

# STATISTICS OF SPIKES TRAINS, SYNAPTIC PLASTICITY AND GIBBS DISTRIBUTIONS.

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## Abstract

We introduce a mathematical framework where the statistics of spikes trains, produced by neural networks evolving under synaptic plasticity, can be analysed.

## 1 Introduction.

Synaptic plasticity occurs at many levels of organization and time scales in the nervous system [1]. It is of course involved in memory and learning mechanisms, but it also alters excitability of brain area and regulates behavioural states (e.g. transition between sleep and wakeful activity). Therefore, understanding the effects of synaptic plasticity on neurons dynamics is a crucial challenge. However, the exact relation between the synaptic properties (“microscopic” level) and the effects induced on neurons dynamics (meso-or macroscopic level) is still highly controversial.

On experimental grounds, synaptic changes can be induced by *specific* simulation conditions defined through the firing frequency of pre- and post-synaptic neurons [2, 3], the membrane potential of the postsynaptic neuron [4], spike timing [5, 6, 7] (see [8] for a review). Different mechanisms have been exhibited from the Hebbian’s ones [9] to Long Term Potentiation (LTP) and Long Term Depression (LTD), and more recently to Spike Time Dependent Plasticity (STDP) [6, 7] (see [10, 11, 12] for a review). Most often, these simulation are performed in isolated neurons in *in vitro* conditions. Extrapolating the action of these mechanisms to *in vivo* neural networks requires both a bottom-up and up-bottom approach.

This issue is tackled, on theoretical grounds, by inferring “synaptic updates rules” or “learning rules” from biological observations [13, 1, 14] (see [10, 11, 12] for a review) and extrapolating, by theoretical or numerical investigations, what are the effects of such synaptic rule on such neural network *model*. This approach relies on the belief that there are “canonical neural models” and “canonical plasticity rules” capturing the most essential features of biology. Unfortunately, this results in a plethora of canonical “candidates” and a huge number of papers and controversies. Especially, STDP deserved a long discussion either on its biological interpretation or on its practical implementation [15]. Also, the dis-

ussion of what is relevant for neuron coding and what has to be measured to conclude on the effects of synaptic plasticity is a matter of long debates (rate coding, rank coding, spike coding ?) [16, 17]

In an attempt to clarify and unify the overall vision, some researchers have proposed to associate learning rules and their dynamical effects to general principles, and especially to “variational” or “optimality” principles, where some functional has to be maximised or minimised (known examples of variational principles in physics are least-action or entropy maximization). Dayan and Hausser [18] have shown that STDP can be viewed as an optimal noise-removal filter for certain noise distributions, Rao and Sejnowski [19, 20] suggested that STDP may be related to optimal prediction (a neuron attempts to predict its membrane potential at some time, given the past). Bohte and Mozer [21] proposes that STDP minimizes response variability. Chechik [22] relates STDP to information theory via maximisation of mutual information between input and output spike trains. In the same spirit, Toyozumi et al [23, 24] have proposed to associate STDP to an optimality principle where transmission of information between an ensemble of presynaptic spike trains and the output spike train of the postsynaptic neuron is optimal, given some constraints imposed by biology (such as homeostasy and minimisation in the number of strong synapses, which is costly in view of continued protein synthesis). “Transmission of information” is measured by the mutual information for the joint probability distribution of the input and output spike trains. Therefore, in these “up-bottom” approaches, plasticity rules “emerge” from first principles.

Obviously, the validations of these theories requires a model of neurons dynamics and a statistical model for spike trains. Unfortunately, often only isolated neurons are considered, submitted to input spike trains with ad hoc statistics (typically, Poisson distributed with independent spikes [23, 24]). However, addressing the effect of synaptic plasticity in neural networks where dynamics is *emerging* from collective effects and where spike statistics are *con-*

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strained by this dynamics seems to be of central importance.

