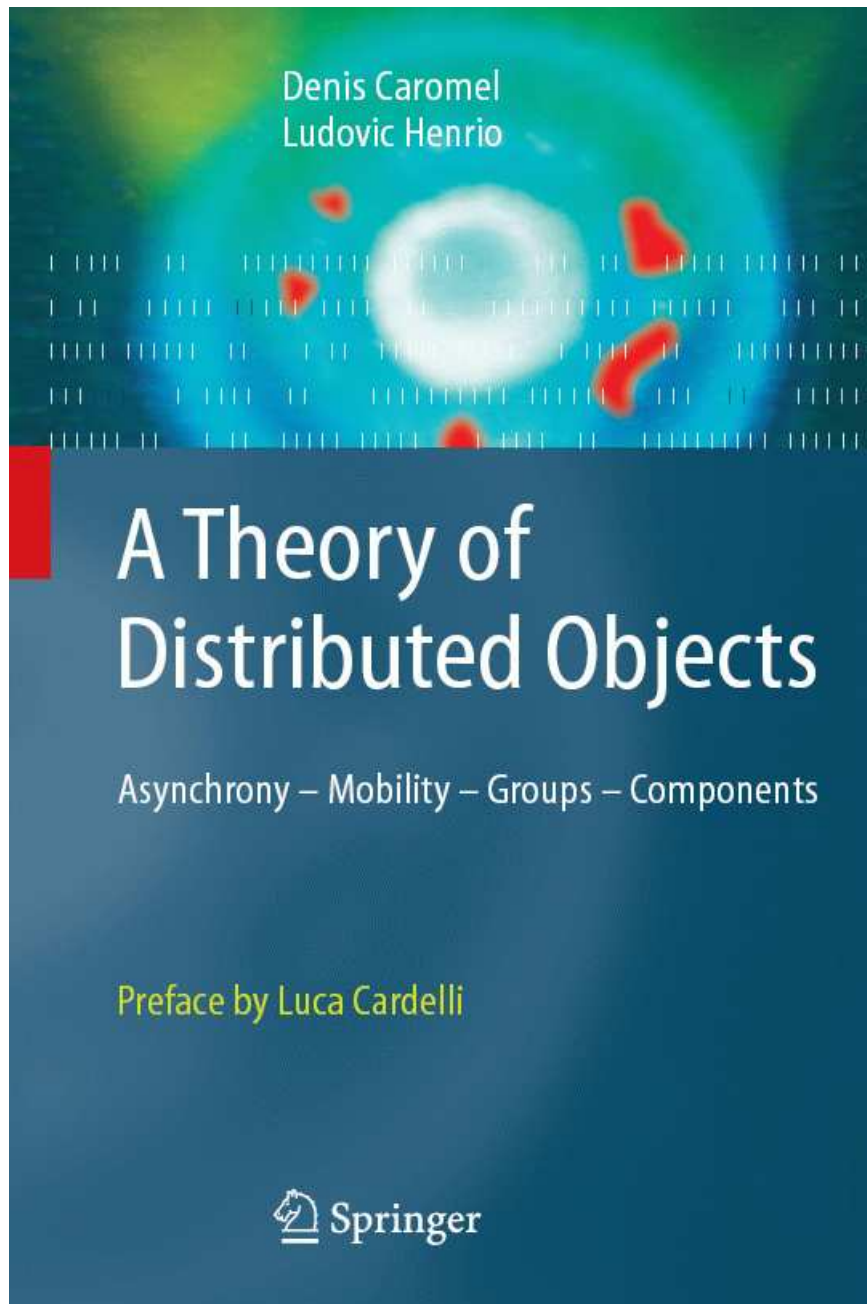


Teaching Material For  
A Theory of Distributed Objects

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*To Isa, Ugo, Tom,  
Taken from us by the Tsunami, Sri Lanka, December 26, 2004*

*Isabelle, my wife, my lover, my fellow intellect, I miss you so badly.  
My soul, my body, my brain, all hurt for you, all cry out for you.  
Your smile, your spirit would bring joy and light to all around you.  
Your plans were to do voluntary work to help humanity,  
I know you would have given courage and cheer to so many.*

*Ugo, my 8 year old boy, you could not wait to understand the world.  
You even found your own definition of infinity: God!  
I will remember forever when you would call me "Papaa ? ..."  
with that special tone, to announce a tough question.*

*Tom, my 5 year old boy, you could fight so hard and yet be so sweet.  
You were so strong, and you could be so gentle.  
Your determination was impressive, but clearly becoming thoughtful.  
I will remember forever when after a fight,  
you would jump up on my lap and give me a sweet, loving hug.*

*So many years of happiness and joy,  
May your spirits be with us and in me forever*

*Denis,  
Nice hospital,  
January 6, 2005*

*To Françoise, Marc, Laurianne and Sébastien,  
and my precious friends*

*Ludovic,  
December 10, 2004*

---

## Preface

With the advent of wide-area networks such as the Internet, distributed computing has to expand from its origins in shared-memory computing and local-area networks to a wider context. A large part of the additional complexity is due to the need to manage asynchrony, which is an unavoidable aspect of high-latency networks. Harnessing asynchronous communications is still an open area of research.

This monograph studies a natural programming model for distributed object-oriented programming. In this model, objects make asynchronous method invocations to other objects, and then concurrently carry on until the results of the requests are needed. Only at that point may they have to wait for the results to be completely computed; this delayed wait is called wait-by-necessity. Aspects of such a model have been proposed and formalized in the past: futures have been built into early concurrent languages, and various distributed object calculi have been investigated. However, this is the first time the two features, futures and distributed objects, have been studied formally together.

The result is a natural and disciplined programming model for asynchronous computing, one worthy of study. For example, it is important to understand under which conditions asynchronous execution produces predictable outcomes, without the usual combinatorial explosion of concurrent execution. Even the simplest sequential program becomes highly concurrent under wait-by-necessity execution, and yet such concurrency does not always imply that multiple outcomes are possible. One of the main technical contributions of the monograph, beyond the formalization of the programming model, is a sufficient condition for deterministic evaluation (confluence) of programs.

This monograph addresses problems that have been long identified as fundamental stumbling blocks in writing correct distributed programs. It constitutes a significant step forward, particularly in the area of formalizing and generalizing some of the best ideas proposed so far, coming up with new techniques, and providing a solid foundation for further study. The techniques studied here also have a very practical potential.

Cambridge, 2004-11-15  
Luca Cardelli

Caromel · Henrio

## A Theory of Distributed Objects

Distributed and communicating objects are becoming ubiquitous. In global, Grid and peer-to-peer computing environments, extensive use is made of objects interacting through method calls. So far, no general formalism has been proposed for the foundation of such systems.


Caromel and Henrio are the first to define a calculus for distributed objects interacting using asynchronous method calls with generalized futures, i.e., wait-by-necessity – a must in large-scale systems, providing both high structuring and low coupling, and thus scalability. The authors provide very generic results on expressiveness and determinism, and the potential of their approach is further demonstrated by its capacity to cope with advanced issues such as mobility, groups, and components.

Researchers and graduate students will find here an extensive review of concurrent languages and calculi, with comprehensive figures and summaries.

Developers of distributed systems can adopt the many implementation strategies that are presented and analyzed in detail.

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## Prologue

Distributed objects are becoming ubiquitous. *Communicating objects* interact at various levels (application objects, Web and middleware services), and in a wide range of environments (mobile devices, local area networks, Grid, and P2P). These objects send messages, call methods on each other's interfaces, and receive requests and replies.

Why would we employ objects to act as interacting entities? An answer with a religious twist would be that *object orientation* has, so far, won the language crusade. However, a technical answer has more substance: objects are stateful abstractions. Any globally-distributed computation must rely on various levels of state, somehow acting as a cache for improved locality, leading to greater scalability and performance. In a multi-tier application server, for instance, objects representing persistent data (e.g., Entity Beans) act as a cache for data within the  $n$ -tier database.

Thus, stateful objects interact with each other. Why should they communicate with *method calls* rather than with messages traveling over channels? One answer is that this is exactly what objects are all about: distributed systems should not abandon such a critical feature for software structuring. *Remote method invocation* in industrial platforms, following 15 years of research in academia, has taken off, and appears to be a practical and effective solution. Moreover, method calls are also about safety and verification, a highly desirable feature for distributed, multi-principal, multi-domain applications. Because method calls and the interface imply the emergence of *types*, remote method invocations fall within the scope of type theories and practical verifications – including static analyses, which rely heavily on inter-procedural analysis.

With distribution spanning the world ever more widely, an intrinsic characteristic of communication is high latency, with an unbreakable barrier of 70 milliseconds for a signal to go half-way around the world at the speed of light. Large systems, with potentially thousands of interacting entities, cannot accommodate the high coupling induced by synchronous calls, because

such coupling can lead to a blocked chain of remote method calls spanning a large number of entities. An extreme case that requires non-synchronous invocation is the handling of the disconnected mode in wireless settings. In sum, high latency and low coupling call for asynchronous interactions, as in the case of distributed objects: *asynchronous method calls*. But if we want method calls to retain their full capacity, one-way calls on their own are insufficient. Asynchronous method calls with returns are needed, leading to an emerging abstraction: namely, *futures*, the expected result of a given asynchronous method call. Futures turn out to be a very effective abstraction for large distributed systems, preserving both low coupling and high structuring.

To summarize the argument, scalable distributed object systems cannot be effective without interactions based on asynchronous method calls, with respect to mastering both complexity and efficiency. While acknowledged theories have been proposed for both asynchronous message passing (e.g.,  $\pi$ -calculus) and objects (e.g.,  $\zeta$ -calculus), no formal framework has been proposed for objects communicating solely with non-blocking method calls. This is exactly the ambition of the current book: to define a theory for distributed objects interacting with asynchronous method calls.

Starting from widely adopted object theory, the  $\zeta$ -calculus [3], a syntactically lightweight extension is proposed to take distribution into account. Two simple primitives are proposed: *Active* and *Serve*. The former turns an object into an independent and potentially remote activity; the latter allows such an active object to execute (serve) a pending remote call. On activation, an object becomes a remotely accessible entity with its own thread of control: *an active object*. In accordance with the above reasoning, we have chosen to make method calls to active objects systematically asynchronous. Synchronization is ensured with a natural dataflow principle: *wait-by-necessity*. An active object is blocked on the invocation of a not yet available result, i.e., a strict operation on an unknown future. A further level of asynchrony and low coupling is reached with the first-class nature of futures within wait-by-necessity; they can be passed between active objects as method parameters and returned as results.

The proposed calculus is named *Asynchronous Sequential Processes* (ASP), reflecting an important property: the sequentiality of active objects. Processes denote the potentially coarse-grain nature of active objects. Such processes are usually formed with a set of standard objects under the exclusive control of a root object. The proposed theory allows us to express a fundamental condition for *confluence*, alleviating for the programmer of the unscalable need to consider the interleaving of all instructions and communications. Furthermore, a property ensures *determinism*, stating that, whatever the order of communications, whatever the order of future updates, even in the presence of cycles, some systems converge towards a determinate global state. Apart from Process Networks [99, 100, 159], now close to 40 years old, few calculi



and languages ensure determinism, and even fewer in the context of stateful distributed objects interacting with asynchronous method calls. The potential of the proposed theory is further demonstrated by the capacity to cope with more advanced issues such as mobility, groups, and components.

One objective of the proposed theory is to be a *practical* one. Implementation strategies are covered. Several chapters explore a number of solutions, adapted to various settings (high-speed local area networks with buffer saving in mind, wide area networks with latency hiding as a primary goal, etc.), but each one still preserving semantics and properties. An illustration of such practicability is available under an open source Java API and environment, ProActive [134], which implements the proposed theory using a strategy designed to hide latency in the setting of wide area networks.

The first part of this book analyzes the issues at hand, reviewing existing languages and calculi.

Parts II and III formally introduce the proposed framework, defining the main properties of confluence and determinism.

Part IV reaches a new frontier and discusses issues at the cutting edge of software engineering, namely migration, reconfiguration, and component-based systems. From the proposed framework, we suggest a path that can lead to reconfigurable components. It demonstrates how we can go from asynchronous distributed objects to asynchronous distributed components, including collective remote method invocations (group communications), while retaining determinism.

With practicality in mind, Part V analyzes implementation issues, and suggests a number of strategies. We are aware that large-scale distributed systems encounter large variations in conditions, due to both localization in space and dynamic changes over time. Thus, potentially adaptive strategies for buffering and pipelining are proposed.

Finally, after a comparative evaluation of related formalisms, Part VI concludes and suggests directions for the future.

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*Nice - Sophia Antipolis  
London  
February 2005*

*Denis Caromel  
Ludovic Henrio*

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## Reading Paths and Teaching

### Extra Material and Dependencies

You will find at the end of this book a list of notations and a summary of ASP syntax and semantics that should provide a convenient quick reference (Index of Notations, Syntax, Operational Semantics). This is followed by a graphical view of ASP properties (page 183), and the syntax of ASP extensions (Synchronizations, Migration, Groups, Components).

The Appendices detail formal definitions and proofs of the main theorems and properties introduced in Part III.

Figure 1 exhibits the dependencies between chapters and sections. Each chapter is best read after the preceding chapters. For example, in order to fully understand the group communication in ASP (Chap. 13), one should read Chaps 3, 4, Part III (Chaps. 6, 7, 8, 9), and Chap.10. Going down the lines (Fig. 1), one can follow the outcomes of chapters. For instance, still for group communication in Chap. 13, immediate benefits are parallel components (Sect. 14.5), and a practical implementation of typed group communication within ProActive (Chap. 16).

### Text Book

Besides researchers and middleware designers, the material here can also be used as a text book for courses related to *models, calculi, languages for concurrency, parallelism, and distribution*. The focus is clearly on recent advances, especially object-orientation and asynchronous communications. Such courses can provide theoretical foundations, together with a perspective on practical programming and software engineering issues, such as distributed components.

The courses cover classical calculi such as CSP [88] and  $\pi$ -calculus [119, 120, 144], object-orientation using  $\zeta$ -calculus [3, 1, 2], and ASP [52], and advanced issues such as mobility, groups, and components. Overall, the objectives are threefold:

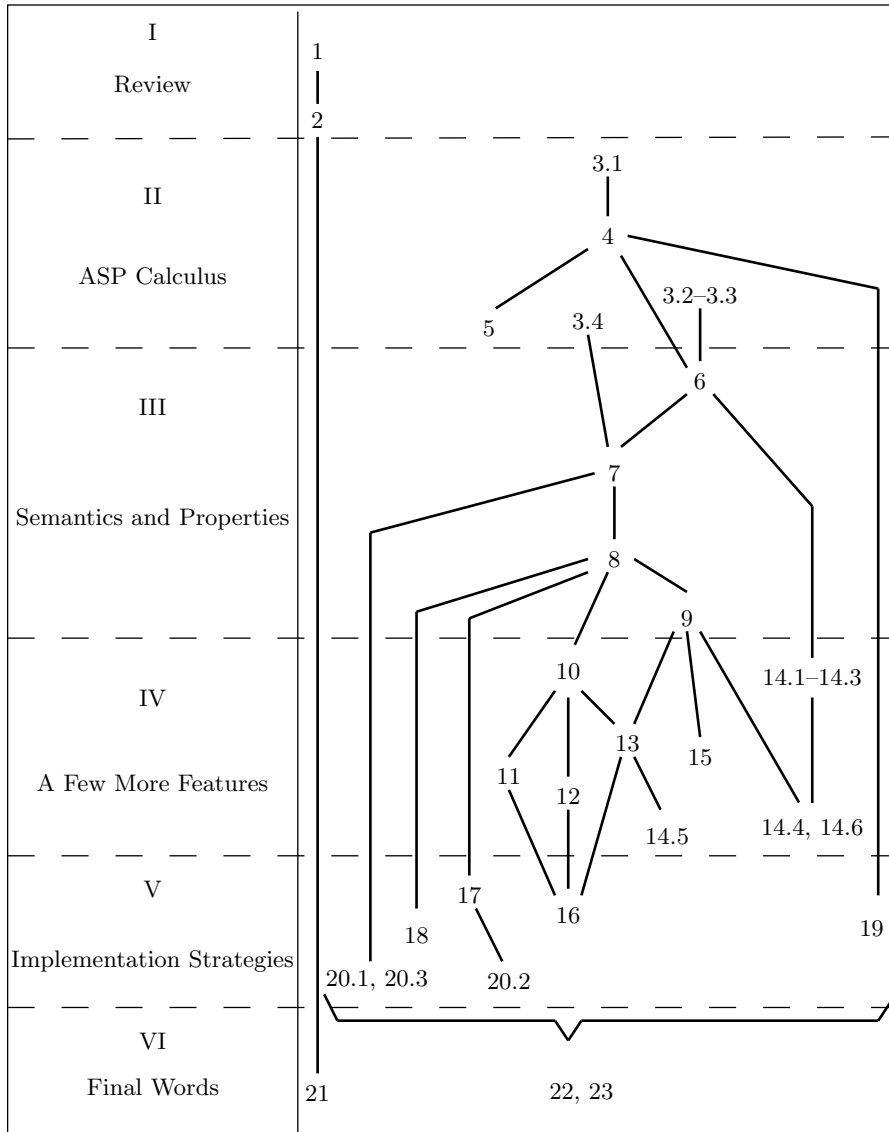


Fig. 1. Suggested reading paths

- (1) study and analyze existing models of concurrency and distribution,
- (2) survey their formal definitions within a few calculi,
- (3) understand the implications on programming issues.

Depending on the objectives, the courses can be aimed at more theoretical aspects, up to proofs of convergence and determinacy within  $\pi$ -calculus and ASP, or targeted at more pragmatic grounds, up to practical programming

sessions using software such as PICT [132, 131] or *ProActive* [134].

Below is a suggested outline for a semester course, with references to online material, and chapters or sections of this book:

**Models, Calculi, Languages for  
Concurrency, Parallelism, and Distribution**

1.	Introduction to Distribution, Parallelism, Concurrency General Overview of Basic formalisms	1 [39]
2.	CCS, and/or Pi-Calculus	2.1.3 [73]
3.	Other Concurrent Calculi and Languages (Process Network, Multilist, Ambient, Join, ...)	2.1.4, 2.2 [125]
4.	Object-Oriented calculus: $\zeta$ -calculus	2.1.5 [4]
5.	Overview of Concurrent Object Calculi (Actors, ABCL, Obliq and Øjeblik, $\pi o\beta\lambda$ , <b>conc</b> $\zeta$ -calculus, ...)	2.1.2, 2.3 [39]
6.	Asynchronous Method Calls and Wait-by-necessity ASP: Asynchronous Sequential Processes	3, 4, 5
7.	Semantics, Confluence, Determinacy	6, 7, 8, 9
8.	Advanced issues I: Confluent and non-confluent features, mobility	10, 11, 12 [125]
9.	Advanced issues II: Groups, Components	13, 14
10.	Open issue: reconfiguration Conclusion, Perspective, Wrap-up	15, 21, 22, 23

The Web page [39] gathers a broad range of information aimed at concurrent systems, also featuring parallel and distributed aspects. Valuable material for teaching models of concurrent computation, including CCS and  $\pi$ -calculus can be found at [73]. The Web page [4] is dedicated to the book *A Theory of Objects* [3]; it references pointers to courses using  $\zeta$ -calculus, some with teaching material available online. Finally, a comprehensive set of resources related to calculi for mobile processes is available at [125].

Assignments can include proofs of the confluence or non-confluence natures of a few features (e.g., delegation, explicit wait, method update, testing future or request reception, non-blocking services, join constructs, etc.). More practical assignments can involve designing and evaluating new future-update strategies, new request delivery protocols, or new schemes for pipelining control. Practicality can reach as far as implementing examples or prototypes, using PICT [132, 131], *ProActive* [134], or other programming frameworks.

## **A Theory of Distributed Objects online**

We intend to maintain a Web page for general information, typos, etc. Extra material is also expected to be added (slides, exercises and assignments, contributions, reference to new related papers, etc.). This page is located at:

<http://www.inria.fr/oasis/caromel/TDO>

Do not hesitate to contact us to comment or to exchange information!

## Part I

---

### Review





## Analysis

### 1.1 A Few Definitions

**Definition 1.1 (Parallelism)**

*Execution of several activities or processes at the same time*

**Definition 1.2 (Concurrency)**

*Simultaneous access to a resource, physical or logical*

**Definition 1.3 (Distribution)**

*Several address spaces*

**Definition 1.4 (Asynchronous systems)**

*No global clock, and unbounded communication time*

### 1.2 Distribution, Parallelism, Concurrency

#### 1.2.1 Parallel Activities

Aspect **Activity** taking value in

- Process
- Expression evaluation
- Actor
- Active object

#### 1.2.2 Sharing

Aspect **Sharing** taking value in

- Yes
- Some
- No

### 1.2.3 Communication

Aspect **Communication Base** taking value in

- Channel
- RPC

Aspect **Communication Passing** taking value in

- Generalized reference
- Copy, and deep copy
- copy-restore
- Lazy copy
- Copy of activities (mobility)

Aspect **Communication Timing** taking value in

- Synchronous
- Asynchronous with rendezvous
- Asynchronous FIFO preserving
- Asynchronous without guarantee

### 1.2.4 Synchronization

#### Definition 1.5 (Future)

*A reference to a value unknown at creation, to be automatically filled up by some activity.*

*An automatic wait upon a strict operation on a future.*

Aspect **Synchronization** taking value in

- Control
- Filtering patterns (select, join, blocking service)
- Dataflow
- Future

### 1.2.5 Reactive vs. Proactive vs. Synchronous

#### Definition 1.6 (Reactive system)

*A system is reactive if it always reacts quickly enough with respect to the occurrences of the stimuli and the dynamics of the environment.*

#### Definition 1.7 (Synchrony hypothesis)

1. *Functional execution and communication time are both considered as null.*
2. *The entire system is placed under a unique global clock, usually a logical clock, defining global instants. Reactivity occurs at each instant.*

## 1.3 Objects

### 1.3.1 Object vs. Remote Reference and Communication

Aspect **Object RMI** taking value in

- Yes
- No

### 1.3.2 Object vs. Parallel Activity

Aspect **Object Activity** taking value in

- Yes, all objects (uniform)
- Yes, some objects (non-uniform)
- No

### 1.3.3 Object vs. Synchronization

#### **Definition 1.8 (Wait-by-necessity)**

*Automatic and transparent creation of future objects upon remote method invocations.*

*Futures as generalized references passed between distributed activities.*

*Automatic wait upon strict operations on future objects.*

Aspect **Wait-by-necessity** taking value in

- Yes
- No

## 1.4 Summary and Orientation

Aspects	Possible Values:
Activity	Process Expression evaluation Actor Active object
Sharing	Yes Some No
Communication Base	Channel RPC
Communication Passing	Generalized reference Copy and deep copy copy-restore Lazy copy Copy of activities (mobility)
Communication Timing	Synchronous Asynchronous with rendezvous Asynchronous FIFO preserving Asynchronous without guarantee
Synchronization	Control Filtering patterns (select, join, blocking service) Dataflow Future
Object RMI	Yes No
Object Activity	Yes, all objects (uniform) Yes, some objects (non-uniform) No
Wait-by-necessity	Yes No

**Table 1.1.** Aspects of distribution, parallelism, and concurrency

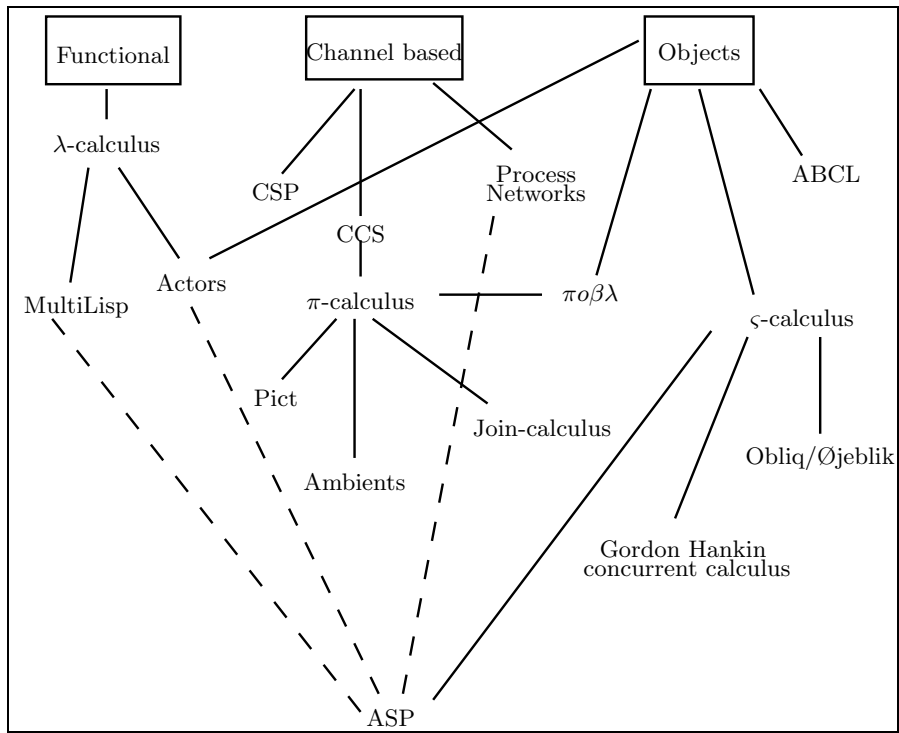
<b>Aspects</b>	<b>Values:</b>
Activity	Active object
Sharing	No
Communication Base	RPC
Communication Passing	Generalized reference to activities and futures Deep copy of objects Copy of activities (mobility)
Communication Timing	Asynchronous with rendezvous
Synchronization	Blocking service Future
Object RMI	Yes
Object Activity	Yes, some objects (non-uniform)
Wait-by-necessity	Yes

**Table 1.2.** Aspects of ASP



## Formalisms and Distributed Calculi

### 2.1 Basic Formalisms



**Fig. 2.1.** Classification of calculi (informal)

### 2.1.1 Functional Programming and Parallel Evaluation

$M, N ::= x$  variable  
 $|\lambda x.M$  abstraction  
 $|(MN)$  application

```

type 'a btree = Empty | Node of 'a * 'a btree * 'a btree;;

let rec member x btree =
  match btree with
  | Empty -> false
  | Node(y, left, right) -> if x = y then true else
    if x < y then member x left else member x right;;

let rec insert x btree =
  match btree with
  | Empty -> Node(x, Empty, Empty)
  | Node(y, left, right) ->
    if x <= y then
      Node(y, insert x left, right)
    else
      Node(y, left, insert x right);;
  
```

Fig. 2.2. A binary tree in CAML

### 2.1.2 Actors

```

⟨act program⟩ ::= ⟨behavior definition⟩* (⟨command⟩)

⟨behavior definition⟩ ::= (define (id {(with identifier ⟨pattern⟩)}*)
  ⟨communication handler⟩*)

⟨communication handler⟩ ::= (is-communication⟨pattern⟩ do ⟨command⟩)

⟨command⟩ ::= let ⟨let binding⟩* ⟨command⟩
  | (if ⟨expression⟩ then ⟨command⟩ else ⟨command⟩)
  | (send ⟨expression⟩ ⟨expression⟩)
  | (become ⟨expression⟩)
  
```

Table 2.1. The syntax of an Actors language [9]



```

(define (Factorial ())
  (Is-Communication (a eval (with customer ≡ c)
                        (with number ≡ n)) do
    (become Factorial)
    (if (NOT (= n 0))
        (then (send x 1))
        (else (let (x (new FactCust (with customer c)
                                     (with number n)))
                   (send Factorial (a eval (with customer x)
                                           (with number n-1))))))))))
(define (FactCust (with customer ≡ m)
                  (with number ≡ n))
  (Is-Communication (a number k) do
    (send m n*k)))

```

Fig. 2.3. A factorial actor [9]

Aspects	Possible Values:
Activity	Actor
Sharing	No
Communication Base	Channel
Communication Passing	Generalized reference
Communication Timing	Asynchronous, with a fairness guarantee
Synchronization	Filtering patterns (futures can exist at a higher level)
Object RMI	No
Object Activity	Yes, all objects (uniform)
Wait-by-necessity	No

