry* 16, 375-383.
- [11] Sikkes SA, de Lange-de Klerk ES, Pijnenburg YA, Scheltens
 P, Uitdehaag BM (2009) A systematic review of Instrumen tal Activities of Daily Living scales in dementia: Room for
 improvement. J Neurol Neurosurg Psychiatry 80, 7-12.
- [12] Schmitter-Edgecombe M, McAlister C, Weakley A (2012)
 Naturalistic assessment of everyday functioning in individuals
 with mild cognitive impairment: The day-out task. *Neuropsy- chology* 26, 631-641.

- [13] Nelson AP, O'Connor MG (2008) Mild cognitive impairment: A neuropsychological perspective. CNS Spectr 13, 56-64.
- [14] Razani J, Casas R, Wong JT, Lu P, Alessi C, Josephson K (2007) Relationship between executive functioning and activities of daily living in patients with relatively mild dementia. *Appl Neuropsychol* 14, 208-214.
- [15] Dawadi PN, Cook DJ, Schmitter-Edgecombe M, Parsey C (2013) Automated assessment of cognitive health using smart home technologies. *Technol Health Care* 21, 323-343.
- [16] Sablier J, Stip E, Jacquet P, Giroux S, Pigot H, Franck N, Mobus G (2012) Ecological assessments of activities of daily living and personal experiences with Mobus, an assistive technology for cognition: A pilot study in schizophrenia. Assist Technol 24, 67-77.
- [17] Okahashi S, Seki K, Nagano A, Luo Z, Kojima M, Futaki T (2013) A virtual shopping test for realistic assessment of cognitive function. *J Neuroeng Rehabil* 10, 59.
- [18] Werner P, Rabinowitz S, Klinger E, Korczyn AD, Josman N (2009) Use of the virtual action planning supermarket for the diagnosis of mild cognitive impairment: A preliminary study. *Dement Geriatr Cogn Disord* 27, 301-309.
- [19] Sacco G, Joumier V, Darmon N, Dechamps A, Derreumaux A, Lee JH, Piano J, Bordone N, Konig A, Teboul B, David R, Guerin O, Bremond F, Robert P (2012) Detection of activities of daily living impairment in Alzheimer's disease and mild cognitive impairment using information and communication technology. *Clin Interv Aging* 7, 539-549.
- [20] Romdhane R, Mulin E, Derreumeaux A, Zouba N, Piano J, Lee L, Leroi I, Mallea P, David R, Thonnat M, Bremond F, Robert PH (2012) Automatic video monitoring system for assessment of Alzheimer's disease symptoms. *J Nutr Health Aging* 16, 213-218.
- [21] Stone EE, Skubic M (2012) Capturing habitual, in-home gait parameter trends using an inexpensive depth camera. *Conf Proc IEEE Eng Med Biol Soc* 2012, 5106-5109.
- [22] Wang F, Stone E, Dai W, Banerjee T, Giger J, Krampe J, Rantz M, Skubic M (2009) Testing an in-home gait assessment tool for older adults. *Conf Proc IEEE Eng Med Biol Soc* 2009, 6147-6150.
- [23] Banerjee T, Keller JM, Skubic M (2012) Resident identification using kinect depth image data and fuzzy clustering techniques. *Conf Proc IEEE Eng Med Biol Soc* 2012, 5102-5105.
- [24] Dubois B, Slachevsky A, Litvan I, Pillon B (2000) The FAB: A Frontal Assessment Battery at bedside. *Neurology* 55, 1621-1626.
- [25] Folstein MF, Folstein SE, McHugh PR (1975) Mini-mental state. A practical method for grading the cognitive state of patients for the clinician. J Psychiatr Res 12, 189-198.
- [26] Lawton MP, Brody EM (1969) Assessment of older people: Self-maintaining and instrumental activities of daily living. *Gerontologist* 9, 179-186.
- [27] Fahn S, Elton RL (1987) UPDRS program members. Unified Parkinson's Disease Rating Scale. In *Recent developments in Parkinson's disease*, Fahn S MC, Goldstein M, Calne DB, ed. Macmillan Healthcare Information, Florham Park, NJ, pp. 153-163.
- [28] Mathuranath PS, George A, Cherian PJ, Mathew R, Sarma PS (2005) Instrumental activities of daily living scale for dementia screening in elderly people. *Int Psychogeriatr* 17, 461-474.
- [29] Montgomery SA, Asberg M (1979) A new depression scale designed to be sensitive to change. *Br J Psychiatry* 134, 382-389.

680

616

617

- [30] Yesavage JA, Brink TL, Rose TL, Lum O, Huang V, Adey M, Leirer VO (1982) Development and validation of a geriatric depression screening scale: A preliminary report. *J Psychiatr Res* 17, 37-49.
- [31] D'Arcy S (2008) Speech as a means of monitoring cognitive function of elderly subjects. *Interspeech* (Brisbane, Australia).
- [32] Crispim-Junior CF, Bathrinarayanan V, Fosty B, Konig A, Romdhane R, Thonnat M, Bremond F (2013) Evaluation of a monitoring system for event recognition of older people. *10th IEEE International Conference on Advanced Video and Signal-Based Surveillance* (Krakow, Poland).
- [33] Zouba N, Bremond F, Thonnat M (2010) An activity monitoring system for real elderly at home: Validation study. 7th IEEE International Conference on Advanced Video and Signal-Based Surveillance (Boston, USA).
- [34] Vu T, Brémond F, Thonnat M (2003) Automatic video interpretation: A novel algorithm for temporal scenario recognition. The Eighteenth International Joint Conference on Artificial Intelligence (IJCAI'03) (Acapulco, Mexico).

- [35] Nghiem AT, Bremond F, Thonnat M (2009) Controlling background subtraction algorithms for robust object detection. 3rd International Conference on Imaging for Crime Detection and Prevention (London, UK), pp. 1-6.
- [36] Chau DP, Bremond F, Thonnat M (2011) A multi-feature tracking algorithm enabling adaptation to context variations. *International Conference on Imaging for Crime Detection and Prevention.*
- [37] Yakhia M, Konig A, van der Flier WM, Friedman L, Robert PH, David R (2014) Actigraphic motor activity in mild cognitive impairment patients carrying out short functional activity tasks: Comparison between mild cognitive impairment with and without depressive symptoms. *J Alzheimers Dis* 40, 869-875.
- [38] Satt A, Sorin A, Toledo-Ronen O, Barkan O, Kompatsiaris I, Kokonozi A, Tsolaki M (2013) Evaluation of speech-based protocol for detection of early-stage dementia. *Interspeech* (Lyon, France).

700

701

702

703

704

705

706

707

708

709

710

711

712

713

714

715

716

717

699