This issue is subject to two main difficulties. On the one hand, one must identify the generic dynamical regimes displayed by a neural network model for different choices of parameters (including synaptic strength). On the other hand, one must analyse the effects of varying synaptic weights when applying plasticity rules. This requires to handle a complex interwoven evolution where neurons dynamics depends on synapses and synapses evolution depends on neuron dynamics. The first aspect has been addressed by several authors using mean-field approaches (see [25] and references therein), “markovian approaches” [26], or dynamical system theory (see [27] and references therein). The second aspect has, to the best of our knowledge, been investigated theoretically in only a few examples with hebbian learning [28, 29, 30] or discrete time Integrate and Fire models with an STDP like rule [31, 32].

Following these tracks, we have investigated the dynamical effects of a subclass of synaptic plasticity rules (including some implementations of STDP) in neural networks models where one has a full characterization of the generic dynamics [33, 34]. Thus, our aim is not to provide general statements about synaptic plasticity in biological neural networks. We simply want to have a good mathematical control of what is going on in specific models, with the hope that this analysis should some light on what happens (or *does not* happen) in “real world” neural systems. Using the framework of ergodic theory and thermodynamic formalism, these plasticity rules can be formulated as a variational principle for a quantity, called the topological pressure, closely related to thermodynamic potentials, like free energy or Gibbs potential in statistical physics [35]. As a main consequence of this formalism the statistics of spikes are more likely described by a Gibbs probability distributions than by the classical Poisson distribution.

In this communication, we give a brief outline of this setting and provide a simple illustration. Further developments will be published in an extended paper.

## 2 General framework.

**Neuron dynamics.** In this paper we consider a simple implementation of the leaky Integrate and

Fire model, where time has been discretized. This model has been introduced and analysed by mean-field technics in [32]. A full characterisation of its dynamics has been done in [33] and most of the conclusions extend to discrete time Integrate and Fire models with adaptive conductances [34].

Call  $V_i$  the membrane potential of neuron  $i$ . Fix a firing threshold  $\theta > 0$ . Then the dynamics is given by:

$$V_i(t+1) = \gamma V_i(t) (1 - Z[V_i(t)]) + \sum_{j=1}^N W_{ij} Z[V_j(t)] + I_i^{ext}, \quad (1)$$

$i = 1 \dots N$ , where the “leak rate”  $\gamma \in [0, 1[$  and  $Z(x) = \chi(x \geq \theta)$  where  $\chi$  is the indicatrix function namely,  $Z(x) = 1$  whenever  $x \geq \theta$  and  $Z(x) = 0$  otherwise.  $W_{ij}$  models the synaptic weight from  $j$  to  $i$ . Denote by  $\mathcal{W}$  the matrix of synaptic weights.  $I_i^{ext}$  is some (time independent) external current. Call  $\mathbf{I}^{(ext)}$  the vector of  $I_i^{ext}$ .  $\mathcal{W}, \mathbf{I}^{(ext)}$  are control parameters for the dynamics. To alleviate the notation we write  $\Gamma = (\mathcal{W}, \mathbf{I}^{(ext)})$ . Thus  $\Gamma$  is a point in a  $N^2 + N$  dimensional space of control parameters.

It has been proved in [33] that the dynamics of (1) admits generically finitely many periodic orbits<sup>1</sup>. However, in some region of the parameters space, the period of these orbits can be quite larger than any numerically accessible time, leading to a regime practically indistinguishable from chaos.