Table 2.2. Aspects of Actors

### 2.1.3 $\pi$ -calculus

$P, Q ::= \mathbf{0}$	nil
$  P Q$	parallel composition
$  (\nu x.P)$	restriction of name $x$
$  \tau.P$	unobservable action
$  x(y).P$	input
$  x(y).Q$	output
$  [x = y].Q$	name matching
$  P + Q$	choice
$  !P$	replication

Table 2.3. The syntax of  $\pi$ -calculus

$P \equiv Q \text{ if } P \text{ is obtained from } Q \text{ by change of bound names (alpha conversion)}$ $P + \mathbf{0} \equiv P, P + Q \equiv Q + P, P + (R + R) \equiv (P + Q) + R$ $P \mathbf{0} \equiv P, P Q \equiv Q P, P (R R) \equiv (P Q) R$ $(\nu x.(P Q)) \equiv P (\nu x.Q) \text{ if } x \notin \text{fn}(P), (\nu x.\mathbf{0}) \equiv \mathbf{0}, (\nu y.(\nu x.P)) \equiv (\nu x.(\nu y.P))$ $!P \equiv P !P$
--

**Table 2.4.**  $\pi$ -calculus structural congruence

TAU:	$\frac{}{\tau.P + M \rightarrow P}$
REACT:	$\frac{}{(x(y).P + M) (x(z).Q + N) \rightarrow P\{y \leftarrow z\} Q}$
PAR:	$\frac{P \rightarrow P'}{P Q \rightarrow P' Q}$
RES:	$\frac{P \rightarrow P'}{(\nu x.P) \rightarrow (\nu x.P')}$
STRUCT:	$\frac{P \rightarrow P' \wedge P \equiv Q \wedge P' \equiv Q'}{Q \rightarrow Q'}$

**Table 2.5.**  $\pi$ -calculus reaction rules

## Variants of $\pi$ -calculus

### Linear and Linearized Channels

### What is Mobility?

#### 2.1.4 Process Networks

#### 2.1.5 $\zeta$ -calculus

$\iota$	store location (e.g., an integer)
$v ::= [m_i = \iota_i]^{i \in 1..n}$	result ( $m_i$ distinct)
$\sigma ::= \{\iota_i \mapsto \langle \zeta(x_i)b_i, S_i \rangle\}^{i \in 1..n}$	store ( $\iota_i$ distinct)
$S ::= \{x_i \mapsto v_i\}^{i \in 1..n}$	stack ( $x_i$ distinct)
$S \vdash \diamond$	well-formed store judgment
$\sigma \bullet S \vdash \diamond$	well-formed stack judgment
$\sigma \bullet S \vdash a \rightsquigarrow v \bullet \sigma'$	term reduction judgment

Aspects	Values:
Activity	Expression evaluation
Sharing	No
Communication Base	Channel
Communication Passing	Generalized reference Copy of activities (mobility of activities) in $HO\pi$
Communication Timing	Synchronous
Synchronization	Control
Object RMI	No
Object Activity	No
Wait-by-necessity	No

Table 2.6. Aspects of  $\pi$ -calculus

Fig. 2.4. Execution of the sieve of Eratosthenes in Process Networks

Aspects	Values:
Activity	Process
Sharing	No
Communication Base	Channel
Communication Passing	Copy
Communication Timing	Asynchronous FIFO preserving
Synchronization	Dataflow
Object RMI	No
Object Activity	No
Wait-by-necessity	No

Table 2.7. Aspects of Process Networks

$a, b \in L ::= x$	variable
$  [m_j = \zeta(x_i)a_i]^{i \in 1..n}$	object definition
$  a.m_i$	method invocation
$  a.l_i \leftarrow \zeta(x)b$	method update
$  clone(a)$	superficial copy
$  let\ x = a\ in\ b$	let

Table 2.8. The syntax of  $imp\zeta$ -calculus [3]

## 2.2 Concurrent Calculi and Languages

### 2.2.1 MultiLisp

### 2.2.2 PICT

### 2.2.3 Ambient Calculus

```

Process INTEGERS out Q0
  Vars N; 1 → N;
  repeat INCREMENT N; PUT(N,Q0) forever
Endprocess;

Process FILTER PRIME in QI out Q0
  Vars N;
  repeat GET(QI) → N;
    if (N MOD PRIME)≠0 then PUT(N,Q0) close
  forever
Endprocess;

Process SIFT in QI out Q0
  Vars PRIME; GET(QI) → PRIME;
  PUT(PRIME,Q0); emit a discovered prime
  doco channels Q;
  FILTER(PRIME,QI,Q); SIFT(Q,Q0);
  closeco
Endprocess;

Process OUTPUT in QI;
  repeat PRINT(GET(QI)) forever
Endprocess

Start doco channels Q1 Q2;
  INTEGERS(Q1);SIFT(Q1,Q2); OUTPUT(Q2);
  closeco;

```

Fig. 2.5. Sieve of Eratosthenes in Process Networks [100]

store $\emptyset$ :	$\frac{}{\emptyset \vdash \diamond}$
store $\iota$ :	$\frac{\sigma \bullet S \vdash \diamond \quad \iota \notin \text{dom}(\sigma)}{\{\iota \mapsto \langle \varsigma(x)b, S \rangle\} :: \sigma \vdash \diamond}$

Table 2.9. Well-formed store

$$\begin{aligned}
n[in \ m.P|Q]|m[R] &\rightarrow m[n[P|Q]|r] \\
m[n[out \ m.P|Q]|r] &\rightarrow n[P|Q]|m[R] \\
open \ n.P|n[Q] &\rightarrow P|Q \\
(x).P|\langle M \rangle &\rightarrow P\{x \leftarrow M\}
\end{aligned}$$

stack $\emptyset$ :	$\frac{\sigma \vdash \diamond}{\sigma \bullet \emptyset \vdash \diamond}$
stack $x$ :	$\frac{\sigma \bullet S \vdash \diamond \quad \iota \notin \text{dom}(\sigma)}{\sigma \bullet (\{x \mapsto [m_i = \iota_i]^{i \in 1..n}\} \bullet S) \vdash \diamond}$

Table 2.10. Well-formed stack

$x$ :	$\frac{\sigma \cdot (S \bullet \{x \mapsto v\} \bullet S') \vdash \diamond}{\sigma \bullet (S \bullet \{x \mapsto v\} \bullet S') \vdash x \rightsquigarrow v \bullet \sigma}$
object:	$\frac{\sigma \bullet S \vdash \diamond \quad \forall i \in 1..n, \iota_i \notin \text{dom}(\sigma)}{\sigma \bullet S \vdash [m_j = \zeta(x_i)a_i]^{i \in 1..n} \rightsquigarrow [m_j = \iota_i]^{i \in 1..n} \bullet \{\iota_i \mapsto \langle \zeta(x_i)a_i, S \rangle^{i \in 1..n}\} \bullet \sigma}$
select:	$\frac{\begin{array}{l} \sigma \bullet S \vdash a \rightsquigarrow [m_i = \iota_i]^{i \in 1..n} \bullet \sigma' \\ \sigma'(\iota_j) = \langle \zeta(x_j)a_j, S' \rangle \quad x_j \notin \text{dom}(S') \quad j \in 1..n \\ \sigma' \bullet (x_j \mapsto [m_j = \iota_j]^{i \in 1..n} \bullet S') \vdash a_j \rightsquigarrow v \bullet \sigma'' \end{array}}{\sigma \bullet S \vdash a.m_j \rightsquigarrow v \bullet \sigma''}$
update:	$\frac{\sigma \bullet S \vdash a \rightsquigarrow [m_i = \iota_i]^{i \in 1..n} \bullet \sigma' \quad j \in 1..n \quad \iota_j \in \text{dom}(\sigma')}{\sigma \bullet S \vdash a.m_j \leftarrow \zeta(x)b \rightsquigarrow [m_i = \iota_i]^{i \in 1..n} \bullet (\{\iota_j \mapsto \langle \zeta(x)b, S \rangle\} + \sigma')}$
clone:	$\frac{\sigma \bullet S \vdash a \rightsquigarrow [m_i = \iota_i]^{i \in 1..n} \bullet \sigma' \quad \forall i \in 1..n, \iota_i \in \text{dom}(\sigma') \wedge \iota'_i \notin \text{dom}(\sigma')}{\sigma \bullet S \vdash \text{clone}(a) \rightsquigarrow [m_i = \iota'_i]^{i \in 1..n} \bullet (\{\iota'_i \mapsto \sigma'(\iota_i)\} + \sigma')}$
let:	$\frac{\iota \sigma \bullet S \vdash a \rightsquigarrow v' \bullet \sigma' \quad \sigma' \bullet (\{x \mapsto v'\}) \bullet S \vdash b \rightsquigarrow v'' \bullet \sigma''}{\sigma \bullet S \vdash \text{let } x = a \text{ in } b \rightsquigarrow v'' \bullet \sigma''}$

Table 2.11. Semantics of  $\text{imp}\zeta$ -calculus (big-step, closure based)

### 2.2.4 Join-calculus

### 2.2.5 Other Expressions of Concurrency

CML

Kell-calculus

Steele Shared Memory Non-interference

Montanari Tile-Based Semantics

Functional Nets

## 2.3 Concurrent Object Calculi and Languages

### 2.3.1 ABCL

```

Sieve  $\triangleq$  [m =  $\zeta(s)$   $\lambda(n)$ 
  let sieve' = clone(s)
  in s.prime := n;
    s.next := sieve';
    s.m $\Leftarrow$  $\zeta(s')$   $\lambda(n')$ 
      if (n' mod n) = 0
      then []
      else sieve'.m(n');

  []],
prime =  $\zeta(x)$  x.prime,
next =  $\zeta(x)$  x.next];

```

$\Leftarrow$  denotes the method update: modifies the body of a method.

The sieve can be used in the following way:

```

for i in 2..99 do sieve.m(i)      initializes primes  $\leq$  100

sieve.next.next.prime           returns the third prime

```

**Fig. 2.6.** Sieve of Eratosthenes in  $\zeta$ -calculus [3]

```

binClass  $\triangleq$  [new =
   $\zeta(z)$  [isleaf =  $\zeta(s)$  z.(isleaf s),
    lft =  $\zeta(s)$  z.(lft s),
    rht =  $\zeta(s)$  z.(rht s),
    consLft =  $\zeta(s)$  z.(consLft s),
    consRht =  $\zeta(s)$  z.(consRht s)],
  isLeaf =  $\lambda(self)$  true,
  lft =  $\lambda(self)$  self.lft
  rht =  $\lambda(self)$  self.rht
  consLft =  $\lambda(self)$   $\lambda(newlft)$ 
    ((self.isleaf := false).lft := newlft).rht := self,
  consRht =  $\lambda(self)$   $\lambda(newrht)$ 
    ((self.isleaf := false).lft := self).rht := newrht]

```

**Fig. 2.7.** Binary tree in  $\zeta$ -calculus [3]

Aspects	Values:
Activity	Expression evaluation
Sharing	Yes
Communication Base	No communication
Communication Passing	No communication
Communication Timing	No communication
Synchronization	Future
Object RMI	No
Object Activity	No
Wait-by-necessity	No

Table 2.12. Aspects of MultiLisp

$Val ::= Id$	Variable
$[ Label\ Val \ \dots \ Label\ Val ]$	Record
$\{ Type \} Val$	Polymorphic package
$( rec : T\ Val )$	Rectype value
$String$	String constant
$Char$	Character constant
$Bool$	Boolean constant
$Label ::= \langle empty \rangle$	Anonymous label
$Id =$	Explicit label
$Pat ::= Id : Type$	Variable pattern
$_ : Type$	Wildcard pattern
$Id : Type @ Pat$	Layered pattern
$[ Label\ Pat \ \dots \ Label\ Pat ]$	Record pattern
$\{ Id < Type \} Pat$	Package pattern
$( rec : T\ Pat )$	Rectype pattern
$Abs ::= Pat = Proc$	Process abstraction
$Proc ::= Val ! Val$	Output atom
$Val ? Abs$	Input prefix
$Val ? * Abs$	Replicated input prefix
$( Proc \mid Proc )$	Parallel composition
$( Dec\ Proc )$	Local declaration
$if\ Val\ then\ Proc\ else\ Proc$	Conditional

Table 2.13. A syntax for PICT [132]

```

def fib[n:Int r:!Int] =
  if (|| (== n 0) (== n 1)) then
    r!1
  else
    r!(+ (fib (- n 1)) (fib (- n 2)))

run printi!(fib 7)

```

**Fig. 2.8.** A simple Fibonacci example in PICT [130]

```

run
(def fact [n:Int r:!Int]=
  (new br:^Bool
    ( {- calculate n=0 -}
      ==![n0 (rchan br)]
    | {- is n=0? -}
      br?b =
        if b then
          {- yes: return 1 as result -}
          r!1
        else
          {- no ... -}
          (new nr:^Int
            ( {- subtract one from n -}
              -![n 1 (rchan nr)]
            | nr?nMinus1 =
              {- make a recursive call to compute fact(n-1) -}
              (new fr?f =
                ( fact!nMinus1 fr]
                | fr?f =
                  {- multiply n by fact(n-1) and send the result
                    on the original result channel r -}
                  *![f n (rchan r)]
                ))))
          ))))
  new r:^Int
  ( fact![5 r]
    | r?f = printi!f )

```

**Fig. 2.9.** A factorial example in the core PICT language [130]

$$\begin{aligned}
 \text{acquire } n.P &\triangleq \text{open } n.P \\
 \text{release } n.P &\triangleq n[ \ ]P
 \end{aligned}$$

**Fig. 2.10.** Locks in ambients [47]



Aspects	Values:
Activity	Expression evaluation
Sharing	No
Communication Base	Channel
Communication Passing	Generalized reference
Communication Timing	Asynchronous without guarantee
Synchronization	Filtering patterns (pattern matching)
Object RMI	No
Object Activity	No
Wait-by-necessity	No

Table 2.14. Aspects of PICT

$P, Q ::= (\nu n)P$	restriction
$\mathbf{0}$	inactivity
$P Q$	composition
$!P$	replication
$n[P]$	ambient
$M.P$	action
$M ::= in\ n$	can enter $n$
$out\ n$	can exit $n$
$open\ n$	can open $n$

Table 2.15. The syntax of Ambient calculus

$buf\ n \triangleq n[open\ io]$	a channel buffer
$(ch\ n) \triangleq (\nu n)(buf\ n   P)$	a new channel
$n(x).P \triangleq (\nu p)(io[in\ n.(x).p[out\ n.P]]   open\ p)$	channel input
$n\langle M \rangle \triangleq io[in\ n.\langle M \rangle]$	async channel output

Fig. 2.11. Channels in ambients [47]

Aspects	Values:
Activity	Expression evaluation
Sharing	No
Communication Base	Channel
Communication Passing	Generalized reference, with local effect Copy of ambient (mobility)
Communication Timing	Synchronous
Synchronization	Control
Object RMI	No
Object Activity	No
Wait-by-necessity	No

Table 2.16. Aspects of Ambients

$P ::=$	$x\langle\tilde{y}\rangle$	message emission
	$\mathbf{def} D \mathbf{in} P$	definition of ports
	$P P$	parallel composition
	$\mathbf{0}$	null process
$D ::=$	$J \triangleright P$	rule matching join pattern $J$ (trigger)
	$D \wedge D$	connection of rules
	$\mathbf{T}$	empty definition
$J ::=$	$x\langle\tilde{y}\rangle$	message pattern
	$J J$	joined patterns

**Table 2.17.** The syntax of the join-calculus

$\vdash P P' \leftrightarrow \vdash P, P'$
$\vdash \mathbf{0} \leftrightarrow \vdash$
$\mathbf{T} \vdash \leftrightarrow \vdash$
$\vdash \mathbf{def} D \mathbf{in} P \leftrightarrow D\sigma \vdash P\sigma \quad \sigma \text{ creates fresh channels}$
$J \triangleright P \vdash J\sigma \longrightarrow J \triangleright P \vdash P\sigma$

**Table 2.18.** Main rules defining evaluation in the Join calculus

$\mathbf{def} \text{mkcell}\langle v_0, \kappa_0 \rangle \triangleq \left( \begin{array}{l} \mathbf{def} \text{ get}\langle \kappa \rangle   s\langle v \rangle \triangleright \kappa\langle v \rangle   s\langle v \rangle \\ \wedge \text{ set}\langle u, \kappa \rangle   s\langle v \rangle \triangleright \kappa\langle \rangle   s\langle u \rangle \\ \mathbf{in} \text{ } s\langle v_0 \rangle   \kappa_0\langle \text{get}, \text{set} \rangle \end{array} \right)$
--

**Fig. 2.12.** A cell in the join-calculus [68]

Aspects	Values:
Activity	Expression evaluation
Sharing	No
Communication Base	Channel
Communication Passing	Generalized reference
Communication Timing	Asynchronous without guarantee
Synchronization	Filtering patterns (join)
Object RMI	No
Object Activity	No
Wait-by-necessity	No

**Table 2.19.** Aspects of the Join-Calculus

```

[object Buffet
  (state declare-the-buffer-state )
  (script

    (=> [:put elt]      ; Put an element in the buffer
      (if full
        then (select    ; Waits for a [:get] message
              (=>[:get] remove-from-storage-and-return )
            )
        )
      store-elt
    )

    (=> [:get]          ; Get an element from the buffer
      (if empty
        then (select    ; Waits for a [:put ...] message
              (=>[:put elt] send-elt-to-get-caller )
            )
        else remove-from-buffer-send-it-to-get-caller
        )
      )
    )
  )
]

```

Fig. 2.13. Bounded buffer in ABCL [161]

```

; Synchronous communication:
; send and wait for message execution
; Originally called: Now Type Message Passing
x := [T <== M]
    ; Current activity send a message M to T
    ; wait for message execution and return value,
    ; which is stored in x

; Asynchronous one-way communication:
; send and does not wait for
; message execution, no reply
; Originally called: Past Type Message Passing
[T <= M]
    ; Current activity send a message M to T
    ; no wait

; Asynchronous communication with explicit future:
; send and does not wait for message execution,
; reply to be sent later in x
; Originally called: Future Type Message Passing
[T <= M $ x]
    ; Current activity send a message M to T
    ; non-blocking, the result will be put
    ; asynchronously in x
...
(ready? x)
    ; Test if x is still awaited

```

Fig. 2.14. The three communication types in ABCL

### 2.3.2 Obliq and Øjeblik

#### Migration

### 2.3.3 The $\pi\sigma\beta\lambda$ Language

### 2.3.4 Gordon and Hankin Concurrent Calculus: $\text{conc}\zeta$ -calculus

## 2.4 Synthesis and Classification

Aspects	Values:
Activity	Active object
Sharing	No
Communication Base	RPC
Communication Passing	Generalized reference
Communication Timing	Synchronous, and Asynchronous FIFO preserving
Synchronization	Control Filtering patterns (select) Future
Object RMI	Yes
Object Activity	Yes, all objects (uniform)
Wait-by-necessity	No

**Table 2.20.** Aspects of ABCL

```

let sieve =
{ m =>
  meth(s, n)
  print(n);
  let s0 = clone(s);
  s.m := meth(s1,n1)
    if (n1 % n) is 0 then ok
    else s0.m(n1)
    end
  end;
end};

print the primes <100
for i=2 to 100 do sieve.m(i) end;

```

**Fig. 2.15.** Prime number sieve in Obliq

$a, b \in L ::= s, x, y$	variable
$  [m_j = \zeta(s_i, \tilde{x}_j) a_i]^{i \in 1..n}$	object definition
$  a.m_i(\tilde{b})$	method invocation
$  a.l_i \leftarrow \zeta(s, \tilde{x}) b$	method update
$  a.clone$	superficial copy
$  a.alias(b)$	object aliasing
$  a.surrogate$	object surrogation
$  a.ping$	object identity
$  let x = a in b$	let
$  fork\langle a \rangle$	thread creation
$  join\langle a \rangle$	thread destruction

**Table 2.21.** The syntax of Øjeblik

Aspects	Values:
Activity	Process
Sharing	Yes
Communication Base	RPC
Communication Passing	Generalized reference Copy of activity (mobility) as cloning+aliasing
Communication Timing	Synchronous
Synchronization	Control
Object RMI	Yes
Object Activity	No
Wait-by-necessity	No

**Table 2.22.** Aspects of Obliq and Øjeblik

```

class T0
var K:NAT, V:ref(A), L:ref(T), R:ref(T)

method Insert(X:NAT, W:ref(A))
  if K=nil then (K:=X ; V:=W ; L:=new(T) ; R:=new(T))
  else if X=K then V:=W
    else if X<K then L!Insert(X,W)
      else R!Insert(X,W);
  return

method Search(X:NAT):ref(A)
  if K=nil then return nil
  else if X=K then return V
    else if X<K then return L!Search(X)
      else return R!Search(X)

```

**Fig. 2.16.** Binary tree in (a language inspired by)  $\pi o\beta\lambda$  [110]

Aspects	Values:
Activity	Active object
Sharing	No
Communication Base	RPC
Communication Passing	Generalized reference
Communication Timing	Synchronous with early return
Synchronization	Control
Object RMI	Yes
Object Activity	Yes, all objects (uniform)
Wait-by-necessity	No

**Table 2.23.** Aspects of  $\pi o\beta\lambda$

```

class T0
var K:NAT, V:ref(A), L:ref(T), R:ref(T)

method Insert(X:NAT, W:ref(A))
  return ;
  if K=nil then (K:=X ; V:=W ; L:=new(T) ; R:=new(T))
  else if X=K then V:=W
        else if X<K then L!Insert(X,W)
        else R!Insert(X,W)

method Search(X:NAT):ref(A)
  if K=nil then return nil
  else if X=K then return V
        else if X<K then commit L!Search(X)
        else commit R!Search(X)

```

**Fig. 2.17.**  $\pi o\beta\lambda$  parallel binary tree, equivalent to Fig. 2.16 [110]

Results:	
$u, v \in L ::= x$	variable
$  p$	name
Denotations:	
$d ::= [m_j = \zeta(x_i)a_i]^{i \in 1..n}$	object
Terms:	
$a, b \in L ::= u$	result
$  p \mapsto d$	denomination
$  u.m_i$	method invocation
$  u.l_i \Leftarrow \zeta(x)b$	method update
$  clone(u)$	superficial copy
$  let\ x = a\ in\ b$	let
$  a \uparrow b$	parallel composition
$  (\nu p)a$	restriction

**Table 2.24.** The syntax of **concs**-calculus [78]

Aspects	Values:
Activity	Process
Sharing	Yes
Communication Base	RPC
Communication Passing	Generalized reference
Communication Timing	Synchronous
Synchronization	Control
Object RMI	Yes
Object Activity	No
Wait-by-necessity	No

**Table 2.25.** Aspects of *conc $\zeta$* -calculus



Languages Aspects	ASP	Functional		Channel Based		Object	
		Actors	MultiLisp	$\pi$ -calculus	Process Networks	$\pi o\beta\lambda$	Obliq Øjeblik
<b>Activity</b>	Active object	Actor	Exp.	Exp.	Process	Active object	Process
<b>Sharing</b>	No	No	Yes	No	No	No	Yes
<b>Communication Base</b>	RPC	Channel	No com.	Channel	Channel	RPC	RPC
<b>Communication Passing</b>	GR:activities+futures Deep copy of objects Copy of activities (mobility)	GR	No com.	GR	GR only in reconfiguration Copy	GR	GR Copy of Activity (mobility as cloning+aliasing)
<b>Communication Timing</b>	Asynchronous with rendezvous	Asynchronous (fairness)	No com.	Synchronous	Asynchronous FIFO preserving	Synchronous with early return	Synchronous
<b>Synchronization</b>	Blocking service Future	Filtering Patterns	Future	Control	Dataflow Blocking service	Control	Control
<b>Object RMI</b>	Yes	No	No	No	No	Yes	Yes
<b>Object Activity</b>	Yes, non-uniform	Yes, uniform	No	No	No	Yes, uniform	No
<b>Wait-by-necessity</b>	Yes	No	No	No	No	No	No

Exp.= Expression evaluation      No com.= No communication      GR = Generalized Reference

**Table 2.26.** Summary of a few calculi and languages



ASP Calculus



## An Imperative Sequential Calculus

### 3.1 Syntax

$a, b \in L ::= x$	variable
$[l_i = b_i; m_j = \varsigma(x_j, y_j)a_j]_{j \in 1..n}^{i \in 1..m}$	object definition
$a.l_i$	field access
$a.l_i := b$	field update
$a.m_j(b)$	method call
$\text{clone}(a)$	superficial copy
$\iota$	location (not in source terms)

**Table 3.1.** Syntax of ASP sequential calculus

$\text{Point} \triangleq [x = 0, y = 0, \text{color} = [R = 0, G = 0, B = 0; \text{print} = \dots];$   
 $\text{getX} = \varsigma(s, p)s.x, \text{setX} = \varsigma(s, p)s.x := p, \text{getColor} = \varsigma(s, p)s.\text{color}, \dots]$

$\text{let } x = a \text{ in } b \triangleq [; m = \varsigma(z, x)b].m(a)$

$a; b \triangleq [; m = \varsigma(z, z')b].m(a)$

$\lambda x.b \triangleq [\text{arg} = [], \text{val} = \varsigma(x, y)b\{x \leftarrow x.\text{arg}\}]$   
 $(b \ a) \triangleq (\text{clone}(b).\text{arg} := a).\text{val}([])$

$\text{let } x = a \text{ and } y = b \text{ in } c \triangleq \text{let } o = [x = [], y = []] \text{ in}$   
 $\text{let } x = a\{x \leftarrow o.x, y \leftarrow o.y\} \text{ in}$   
 $\text{let } y = b\{x \leftarrow o.x, y \leftarrow o.y\} \text{ in}$   
 $o.x := x; o.y := y; c$

let  $x = [f = y]$  and  $y = [g = x]$  in ...

## 3.2 Semantic Structures

### 3.2.1 Substitution

$\theta ::= \{b \leftarrow c\}$ .