**Spikes dynamics.** Provided that synaptic weights and currents are bounded, it is easy to show that the trajectories of (1) stay in a compact set  $\mathcal{M} = [V_{min}, V_{max}]^N$ . For each neuron one can decompose the interval  $\mathcal{I} = [V_{min}, V_{max}]$  into  $\mathcal{I}_0 \cup \mathcal{I}_1$  with  $\mathcal{I}_0 = [V_{min}, \theta[$ ,  $\mathcal{I}_1 = [\theta, V_{max}]$ . If  $V \in \mathcal{I}_0$  the neuron is quiescent, otherwise it fires. This splitting induces a partition  $\mathcal{P}$  of  $\mathcal{M}$ , that we call the “natural partition”. The elements of  $\mathcal{P}$  have the following form. Call  $\Lambda = \{0, 1\}^N$ ,  $\omega = [\omega_i]_{i=1}^N \in \Lambda$ . Then,  $\mathcal{P} = \{\mathcal{P}_\omega\}_{\omega \in \Lambda}$ , where  $\mathcal{P}_\omega = \mathcal{P}_{\omega_1} \times \mathcal{P}_{\omega_2} \times \dots \times \mathcal{P}_{\omega_N}$ . Equivalently, if  $\mathbf{V} \in \mathcal{P}_\omega$ , then all neurons such that  $\omega_i = 1$  are firing while neurons such that  $\omega_i = 0$  are quiescent. We call therefore  $\omega$  a “spiking pattern”. To each initial condition  $\mathbf{V} \in \mathcal{M}$  we associate a “raster plot”  $\tilde{\omega} = \{\omega(t)\}_{t=0}^{+\infty}$  such that  $\mathbf{V}(t) \in \mathcal{P}_{\omega(t)}, \forall t \geq 0$ . We write  $\mathbf{V} \rightarrow \tilde{\omega}$ . Thus,  $\tilde{\omega}$  is the sequence of spiking patterns displayed by the neural network when prepared with the initial condition  $\mathbf{V}$ . We denote by  $[\omega]_{s,t}$  the sequence  $\omega(s), \omega(s+1), \dots, \omega(t)$  of

<sup>1</sup>As a side remark, we would like to point out that this models admits generically a finite Markov partition, which allows to describe the evolution of probability distributions via a Markov chain. This gives some support to the approach developped in [26] though, our analysis also shows, in the present example, that, in opposition to what is assumed in [26], (i) the size of the Markov partition (and especially its finiteness) does not only depend on membrane and synaptic time constants, but on the values of the synaptic weights; (ii) the probability that, exactly  $j$  neurons in the network fire and exactly  $N - j$  neurons does not fire at a given time, does not factorize (see eq. (1) in [26]); (iii) the invariant measure is not unique.

spiking patterns. We say that an infinite sequence  $\tilde{\omega} = \{\omega(t)\}_{t=0}^{+\infty}$  is an *admissible raster plot* if there exists  $\mathbf{V} \in \mathcal{M}$  such that  $\mathbf{V} \rightarrow \tilde{\omega}$ . We call  $\Sigma_{\Gamma}$  the set of admissible raster plots for the parameters  $\Gamma$ . The dynamics on the set of raster plots, induced by (1), is simply the left shift  $\sigma_{\Gamma}$  (i.e.  $\tilde{\omega}' = \sigma_{\Gamma}\tilde{\omega}$  is such that  $\omega'(t) = \omega(t+1), t \geq 0$ ). Note that there is generically a one to one correspondence between the orbits of (1) and the raster plots (i.e. raster plots provide a symbolic coding for the orbits) [33].

**Statistical properties of orbits.** We are interested in the statistical properties of raster plots which are inherited from the statistical properties of orbits of (1) via the correspondence  $\nu[A] = \mu[\{\mathbf{V} \rightarrow \tilde{\omega}, \tilde{\omega} \in A\}]$ , where  $\nu$  is a probability measure on  $\Sigma_{\Gamma}$ ,  $A$  a measurable set (typically a cylinder set) and  $\mu$  an *ergodic* measure on  $\mathcal{M}$ .  $\nu(A)$  can be estimated by the empirical average  $\pi_{\tilde{\omega}}^{(T)}(A) = \frac{1}{T} \sum_{t=1}^T \chi(\sigma_{\Gamma}^t \tilde{\omega} \in A)$  where  $\chi$  is the indicatrix function. We are seeking asymptotic statistical properties corresponding to taking the limit  $T \rightarrow \infty$ . Let :

$$\pi_{\tilde{\omega}}(\cdot) = \lim_{T \rightarrow \infty} \pi_{\tilde{\omega}}^{(T)}(\cdot), \quad (2)$$

be the *empirical measure* for the raster plot  $\tilde{\omega}$ , then  $\nu = \pi_{\tilde{\omega}}$  for  $\nu$ -almost every  $\tilde{\omega}$ .