### 3.2.2 Store

$$\begin{aligned} o &::= [l_i = \iota_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..n} \\ \sigma &::= \{ \iota_i \mapsto o_i \} \\ (\sigma + \sigma')(\iota) &= \sigma(\iota) \text{ if } \iota \in \text{dom}(\sigma) \\ &= \sigma'(\iota) \text{ otherwise} \end{aligned}$$

### 3.2.3 Configuration

**Definition 3.1 (Well-formed sequential configuration)**

$$\vdash (a, \sigma) \text{ OK} \Leftrightarrow \begin{cases} \text{locs}(a) \subseteq \text{dom}(\sigma) \wedge \text{fv}(a) = \emptyset \\ \forall \iota \in \text{dom}(\sigma), \text{locs}(\sigma(\iota)) \subseteq \text{dom}(\sigma) \wedge \text{fv}(\sigma(\iota)) = \emptyset \end{cases}$$

**Definition 3.2 (Equivalence on sequential configurations)**

$$(a, \sigma) \equiv (a', \sigma') \Leftrightarrow \exists \theta, (a\theta, \sigma\theta) = (a', \sigma')$$

## 3.3 Reduction

$$\begin{aligned} \mathcal{R} &::= \bullet \mid [l_i = \iota_i, l_k = \mathcal{R}, l_{k'} = b_{k'}; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..k-1, k' \in k+1..n} \\ &\quad \mid \mathcal{R}.m_i \mid \mathcal{R}.m_j(b) \mid \iota.m_j(\mathcal{R}) \mid \mathcal{R}.l_i := b \mid \iota.l := \mathcal{R} \mid \text{clone}(\mathcal{R}) \\ \mathcal{R}[a] &= \mathcal{R}\{\bullet \leftarrow a\} \end{aligned}$$

## 3.4 Properties

**Property 3.3 (Well-formed sequential reduction)**

$$\vdash (a, \sigma) \text{ OK} \wedge (a, \sigma) \rightarrow_S (b, \sigma') \implies \vdash (b, \sigma') \text{ OK}$$

*Sequential Determinism*

**Property 3.4 (Determinism)**

$$c \rightarrow_S d \wedge c \rightarrow_S d' \implies d \equiv d'$$

STOREALLOC:	$\frac{\iota \notin \text{dom}(\sigma)}{(\mathcal{R}[o], \sigma) \rightarrow_S (\mathcal{R}[\iota], \{\iota \mapsto o\} :: \sigma)}$
FIELD:	$\frac{\sigma(\iota) = [l_i = \iota_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..n} \quad k \in 1..n}{(\mathcal{R}[\iota.l_k], \sigma) \rightarrow_S (\mathcal{R}[\iota_k], \sigma)}$
INVOKE:	$\frac{\sigma(\iota) = [l_i = \iota_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..n} \quad k \in 1..m}{(\mathcal{R}[\iota.m_k(\iota')], \sigma) \rightarrow_S (\mathcal{R}[a_k \{x_k \leftarrow \iota, y_k \leftarrow \iota'\}], \sigma)}$
UPDATE:	$\frac{\begin{array}{l} \sigma(\iota) = [l_i = \iota_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..n} \quad k \in 1..n \\ o' = [l_i = \iota_i, l_k = \iota', l_{k'} = \iota_{k'}; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..k-1, k' \in k+1..n} \end{array}}{(\mathcal{R}[\iota.l_k := \iota'], \sigma) \rightarrow_S (\mathcal{R}[\iota], \{\iota \rightarrow o'\} + \sigma)}$
CLONE:	$\frac{\iota' \notin \text{dom}(\sigma)}{(\mathcal{R}[\text{clone}(\iota)], \sigma) \rightarrow_S (\mathcal{R}[\iota'], \{\iota' \mapsto \sigma(\iota)\} :: \sigma)}$

**Table 3.2.** Sequential reduction





## Asynchronous Sequential Processes

### 4.1 Principles

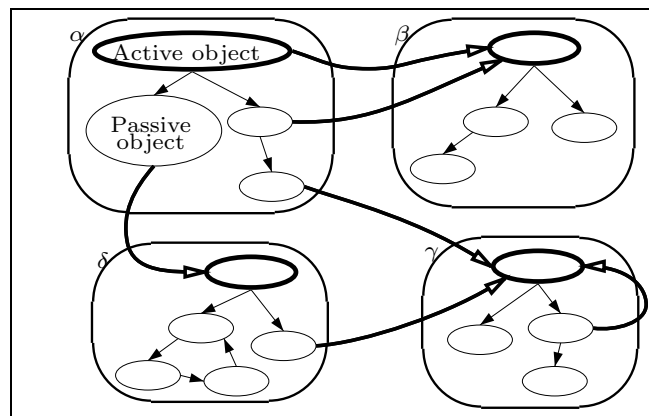


Fig. 4.1. Objects and activities topology

*let p = Active(Point,  $\emptyset$ ) in let col = p.getColor() in p.setX(2); col.print(),*

### 4.2 New Syntax

$$P, Q ::= \alpha[a_\alpha; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[\dots] \parallel \dots$$

$a, b \in L ::= \dots$ $  \text{Active}(a, m_j)$ Activates object: deep copy + activity creation, $m_j$ is the activity method or $\emptyset$ for FIFO service $  \text{Serve}(M)$ Serves a request among a set of method labels, $a \uparrow f, b$ $a$ with continuation $b$ (not in source terms) where $M$ is a set of method labels used to specify the request that has to be served: $M = m_{k_1}, \dots, m_{k_h}$
--

**Table 4.1.** Syntax of ASP parallel primitives

$a, b \in L ::= x$ $  [l_i = b_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..n}$ $  a.l_i$ $  a.l_i := b$ $  a.m_j(b)$ $  \text{clone}(a)$ $  \text{Active}(a, m_j)$ $  \text{Serve}(m_{k_j})^{j \in 1..h}$	variable, object definition field access field update method call superficial copy activity creation service primitive
---	---

**Table 4.2.** Syntax of ASP calculus

### 4.3 Informal Semantics

#### 4.3.1 Activities

#### 4.3.2 Requests

#### 4.3.3 Futures

#### 4.3.4 Serving Requests

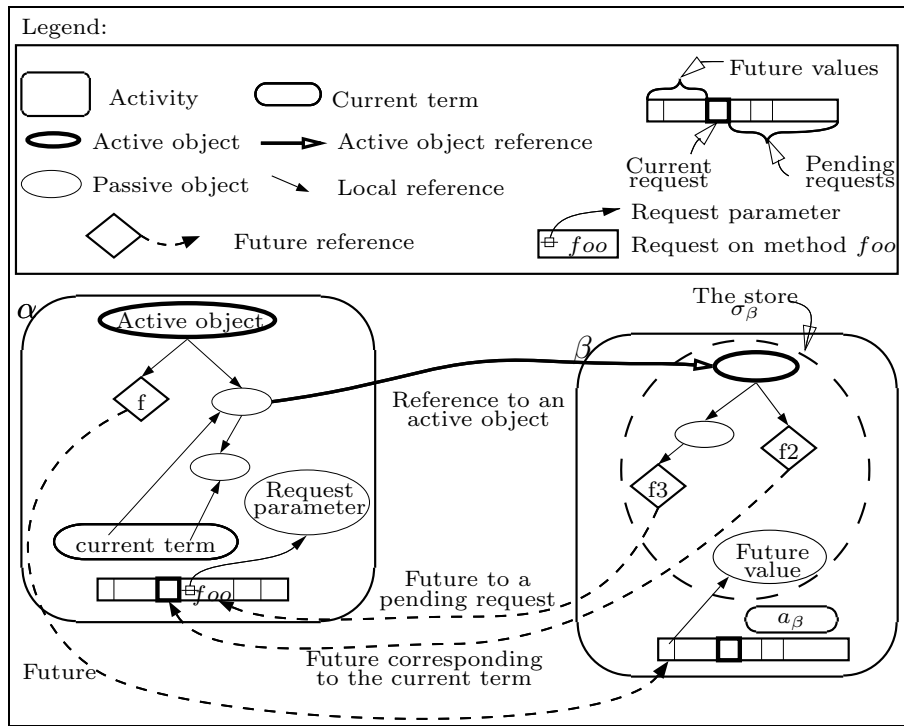


Fig. 4.2. Example of a parallel configuration



## A Few Examples

---


$$\begin{aligned}
 \text{let } x = \text{Active}(a, s_1) \quad \triangleq \text{let } \text{srv} = \text{Active}([x = [], y = []]; \\
 \text{and } y = \text{Active}(b, s_2) \text{ in } c \quad \quad \quad & \text{getX} = \zeta(s)s.x, \\
 & \text{getY} = \zeta(s)s.y, \\
 & \text{setX} = \zeta(s, x, y)(s.x := \text{Active}(a, s_1); s.x), \\
 & \text{setY} = \zeta(s, x, y)(s.y := \text{Active}(b, s_2); s.y), \\
 & \text{service} = \zeta(s)\text{Serve}(\text{setX}); \text{Serve}(\text{setY}); \\
 & \quad \text{Repeat}(\text{Serve}(\text{getX}); \text{Serve}(\text{getY})) \\
 & ], \text{service}) \\
 & \text{in} \\
 & [; m = \zeta(s, x, y)c].m( \\
 & \quad \text{srv.setX}(\text{srv.getX}(), \text{srv.getY}()), \\
 & \quad \text{srv.setY}(\text{srv.getX}(), \text{srv.getY}()))
 \end{aligned}$$

### 5.1 Binary Tree

$$\begin{aligned}
 \text{let } \text{tree} = (\text{BT.new}).\text{add}(3, 4).\text{add}(2, 3).\text{add}(5, 6).\text{add}(7, 8) \text{ in} \\
 [a = \text{tree.search}(5), b = \text{tree.search}(3)].b := \text{tree.search}(7)
 \end{aligned}$$

### 5.2 Distributed Sieve of Eratosthenes

*Another Formulation*

### 5.3 From Process Networks to ASP

### 5.4 Example: Fibonacci Numbers

### 5.5 A Bank Account Server

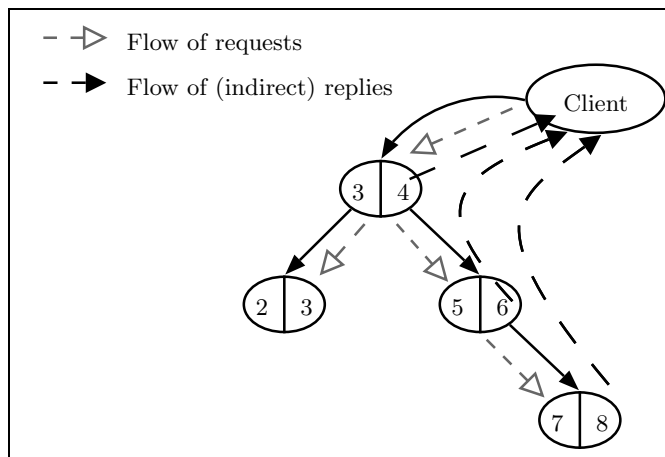
```

BT  $\triangleq$  [new =  $\zeta(c)$ [empty = true, left = [], right = [], key = [], value = []],
        search =  $\zeta(s, k)(c.search\ s\ k)$ , add =  $\zeta(s, k, v)(c.add\ s\ k\ v)$ ],
search =  $\zeta(c)\lambda s\ k.if\ (s.empty)\ then\ []$ 
        else if (s.key == k) then s.value
        else if (s.key > k) then s.left.search(k)
        else s.right.search(k),
add =  $\zeta(c)\lambda s\ k\ v.if\ (s.empty)\ then(s.right := Factory(s);$ 
        s.left := Factory(s); s.value := v;
        s.key := k; s.empty := false; s)
        else if (s.key > k) then s.left.add(k, v)
        else if (s.key < k) then s.right.add(k, v)
        else s.value := v; s ]

```

where:  $Factory(s) \triangleq s.new$  in the sequential case and  
 $Factory(s) \triangleq Active(s.new, \emptyset)$  for the concurrent binary tree.

**Fig. 5.1.** Example: a binary tree



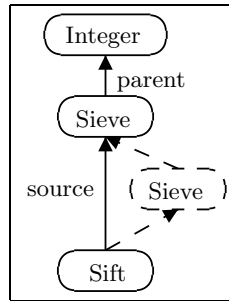
**Fig. 5.2.** Topology and communications in the parallel binary tree

```

let Integer = Active([n = 1; get =  $\zeta(s)(s.n := s.n + 1; s.n)$ ],  $\emptyset$ ) in
let Sieve = [parent = [], prime = 0; init =  $\zeta(s, par)s.parent := par,$ 
  get =  $\zeta(s)let n = parent.get()$  in
  if ( $n \bmod s.prime \neq 0$ ) then n else s.get()] in
let Sift = [source = Integer;
  act =  $\zeta(s)Repeat(let n = s.source.get()$  in
  print(n); Sieve.prime := n;
  s.source := Active(clone(Sieve.init(s.source)),  $\emptyset$ ))] in
Active(Sift, act)

```

**Fig. 5.3.** Example: sieve of Eratosthenes (pull)



**Fig. 5.4.** Topology of sieve of Eratosthenes (pull)

```

let Sieve = [N = 0, prime = 0; next = []; put =  $\zeta(s, n)s.N := n,$ 
  act =  $\zeta(s)Serve(put); Display.put(s.N);$ 
  s.prime := s.N; s.next := Active(s, act);
  Repeat(Serve(put));
  if ( $s.N \bmod s.prime \neq 0$ ) then s.next.put(s.N))] in
let Integer = [n = 1; first = Active(Sieve, act);
  act =  $\zeta(s)Repeat(s.n := s.n + 1; s.first.put(s.n))$ ] in
Active(Integer, act)

```

where *Display* is an object collecting and printing the prime numbers.

**Fig. 5.5.** Example: sieve of Eratosthenes (push)

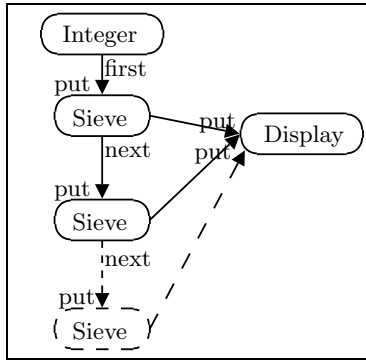


Fig. 5.6. Topology of sieve of Eratosthenes (push)

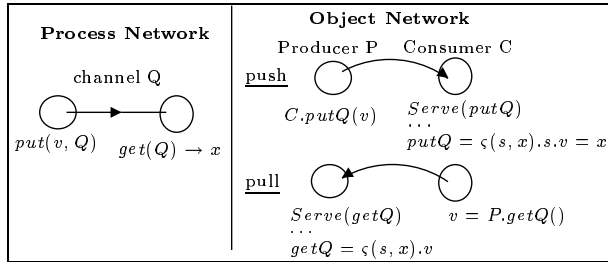


Fig. 5.7. Process Network vs. object network

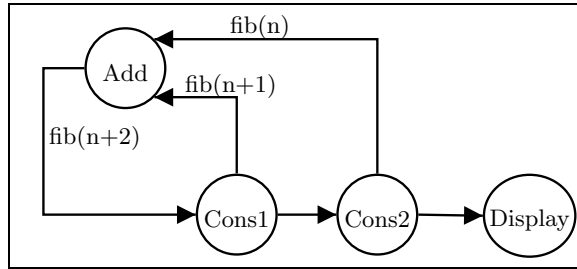


Fig. 5.8. Fibonacci number processes

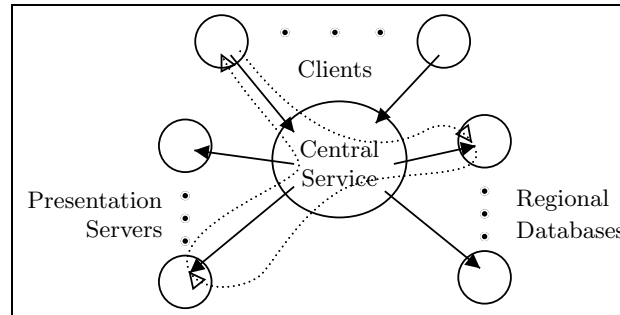


```

let Add = Active([n1 = 0, n2 = 0;
  service = ζ(s)
  Repeat(Serve(set1); Serve(set2); Cons1.snd(s.n1 + s.n2)),
  set1 = ζ(s, n)s.n1 := n, set2 = ζ(s, n)s.n2 := n], service)
and Cons1 = Active(
  [; service = ζ(s) (Add.set1(1); Cons2.snd(1); Repeat(Serve(snd)))
  snd = ζ(s, n)(Add.set1(n); Cons2.snd(n))], service)
and Cons2 = Active(
  [; service = ζ(s) (Add.set2(0); Display.snd(0); Repeat(Serve(snd)))
  snd = ζ(s, n)(Add.set2(n); Display.snd(n))], service)

```

**Fig. 5.9.** Example: Fibonacci numbers



**Fig. 5.10.** Topology of a bank application

```

let CentralService = [...;
  regionalDatabase = ζ(s, ID) ...,
  presentationServer = ζ(s, device) ...,
  act = ζ(s, -)Repeat(Serve(getStatement)),
  getStatement = ζ(self, ID, device)
  let state = (self.regionalDatabase(ID))
  .getStatement(ID)
  in (self.presentationServer(device)
  .getPresentation(state) ]

```

**Fig. 5.11.** Example: bank account server



## Semantics and Properties



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## Parallel Semantics

### 6.1 Structure of Parallel Activities

Each activity  $\alpha[a; \sigma; \iota; F; R; f]$  is characterized by:

- a *current term* ( $a = b \uparrow f_i^{\gamma \rightarrow \alpha}, b'$ ) to be reduced:  $a$  contains the terms corresponding to the different requests being treated separated by  $\uparrow$ . The left part  $b$  is the term currently evaluated, the right one ( $f_i^{\gamma \rightarrow \alpha}, b'$ ) is the continuation: the future and term corresponding to a request that has been stopped before the end of its execution (because of a *Serve* primitive evaluated inside another service). Of course,  $b'$  can also contain continuations.
- a *store* ( $\sigma$ ) that contains all the objects of the activity  $\alpha$ . It can be considered as the memory associated to the activity  $\alpha$ .
- an *active object location*:  $\iota$  is the location of the active object of activity  $\alpha$ ; thus  $\sigma(\iota)$  is the active object of activity  $\alpha$ .
- *future values*:  $F = \{f_i^{\gamma \rightarrow \alpha} \mapsto \iota\}$  is a list associating, for each served request, the corresponding future  $f_i^{\gamma \rightarrow \alpha}$  and the location  $\iota$  where the result of the request (also called future value) is stored.
- *pending requests*:  $R = \{[m_j; \iota; f_i^{\gamma \rightarrow \alpha}]\}$ , a list of pending requests. A request can be seen as the “reification” of a method call [150].  
Each request  $r ::= [m_j; \iota; f_i^{\alpha \rightarrow \beta}]$  consists of:
  - the name of the *target method*  $m_j$  (invoked method),
  - the location of the *argument* passed to the request  $\iota$ ,
  - the *future* identifier which will be associated to the result  $f_i^{\alpha \rightarrow \beta}$ .
- a *current future*:  $f = f_i^{\gamma \rightarrow \alpha}$ , the future associated with the request currently served. To simplify notations,  $f$  will denote any future ( $f ::= f_i^{\gamma \rightarrow \alpha}$ ).

$$P, Q ::= \alpha[a; \sigma; \iota; F; R; f] \parallel \beta[a'; \sigma'; \iota'; F'; R'; f'] \parallel \dots$$

$F ::= \{f_i \mapsto \iota\}$  adds a new future association to the future values.

$$\begin{array}{ll}
o ::= [l_i = \iota_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..n} & \text{reduced object} \\
| AO(\alpha) & \text{active object reference} \\
| fut(f_i^{\alpha \rightarrow \beta}) & \text{future reference}
\end{array}$$

## 6.2 Parallel Reduction

$$\begin{aligned}
Repeat(a) &\triangleq [repeat = \varsigma(x)a; x.repeat()].repeat() \\
FifoService &\triangleq Repeat(Serve(\mathcal{M}))
\end{aligned}$$

where  $\mathcal{M}$  is the set of all method labels. Note that  $\mathcal{M}$  only needs to contain all the method labels of the concerned (active) object.

$$\mathcal{R} ::= \dots | Active(\mathcal{R}, m_j) | \mathcal{R} \uparrow f, a$$

$$Repeat\ a\ Until\ b \triangleq [repeat = \varsigma(x)a; if\ (not(b))\ then\ x.repeat()].repeat()$$

### 6.2.1 More Operations on Store

- deep copy:  $copy(\iota, \sigma)$ ,
- merge:  $Merge(\iota, \sigma, \sigma')$ ,
- copy and merge:  $Copy\&\ Merge(\sigma, \iota; \sigma', \iota')$ .

$\iota \in dom(copy(\iota, \sigma))$
$\iota' \in dom(copy(\iota, \sigma)) \Rightarrow locs(\sigma(\iota')) \subseteq dom(copy(\iota, \sigma))$
$\iota' \in dom(copy(\iota, \sigma)) \Rightarrow copy(\iota, \sigma)(\iota') = \sigma(\iota')$

**Table 6.1.** Deep copy

$$\vdash (\iota, \sigma) \text{ OK} \Rightarrow \vdash (\iota, copy(\iota, \sigma)) \text{ OK}$$

$$\begin{aligned}
Merge(\iota, \sigma, \sigma') &= \sigma' \theta + \sigma \\
\text{where } \theta &= \{\{\iota' \leftarrow \iota'' \mid \iota' \in dom(\sigma') \cap dom(\sigma) \setminus \{\iota\}, \iota'' \text{ fresh}\}\}
\end{aligned}$$

*Copy and merge*

#### Definition 6.1 (Copy and merge)

$$Copy\&\ Merge(\sigma, \iota; \sigma', \iota') \triangleq Merge(\iota', \sigma', copy(\iota, \sigma) \{\{\iota \leftarrow \iota'\}\})$$

#### Property 6.2 (Copy and merge)

$$\iota \in dom(\sigma') \wedge \iota \neq \iota' \Rightarrow \sigma'(\iota) = Copy\&\ Merge(\sigma, \iota''; \sigma', \iota')(\iota)$$

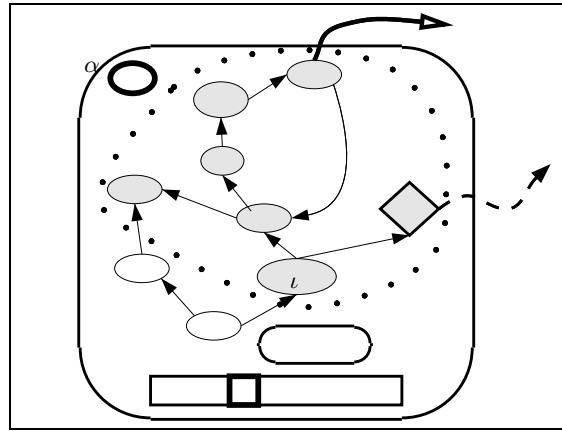


Fig. 6.1. Example of a deep copy:  $copy(l, \sigma_\alpha)$

### 6.2.2 Reduction Rules

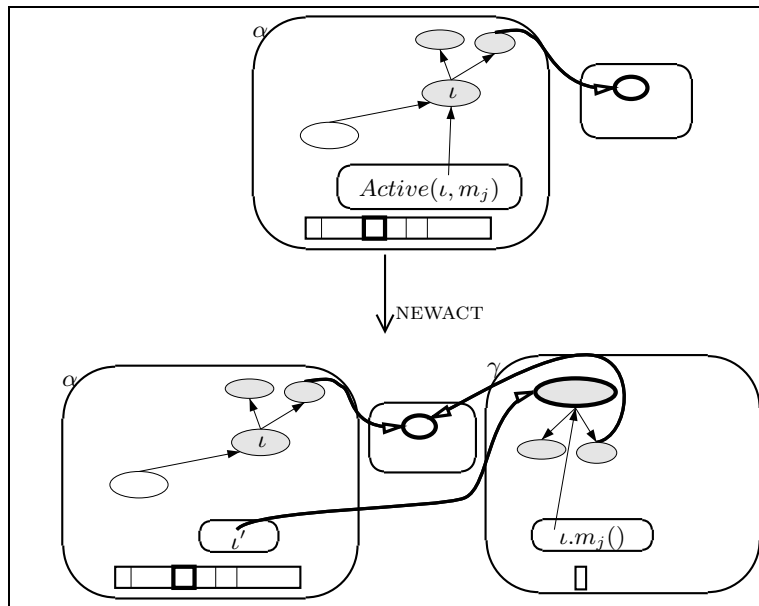
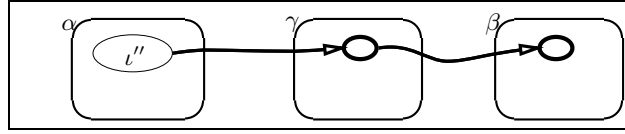


Fig. 6.2. NEWACT rule

LOCAL:	$\frac{(a, \sigma) \rightarrow_S (a', \sigma') \quad \rightarrow_S \text{ does not clone a future}}{\alpha[a; \sigma; \iota; F; R; f] \parallel P \longrightarrow \alpha[a'; \sigma'; \iota; F; R; f] \parallel P}$
NEWACT:	$\frac{\gamma \text{ fresh activity} \quad \iota' \notin \text{dom}(\sigma) \quad \sigma' = \{\iota' \mapsto AO(\gamma)\} :: \sigma}{\sigma_\gamma = \text{copy}(\iota'', \sigma) \quad \text{Service} = (\text{if } m_j = \emptyset \text{ then } \text{FifoService} \text{ else } \iota''.m_j())}$ $\frac{\alpha[\mathcal{R}[\text{Active}(\iota'', m_j)]; \sigma; \iota; F; R; f] \parallel P \longrightarrow}{\alpha[\mathcal{R}[\iota']; \sigma'; \iota; F; R; f] \parallel \gamma[\text{Service}; \sigma_\gamma; \iota''; \emptyset; \emptyset; \emptyset] \parallel P}$
REQUEST:	$\frac{\sigma_\alpha(\iota) = AO(\beta) \quad \iota'' \notin \text{dom}(\sigma_\beta) \quad f_i^{\alpha \rightarrow \beta} \text{ new future} \quad \iota_f \notin \text{dom}(\sigma_\alpha)}{\sigma'_\beta = \text{Copy\&Merge}(\sigma_\alpha, \iota'; \sigma_\beta, \iota'') \quad \sigma'_\alpha = \{\iota_f \mapsto \text{fut}(f_i^{\alpha \rightarrow \beta})\} :: \sigma_\alpha}$ $\frac{\alpha[\mathcal{R}[\iota.m_j(\iota'); \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P \longrightarrow}{\alpha[\mathcal{R}[\iota_f]; \sigma'_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma'_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] :: [m_j; \iota''; f_i^{\alpha \rightarrow \beta}; f_\beta] \parallel P}$
SERVE:	$R = R' :: [m_j; \iota_r; f'] :: R'' \quad m_j \in M \quad \forall m \in M, m \notin R'$ <hr/> $\alpha[\mathcal{R}[\text{Serve}(M)]; \sigma; \iota; F; R; f] \parallel P \longrightarrow \alpha[\iota.m_j(\iota_r) \uparrow f, \mathcal{R}[\Box]; \sigma; \iota; F; R' :: R''; f'] \parallel P$
ENDSERVICE:	$\frac{\iota' \notin \text{dom}(\sigma) \quad F' = F :: \{f \mapsto \iota'\} \quad \sigma' = \text{Copy\&Merge}(\sigma, \iota; \sigma, \iota')}{\alpha[\iota \uparrow (f', a); \sigma; \iota; F; R; f] \parallel P \longrightarrow \alpha[a; \sigma'; \iota; F'; R; f'] \parallel P}$
REPLY:	$\frac{\sigma_\alpha(\iota) = \text{fut}(f_i^{\gamma \rightarrow \beta}) \quad F_\beta(f_i^{\gamma \rightarrow \beta}) = \iota_f \quad \sigma'_\alpha = \text{Copy\&Merge}(\sigma_\beta, \iota_f; \sigma_\alpha, \iota)}{\alpha[a_\alpha; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P \longrightarrow \alpha[a_\alpha; \sigma'_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P}$

**Table 6.2.** Parallel reduction (used or modified values are non-gray)

$$\begin{array}{l}
 \text{Active}(\text{Active}(\iota, m_j), \emptyset) \longrightarrow \text{Active}(\iota', \emptyset) \longrightarrow \iota'' \\
 \sigma_\alpha(\iota') = AO(\beta) \quad \sigma_\gamma(\iota') = \sigma_\alpha(\iota') = AO(\beta) \\
 \sigma_\alpha(\iota'') = AO(\gamma)
 \end{array}$$