**Gibbs measures.** The explicit form of  $\nu$  is not known in general. Moreover, one has experimentally only access to finite time raster plots and the convergence to  $\pi_{\tilde{\omega}}$  in (2) can be quite slow. Thus, it is necessary to provide some *a priori* form for the probability  $\nu$ . We call a *statistical model* an ergodic probability measure  $\nu'$  which can serve as a prototype<sup>2</sup> for  $\nu$ . Since there are many ergodic measure, there is not a unique choice for the statistical model. Note also that it depends on the parameters  $\Gamma$ . We want to define a procedure allowing one to select a statistical model.

A *Gibbs measure with potential*<sup>3</sup>  $\psi$  is an ergodic measure  $\nu_{\psi;\Gamma}$  such that  $\nu_{\psi;\Gamma}([\omega]_{1,T}) \sim \frac{\exp \sum_{t=1}^T \psi(\sigma_{\Gamma}^t \tilde{\omega})}{Z_{\Gamma}^{(T)}(\psi)}$  where  $Z_{\Gamma}^{(T)}(\psi) = \sum_{\Sigma_{\Gamma}^{(T)}} \exp \sum_{t=1}^T \psi(\sigma_{\Gamma}^t \tilde{\omega})$ ,  $\Sigma_{\Gamma}^{(T)}$  being the set of admissible spiking patterns sequences of length  $T$ . Note that for the forthcoming discussion it is important to make explicit the dependence of these quantities in the parameters  $\Gamma$ .

The *topological pressure*<sup>4</sup> is the limit  $P_{\Gamma}(\psi) = \lim_{T \rightarrow \infty} \frac{1}{T} \log(Z_{\Gamma}^{(T)}(\psi))$ . If  $\phi$  is another potential

one has :

$$\left. \frac{\partial P_{\Gamma}(\psi + \alpha\phi)}{\partial \alpha} \right|_{\alpha=0} = \nu_{\psi;\Gamma}[\phi], \quad (3)$$

the average value of  $\phi$  with respect to  $\nu_{\psi;\Gamma}$ . The Gibbs measure obeys a *variational principle*. Let  $\nu$  be a  $\sigma_{\Gamma}$ -invariant measure. Call  $h(\nu)$  the entropy of  $\nu$ . Let  $\mathcal{M}_{\Gamma}^{inv}$  be the set of invariant measures for  $\sigma_{\Gamma}$ , then:

$$P_{\Gamma}(\psi) = \sup_{\nu \in \mathcal{M}_{\Gamma}^{inv}} (h(\nu) + \nu(\psi)) = h(\nu_{\psi;\Gamma}) + \nu_{\psi;\Gamma}(\psi). \quad (4)$$

**Adaptation rules.** We consider adaptation mechanisms where synaptic weights evolve in time according to the spikes emitted by the pre- and post-synaptic neuron. The variation of  $W_{ij}$  at time  $t$  is a function of the spiking sequences of neurons  $i$  and  $j$  from time  $t - T$  to time  $t$ , where  $T$  is time scale characterizing the width of the spike trains influencing the synaptic change. In this paper we investigate the effects of synaptic plasticity rules where the characteristic time scale  $T$  is quite a bit larger than the time scale of evolution of the neurons.

On practical/numerical grounds we proceed as follows. Let the neurons evolve over a time windows of width  $T$ , called an “adaptation epoch” during which synaptic weights are constant, and record the spikes trains. From this record, we update the synaptic weights and a new adaptation epoch begins. We denote by  $t$  the update index of neuron states inside an adaptation epoch, while  $\tau$  indicates the update index of synaptic weights. Neuronal time is reset at each new adaptation epoch.

As an example we consider here an adaptation rule of type:

$$\begin{aligned} \delta W_{ij}^{(\tau)} &= W_{ij}^{(\tau+1)} - W_{ij}^{(\tau)} = \\ &\in \left[ (\lambda - 1)W_{ij}^{(\tau)} + \frac{1}{T-2C} g_{ij}([\omega_i]_{0,T}, [\omega_j]_{0,T}) \right]. \end{aligned} \quad (5)$$

The first term parametrized by  $\lambda \in [0, 1]$  mimics passive LTD while:

$$g_{ij}([\omega_i]_{0,T}, [\omega_j]_{0,T}) = \sum_{t=C}^{T-C} \sum_{u=-C}^C f(u) \omega_i(t+u) \omega_j(t),$$

with :

$$f(x) = \begin{cases} A_- e^{-\frac{x}{\tau_-}}, & x < 0; \\ A_+ e^{-\frac{x}{\tau_+}}, & x > 0; \\ 0, & x = 0, \end{cases} \quad (6)$$

<sup>2</sup>e.g. by minimising the Kullback-Leiber divergence between the empirical measure and  $\nu'$ .

<sup>3</sup>Fix  $0 < \Theta < 1$ . Define a metric on  $\Sigma_{\Gamma}$  by  $d_{\Theta}(\tilde{\omega}, \tilde{\omega}') = \Theta^p$ , where  $p$  is the largest integer such that  $\omega(t) = \omega(t')$ ,  $1 \leq t \leq p - 1$ . For a continuous function  $\psi : \Sigma_{\Gamma} \rightarrow \mathbb{R}$  and  $n \geq 1$  define  $var_n \psi = \sup \{ |\psi(\tilde{\omega}) - \psi(\tilde{\omega}')| : \omega(t) = \omega'(t), 1 \leq i \leq n \}$ .  $\psi$  is called a *potential* if  $var_n(\psi) \leq C\Theta^n$ ,  $n = 1, 2, \dots$ , where  $C$  is some positive constant [35].

<sup>4</sup>This quantity is analogous to thermodynamic potentials in statistical mechanics like free energy or the grand potential  $\Omega = U - TS - \mu N = -PV$ , where  $P$  is the thermodynamic pressure. Equation (3) expresses that  $P_{\Gamma}(\psi)$  is the generating function for the cumulants of the probability distribution. Equation (4) relates Gibbs measures to equilibrium states (entropy maximisation under constraints).

and with  $A_- < 0, A_+ > 0$ , provides an example of STDP implementation. Note that since  $f(x)$  becomes negligible as soon as  $x \gg \tau_+$  or  $x \ll -\tau_-$ , we may consider that  $f(u) = 0$  whenever  $|u| > C \stackrel{\text{def}}{=} 2 \max(\tau_+, \tau_-)$ . The parameter  $\epsilon > 0$  is chosen small enough to ensure adiabatic changes while updating synaptic weights.

Updating the synaptic weights has several consequences. It obviously modifies the structure of the synaptic graph with an impact on neurons dynamics. But, it can also modify the statistics of spikes trains and the structure of the set of admissible raster plots. Let us now discuss these effects within more details.

**Dynamical effects of synaptic plasticity.** Set  $S_i(\tilde{\omega}) = \sum_{u=-C}^C f(u)\omega_i(u)$  and  $H_{ij}(\tilde{\omega}) = \omega_j(0)S_i(\tilde{\omega})$ . Then the adaptation rule writes, as  $T \rightarrow \infty$ , in terms of the empirical measure  $\pi_{\tilde{\omega}(\tau)}$ :

$$\delta W_{ij}^{(\tau)} = \epsilon \left( (\lambda - 1)W_{ij}^{(\tau)} + \pi_{\tilde{\omega}(\tau)} [H_{ij}] \right), \quad (7)$$

where  $\tilde{\omega}(\tau)$  is the raster plot produced by neurons in the adaptation epoch  $\tau$ .

The term  $S_i(\tilde{\omega})$  can be either negative, inducing LTD or positive inducing LTP. In particular, its average with respect to the empirical measure  $\pi_{\tilde{\omega}(\tau)}$  reads:

$$\pi_{\tilde{\omega}(\tau)}(S_i) = \beta r_i(\tau) \quad (8)$$

where:

$$\beta = \left[ A_- e^{-\frac{1}{\tau_-}} \frac{1 - e^{-\frac{C}{\tau_-}}}{1 - e^{-\frac{1}{\tau_-}}} + A_+ e^{-\frac{1}{\tau_+}} \frac{1 - e^{-\frac{C}{\tau_+}}}{1 - e^{-\frac{1}{\tau_+}}} \right] \quad (9)$$

and where  $r_i(\tau) = \pi_{\tilde{\omega}(\tau)}(\omega_i)$  is the frequency rate of neuron  $i$  in the  $\tau$ -th adaptation epoch.