**Fig. 6.3.** A simple forwarder



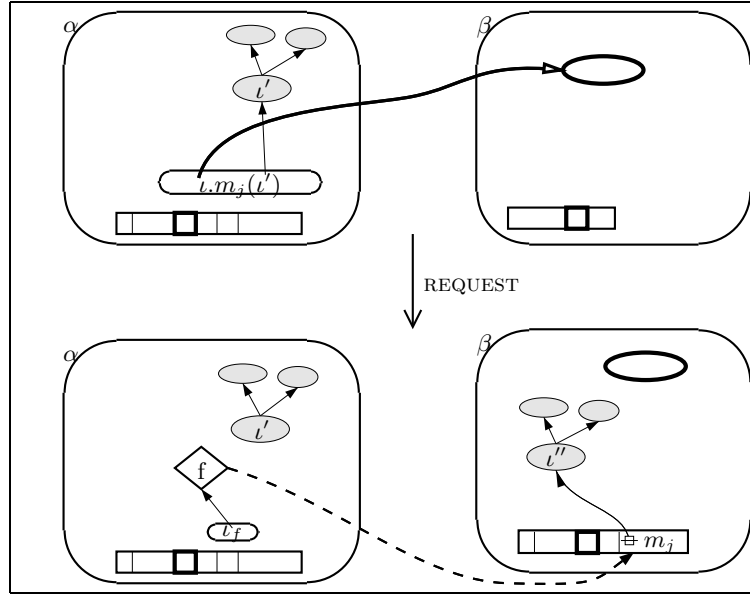


Fig. 6.4. REQUEST rule

REQUEST  $\alpha = \beta$ :

$$\frac{\sigma_\alpha(\iota) = AO(\alpha) \quad \iota'' \notin \text{dom}(\sigma_\alpha) \quad f_i^{\alpha \rightarrow \alpha} \text{ new future} \quad \iota_f \notin \text{dom}(\sigma_\alpha) \quad \iota'' \neq \iota_f \quad \sigma'_\alpha = \text{Copy\&Merge}(\sigma_\alpha, \iota'; \{ \iota_f \mapsto \text{fut}(f_i^{\alpha \rightarrow \alpha}) \}) :: \sigma_\alpha, \iota''}{\alpha[\mathcal{R}[\iota.m_j(\iota')]; \sigma_\alpha; \iota_\alpha; F; R; f] \parallel Q \longrightarrow \alpha[\mathcal{R}[\iota_f]; \sigma'_\alpha; \iota_\alpha; F; R :: [m_j; \iota''; f_i^{\alpha \rightarrow \alpha}]; f] \parallel Q}$$

REPLY  $\alpha = \beta$ :

$$\frac{\sigma_\alpha(\iota) = \text{fut}(f_i^{\gamma \rightarrow \alpha}) \quad F_\alpha(f_i^{\gamma \rightarrow \alpha}) = \iota_f \quad \sigma'_\alpha = \text{Copy\&Merge}(\sigma_\alpha, \iota_f; \sigma_\alpha, \iota)}{\alpha[a_\alpha; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel P \longrightarrow \alpha[a_\alpha; \sigma'_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel P}$$

### 6.3 Well-formedness

$$\text{ActiveRefs}(\alpha) = \{ \beta \mid \exists \iota \in \text{dom}(\sigma_\alpha), \sigma_\alpha(\iota) = AO(\beta) \}$$

$$\text{FutureRefs}(\alpha) = \{ f_i^{\beta \rightarrow \gamma} \mid \exists \iota \in \text{dom}(\sigma_\alpha), \sigma_\alpha(\iota) = \text{fut}(f_i^{\beta \rightarrow \gamma}) \}$$

$$\text{ActiveRefs}(\alpha) = \{ \beta, \delta \}$$

$$\text{FutureRefs}(\alpha) = \{ f^{\alpha \rightarrow \beta}, f^{\beta \rightarrow \gamma} \}$$

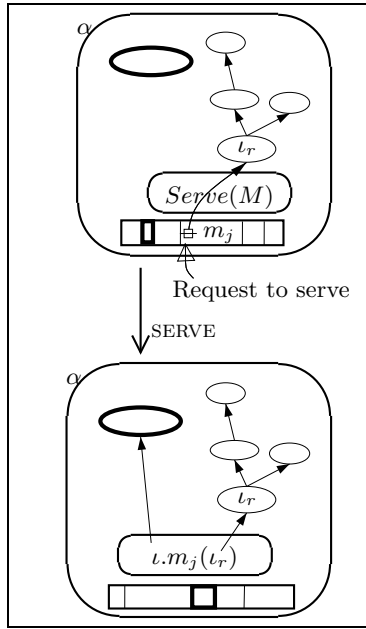


Fig. 6.5. SERVE rule

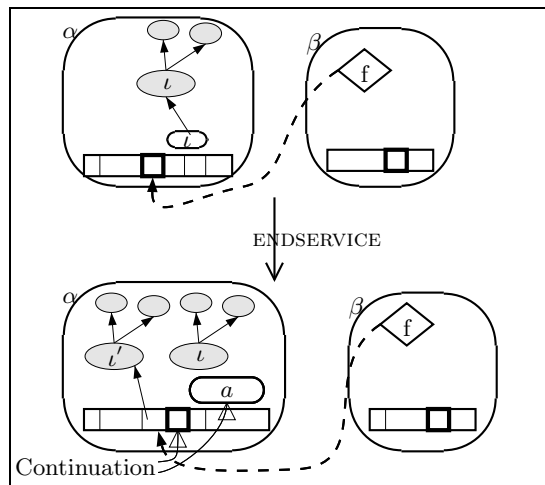


Fig. 6.6. ENDSERVICE rule

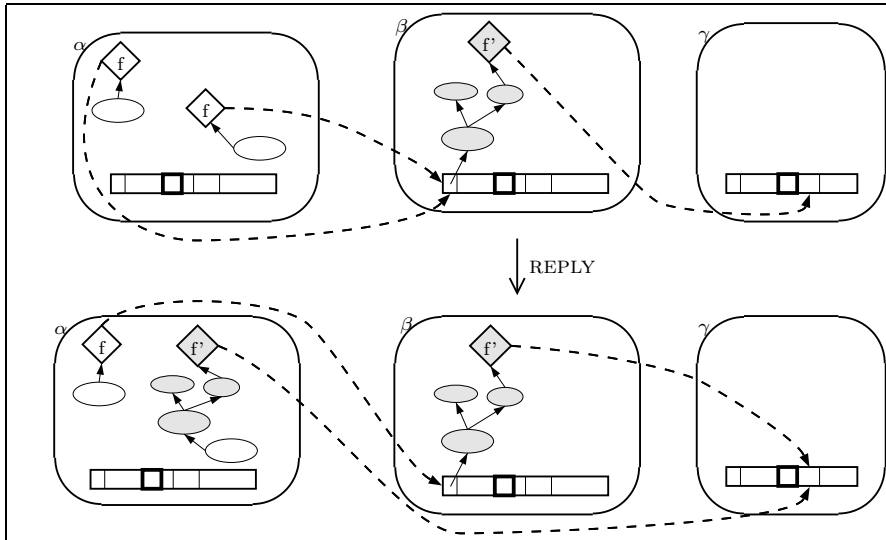


Fig. 6.7. REPLY rule

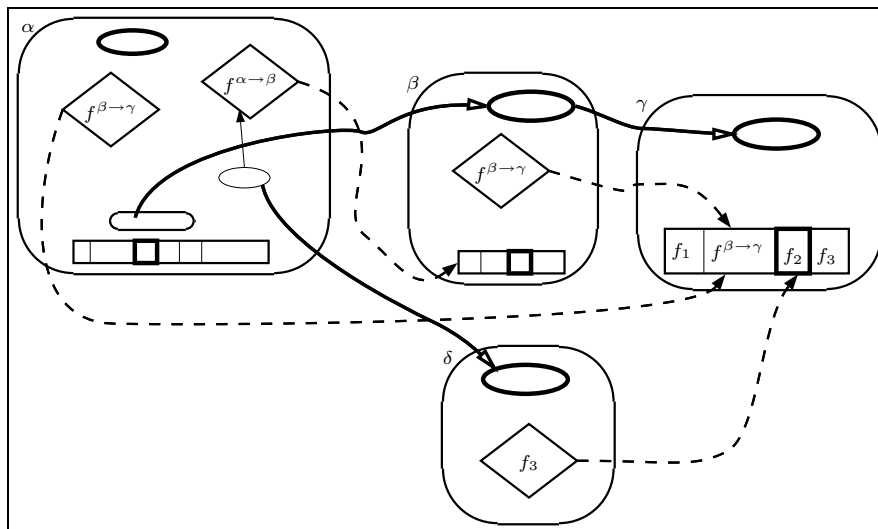


Fig. 6.8. Another example of configuration





## 7.1 Notation and Hypothesis

### Potential Services

$$P_0 \xrightarrow{*} P \wedge a_{\alpha_P} = \mathcal{R}[\text{Serve}(M)] \Rightarrow M \in M_0$$

#### Definition 7.1 (Potential services)

Let  $P_0$  be an initial configuration.  $\mathcal{M}_{\alpha_P}$  is any set verifying:

$$P \xrightarrow{*} P' \wedge a_{\alpha_{P'}} = \mathcal{R}[\text{Serve}(M)] \Rightarrow \exists M' \in \mathcal{M}_{\alpha_P}, M \subseteq M'$$

## 7.2 Object Sharing

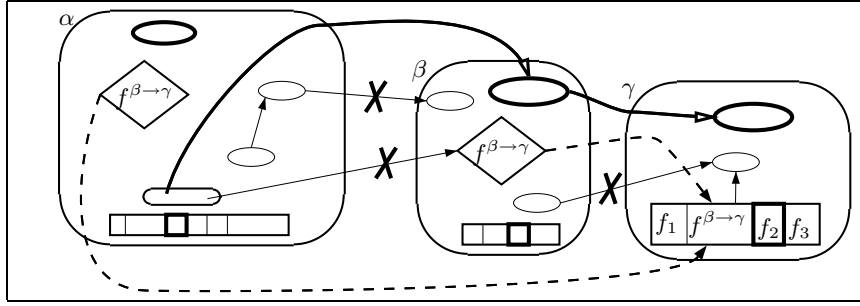


Fig. 7.2. Absence of sharing

## 7.3 Isolation of Futures and Parameters

### Property 7.2 (Store partitioning)

Let:

$$\text{ActiveStore}(\alpha) = \text{copy}(\iota_\alpha, \sigma_\alpha) \cup \bigcup_{\iota \in \text{locs}(a_\alpha)} \text{copy}(\iota, \sigma_\alpha)$$

At any stage of computation, each activity has the following invariant:

$$\sigma_\alpha \supseteq \left( \text{ActiveStore}(\alpha) \oplus_{\{f \mapsto \iota_f\} \in F_\alpha} \text{copy}(\iota_f, \sigma_\alpha) \oplus_{[m_j; \iota_r; f] \in R_\alpha} \text{copy}(\iota_r, \sigma_\alpha) \right)$$

where  $\oplus$  is the disjoint union.

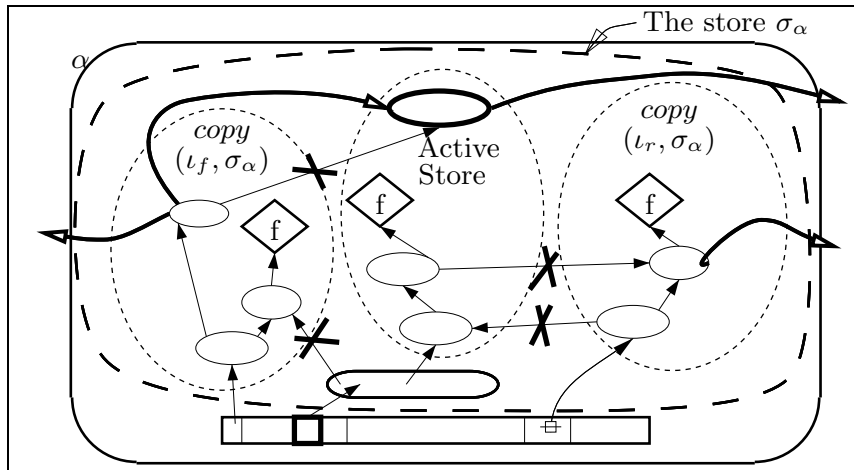


Fig. 7.3. Store partitioning: future value, active store, request parameter





## Confluence Property

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### 8.1 Configuration Compatibility

**Definition 8.1 (Request Sender List)** *The  $i^{\text{th}}$  element of  $RSL(\alpha)$  is defined by:*

$$(RSL(\alpha))_i = \beta^f \text{ if } f_i^{\beta \rightarrow \alpha} \in FL(\alpha)$$

$$RSL(\delta) = \beta^{\text{bar}} :: \alpha^{\text{foo}} :: \gamma^{\text{gee}} :: \gamma^{\text{gee}} :: \alpha^{\text{foo}} :: \beta^{\text{foo}} :: \beta^{\text{bar}} :: \gamma^{\text{gee}}$$

$$RSL(\delta)|_{\text{foo,bar}} = \beta^{\text{bar}} :: \alpha^{\text{foo}} :: \alpha^{\text{foo}} :: \beta^{\text{foo}} :: \beta^{\text{bar}}$$

**Definition 8.2 (RSL comparison  $\trianglelefteq$ )**

*RSLs are ordered by the prefix order on activities:*

$$\alpha_1^{f_1} \dots \alpha_n^{f_n} \trianglelefteq \alpha'_1{}^{f'_1} \dots \alpha'_m{}^{f'_m} \Leftrightarrow \begin{cases} n \leq m \\ \forall i \in [1..n], \alpha_i = \alpha'_i \end{cases}$$

**Definition 8.3 (RSL compatibility:  $RSL(\alpha) \bowtie RSL(\beta)$ )**

*Two RSLs are compatible if they have a least upper bound or equivalently if one is a prefix of the other:*

$$\begin{aligned} RSL(\alpha) \bowtie RSL(\beta) &\Leftrightarrow RSL(\alpha) \sqcup RSL(\beta) \text{ exists} \\ &\Leftrightarrow (RSL(\alpha) \supseteq RSL(\beta)) \vee (RSL(\beta) \supseteq RSL(\alpha)) \end{aligned}$$

**Definition 8.4 (Configuration compatibility:  $P \bowtie Q$ )**

*If  $P_0$  is a configuration such that  $P_0 \xrightarrow{*} P$  and  $P_0 \xrightarrow{*} Q$ :*

$$P \bowtie Q \Leftrightarrow \forall \alpha \in P \cap Q, \forall M \in \mathcal{M}_{\alpha_{P_0}}, RSL(\alpha_P)|_M \bowtie RSL(\alpha_Q)|_M$$

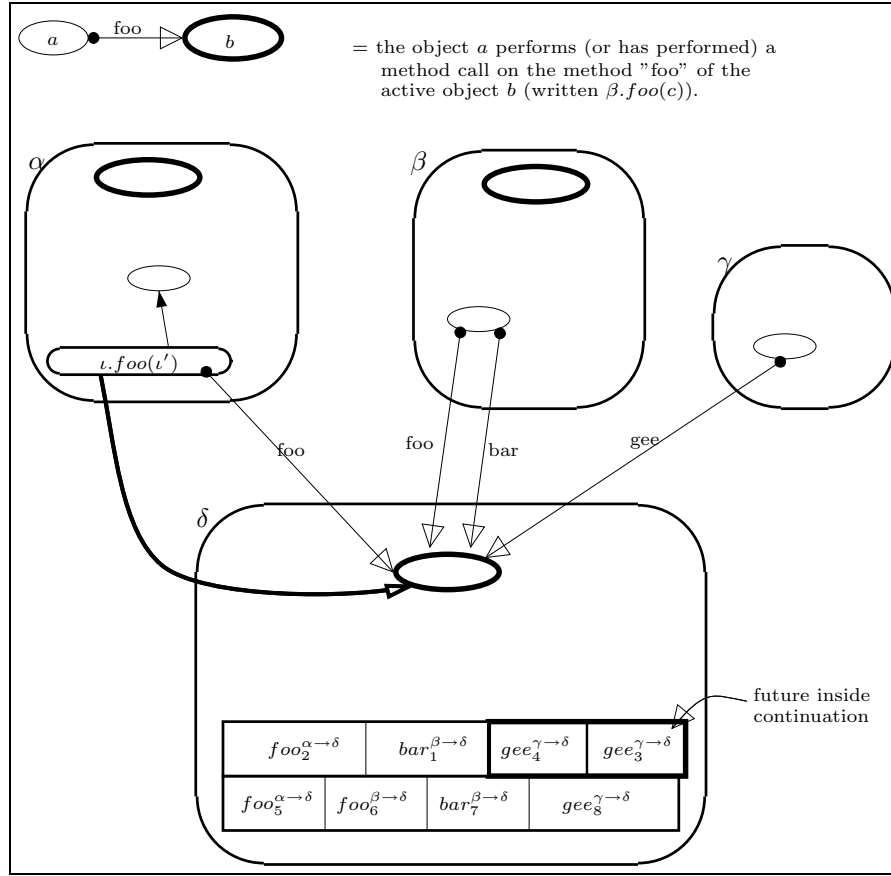


Fig. 8.1. Example of RSL

$$\mathcal{M}_{\delta_{P_0}} = \{\{foo, bar\}, \{foo, gee\}\}$$

$$RSL_3(\delta) = \dots \alpha^{foo} :: \gamma^{gee} :: \beta^{foo} :: \gamma^{gee} :: \beta^{bar}$$

$$RSL_4(\delta) = \dots \alpha^{foo} :: \beta^{foo} :: \gamma^{gee} :: \beta^{bar} :: \beta^{bar}$$

$$RSL_3(\delta)|_{bar,foo} = \dots \alpha :: \beta :: \beta \bowtie \dots \alpha :: \beta :: \beta :: \beta = RSL_4(\delta)|_{bar,foo}$$

$$RSL_3(\delta)|_{gee,foo} = \dots \alpha :: \beta :: \gamma :: \gamma \bowtie \dots \alpha :: \beta :: \gamma = RSL_4(\delta)|_{gee,foo}$$

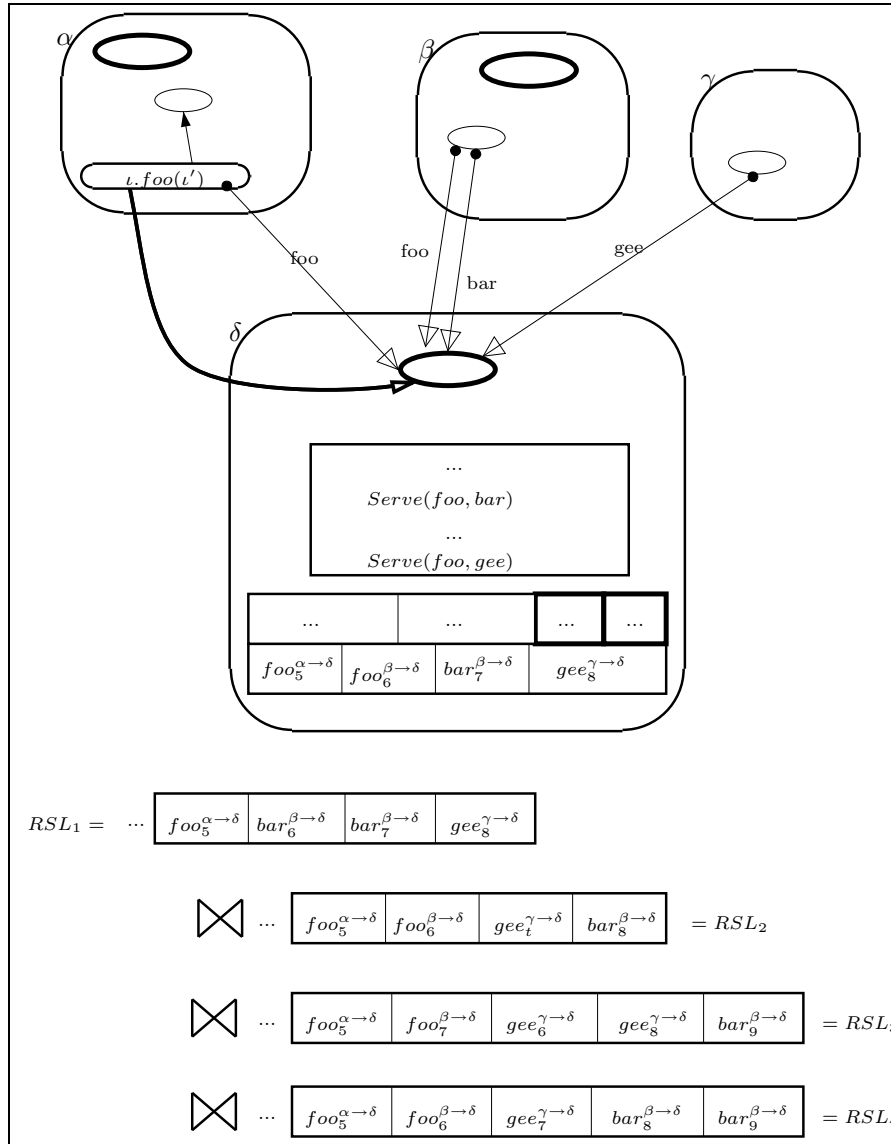


Fig. 8.2. Example of RSL compatibility

## 8.2 Equivalence Modulo Future Updates

### 8.2.1 Principles

#### 8.2.2 Alias Condition

*Aliases existing in  $P_1$  also exist in  $P_2$  if  $P_1$  and  $P_2$  verify the following relation:*

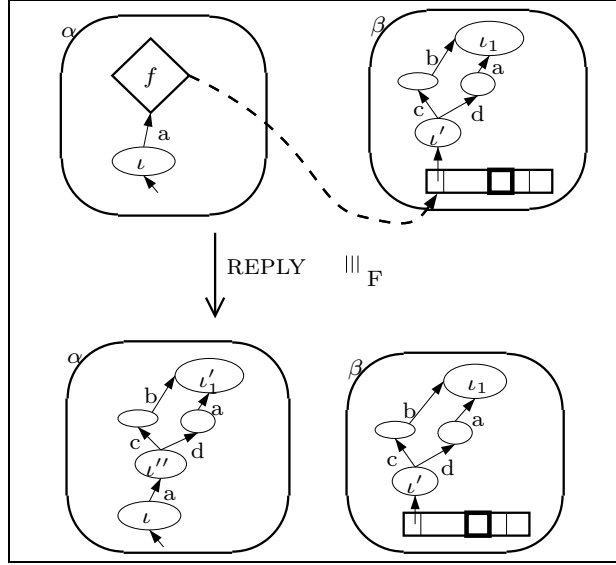


Fig. 8.3. Two equivalent configurations modulo future updates

$$\exists L_1, L_2 \left\{ \begin{array}{l} \alpha \xrightarrow{\alpha}_{L_1} l'_1 \\ \alpha \xrightarrow{\alpha}_{L_2} l'_1 \end{array} \right. \text{ in } P_1 \Rightarrow \exists L, L', L'_1, L'_2, t' \left\{ \begin{array}{l} L_1 = L.L'_1 \\ L_2 = L'.L'_2 \\ L'_1 \neq \emptyset \\ \alpha \xrightarrow{\alpha^*}_L t' \xrightarrow{\beta}_{L'_1} t_1 \\ \alpha \xrightarrow{\alpha^*}_{L'} t' \xrightarrow{\beta}_{L'_2} t_1 \end{array} \right. \text{ in } P_2$$

$$\exists L_1, L_2 \alpha \xrightarrow{\alpha} \dots t \xrightarrow{\alpha}_{L_1} l'_1 \wedge \alpha \xrightarrow{\alpha} \dots t \xrightarrow{\alpha}_{L_2} l'_1$$

$$\exists L, L', L'_1, L'_2, t' \left\{ \begin{array}{l} L_1 = L.L'_1 \wedge L_2 = L'.L'_2 \wedge L'_1 \neq \emptyset \\ \alpha \xrightarrow{\alpha} \dots t \xrightarrow{\alpha^*}_L t' \xrightarrow{\beta}_{L'_1} t_1 \\ \alpha \xrightarrow{\alpha} \dots t \xrightarrow{\alpha^*}_{L'} t' \xrightarrow{\beta}_{L'_2} t_1 \end{array} \right.$$

$$t' \xrightarrow{\beta}_{L'_1} t_1 \wedge t' \xrightarrow{\beta}_{L'_2} t_1$$

### 8.2.3 Sufficient Conditions

$$P \xrightarrow{\text{REPLY}} P' \Rightarrow P \equiv_F P'$$

$$\left( P_1 \xrightarrow{\text{REPLY}} P' \wedge P_2 \xrightarrow{\text{REPLY}} P' \right) \Rightarrow P_1 \equiv_F P_2$$

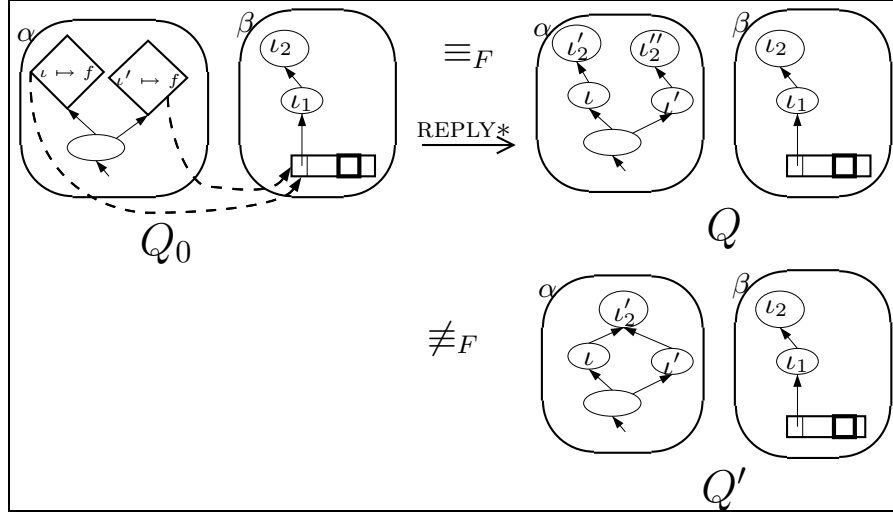


Fig. 8.4. An example illustrating the alias condition

**Definition 8.5 (Cycle of futures)**

A configuration contains a cycle of futures if some futures values are mutually dependent: each future value references another future, finally leading to a cycle.