The term  $\beta$  neither depend on  $\tilde{\omega}$  nor on  $\tau$ , but only on the adaptation rule parameters  $A_-, A_+, \tau_-, \tau_+, C$ . Equation (8) leads 3 regimes.

- **Cooperative regime.** If  $\beta > 0$  then  $\pi_{\tilde{\omega}(\tau)}(S_i) > 0$ . Then synaptic weights have a tendency to become more positive. This corresponds to a cooperative system [36]. In this case, dynamics become trivial with neurons firing at each time step or remaining quiescent forever.
- **Competitive regime.** On the opposite if  $\beta < 0$  synaptic weights become negative. This corresponds to a competitive system [36]. In this case, neurons remain quiescent forever.
- **Intermediate regime.** The intermediate regime corresponds to  $\beta \sim 0$ . Here no clear cut tendency can be distinguished from the average value of  $S_i$  and spikes correlations have to be considered as well. In this situation, the

effects of synaptic plasticity on neurons dynamics strongly depend on the values of the parameter  $\lambda$ . A detailed discussion of these effects will be published elsewhere. We simply provide an example in Fig. 1.

**Variational form.** We now investigate the effects of the adaptation rule (7) on the statistical distribution of the raster plot. To this purpose we use, as a statistical model for the spike train distribution in the adaptation epoch  $\tau$ , a Gibbs distribution  $\nu_{\psi(\tau)}$  with a potential  $\psi(\tau)$  and a topological pressure  $P(\psi(\tau))$ . Thus, the adaptation dynamics results in a sequence of empirical measures  $\{\pi_{\tilde{\omega}(\tau)}\}_{\tau \geq 0}$ , and corresponding statistical models  $\{\nu_{\psi(\tau)}\}_{\tau \geq 0}$ , corresponding to changes in the statistical properties of raster plots.

These changes can be smooth, in which case the average value of observables changes smoothly. Then, using (3) we may write the adaptation rule (7) in the form:

$$\begin{aligned} \delta \mathcal{W}^{(\tau)} &= \nu_{\psi(\tau)}[\phi] \\ &= \nabla_{\mathcal{W}=\mathcal{W}^{(\tau)}} P[\psi^{(\tau)} + (\mathcal{W} - \mathcal{W}^{(\tau)}) \cdot \phi(\mathcal{W}^{(\tau)}, \cdot)], \end{aligned} \quad (10)$$

where  $\phi$  is the matrix of components  $\phi_{ij}$  with  $\phi_{ij} \equiv \phi_{ij}(\mathcal{W}_{ij}, \tilde{\omega}) = \epsilon \left( (\lambda - 1)W_{ij} + H_{ij} \right)$  and where we use the notation  $(\mathcal{W} - \mathcal{W}^{(\tau)}) \cdot \phi = \sum_{i,j=1}^N (W_{ij} - W_{ij}^{(\tau)}) \phi_{ij}$ .

This amounts to slightly perturb the potential  $\psi(\tau)$  with a perturbation  $(\mathcal{W} - \mathcal{W}^{(\tau)}) \cdot \phi$ . In this case, for sufficiently small  $\epsilon$  in the adaptation rule, the variation of pressure between epoch  $\tau$  and  $\tau + 1$  is given by:

$$\delta P^{(\tau)} \sim \delta \mathcal{W}^{(\tau)} \cdot \nu_{\psi(\tau)}[\phi] = \delta \mathcal{W}^{(\tau)} \cdot \delta \mathcal{W}^{(\tau)} > 0$$

Therefore the adaptation rule is a *gradient system which tends to maximize the topological pressure*.