A cycle of futures is a set of future identifiers  $\{fut(f_i^{\gamma_i \rightarrow \beta_n})\}$  such that  $\beta_0 \dots \beta_n$  verify:

$$\left\{ \begin{array}{l} (\forall i, 0 < i \leq n, fut(f_{i-1}^{\gamma_{i-1} \rightarrow \beta_{i-1}}) \in copy(F_{\beta_i}(fut(f_i^{\gamma_i \rightarrow \beta_i})), \sigma_{\beta_i})) \\ fut(f_n^{\gamma_n \rightarrow \beta_n}) \in copy(F_{\beta_0}(fut(f_0^{\gamma_0 \rightarrow \beta_0})), \sigma_{\beta_0}) \end{array} \right.$$

$$P_1 \xrightarrow{\text{REPLY}} P' \wedge P_2 \xrightarrow{\text{REPLY}} P'$$

### 8.3 Properties of Equivalence Modulo Future Updates

$$T \in \{\text{LOCAL}, \text{NEWACT}, \text{REQUEST}, \text{SERVE}, \text{ENDSERVICE}, \text{REPLY}\}$$

**Definition 8.6 (Parallel reduction modulo future updates)**

$$\xRightarrow{T} = \begin{array}{l} \xrightarrow{\text{REPLY}^*} T \text{ if } T \neq \text{REPLY} \\ \xrightarrow{\text{REPLY}^*} \text{ if } T = \text{REPLY} \end{array}$$

**Property 8.7 (Equivalence modulo future updates and reduction)**

$$P \xrightarrow{T} Q \wedge P \equiv_F P' \Rightarrow \exists Q', P' \xRightarrow{T} Q' \wedge Q' \equiv_F Q$$

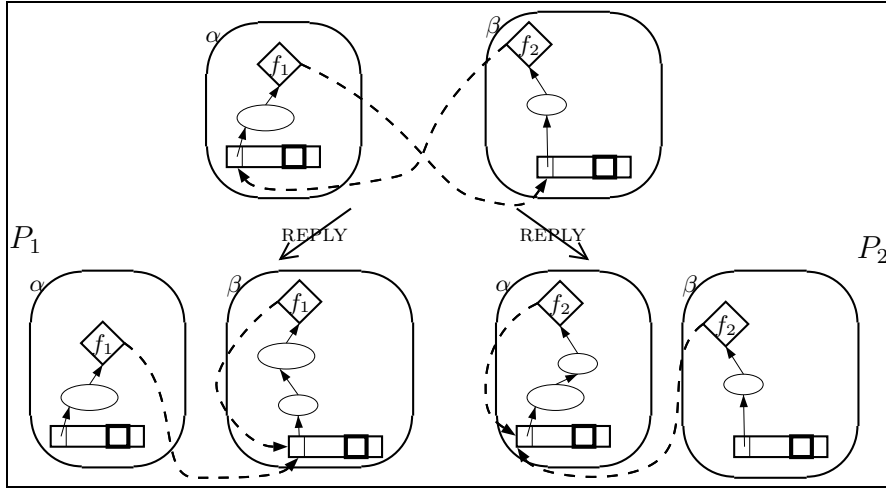


Fig. 8.5. Updates in a cycle of futures

**Property 8.8 (Equivalence and generalized parallel reduction)**

$$P \xRightarrow{T} Q \wedge P \equiv_F P' \Rightarrow \exists Q', P' \xRightarrow{T} Q' \wedge Q' \equiv_F Q$$

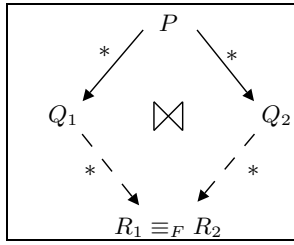
### 8.4 Confluence

**Definition 8.9 (Confluent configurations:  $P_1 \curlyvee P_2$ )**

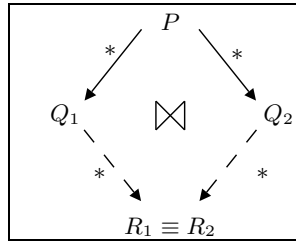
$$P_1 \curlyvee P_2 \Leftrightarrow \exists R_1, R_2, \begin{cases} P_1 \xrightarrow{*} R_1 \\ P_2 \xrightarrow{*} R_2 \\ R_1 \equiv_F R_2 \end{cases}$$

**Theorem 8.10 (Confluence)**

$$\begin{cases} P \xrightarrow{*} Q_1 \\ P \xrightarrow{*} Q_2 \\ Q_1 \bowtie Q_2 \end{cases} \Rightarrow Q_1 \curlyvee Q_2$$



**Fig. 8.6.** Confluence



**Fig. 8.7.** Confluence without cycle of futures





## Determinacy

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### 9.1 Deterministic Object Networks

#### Definition 9.1 (DON)

A configuration  $P$ , derived from an initial configuration  $P_0$ , is a *Deterministic Object Network*,  $DON(P)$ , if it cannot be reduced to a configuration where two interfering requests can be sent concurrently to the same destination activity:

$$DON(P) \Leftrightarrow \left( P \xrightarrow{*} Q \Rightarrow \forall \alpha \in Q, \forall M \in \mathcal{M}_{\alpha P_0}, \exists^1 \beta \in Q, \exists m \in M, \right. \\ \left. a_\beta = \mathcal{R}[l.m(\dots)] \wedge \sigma_\beta(\iota) = AO(\alpha) \right)$$

where  $\exists^1$  means “there is at most one.”

#### Property 9.2 (DON and compatibility)

$$DON(P) \wedge P \xrightarrow{*} Q_1 \wedge P \xrightarrow{*} Q_2 \Rightarrow Q_1 \bowtie Q_2$$

$$a_\beta = \mathcal{R}[l.foo(\dots)] \wedge \sigma_\beta(\iota) = AO(\alpha)$$

$$a_\gamma = \mathcal{R}[l'.bar(\dots)] \wedge \sigma_\gamma(\iota') = AO(\alpha)$$

#### Theorem 9.3 (DON determinism)

$$\begin{cases} DON(P) \\ P \xrightarrow{*} Q_1 \\ P \xrightarrow{*} Q_2 \end{cases} \Longrightarrow Q_1 \dot{\vee} Q_2$$

### 9.2 Toward a Static Approximation of DON Terms

If dynamically a request on the method  $foo$  can be sent from  $\alpha$  to  $\beta$ , and  $\hat{\alpha}$  and  $\hat{\beta}$  are the static approximations of activities  $\alpha$  and  $\beta$  then  $(\hat{\alpha}, \hat{\beta}, foo) \in \mathcal{G}(P_0)$ :

$$P \xrightarrow{*} Q \wedge a_{\alpha_Q} = \mathcal{R}[l.foo(\iota')] \wedge \sigma_{\alpha_Q}(\iota) = AO(\beta) \Rightarrow (\hat{\alpha}, \hat{\beta}, foo) \in \mathcal{G}(P)$$

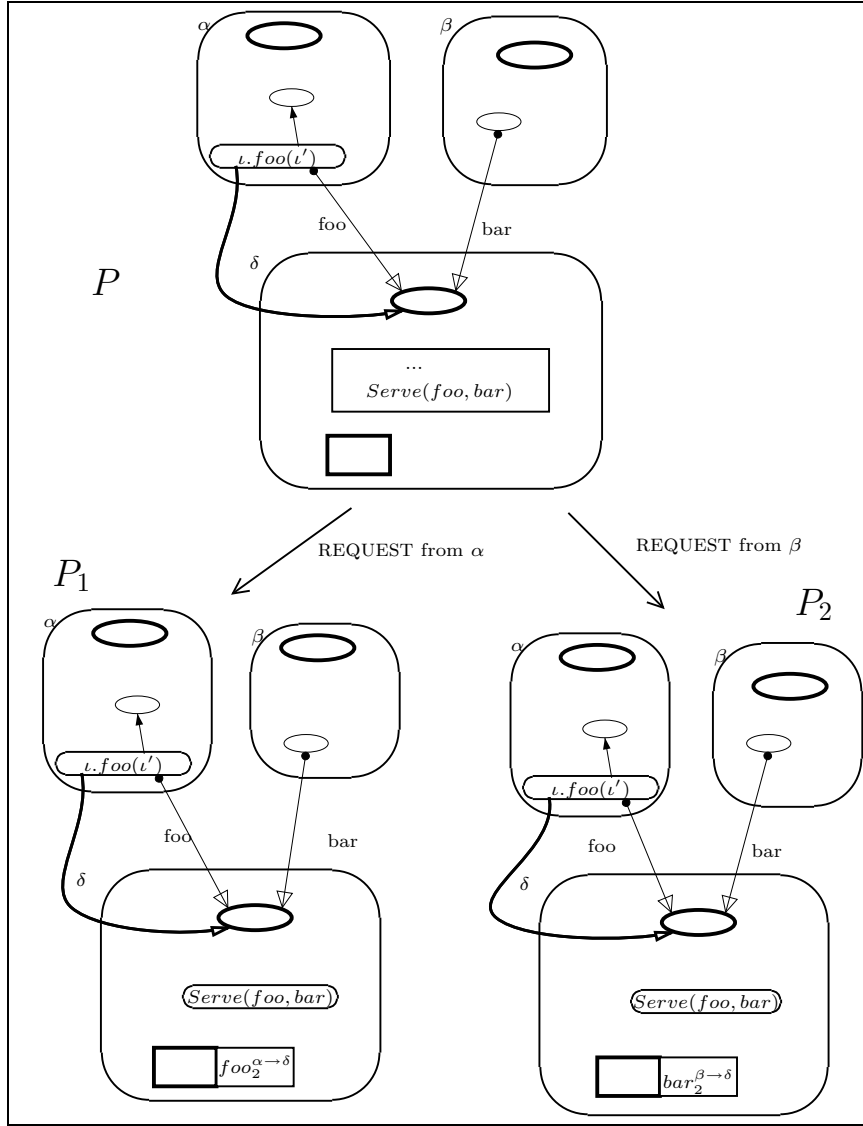


Fig. 9.1. A non-DON term

**Definition 9.4 (Static DON)** A program  $P_0$  is a Static Deterministic Object Network  $SDON(P_0)$  if it verifies:

$$SDON(P_0) \Leftrightarrow \left( \left\{ \begin{array}{l} (\hat{\alpha}, \hat{\beta}, m_1) \in \mathcal{G}(P_0) \\ (\hat{\alpha}', \hat{\beta}, m_2) \in \mathcal{G}(P_0) \Rightarrow \forall M \in \mathcal{M}_{\hat{\beta}_{P_0}}, \{m_1, m_2\} \not\subseteq M \\ \hat{\alpha} \neq \hat{\alpha}' \end{array} \right. \right)$$

where  $\forall \beta, M \in \mathcal{M}_{\beta_P} \Rightarrow M \in \mathcal{M}_{\hat{\beta}_P}$ .

Of course, Static DON terms are DON terms and behave deterministically:

**Property 9.5 (Static approximation)**

$$SDON(P_0) \Rightarrow DON(P_0)$$

**Theorem 9.6 (SDON determinism)**

*SDON terms behave deterministically:*

$$\begin{cases} SDON(P) \\ P \xrightarrow{*} Q_1 \\ P \xrightarrow{*} Q_2 \end{cases} \implies Q_1 \Downarrow Q_2$$

### 9.3 Tree Topology Determinism

**Theorem 9.7 (Tree determinacy, TDON)**

*If, at every step of the reduction, the request flow graph forms a set of trees then the reduction is deterministic (TDON).*

### 9.4 Deterministic Examples

#### 9.4.1 The Binary Tree

*let tree = (BT.new).add(3, 4).add(2, 3).add(5, 6).add(7, 8) in  
[a = tree.search(5), b = tree.search(3)].b := tree.search(7)*

*let tree = (BT.new).add(3, 4).add(2, 3).add(5, 6).add(7, 8) in  
let Client = [a = tree.search(5), b = tree.search(3)] in  
Client.b := tree.search(7); tree.add(1, Client.a)*

#### 9.4.2 The Fibonacci Number Example

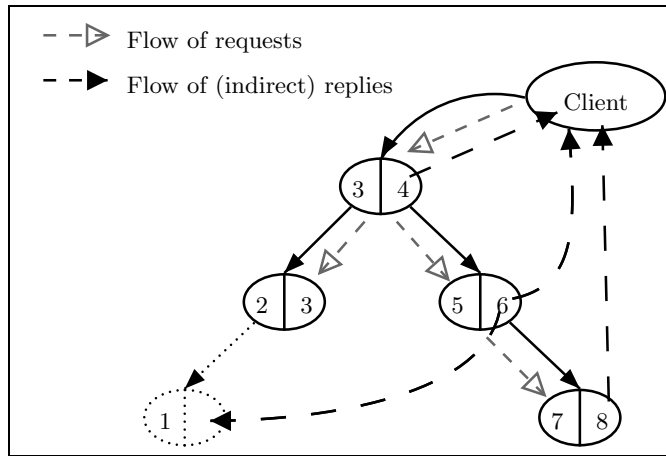
**Compatibility**

$$RSL(\gamma) = \emptyset \mid \beta^{set2} \mid \alpha^{set1} \mid \\ \alpha^{set1} :: \beta^{set2} :: RSL(\gamma) \mid \beta^{set2} :: \alpha^{set1} :: RSL(\gamma)$$

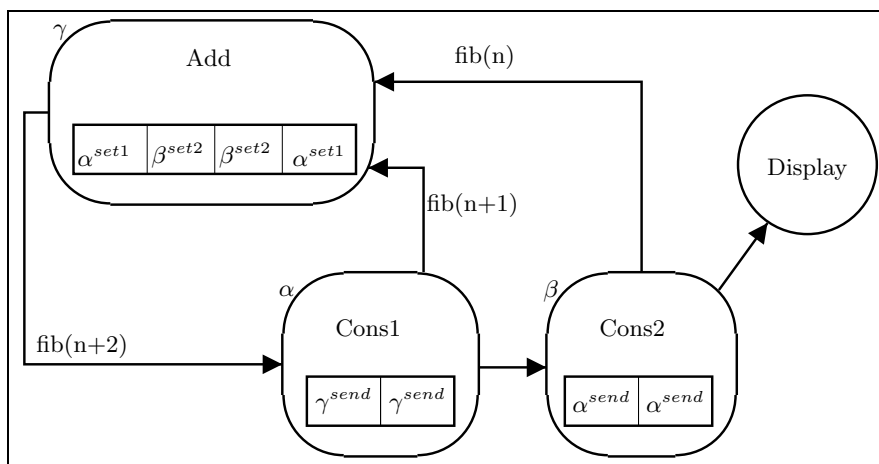
**Fibonacci Example Is a DON**

**SDON Approximation**

### 9.5 Discussion: Comparing Request Service Strategies



**Fig. 9.2.** Concurrent replies in the binary tree case



**Fig. 9.3.** Fibonacci number RSLs

**A Few More Features**



## More Confluent Features

### 10.1 Delegation

*Principles of Explicit Delegation*

Parallel DELEGATE: $\sigma_\alpha(\iota) = AO(\beta) \quad \iota'' \notin \text{dom}(\sigma_\beta)$ $\sigma'_\beta = \text{Copy\&Merge}(\sigma_\alpha, \iota' ; \sigma_\beta, \iota'') \quad f_\emptyset \text{ new future}$ <hr style="border: 0.5px solid black;"/> $\alpha[\mathcal{R}[\text{delegate}(\iota.m_j(\iota'))]; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_i^{\gamma \rightarrow \alpha}] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P \longrightarrow$ $\alpha[\mathcal{R}[\emptyset]; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\emptyset] \parallel \beta[a_\beta; \sigma'_\beta; \iota_\beta; F_\beta; R_\beta :: [m_j; \iota''; f_i^{\gamma \rightarrow \alpha}]; f_\beta] \parallel P$
Sequential DELEGATE: $\sigma_\alpha(\iota) = [l_i = \iota_i; m_j = \varsigma(x_j, y_j)a_j]_{\substack{i \in 1..n \\ j \in 1..m}} \quad k \in 1..m$ <hr style="border: 0.5px solid black;"/> $\alpha[\mathcal{R}[\text{delegate}(\iota.m_j(\iota'))]; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel P \longrightarrow$ $\alpha[\mathcal{R}[a_k \{x_k \leftarrow \iota, y_k \leftarrow \iota'\}]; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel P$

**Table 10.1.** Rules for delegation (DELEGATE)

Parallel DELEGATE:

$$\sigma_\alpha(\iota) = AO(\beta) \quad \iota'' \notin \text{dom}(\sigma_\beta)$$

$$\sigma'_\beta = \text{Copy\&Merge}(\sigma_\alpha, \iota' ; \sigma_\beta, \iota'') \quad \mathcal{R} \text{ does not contain any continuation}$$


---


$$\alpha[\mathcal{R}[\text{delegate}(\iota.m_j(\iota'))] \uparrow (f, a); \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_i^{\gamma \rightarrow \alpha}] \parallel$$

$$\beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P \longrightarrow$$

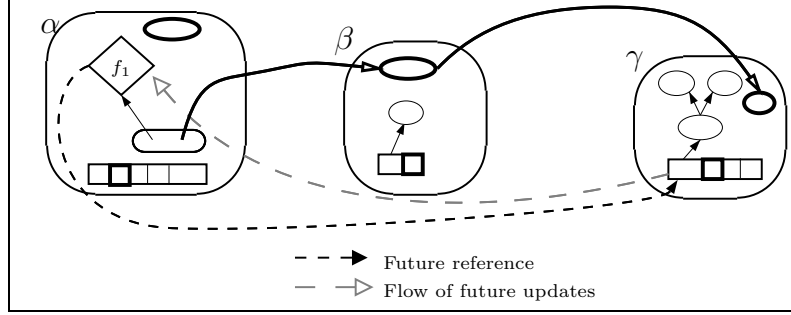
$$\alpha[a; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f] \parallel \beta[a_\beta; \sigma'_\beta; \iota_\beta; F_\beta; R_\beta :: [m_j; \iota''; f_i^{\gamma \rightarrow \alpha}]; f_\beta] \parallel P$$

Generalized REPLY:

$$\frac{\sigma_\alpha(\iota) = fut(f_i^{\gamma \rightarrow \delta}) \quad F_\beta(f_i^{\gamma \rightarrow \delta}) = \iota_f \quad \sigma'_\alpha = Copy\&Merge(\sigma_\beta, \iota_f; \sigma_\alpha, \iota)}{\alpha[a_\alpha; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P \longrightarrow \alpha[a_\alpha; \sigma'_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P}$$

**Definition 10.1 (Well-formedness)**

$$\vdash P \text{ OK} \Leftrightarrow \forall \alpha \in P \begin{cases} \vdash (a_\alpha, \sigma_\alpha) \text{ OK} \\ \vdash (\iota_\alpha, \sigma_\alpha) \text{ OK} \\ \beta \in ActiveRefs(\alpha) \Rightarrow \beta \in P \\ f_i^{\beta \rightarrow \gamma} \in FutureRefs(\alpha) \Rightarrow \exists \delta, f_i^{\beta \rightarrow \gamma} \in FL(\delta) \end{cases}$$

**Fig. 10.1.** Explicit delegation in ASP*Implicit Delegation in ASP*

REQUEST:

$$\sigma_\beta(\iota) = AO(\gamma) \quad \iota'' \notin dom(\sigma_\gamma) \quad f_i^{\beta \rightarrow \gamma} \text{ new future} \quad \iota_f \notin dom(\sigma_\beta) \\ \sigma'_\gamma = Copy\&Merge(\sigma_\beta, \iota'; \sigma_\gamma, \iota'') \quad \sigma'_\beta = \{\iota_f \mapsto fut(f_i^{\beta \rightarrow \gamma})\} :: \sigma_\beta$$

$$\beta[\mathcal{R}[\iota, m_j(\iota')]; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_i^{\alpha \rightarrow \beta}] \parallel \gamma[a_\gamma; \sigma_\gamma; \iota_\gamma; F_\gamma; R_\gamma; f_\gamma] \parallel P \longrightarrow \\ \beta[\mathcal{R}[\iota_f]; \sigma'_\beta; \iota_\beta; F_\beta; R_\beta; f_i^{\alpha \rightarrow \beta}] \parallel \gamma[a_\gamma; \sigma'_\gamma; \iota_\gamma; F_\gamma; R_\gamma :: [m_j; \iota''; f_i^{\beta \rightarrow \gamma}]; f_\gamma] \parallel P$$

ENDSERVICE:

$$\iota'_f \notin dom(\sigma_\beta) \quad F'_\beta = F_\beta :: \{f_i^{\alpha \rightarrow \beta} \mapsto \iota'_f\} \quad \sigma' = Copy\&Merge(\sigma'_\beta, \iota_f; \sigma''_\beta, \iota'_f)$$

$$\beta[\iota_f \uparrow (f', a); \sigma'_\beta; \iota_\beta; F_\beta; R_\beta; f_i^{\alpha \rightarrow \beta}] \parallel P \longrightarrow \beta[a; \sigma''_\beta; \iota; F'_\beta; R; f'] \parallel P$$

Then future  $fut(f_i^{\beta \rightarrow \gamma})$  becomes an alias for future  $f_i^{\delta \rightarrow \beta}$ , and this alias information must be transmitted to all the potential users of the result by the REPLY rules, e.g.,  $\alpha$ :



REPLY:

$$\frac{\begin{aligned} \sigma_\alpha(\iota) &= fut(f_i^{\alpha \rightarrow \beta}) & F'_\beta(f_i^{\gamma \rightarrow \beta}) &= \iota_f \\ \sigma''_\beta(\iota'_f) &= fut(f_i^{\beta \rightarrow \gamma}) & \sigma'_\alpha &= \{\iota \rightarrow fut(f_i^{\beta \rightarrow \gamma})\} + \sigma_\alpha \end{aligned}}{\begin{aligned} \alpha[a_\alpha; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma''_\beta; \iota_\beta; F'_\beta; R_\beta; f_\beta] \parallel P &\longrightarrow \\ \alpha[a_\alpha; \sigma'_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma''_\beta; \iota_\beta; F'_\beta; R_\beta; f_\beta] \parallel P \end{aligned}}$$

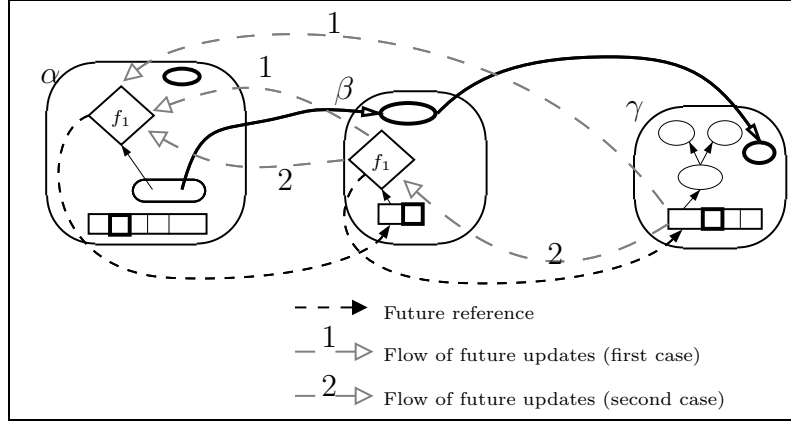


Fig. 10.2. Implicit delegation in ASP

## 10.2 Explicit Wait

$$\begin{aligned} \llbracket [l_i = b_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..n} \rrbracket &\triangleq [wait = [], l_i = b_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..n} \\ \llbracket [waitFor(a)] \rrbracket &\triangleq a.wait \end{aligned}$$

## 10.3 Method Update

$$[foo\_field = \lambda self.\lambda y.body, foo = \varsigma(x, y)((x.foo\_field x) y)]$$

$$x.foo \Leftarrow b \triangleq x.foo\_field := b$$



## Non-Confluent Features

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### 11.1 Testing Future Reception

WAITT:

$$\frac{\sigma(\iota) = fut(f_i^{\alpha \rightarrow \beta})}{(\mathcal{R}[awaited(\iota)], \sigma) \rightarrow_S (\mathcal{R}[true], \sigma)}$$

WAITF:

$$\frac{\sigma(\iota) \neq fut(f_i^{\alpha \rightarrow \beta})}{(\mathcal{R}[awaited(\iota)], \sigma) \rightarrow_S (\mathcal{R}[false], \sigma)}$$

#### A Simple Example: Synchronization on Available Futures

*let*  $f_1 = \text{alpha.fib}(n)$  *in*  
*let*  $f_2 = \text{beta.fib}(n)$  *in*  
 Repeat  
   *if*  $\text{not}(awaited(f_1))$  *then*  $s.\text{result} := \text{foo}(f_1)$   
   *else if*  $\text{not}(awaited(f_2))$  *then*  $s.\text{result} := \text{foo}(f_2)$   
 Until  $(\text{not}(awaited(f_1)) \vee \text{not}(awaited(f_2)))$

### 11.2 Non-blocking Services

SERVEWBSERVE:

$$\frac{R = R' :: [m_j; \iota_r; f'] :: R'' \quad m_j \in M \quad \forall m \in M, m \notin R'}{\alpha[\mathcal{R}[\text{ServeWithoutBlocking}(M)]; \sigma; \iota; F; R; f] \parallel P \longrightarrow \alpha[\iota.m_j(\iota_r) \uparrow f, \mathcal{R}[\square]; \sigma; \iota; F; R' :: R''; f'] \parallel P}$$

SERVEWBCONTINUE:

$$\frac{\forall m \in M, m \notin R}{\alpha[\mathcal{R}[\text{ServeWithoutBlocking}(M)]; \sigma; \iota; F; R; f] \parallel P \longrightarrow \alpha[\mathcal{R}[\Box]; \sigma; \iota; F; R; f] \parallel P}$$

### 11.3 Testing Request Reception

INQUEUET:

$$\frac{\exists m \in M, m \in R}{\alpha[\mathcal{R}[\text{inQueue}(M)]; \sigma; \iota; F; R; f] \parallel P \longrightarrow \alpha[\mathcal{R}[\text{true}]; \sigma; \iota; F; R; f] \parallel P}$$

INQUEUEF:

$$\frac{\forall m \in M, m \notin R}{\alpha[\mathcal{R}[\text{inQueue}(M)]; \sigma; \iota; F; R; f] \parallel P \longrightarrow \alpha[\mathcal{R}[\text{false}]; \sigma; \iota; F; R; f] \parallel P}$$

*ServeWithoutBlocking(M)  $\triangleq$  if inQueue(M) then Serve(M) else  $\Box$*

### 11.4 Join Patterns

#### 11.4.1 Translating Join Calculus Programs

```

Cell  $\triangleq$  Active([sv =  $\Box$ , setv =  $\Box$ ;
  set =  $\zeta$ (this, v) this.setv := v
  s =  $\zeta$ (this, v) this.sv := v
  get =  $\zeta$ (this)  $\Box$ 
  srv =  $\zeta$ (this) Repeat(if inQueue(s)  $\wedge$  inQueue(set) then
    this.setcell()
    if inQueue(s)  $\wedge$  inQueue(get) then
      this.getcell()),
  setcell() =  $\zeta$ (this)(Serve(set); Serve(s); thisActivity.s(this.setv)),
  getcell() =  $\zeta$ (this)(Serve(get); Serve(s); thisActivity.s(this.sv); this.sv))

```

**Fig. 11.1.** A join-calculus cell in ASP

*Cell.s( $\Box$ ); Cell.set([x = 2]); Cell.get()*

### 11.4.2 Extended Join Services in ASP

$$\begin{aligned}
 \text{Join}((m_1, m_2), (m_1, m_3)) &\triangleq \text{let } \text{served} = \text{false} \text{ in} \\
 &\quad \text{Repeat} \\
 &\quad \quad \text{if } (\text{inQueue}(m_1) \wedge \text{inQueue}(m_2)) \text{ then} \\
 &\quad \quad \quad (\text{Serve}(m_1); \text{Serve}(m_2); \text{served} := \text{true}) \\
 &\quad \quad \text{else if } (\text{inQueue}(m_1) \wedge \text{inQueue}(m_3)) \text{ then} \\
 &\quad \quad \quad (\text{Serve}(m_1); \text{Serve}(m_3); \text{served} := \text{true}) \\
 &\quad \text{Until}(\text{served} = \text{true})
 \end{aligned}$$

$$\begin{aligned}
 \text{Join}((m_{11}, m_{12}, \dots, m_{1n_1}), (m_{21}, \dots, m_{2n_2}), \dots, (m_{k1}, \dots, m_{kn_k})) &\triangleq \\
 \text{let } \text{served} = \text{false} \text{ in} \\
 \text{Repeat} \\
 \text{if } (\text{inQueue}(m_{11}) \wedge \text{inQueue}(m_{12}) \wedge \dots \wedge \text{inQueue}(m_{1n_1})) \text{ then} \\
 \quad (\text{Serve}(m_{11}); \text{Serve}(m_{12}); \dots \text{Serve}(m_{1n_1}); \text{served} := \text{true}) \\
 \text{else if } (\text{inQueue}(m_{21}) \wedge \dots \wedge \text{inQueue}(m_{2n_2})) \text{ then} \\
 \quad (\text{Serve}(m_{21}); \dots \text{Serve}(m_{2n_2}); \text{served} := \text{true}) \\
 \text{else if } (\text{inQueue}(m_{k1}) \wedge \dots \wedge \text{inQueue}(m_{kn_k})) \text{ then} \\
 \quad \dots \\
 \quad (\text{Serve}(m_{k1}); \dots \text{Serve}(m_{kn_k}); \text{served} := \text{true}) \\
 \text{Until}(\text{served} = \text{true})
 \end{aligned}$$



---

## Migration

### 12.1 Migrating Active Objects

$$\text{Migrate} = \zeta(\text{this}) \text{let } \text{newao} = \text{Active}(\text{this}, \text{service}) \text{ in} \\ (\text{CreateForwarders}(\text{newao}); \text{FifoService})$$

where *service* is the service method to be executed when the activity has migrated, and *CreateForwarders(newao)* replaces the body of each method of the current active object by a forwarded call:

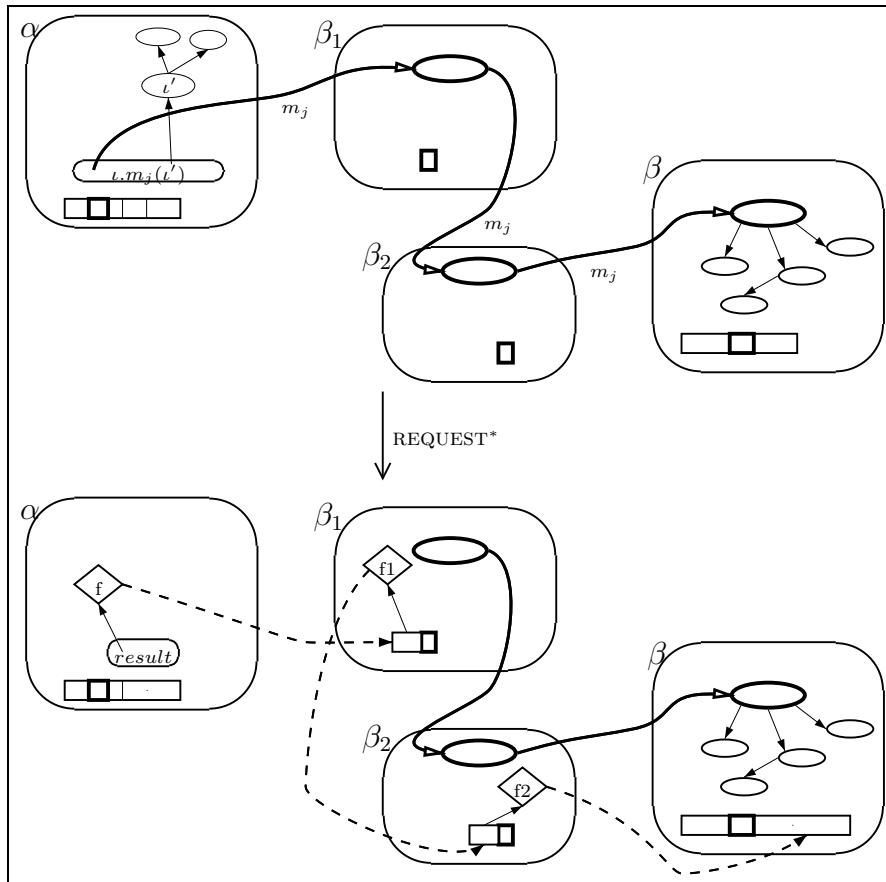
$$\text{CreateForwarders}(\text{newao}) \triangleq \forall m_j, m_j \Leftarrow \zeta(x, y) \text{newao}.m_j(y)$$

$$\text{surrogate} = \zeta(s) s.\text{alias}(s.\text{clone})$$

### 12.2 Optimizing Future Updates

$$\text{CreateForwarders}(\text{newao}) \triangleq \forall m_j, m_j \Leftarrow \zeta(x, y) \text{delegate}(\text{newao}.m_j(y))$$

### 12.3 Migration and Confluence



**Fig. 12.1.** Chain of method calls and chain of corresponding futures



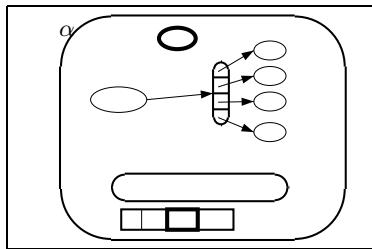
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## Groups

### 13.1 Groups in an Object Calculus

#### Group Constructor

$a, b \in L ::= \dots,$  ASP without groups  
 $| \text{Group}(a_k)^{k \in 1..l}$  group constructor



**Fig. 13.1.** A group of passive objects

#### Groups as an Extension of Local Semantics: A Group Proxy

$o ::= \dots$  reduced object, or active object or future reference  
 $| \text{Gr}(\iota_k)^{k \in 1..l}$  group of references

$\mathcal{R} ::= \dots | \text{Group}(\iota_k, \mathcal{R}, b_{k'})^{k \in 1..m-1, k' \in m+1..l}$

Store group:	$\frac{\iota \notin \text{dom}(\sigma)}{(Group(\iota_k)^{k \in 1..l}, \sigma) \rightarrow_G (\iota, \{\iota \mapsto Gr(\iota_k)^{k \in 1..l}\} :: \sigma)}$
Field access:	$\frac{\sigma(\iota) = Gr(\iota_k)^{k \in 1..l}}{(\mathcal{R}[\iota.l_i], \sigma) \rightarrow_G (Group(\iota_k.l_i)^{k \in 1..l}, \sigma)}$
Field update:	$\frac{\sigma(\iota) = Gr(\iota_k)^{k \in 1..l}}{(\mathcal{R}[\iota.l_i := \iota'], \sigma) \rightarrow_G (Group(\iota_k.l_i := \iota')^{k \in 1..l}, \sigma)}$
Invoke method:	$\frac{\sigma(\iota) = Gr(\iota_k)^{k \in 1..l}}{(\mathcal{R}[\iota.m_j(\iota')], \sigma) \rightarrow_G (Group(\iota_k.m_j(\iota'))^{k \in 1..l}, \sigma)}$

Table 13.1. Reduction rules for groups

### A Purely Syntactic Formulation

$$\text{let } Group = \lambda a_1 \dots a_n. [ g_1 = a_1, \dots, g_n = a_n, \\ \forall j, m_j = \varsigma(x, y) Group(x.g_i.m_j(y)) ]$$

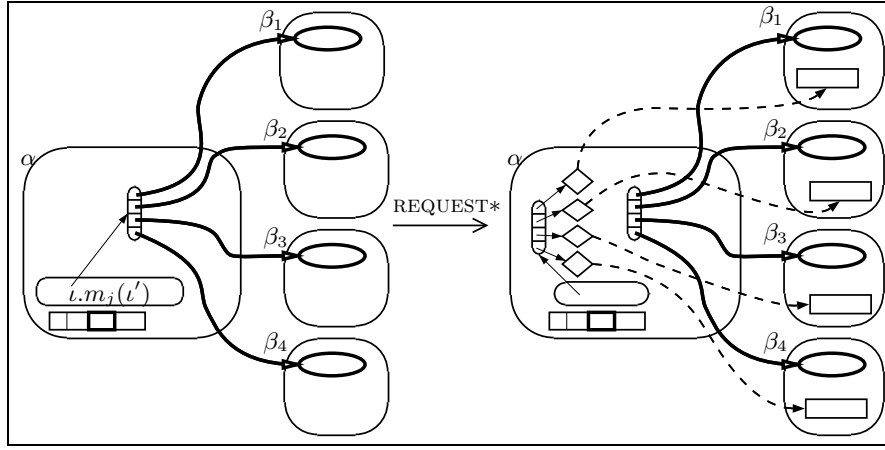
## 13.2 Groups of Active Objects

$$ActiveGroup(a_1, \dots, a_n, m) \triangleq Group(Active(a_1, m), \dots, Active(a_n, m))$$

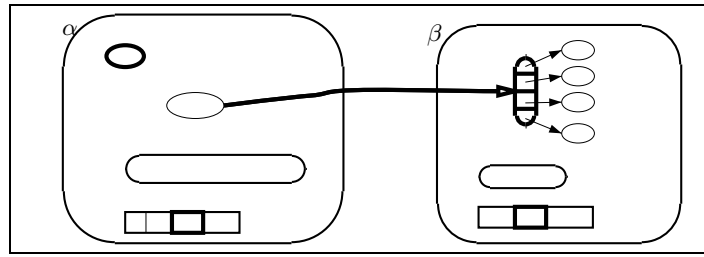
## 13.3 Groups, Determinism, and Atomicity

### Atomicity

### Confluence and Atomicity



**Fig. 13.2.** Request sending to a group of active objects



**Fig. 13.3.** An activated group of objects

Atomic group REQUEST:	
$\sigma(\iota) = Group(\iota_k)^{k \in 1..l}$	
$\forall k \in i..l$ , if $\iota_k = AO(\beta_k)$ then	
$\alpha[\mathcal{R}[\iota_k.m_j(\iota')]; \sigma_{k-1}; \iota; F; R; f] \parallel P_{k-1}$	$\xrightarrow{REQUEST_{\alpha \rightarrow \beta_k}}$
else $a_k = \iota_k.m_j(\iota') \wedge \sigma_k = \sigma_{k-1} \wedge P_k = P_{k-1}$	$\alpha[\mathcal{R}[a_k]; \sigma_k; \iota; F; R; f] \parallel P_k$
<hr style="border: 0.5px solid black;"/>	
$\alpha[\mathcal{R}[\iota.m_j(\iota')]; \sigma_\alpha; \iota; F; R; f] \parallel P_0 \longrightarrow \alpha[\mathcal{R}[Group(a_k)^{k \in 1..l}]; \sigma_\iota; \iota; F; R; f] \parallel P_\iota$	

**Table 13.2.** Atomic reduction rules for groups

```
let  $\beta_1 = [\dots \text{foo}_1 = \zeta(s)(\alpha_2.\text{bar}()); \dots], \text{foo}_2 = \zeta(s) \dots]$   
  ...  
and  $\beta_4 = [\dots \text{foo}_1 = \zeta(s) \dots, \text{foo}_2 = \zeta(s) \dots]$   
and  $\text{betas} = \text{ActiveGroup}(\beta_1, \beta_2, \beta_3, \beta_4)$   
and  $\alpha_1 = \text{Active}([\dots \text{main} = \zeta(s)\text{betas}.\text{foo}_1() \dots])$   
and  $\alpha_2 = \text{Active}([\dots \text{bar} = \zeta(s)\text{betas}.\text{foo}_2() \dots])$  in  
   $\alpha_1.\text{main}()$ 
```

**Fig. 13.4.** A confluent program if communications are atomic

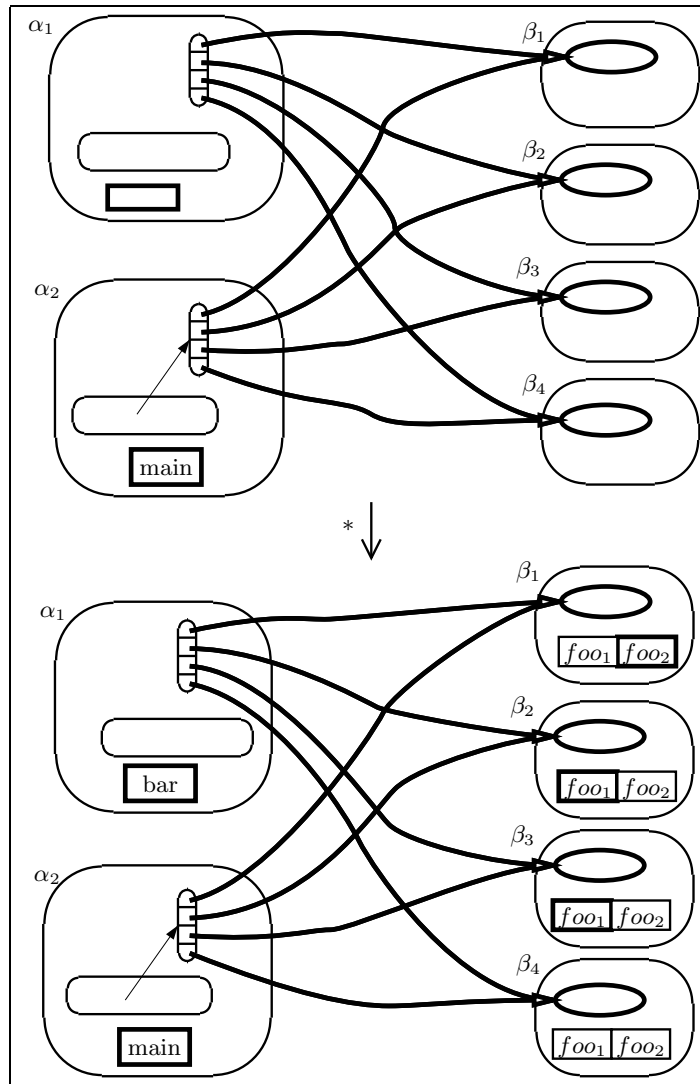
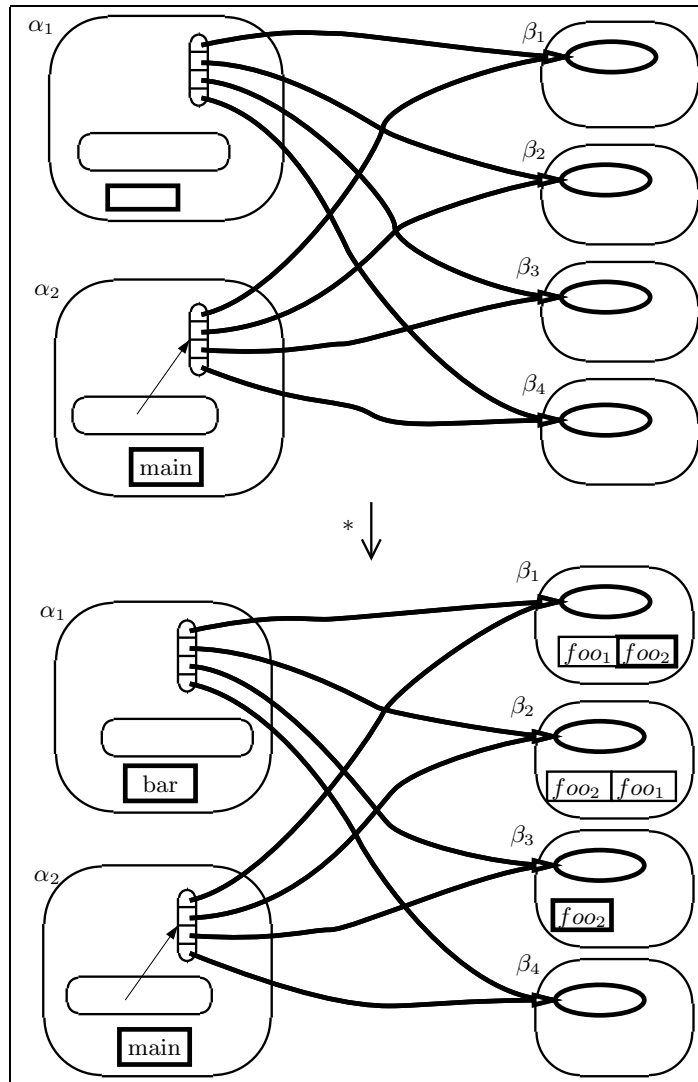


Fig. 13.5. Execution with atomic group communications



**Fig. 13.6.** An execution without atomic group communications

---

## Components

### 14.1 From Objects to Components

#### Definition 14.1 (Primitive component)

A primitive component is defined from an activity  $\alpha$  (a root active object and a set of passive objects), a set of Server Interfaces (SIs), and a set of Client Interfaces (CIs).

Each server interface  $SI_i$  is a subset of the served methods.

$$SI_i \subseteq \bigcup_{M \in \mathcal{M}_{\alpha P_0}} M$$

Served methods that do not belong to an interface correspond to asynchronous calls that can only be internal to a component.

A client interface ( $CI_j$ ) is a reference to an (other) activity contained in any attribute (field) of the active object:  $CI_j = l_i$  where  $l_i$  is a field of the active object. More formally, we define a primitive component as follows:

$$PC ::= C_n < a, srv, \{SI_i\}^{i \in 1..k}, \{CI_j\}^{j \in 1..l} >$$

where  $a$  is an ASP term corresponding to the object to be activated and its dependencies (passive objects),  $srv$  is the service method of the object  $a$ , each  $SI_i$  is a set of method labels (as defined above), and each  $CI_j$  is a field name of the root object defined by  $a$ ,  $C_n$  is the name of the defined component.

### 14.2 Hierarchical Components

#### Definition 14.2 (Composite component)

A composite component is a set of components (either primitive (PC) or composite (CC)) exporting some server interfaces (some  $SI_i$ ), some client interfaces (some  $CI_j$ ), and connecting some client and server interfaces (defining

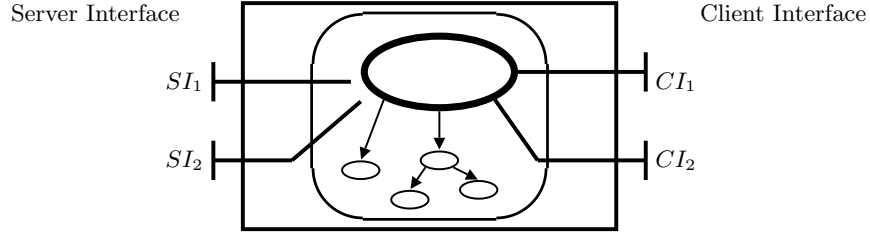


Fig. 14.1. A primitive component

a partial binding  $(CI_i, SI_j)$ ). Such a component is given a name  $C_n$ .  $CC$  is a composite component and  $C$  either a primitive or a composite one:

$$CC ::= C_n \ll C_1, \dots, C_m; \{(C_{i_p}.CI_{j_p}, C_{i'_p}.SI_{j'_p})\}^{p \in 1..k}; \{C_{i_q}.CI_{j_q} \rightarrow CI_q\}^{q \in 1..l}; \{C_{i_r}.SI_{j_r} \rightarrow SI_r\}^{r \in 1..l'} \gg$$

$$C ::= PC \mid CC$$

where each  $C_i$  is the name of one included component  $C_i$  ( $i \in 1..m$ ), supposed to be pairwise distinct; each exported  $SI$  is only bound once to an included component, and each internal client interface  $(C_i.CI_j)$  appears at most one time:

$$\forall p, p' \in 1..k, \forall q, q' \in 1..l, \forall r, r' \in 1..l' \begin{cases} p \neq p' \Rightarrow C_{i_p}.CI_{j_p} \neq C_{i_{p'}}.CI_{j_{p'}} \\ q \neq q' \Rightarrow C_{i_q}.CI_{j_q} \neq C_{i_{q'}}.CI_{j_{q'}} \\ C_{i_p}.CI_{j_p} \neq C_{i_q}.CI_{j_q} \\ r \neq r' \Rightarrow SI_r \neq SI_{r'} \end{cases}$$

### 14.3 Semantics

$$getSI : C.SI \times C \rightarrow C$$

$$getSI(C.SI_j, PC) = C$$

$$\forall CC = C \ll C_1, \dots, C_i, \dots, C_n; \dots; \dots; \{..C_i.SI_{j'} \rightarrow SI_{j'}..\} \gg$$

$$getSI(C.SI_j, CC) = getSI(C_i.SI_{j'}, C_i)$$

$$getCI : C.CI \times C \rightarrow \mathcal{P}(C.CI)$$

$$getCI(C.CI_j, PC) = C.CI_j$$

$$\forall CC = C \ll C_1, \dots, C_n; \dots; ExportedCI; \dots \gg$$

$$getCI(C.CI_j, CC) = \{getCI(C_i.CI_{j'}, C_i) \mid C_i.CI_{j'} \rightarrow CI_j \in ExportedCI\}$$



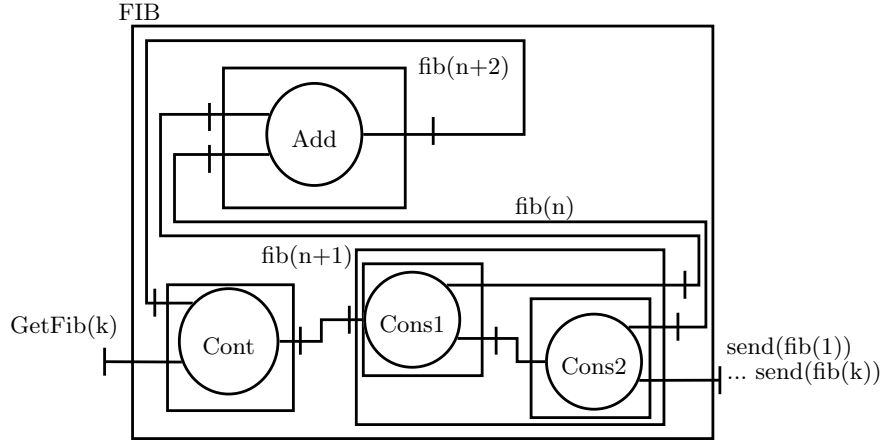


Fig. 14.2. Fibonacci as a composite component

$$Bind : C \rightarrow \mathcal{P}(C.CI \times C.SI)$$

$$Bind(PC) = \emptyset$$

$$\forall CC = C \ll C_1, \dots, C_n; Bindings; \dots \gg$$

$$Bind(CC) = \bigcup_{\substack{(C_{i_p}.CI_{j_p}, C_{i'_p}.SI_{j'_p}, C_{i'_p}) \\ \in Bindings \\ C.CI \in getCI(C_{i_p}.CI_{j_p}, C_{i_p})}} \left\{ (C.CI, getSI(C_{i'_p}.SI_{j'_p}, C_{i'_p})) \right\}$$

$$\cup \bigcup_{i \in 1..n} Bind(C_i)$$

$$PC_{i_k} = C_{i_k} < a_k, srv_k, \dots, \dots >^{k \in 1..N}$$

$$(C_p.CI_j, C_j) \in Bind(CC) \Rightarrow \exists q, (CI_j = CI_{pq} \wedge c(p, q) = j)$$

## 14.4 Deterministic Components

### Definition 14.3 (Deterministic Primitive Component (DPC))

A DPC is a primitive component defined from an activity  $\alpha$ . It verifies that server interfaces  $SI_i$  are disjoint subsets of the served method of the active object of  $\alpha$  such that every  $M \in \mathcal{M}_{\alpha P_0}$  is (partially) included in a single  $SI_i$ .

$$\begin{cases} \forall i, k, i \neq k \Rightarrow SI_i \cap SI_k = \emptyset \\ \forall M \in \mathcal{M}_{\alpha P_0}, \forall M_1 \subseteq M, \forall M_2 \subseteq M (M_1 \subseteq SI_i \wedge M_2 \subseteq SI_j) \Rightarrow i = j \end{cases}$$

$$SI_i = \bigcup_{\text{for some } M_j \in \mathcal{M}_{\alpha P_0}} M_j$$

```

 $C_{Fibo} \ll C_{add} < [n1 = 0, n2 = 0, Cont = [];$ 
     $srv = \zeta(s) Repeat(Serve(set1); Serve(set2);$ 
         $s.Cont.snd(s.n1 + s.n2)),$ 
     $set1 = \zeta(s, n) s.n1 := n, set2 = \zeta(s, n) s.n2 := n],$ 
     $srv,$ 
     $\{\{set1\}, \{set2\}\},$ 
     $\{Cont\} > ,$ 
 $C_{cont} < [CI = [];$ 
     $srv = \zeta(s) Serve(GetFib),$ 
     $GetFib = \zeta(s, k) Repeat_k(Serve(snd)),$ 
     $snd = \zeta(s, k) s.CI.snd(k),$ 
     $srv,$ 
     $\{\{GetFib\}, \{snd\}\},$ 
     $\{CI\} > ,$ 
 $CC \ll C_{cons1} < [add = [], out = [];$ 
     $srv = \zeta(s) (s.add.set1(1); s.out.snd(1); Repeat(Serve(snd)))$ 
     $snd = \zeta(s, n) (s.add.set1(n); s.out.snd(n)),$ 
     $srv,$ 
     $\{\{snd\}\},$ 
     $\{add, out\} > ,$ 
 $C_{cons2} < [add = [], out = [];$ 
     $srv = \zeta(s) (s.add.set2(0); s.out.snd(0); Repeat(Serve(snd)))$ 
     $snd = \zeta(s, n) (s.add.set2(n); s.out.snd(n)),$ 
     $srv,$ 
     $\{\{snd\}\},$ 
     $\{add, out\} > ;$ 
     $\{C_{cons1}.out \rightarrow C_{cons2}. \{snd\}\};$ 
     $\{C_{cons2}.out \rightarrow Displ, C_{cons1}.add \rightarrow Add1, C_{cons2}.add \rightarrow Add2\};$ 
     $\{C_{cons1}. \{snd\} \rightarrow In\} \gg ;$ 
 $\{CC.Add1 \rightarrow C_{add}. \{set1\}, CC.Add2 \rightarrow C_{add}. \{set2\},$ 
     $C_{add}.Cont \rightarrow C_{cont}. \{snd\}, C_{cont}.CI \rightarrow CC.In\};$ 
 $\{CC.Displ \rightarrow Display\};$ 
 $\{C_{cont}.GetFib \rightarrow GetFib\} \gg$ 

```

**Fig. 14.3.** A definition of Fibonacci components

```

let  $c_{i_1} = Active(((a_1.CI_{11} := c_{c(1,1)}) \dots).CI_{1n_1} := c_{c(1,n_1)}, srv_{1})$ 
and ...
and  $c_{i_k} = Active(((a_k.CI_{k1} := c_{c(k,1)}) \dots).CI_{kn_k} := c_{c(k,n_k)}, srv_k)$ 
and ...
and  $c_{i_N} = Active(((a_N.CI_{N1} := c_{c(N,1)}) \dots).CI_{kn_N} := c_{c(N,n_N)}, srv_N)$ 

```

**Fig. 14.4.** Deployment of a composite component

**Definition 14.4 (Deterministic Composite Component (DCC))**

A DCC is

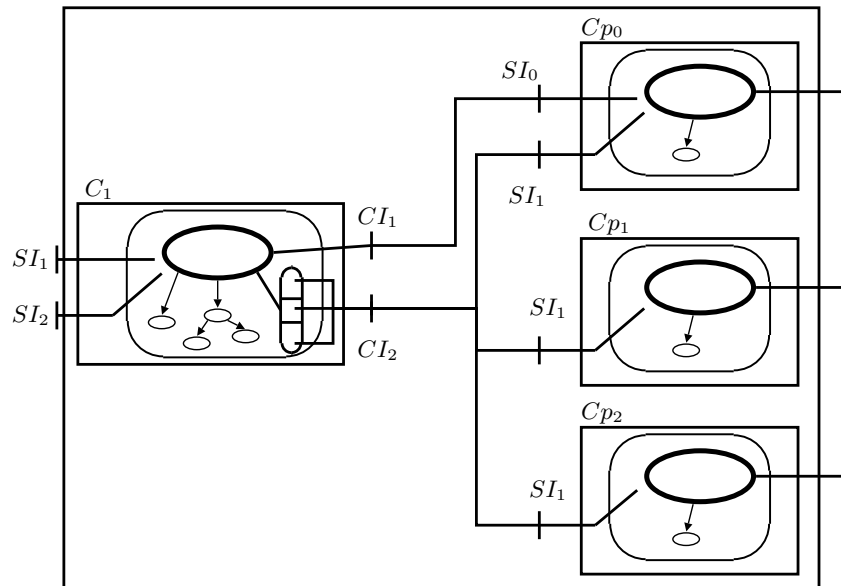
- either a DPC,
- or a composite component connecting some DCCs such that the binding between server and client interfaces is one to one. More precisely the following constraints must be added to the ones of Definition 14.2:

$$\left\{ \begin{array}{l} \text{Each } C_i \text{ is a DCC} \\ \forall p, p' \in 1..k, \forall q, q' \in 1..l, \forall r, r' \in 1..l' \end{array} \right. \left\{ \begin{array}{l} p \neq p' \Rightarrow C_{i_p} \cdot SI_{j'_p} \neq C_{i_{p'}} \cdot SI_{j'_p} \\ r \neq r' \Rightarrow C_{i_r} \cdot SI_{j_r} \neq C_{i_{r'}} \cdot SI_{j_{r'}} \\ C_{i_p} \cdot SI_{j'_p} \neq C_{i_r} \cdot SI_{j_r} \\ q \neq q' \Rightarrow CI_q \neq CI_{q'} \end{array} \right.$$

**Property 14.5 (DCC determinism)**

DCC components behave deterministically.