The effects of modifying synaptic weights can also be sharp (corresponding typically to bifurcations in (1)). We observe in fact phases of regular variations interrupted by singular transitions (see fig. 1). These transitions have an interesting interpretation. When they happen the set of admissible raster plots is typically suddenly modified by the adaptation rule. Thus, the set of admissible raster plots obtained after adaptation belongs to  $\Sigma_{\Gamma(\tau)} \cap \Sigma_{\Gamma(\tau+1)}$ . In this way, adaptation plays the role of a *selective mechanism* where the set of admissible raster plots, viewed as a neural code, is gradually reducing, producing after  $n$  steps of adaptation a set  $\cap_{\tau=1}^n \Sigma_{\Gamma(\tau)}$  which can be small (but not empty).

If we consider the situation where (1) is a neural network submitted to some stimulus, where a raster plot  $\tilde{\omega}$  encodes the spike response to the stimulus when the system was prepared in the initial condition  $\mathbf{X} \rightarrow \tilde{\omega}$ , then  $\Sigma_{\Gamma}$  is the set of all possible

raster plots encoding this stimulus. This illustrates how adaptation results in a reduction of the possible coding, thus reducing the variability in the possible responses. This property has also been observed in [28, 32, 29, 30]

**Equilibrium distribution.** An “equilibrium point” is defined by  $\delta\mathcal{W} = 0$  and it corresponds to a maximum of the pressure with :

$$\nu^*[\phi] = 0,$$

where  $\nu^*$  is a Gibbs measure with potential  $\psi^*$ . This implies:

$$\mathcal{W}^* = \frac{1}{1-\lambda} \nu^*[\mathcal{H}] \quad (11)$$

which gives, component by component, and making  $\mathcal{H}$  explicit:

$$W_{ij}^* = \frac{1}{1-\lambda} \sum_{u=-C}^C f(u) \nu^*[\omega_j(0)\omega_i(u)], \quad (12)$$

where  $\nu^*[\omega_j(0)\omega_i(u)]$  is the probability, in the asymptotic regime, that neuron  $i$  fires at time  $u$  and neuron  $j$  fires at time 0. Hence, in this case, the synaptic weights are purely expressed in terms of *neurons pairs correlations*. As a further consequence the equilibrium is described by a Gibbs distribution with potential  $\phi$ .

Therefore, if the adaptation rule (5) converges<sup>5</sup> to an equilibrium point as  $\tau \rightarrow \infty$ , it leads naturally the system to a dynamics where raster plots are distributed according to Gibbs distribution, with a pair potential having some analogy with an Ising model Hamiltonian. This makes a very interesting link with the work of Schneidman et al [37] where they show, using theoretical arguments as well as empirical evidences on experimental data for the salamander retina, that spike trains statistics is likely described by a Gibbs distribution with an “Ising” like potential (see also [38]).

### 3 Conclusion.

In this paper, we have introduced a mathematical framework where spikes trains statistics, produced by neural networks, possibly evolving under synaptic plasticity, can be analysed. It is argued that Gibbs distribution, arising naturally in ergodic theory, are good candidates to provide efficient statistical models for raster plots distribution. We have also shown that some plasticity rules can be associated to a variational principle. Though only one example was proposed, it is easy to extend this result to more general

<sup>5</sup>This convergence is ensured for sufficiently small  $\epsilon$ .

examples of adaptation rules. This will be the subject of an extended forthcoming paper.

Concerning neuroscience there are several expected outcomes. The approach proposed here is similar in spirit to the variational approaches discussed in the introduction (especially [22, 23, 24]). Actually, the quantity  $\mathcal{L}$  minimized in [23, 24] can be obtained, in the present setting, with a suitable choice of potential. But the present formalism concerns neural networks with intrinsic dynamics (instead of isolated neurons submitted to uncorrelated Poisson spikes trains). Also, more general models could be taken into account (for example Integrate and Fire models with adaptive conductances [34]).