**14.5 Components and Groups: Parallel Components**



**Fig. 14.5.** A parallel component using groups

$\ll \dots; \dots, (C_1.CI_2, Cp_0.SI_1 \wedge Cp_1.SI_1 \wedge Cp_2.SI_1), \dots; \dots; \dots \gg$   
 $\ll \dots, Cp \langle \dots \rangle N, \dots; \dots, (C_1.CI_1, Cp.SI_1), \dots; \dots; \dots \gg$

### 14.6 Components and Futures

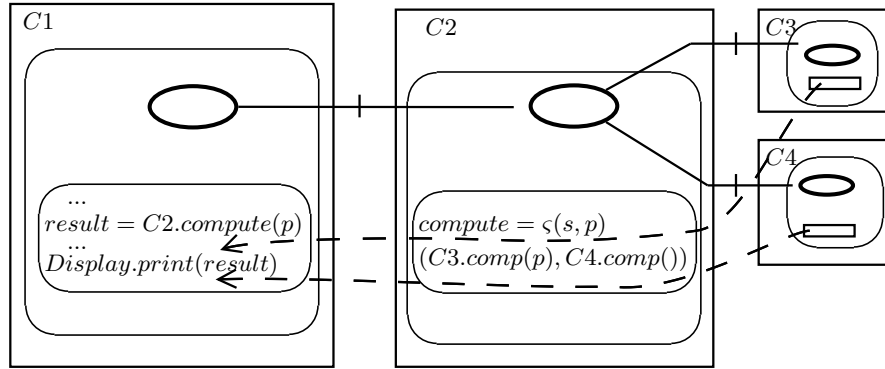


Fig. 14.6. Components and futures

## Channels and Reconfigurations

### 15.1 Genuine ASP Channels

$$\forall i, j, i \neq j \Rightarrow \mathcal{C}_i^\alpha \cap \mathcal{C}_j^\alpha = \emptyset \quad \wedge \quad \forall M \in \mathcal{M}_{\alpha P_0}, \exists i, M \subseteq \mathcal{C}_i^\alpha$$

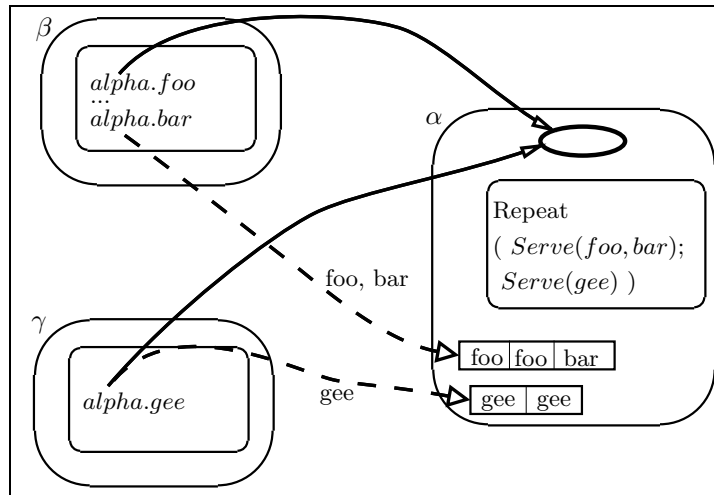


Fig. 15.1. Requests on separate channels do not interfere

### 15.2 Process Network Channels in ASP

$$\text{Channel} \triangleq [value = []; activity = \zeta(s) \text{Repeat}(\text{Serve}(put); \text{Serve}(get)), \\ put = \zeta(s, val) s.value := val, \quad get = \zeta(s) s.value]$$

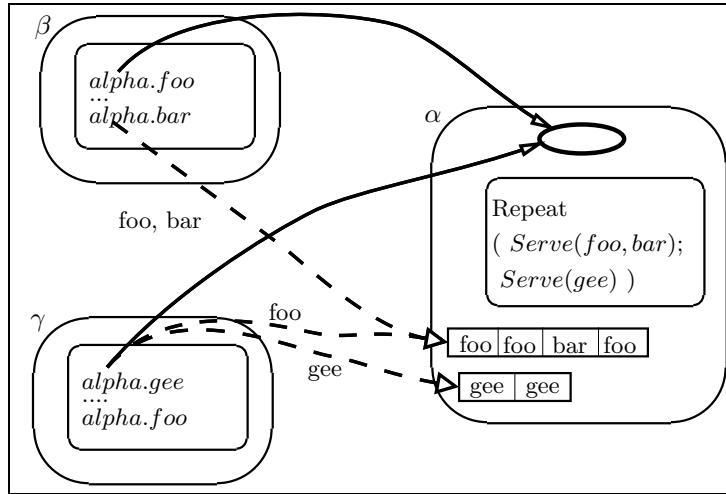


Fig. 15.2. A non-deterministic merge

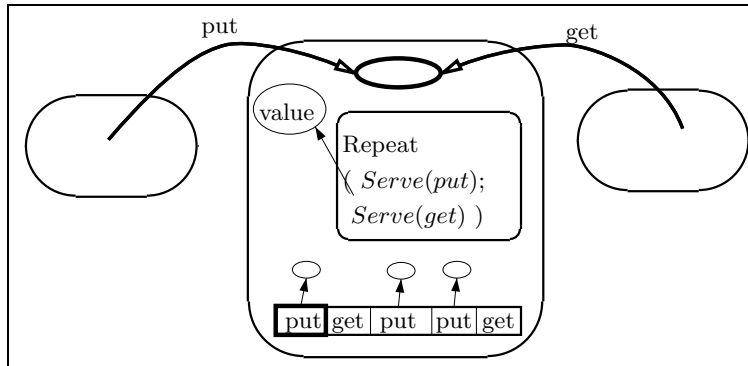


Fig. 15.3. A channel specified with an active object

### 15.3 Internal Reconfiguration

### 15.4 Event-Based Reconfiguration

**Implementation Strategies**





## A Java API for ASP: ProActive

---

### 16.1 Design and API

#### 16.1.1 Basic API and ASP Equivalence

ASP constructors	Java ProActive API
factory = Active(clone(a)) Active(a, m)	ProActive.newActive("A", null, Node); ProActive.turnActive(obj, Node);
Serve(M) ServeWithoutBlocking(M) inQueue(M)	service.blockingServeOldest(String methodName); service.ServeOldest(String methodName); service.hasRequestToServe (String methodName);
waitFor(a) awaited (a)	ProActive.waitFor(Object); ProActive.isAwaited(Object);

**Table 16.1.** Relations between ASP constructors and ProActive API

#### 16.1.2 Mapping Active Objects to JVMs: Nodes

```
A a = (A) ProActive.newActive("A", params, "rmi://lo.inria.fr/node");
```

```
A a = (A) ProActive.newActive("A", params, VirtualNodeRenderer);
```

#### 16.1.3 Basic Patterns for Using Active Objects

```
A a = (A) ProActive.newActive("A", params, Node1);
```

ProActive static primitive	Migration toward
<code>migrateTo (Node)</code>	the JVM identified by the node
<code>migrateTo (Object)</code>	the current location of another active object

**Table 16.2.** Migration primitives in ProActive

```

v = a.bar (...); // Asynchronous call, no wait
o.gee (v);      // No wait, even if o is a remote
                // active object and v still awaited
...
v.f (...);     // Wait-by-necessity: wait until v
                // gets its value

```

### 16.1.4 Migration

### 16.1.5 Group Communications

```
Object [][] params = {{...},..., {...}}; // An array of constructor params
```

```
A ag = (A) ProActiveGroup.newGroup("A", params, {node1, ..., node2});
                // A group of type "A" is created:
                // member AOs are created at once
                // on the specified nodes
```

```
ag.foo (...); // A group communication
```

```
V vg = ag.bar(); // A method call on a group with result vg:
...           // vg is a typed group of "V",
vg.f(); // Also a collective operation, subject to
        // wait-by-necessity
```

## 16.2 Examples

### 16.2.1 Parallel Binary Tree

### 16.2.2 Eratosthenes

```

public class SimpleAgent implements Serializable {

    public void moveToNode(Node n) { // Move to a given node
        ProActive.migrateTo(n);
        logger.error("You should never see this, report a bug.");
        logger.error("or ..... strong migration has been implemented!");
    }

    public void joinFriend(Object friend) { // Move to join another AO
        ProActive.migrateTo(friend);
    }

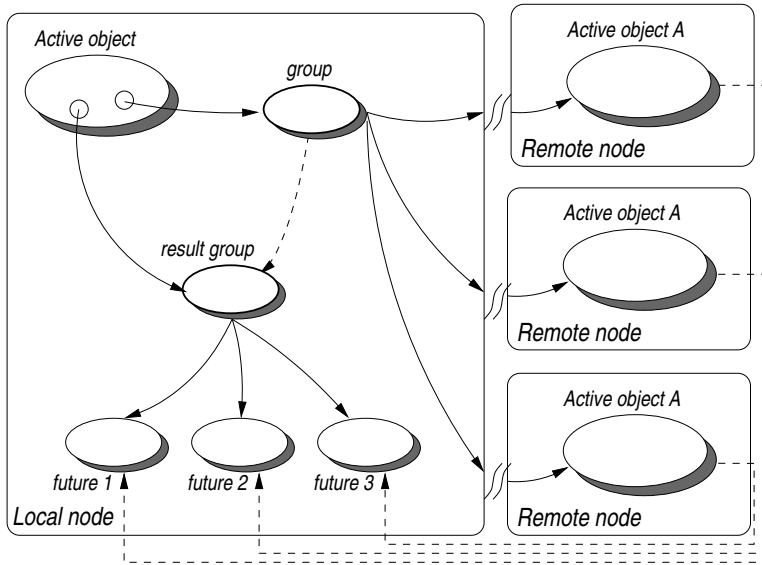
    public String whereAreYou () { // Replies to queries
        return ("I am at " + InetAddress.getLocalHost ());
        ...
    }

    // Send information to other agents
    public void sendBestPrice (int myNewBestPrice) {
        ...
        myPeers[i].receiveBestPrice (myNewBestPrice);
        ...
    }

    // Receive information from other agents
    public void receiveBestPrice (int newBestPrice) {
        if (newBestPrice < currentBestPrice)
            currentBestPrice = newBestPrice;
    }
    ...
}

```

**Fig. 16.1.** A simple mobile agent in ProActive



**Fig. 16.2.** Method call on group

```

        // Definition of one standard Java object and two active objects
        // Suppose B extends A
A a1 = new A();
A a2 = (A) ProActive.newActive("A", paramsA[], node);
B b = (B) ProActive.newActive("B", paramsB[], node);

        // Creation of a group of active objects
Object [][] params = {...}, {...};
A ag1 = (A) ProActiveGroup.newGroup("A", params, {node1, ..., node2});

        // An asynchronous one-way group communication
ag1.foo (...);
        // An asynchronous group communication with a group as a result
        // vg is a typed group of "V"
V vg = ag1.bar();
...
vg.f(); // Also a collective operation, subject to wait-by-necessity

        // For management purposes, get the group view from the typed view
Group gA = ProActiveGroup.getGroup(ag1);

        // Now, add objects to the group:
        // active and non-active objects can be mixed in the group
gA.add(a1);
gA.add(a2);
gA.add(b);

        // The addition of members to a group immediately reflects
        // on the typed group view (ag1)
        // A method invoked on ag1 will also be called on a1, a2, b
ag1.foo();
V vg2 = ag1.bar();

        // A new reference to the typed group can also be built
A ag2 = (A) gA.getGroupByType();

```

**Fig. 16.3.** Dynamic typed group of active objects

```

public class BinaryTree {
    protected int key;           // The key contained in this object
    protected Object value;     // The value contained in this object
    protected BinaryTree leftTree; // Left sub-tree
    protected BinaryTree rightTree; // Right sub-tree

    protected boolean isLeaf;    // Convenience instance variable
    // Class invariant:
    // (this.isLeaf == ((this.leftTree==null) &&& (this.rightTree==null)))

    public BinaryTree() {        /* Constructor: creates an empty object */
        this.isLeaf = true; // On creation, an object has no child
    }
    /* Inserts a (key, value) pair in current sub-tree */
    public void put (int key, Object value) {
        if (this.isLeaf) {      // Object This is empty, let's use it
            this.key = key; this.value = value;
            this.isLeaf = false; this.createChildren();
        } else if (key == this.key) { // Replaces the current value
            this.value = value;
        } else if (key < this.key) { // Smaller keys are on the left
            this.leftTree.put(key, value);
        } else {                 // Greater keys on the right
            this.rightTree.put(key, value);
        }
    }
    /* Returns the value associated to a given key */
    public ObjectWrapper get (int key) {
        if (this.isLeaf) {      // Reached a leaf, key not found
            return new ObjectWrapper ("null");
        }
        if (key == this.key) {   // Found the object, return value
            return new ObjectWrapper(this.value);
        }
        if (key < this.key) {    // Continue left, smaller keys
            ObjectWrapper res = this.leftTree.get(key);
            return res;
        }
        // Continue right, greater keys
        ObjectWrapper res = this.rightTree.get(key);
        return res;
    }

    protected void createChildren() { /* Creates two empty children */
        this.leftTree = new BinaryTree();
        this.rightTree = new BinaryTree();
    }
}

```

Fig. 16.4. Sequential binary tree in Java

```

public class ActiveBinaryTree extends BinaryTree {

    protected void createChildren() {
        String s = this.getClass().getName();
        this.leftTree = (BinaryTree) ProActive.newActive(s, null, null);
        this.rightTree = (BinaryTree) ProActive.newActive(s, null, null);
    }
}

```

**Fig. 16.5.** Subclassing binary tree for a parallel version

```

package org.objectweb.proactive.examples.binarytree;

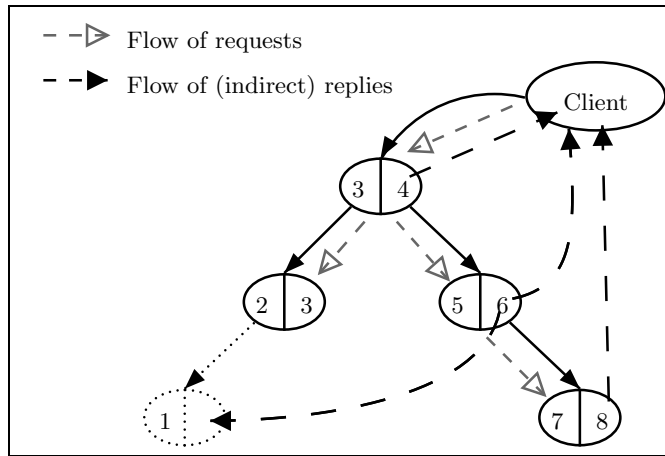
public class Client {

    public static void main (String[] args) {
        BinaryTree tree = null;
        if ( ... Sequential Version ... )
            tree = new BinaryTree ();
        else // Parallel Version
            tree =(Tree) ProActive.newActive("ActiveBinaryTree", null, null);

        myTree.put(3, "4");
        myTree.put(2, "3");
        myTree.put(5, "6");
        myTree.put(7, "8");
        ObjectWrapper res1 = myTree.get(5); // (2)
        ObjectWrapper res2 = myTree.get(3);
        myTree.put(1, res1); // (1)
        ...
    }
}

```

**Fig. 16.6.** Main binary tree program in ProActive



**Fig. 16.7.** Execution of the parallel binary tree program of Fig. 16.6



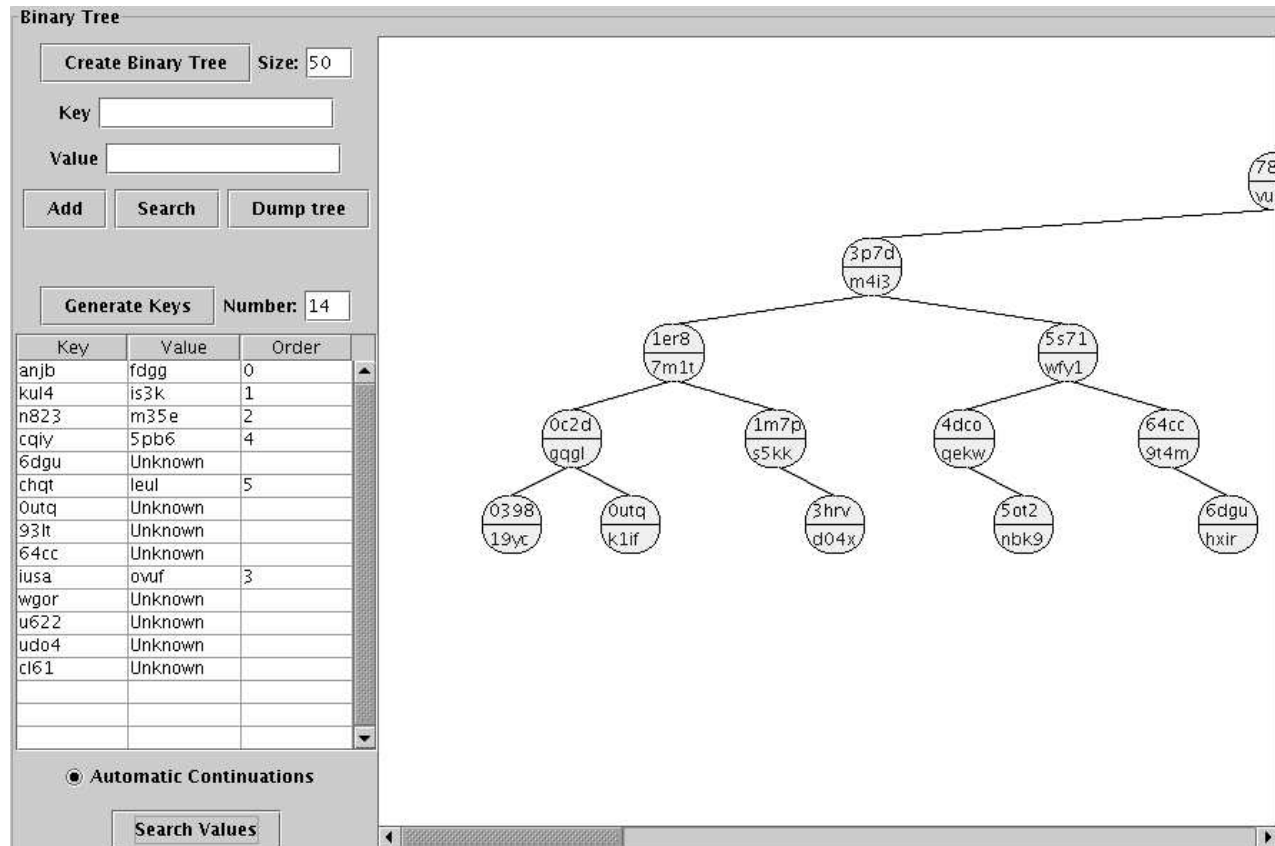
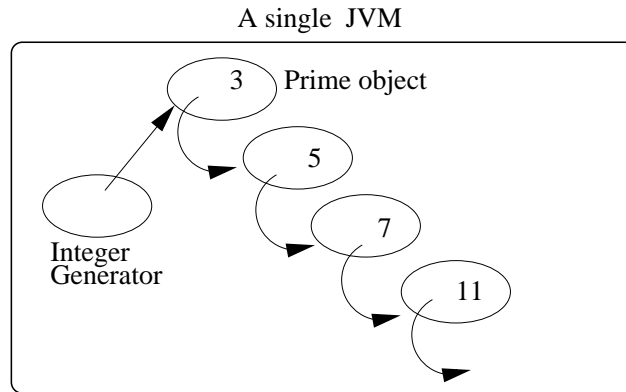


Fig. 16.8. Screenshot of the binary tree at execution



**Fig. 16.9.** Sequential Eratosthenes in Java

```

public class Prime {
  private long value;
  private Prime next;

  public Prime (long value) {           /* Constructor */
    this.value = value;
  }
  public void isPrime (long n) {
    if (n % value == 0) // n is not prime, just drop it
      return;
    if (next == null) { // n is prime
      System.out.println ("New prime found: " + n);
      next = createPrime (n);
    } else
      next.isPrime(n); // Don't know yet. Pass it to next prime object (1)
  }
  public Prime createPrime (long n) {
    return new Prime (n);
  }
}
  
```

**Fig. 16.10.** Sequential Prime Java class

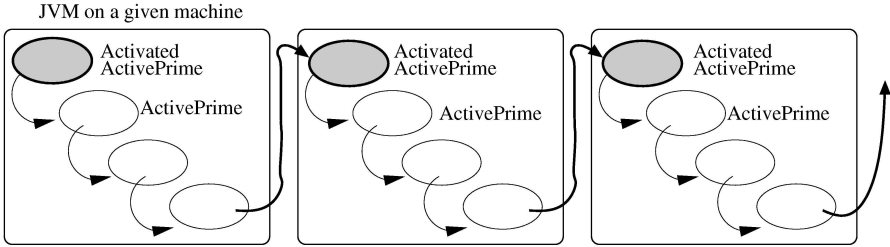


Fig. 16.11. Parallel Eratosthenes in Java ProActive

```

public class ActivePrime extends Prime {

    public ActivePrime (long value) {           /* Constructor */
        super(value);
    }
    public Prime createPrime (long n) {
        if ( timeToCreateANewActiveObject() )
            return (Prime)
                ProActive.newActive ("ActivePrime", {n}, nextAvailableNode());
        else
            return new ActivePrime (n);
    }
    public boolean timeToCreateANewActiveObject() {
        ... // Decide statically or dynamically if there are enough prime objects
        ... // in the current activity
    }
    public Node nextAvailableNode () {
        ... //Get a new or underloaded ProActive Node (machine+JVM),
        ... //where to locate the new activity
    }
}

```

**Fig. 16.12.** Parallel ActivePrime class

### 16.2.3 Fibonacci

```

if n <= 2 fib(n) = 1
if n > 2 fib(n) = fib(n-1) + fib(n-2)

```

```

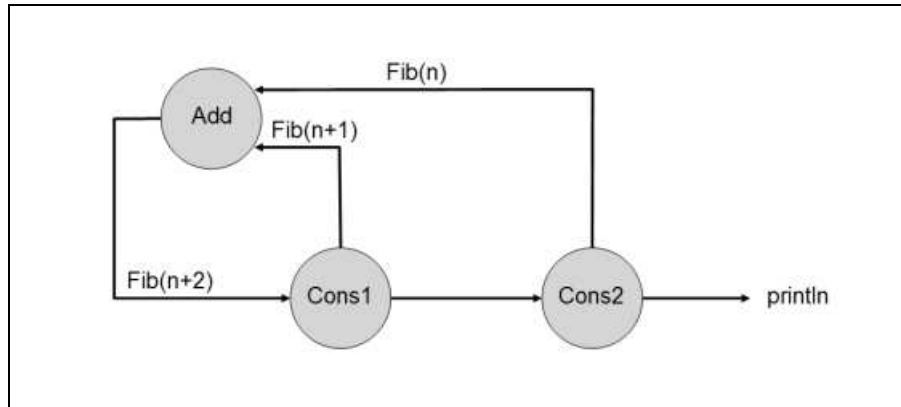
service.blockingServeOldest("setFibN_2");
service.blockingServeOldest("setFibN_1");
cons1.setFibN(fibN_1.add(fibN_2));

```

```

service.barrier("setFibN_1", "setFibN_2");
cons1.setFibN(fibN_1.add(fibN_2));

```



**Fig. 16.13.** Graph of active objects in the Fibonacci program

```

public static void main(String[] args) {
try {
    Add add = (Add) ProActive.newActive(Add.class.getName(), null);
    Cons1 cons1 = (Cons1) ProActive.newActive (Cons1.class.getName(),null);
    Cons2 cons2 = (Cons2) ProActive.newActive (Cons2.class.getName(),null);
    add.setCons1(cons1);
    cons1.setAdd(add);
    cons1.setCons2(cons2);
    cons2.setAdd(add);
}
    catch (ActiveObjectCreationException e) {
        e.printStackTrace();
    } catch (NodeException e) {
        e.printStackTrace();
    }
}
  
```

**Fig. 16.14.** Main Fibonacci program in ProActive

```

public class Add implements Serializable, InitActive, RunActive {
private Cons1 cons1;
private BigInteger fibN_1;
private BigInteger fibN_2;

public Add() { //Empty noArg constructor
}
public void initActivity (Body body) {
    Service service = new Service(body);
    service.blockingServeOldest("setCons1");
}
public void runActivity (Body body) {
    Service service = new Service(body);
    while (body.isActive()) {
        service.blockingServeOldest("setFibN_1");
        service.blockingServeOldest("setFibN_2");
        cons1.setFibN(fibN_1.add(fibN_2));
    }
}
public void setCons1 (Cons1 cons1) {
    this.cons1 = cons1;
}
public void setFibN_1 (BigInteger fibN_1) {
    this.fibN_1 = fibN_1;
}
public void setFibN_2 (BigInteger fibN_2) {
    this.fibN_2 = fibN_2;
}
}

```

**Fig. 16.15.** The class Add of the Fibonacci program

```

public class Cons1 implements Serializable, InitActive, RunActive {
private Add add;
private Cons2 cons2;
private BigInteger fibN;

public Cons1() { //Empty no arg constructor
}
public void initActivity(Body body) {
    Service service = new Service (body);
    service .blockingServeOldest ("setAdd");
    service .blockingServeOldest ("setCons2");
}
public void runActivity(Body body) {
    Service service = new Service(body);
    add.setFibN_1 (BigInteger.ONE);
    cons2.setFibN_1 (BigInteger.ONE);
    while (body.isActive()) {
        service .blockingServeOldest ("setFibN");
        add.setFibN_1 (fibN);
        cons2.setFibN_1 (fibN);
    }
}
public void setAdd (Add add) {
    this.add = add;
}
public void setCons2 (Cons2 cons2) {
    this.cons2 = cons2;
}
public void setFibN (BigInteger fibN) {
    this.fibN = fibN;
}
}

```

**Fig. 16.16.** The class Cons1 of the Fibonacci program

```

public class Cons2 implements InitActive, RunActive {
    private Add add;
    private BigInteger fibN_1;

    public Cons2() { //Empty no arg constructor
    }
    public void initActivity (Body body) {
        Service service = new Service(body);
        service.blockingServeOldest("setAdd");
    }
    public void runActivity (Body body) {
        int k=0;
        Service service = new Service(body);
        add.setFibN_2(BigInteger.ZERO); // starting with 0
        k++;
        while (body.isActive()) {
            service.blockingServeOldest("setFibN_1");
            add.setFibN_2(fibN_1);
            System.out.println("Fib(" + k + ") = " + fibN_1);
            k++;
        }
    }
    public void setFibN_1 (BigInteger fibN_1) {
        this.fibN_1 = fibN_1;
    }
    public void setAdd (Add add) {
        this.add = add;
    }
}

```

**Fig. 16.17.** The class Cons2 of the Fibonacci program



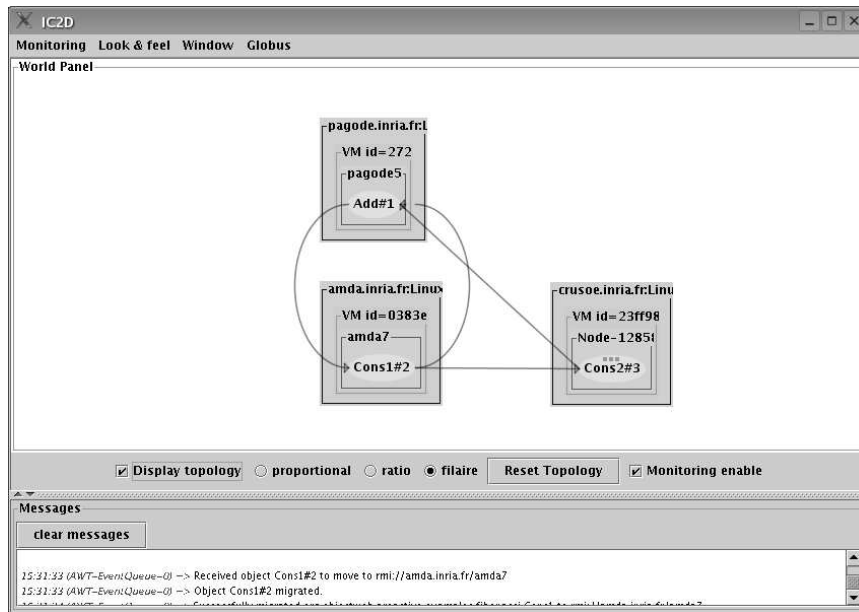


Fig. 16.18. Graphical visualization of the Fibonacci program using IC2D



## Future Update

---

### 17.1 Future Forwarding

$$FF ::= \{(f_i^{\alpha \rightarrow \beta}, \gamma, \delta)\}$$

with  $(f_i^{\alpha \rightarrow \beta}, \gamma, \delta) \in FF$  if the future reference  $f_i^{\alpha \rightarrow \beta}$  has been transmitted from  $\gamma$  to  $\delta$ .