A second issue concerns spike trains statistics. As claimed by many authors and nicely proved by Schneidman and collaborators [37, 38], more elaborated statistical models than uncorrelated Poisson distributions have to be considered to analyse spike trains statistics, especially taking into account correlations between spikes [39], but also higher order cumulants. The present work show that Gibbs distributions, obtained from statistical inference in real data by Schneidman and collaborators, may naturally arise from synaptic adaptation mechanisms. We also obtain an explicit form for the probability distribution depending e.g. on physical parameters such as the time constants  $\tau_+$ ,  $\tau_-$  or the LTD/LTP strength  $A_+$ ,  $A_-$  appearing in the STDP rule. This can be compared to real data. Also, having a “good” statistical model is a first step to be able to “read the neural code” in the spirit of [17], namely infer the conditional probability that a stimulus has been applied given the observed spike train, knowing the conditional probability that one observes a spike train given the stimulus.

Finally, as a last outcome, this approach opens up the possibility of obtained a specific spike train statistics from a deterministic neurons evolution with a suitable synaptic plasticity rule (constrained e.g. by the potential  $\phi$ ).

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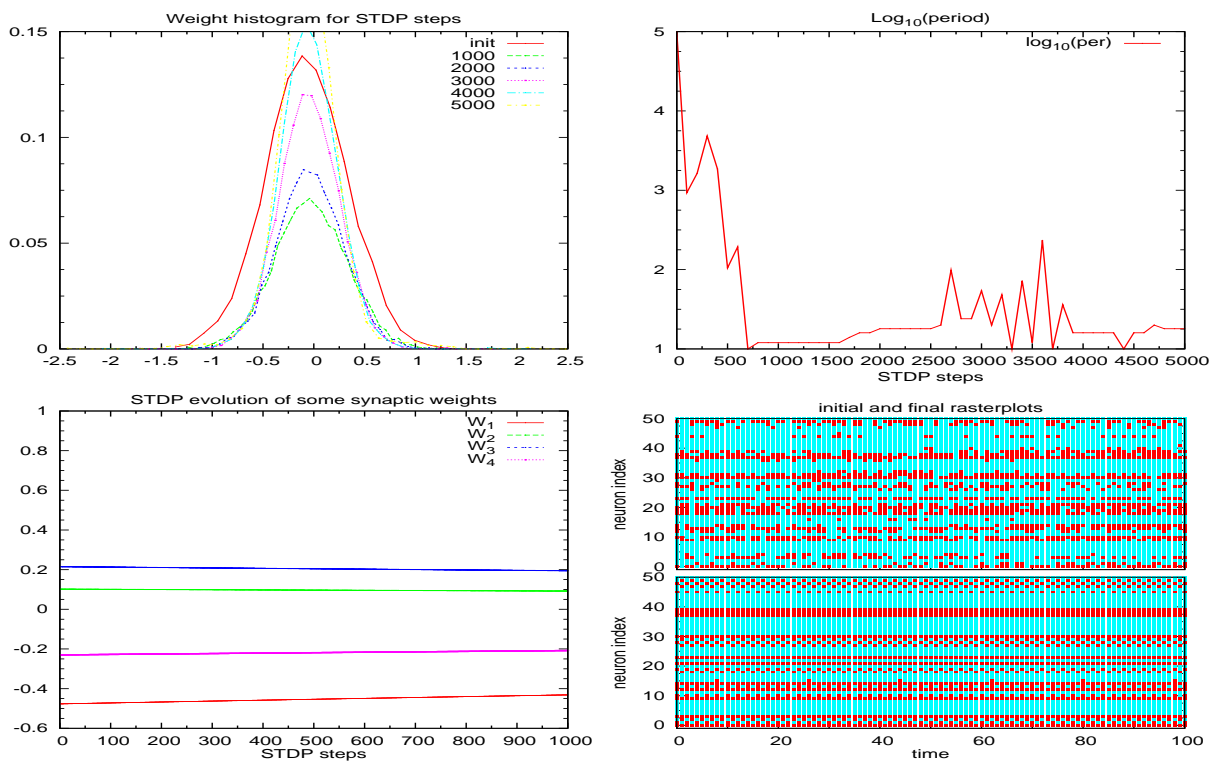


Figure 1. Effect of synaptic plasticity on: (up left) synaptic weights histogram; (up right) Period of the orbit; (down left) some synaptic weights evolution; (down right) raster plot of a few neurons.