**Definition 17.1 (Forwarded futures)**

*In REQUEST (sent from  $\alpha$  to  $\beta$ ),  $f_i^{\gamma \rightarrow \delta} \in \text{copy}(\iota', \sigma_\alpha) \Rightarrow (f_i^{\gamma \rightarrow \delta}, \alpha, \beta) \in FF$*

*In REPLY (sent from  $\beta$  to  $\alpha$ ),  $f_i^{\gamma \rightarrow \delta} \in \text{copy}(\iota_f, \sigma_\beta) \Rightarrow (f_i^{\gamma \rightarrow \delta}, \beta, \alpha) \in FF$*

**Property 17.2 (Origin of futures)**

$$\text{fut}(f_i^{\gamma \rightarrow \delta}) \in \sigma_\alpha \Rightarrow \alpha = \gamma \vee \exists \beta, (f_i^{\gamma \rightarrow \delta}, \beta, \alpha) \in FF$$

where the implication becomes an equivalence as soon as no garbage collection of future references is performed.

**Property 17.3 (Forwarded futures flow)**

$$(f_i^{\gamma \rightarrow \delta}, \beta, \alpha) \in FF \Rightarrow \beta = \gamma \vee \exists \beta', (f_i^{\gamma \rightarrow \delta}, \beta', \beta) \in FF$$

### 17.2 Update Strategies

#### 17.2.1 ASP and Generalization: Encompassing All Strategies

#### 17.2.2 No Partial Replies and Requests

**Property 17.4 (No forwarded futures)**

$$FF = \emptyset$$

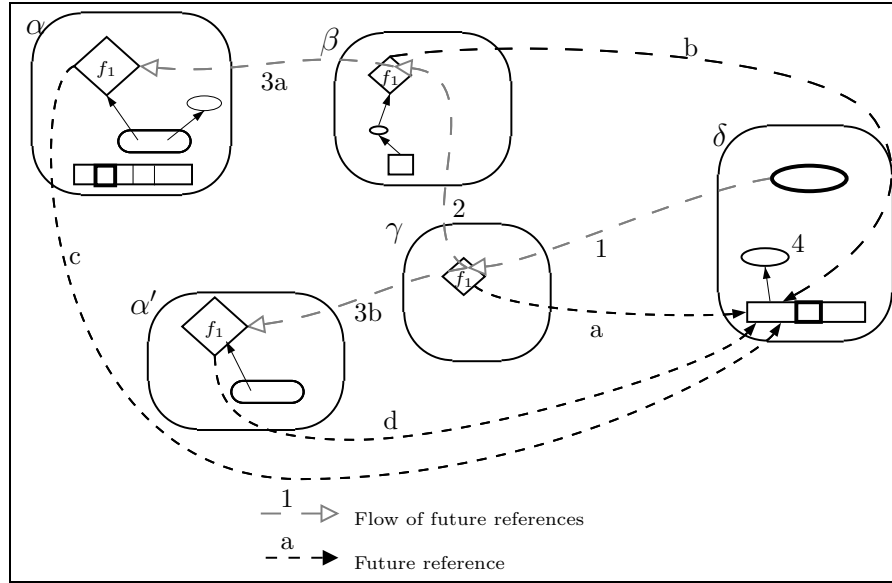


Fig. 17.1. A future flow example.

<p>Generalized REPLY:</p> $\frac{\sigma_\alpha(\iota) = fut(f_i^{\gamma \rightarrow \delta}) \quad F_\beta(f_i^{\gamma \rightarrow \delta}) = \iota_f \quad \sigma'_\alpha = Copy\&Merge(\sigma_\beta, \iota_f; \sigma_\alpha, \iota)}{\alpha[a_\alpha; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P \longrightarrow \alpha[a_\alpha; \sigma'_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P}$ <p>INFORM:</p> $\frac{f_i^{\gamma \rightarrow \delta} \notin F_\alpha \quad F_\beta(f_i^{\gamma \rightarrow \delta}) = \iota_f \quad \iota'_f \notin dom(\sigma_\alpha) \quad \sigma'_\alpha = Copy\&Merge(\sigma_\beta, \iota_f; \sigma_\alpha, \iota'_f)}{\alpha[a_\alpha; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P \longrightarrow \alpha[a_\alpha; \sigma'_\alpha; \iota_\alpha; F_\alpha :: \{f_i^{\gamma \rightarrow \delta} \mapsto \iota'_f\}; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P}$
--

Table 17.1. Generalized future update

ENDSERVICE, for future  $f_i^{\gamma \rightarrow \delta}$  on activity  $\delta \hookrightarrow \text{REPLY}^{\delta \rightarrow \gamma}(f_i^{\gamma \rightarrow \delta})$

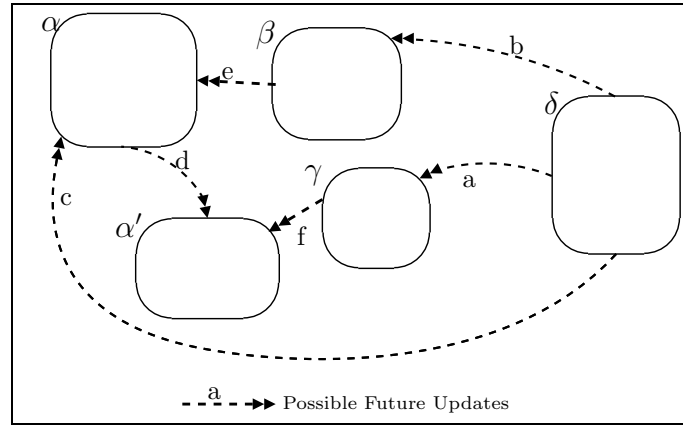
Table 17.2. No partial replies and requests protocol

### 17.2.3 Forward-Based

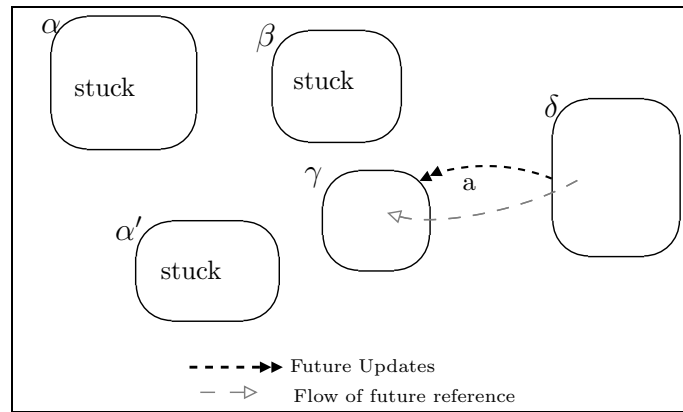
$$FF_\alpha = \{(f_i^{\gamma \rightarrow \delta}, \alpha, \beta') \mid \exists f_i, \gamma, \delta, \beta' (f_i^{\gamma \rightarrow \delta}, \alpha, \beta') \in FF\}$$

#### Property 17.5 (Forward-based future update is eager)

If there is no cycle of future then, for each calculated future  $f_i^{\gamma \rightarrow \delta}$ , the protocol of Table 17.3 terminates with  $\forall \beta, f_i^{\gamma \rightarrow \delta} \notin \text{FutureRefs}(\beta)$ .



**Fig. 17.2.** General strategy: any future update can occur



**Fig. 17.3.** No partial replies and requests

$\text{ENDSERVICE, for future } f_i^{\gamma \rightarrow \delta} \text{ on activity } \delta \leftrightarrow \begin{cases} \text{REPLY}^{\delta \rightarrow \gamma}(f_i^{\gamma \rightarrow \delta}) \\ \text{INFORM}^{\delta \rightarrow \gamma}(f_i^{\gamma \rightarrow \delta}) \end{cases}$
$\text{REPLY}^{\alpha \rightarrow \beta}(f_i^{\gamma \rightarrow \delta}) \leftrightarrow \forall \beta' \text{ s.t. } (f_i^{\gamma \rightarrow \delta}, \beta, \beta') \in FF, \begin{cases} \text{REPLY}^{\beta \rightarrow \beta'}(f_i^{\gamma \rightarrow \delta}) \\ \text{INFORM}^{\beta \rightarrow \beta'}(f_i^{\gamma \rightarrow \delta}) \end{cases}$

**Table 17.3.** Forward-based protocol

#### 17.2.4 Message-Based

$$FF_\alpha = \{(f_i^{\gamma \rightarrow \alpha}, \beta, \beta') | \exists f_i, \gamma, \beta, \beta' (f_i^{\gamma \rightarrow \alpha}, \beta, \beta') \in FF\}$$

#### Property 17.6 (Message-based strategy is eager)

If there is no cycle of futures then for each calculated future  $f_i^{\gamma \rightarrow \delta}$ , the algorithm of Fig. 17.4 terminates with  $\forall \beta, f_i^{\gamma \rightarrow \delta} \notin \text{FutureRefs}(\beta)$ .

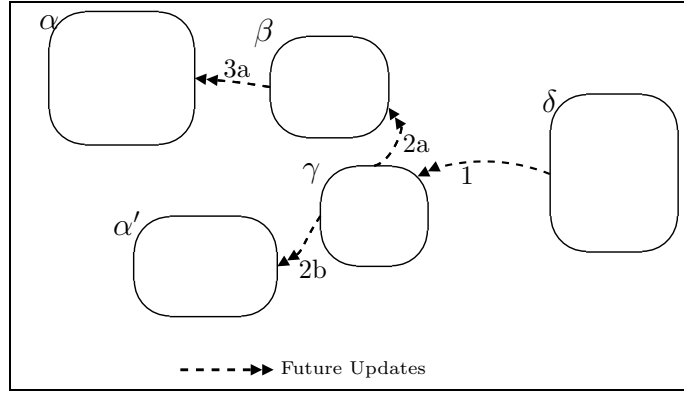


Fig. 17.4. Future updates for the forward-based strategy

<p>ENDSERVICE, for future <math>f_i^{\gamma \rightarrow \delta}</math> on activity <math>\delta \hookleftarrow</math></p> $\left\{ \begin{array}{l} \text{REPLY}^{\delta \rightarrow \gamma}(f_i^{\gamma \rightarrow \delta}) \\ \forall \beta' \text{ s.t. } \exists \beta(f_i^{\gamma \rightarrow \delta}, \beta, \beta') \in FF, \text{REPLY}^{\delta \rightarrow \beta'}(f_i^{\gamma \rightarrow \delta}) \end{array} \right.$
--

Table 17.4. Message-based protocol for future update

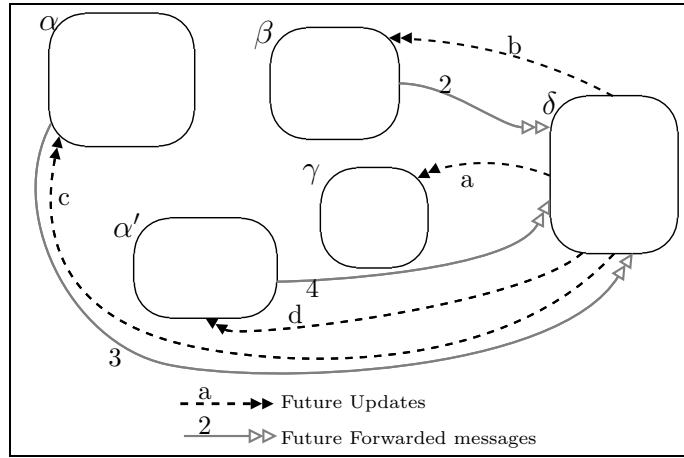


Fig. 17.5. Message-based strategy: future received and update messages

### 17.2.5 Lazy Future Update

REPLY:

$$\begin{array}{l}
 \sigma_\alpha(\iota) = fut(f_i^{\gamma \rightarrow \beta}) \quad F_\beta(f_i^{\gamma \rightarrow \beta}) = \iota_f \\
 \sigma'_\alpha = Copy\&Merge(\sigma_\beta, \iota_f; \sigma_\alpha, \iota) \quad \alpha \text{ is stuck by a wait-by-necessity} \\
 \hline
 \alpha[a_\alpha; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P \longrightarrow \\
 \alpha[a_\alpha; \sigma'_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P
 \end{array}$$

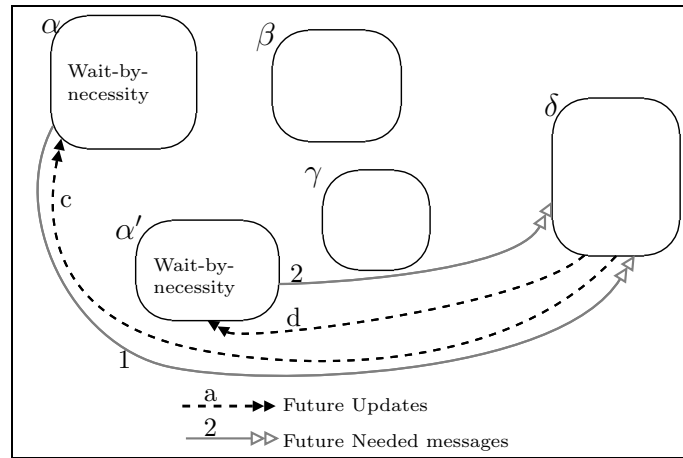


Fig. 17.6. Lazy future update: only needed futures are updated

### 17.3 Synthesis and Comparison of the Strategies

- *No partial replies and requests*: no passing of futures between activities, more deadlocks. Futures are not first-class entities.
- *Eager strategies*: as soon as a future is computed
  - *Forward-based*: each activity is responsible for updating the values of futures it has forwarded.
  - *Message-based*: each forwarding of a future generates a message sent to the activity that computes the future. The activity computing the future is responsible for sending its value to all.
- *Mixed strategy*: future updates can occur at any time between the future computation and the wait-by-necessity. For example, one could implement future updates on inactivity (any stuck configuration).
- *Lazy strategy*: on demand, only when the value of the future is needed (wait-by-necessity on *this* future).

Fig. 17.7. Future update strategies





## Loosing Rendezvous

### 18.1 Objectives and Principles

$$\begin{array}{l}
 \text{let } \delta = \text{Active}([\dots; m_j = \zeta(s) \dots]) \text{ in} \\
 \text{let } \beta = \text{Active}([\text{foo} = \zeta(s) \delta.m_2(); \\
 \qquad \qquad \qquad \text{Active}([\text{act} = \zeta(s) \delta.m_3()], \text{act}); \\
 \qquad \qquad \qquad \delta.m_4() \quad ], \emptyset) \text{ in} \\
 \text{Active}([\text{act} = \zeta(s) \delta.m_1(); \beta.\text{foo}(); \delta.m_5()], \text{act})
 \end{array}$$

**Fig. 18.1.** Example: activities synchronized by rendezvous

- $m_1 :: m_2 :: m_3 :: m_4 :: m_5$ , or
- $m_1 :: m_5 :: m_2 :: m_4 :: m_3$ .

### 18.2 Asynchronous Without Guarantee

$$\begin{array}{l}
 \text{Active}'(a) \triangleq \text{let } \text{act} = \text{Active}(a, \emptyset) \text{ in} \\
 \text{let } \text{trRq} = [\forall m_j, m_j = \zeta(s, \text{arg}) \text{act}.m_j(\text{arg})] \text{ in} \\
 [\forall m_j, m_j = \zeta(s, \text{arg}) \text{Active}(\text{trRq}, \emptyset).m_j(\text{arg})]
 \end{array}$$

where  $m_j$  ranges over the method labels of the activated object.

$$\begin{array}{l}
 \text{Active}'(a, \text{service}) \triangleq \text{let } \text{act} = \text{Active}(a, \text{service}) \text{ in} \\
 \text{let } \text{trRq} = [\forall m_j, m_j := \zeta(s, \text{arg}) \text{delegate}(\text{act}.m_j(\text{arg}))] \text{ in} \\
 [\forall m_j, m_j := \zeta(s, \text{arg}) \text{Active}(\text{trRq}, \emptyset).m_j(\text{arg})]
 \end{array}$$

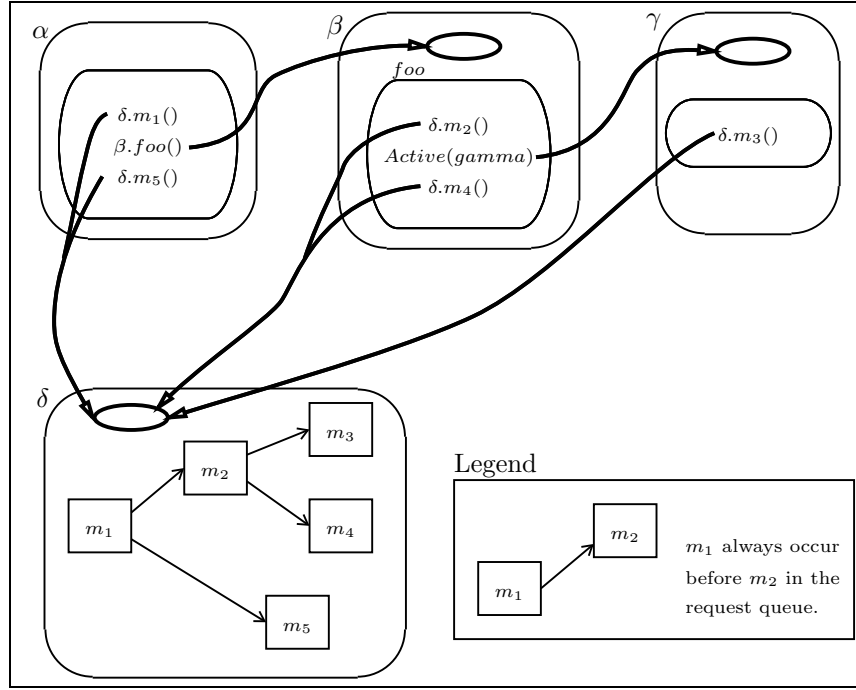


Fig. 18.2. ASP with rendezvous – message ordering

### 18.3 Asynchronous Point-to-Point FIFO Ordering

- $d \in Q$ : existence of an entry,
- $Q\langle d \rangle$ : find an object associated with an entry,
- $Q :: \{d \mapsto b\}$ : add a new entry

$$queue \triangleq \zeta(s, dest) (if (dst \notin s.Queues) then s.Queues := s.Queues :: \{dst \mapsto Active([\forall m_j, m_j = \zeta(s, z) \mathcal{D}(dst.m_j(z))], \emptyset)\}); s.Queues\langle dest \rangle$$

where  $\mathcal{D}(a) = a$  if we consider the core ASP calculus, and  $\mathcal{D}(a) = delegate(a)$  in the ASP calculus with delegation;  $m_j$  ranges over the method labels of the activated object.

$$Active'(a, service) \triangleq let act = Active(\mathcal{C}(a), service) in [\forall m_j, m_j = \zeta(s, y) thisActivity.queue(act).m_j(y)]$$

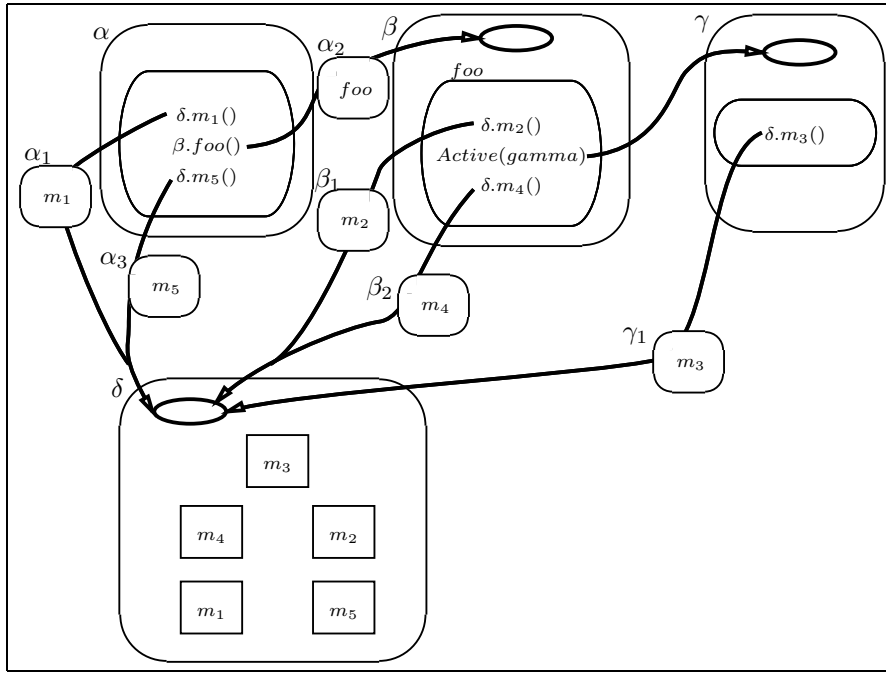


Fig. 18.3. Asynchronous communications without guarantee

**Definition 18.1 (Triangle pattern)**

A triangle pattern is a configuration such that:

$$\exists \alpha, \beta_1 \dots \beta_n, \gamma \text{ s.t. } \alpha \rightarrow_R \gamma \text{ and then } \alpha \rightarrow_R \beta_1 \rightarrow_R \dots \rightarrow_R \beta_n \rightarrow_R \gamma$$

where  $\alpha \rightarrow_R \beta$  means  $\alpha$  sends requests to  $\beta$  or  $\alpha$  creates activity  $\beta$ .

Only request reception on the same channel is guaranteed to happen after a previously sent request has been received. But, compared to ASP with rendezvous, this loss of synchronization mainly has consequences for request reception order in the case of the triangle pattern.

- Execution is *insensitive to the moment when futures are updated*.
- Execution is characterized by the ordered list of request senders (*RSL confluence*).
- Request reception order is not fully guaranteed, e.g., in the case of the *triangle pattern*.

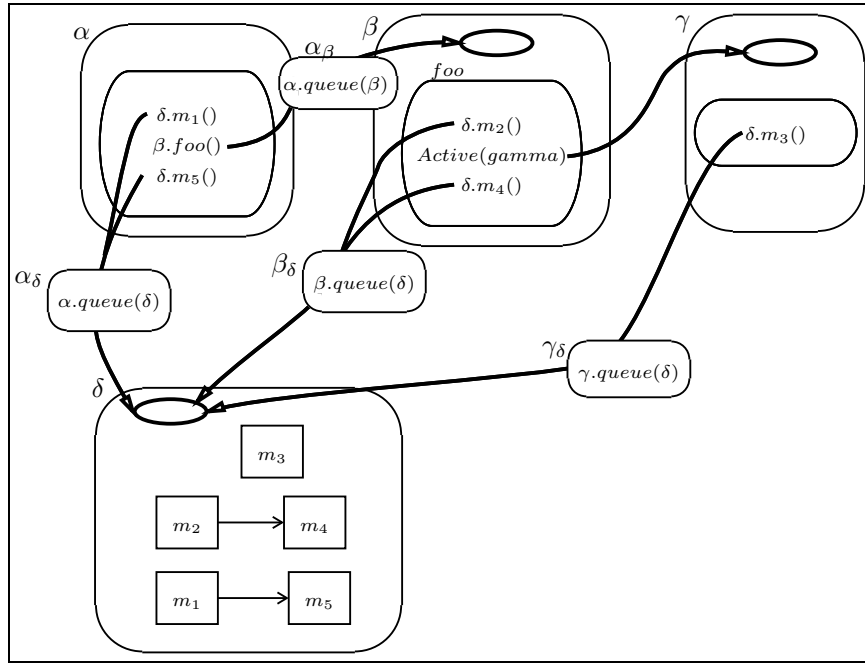


Fig. 18.4. Asynchronous point-to-point FIFO communications

### 18.4 Asynchronous One-to-All FIFO Ordering

$$\begin{aligned}
 \text{Active}'(a, \text{service}) \triangleq & \text{let } b = \text{clone}(a) \text{ in} \\
 & \left( \text{let } \text{Queue} = [; \forall m_j \in \mathcal{M}_1, m_j = \zeta(s, d, z) \mathcal{D}(d.m_j(z))] \text{ in} \right. \\
 & \quad \left. b.\text{queue} := \text{Active}(\text{Queue}, \emptyset) \right); \\
 & \text{let } \text{act} = \text{Active}(b, \text{service}) \text{ in} \\
 & \quad [; \forall m_j \in \mathcal{M}_2, m_j = \zeta(s, y)(\text{thisActivity.queue}).m_j(\text{act}, y)]
 \end{aligned}$$

$$\begin{aligned}
 \text{Active}'(a, \text{service}) \triangleq & \text{let } b = \text{clone}(a) \text{ in} \\
 & \left( \text{let } \text{Queue} = [; \forall m_j, m_j = \zeta(s, d, z) \mathcal{D}(d.m_j(z)), \right. \\
 & \quad \left. \text{QActive} = \zeta(s, x, \text{srv}) \text{Active}(x, \text{srv}) \right] \text{ in} \\
 & \quad b.\text{queue} := \text{Active}(\text{Queue}, \emptyset) \right); \\
 & \text{let } \text{act} = \text{thisActivity.queue.QActive}(b, \text{service}) \text{ in} \\
 & \quad [; \forall m_j, m_j = \zeta(s, y)(\text{thisActivity.queue}).m_j(\text{act}, y)]
 \end{aligned}$$

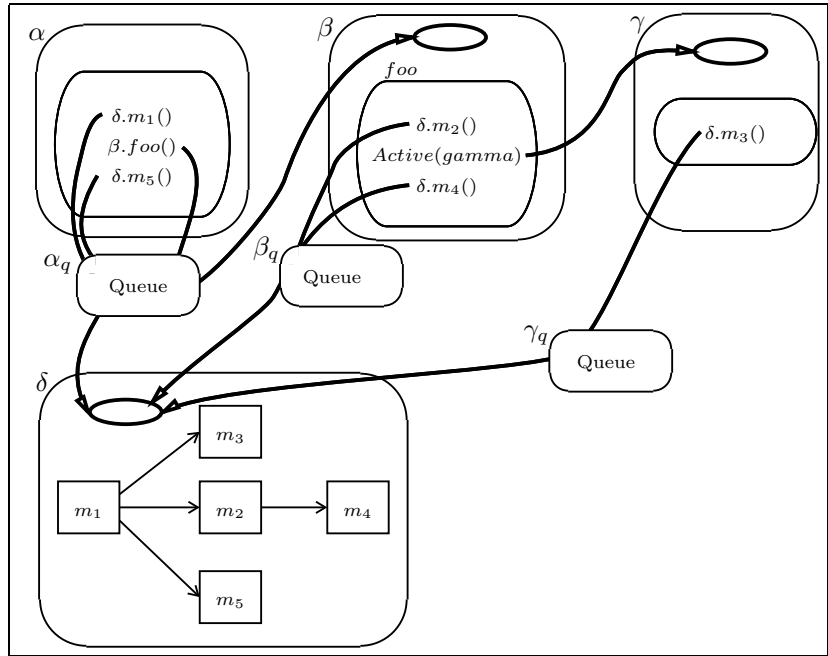


Fig. 18.5. Asynchronous one-to-all FIFO communications

## 18.5 Conclusion



## Controlling Pipelining

- *Pure demand driven*: an activity is only activated when another one is waiting for data coming from it.
- *Limited pipelining*: the number of pending requests per sender activity is limited.
- *Unrestricted parallelism*: all activities are executed in parallel without any restriction.

**Fig. 19.1.** Strategies for controlling parallelism

### 19.1 Unrestricted Parallelism

### 19.2 Pure Demand Driven

### 19.3 Controlled Pipelining





## Garbage Collection

### 20.1 Local Garbage Collection

### 20.2 Futures

### 20.3 Active Objects



**Final Words**



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## ASP Versus Other Concurrent Calculi

### 21.1 Basic Formalisms

#### 21.1.1 Actors

#### 21.1.2 $\pi$ -calculus and Related Calculi

$foo(bool\ b, bool\ c)$	
$r = oa.m();$	gets a future
$if\ b\ then\ r.bar;$	subject to wait-by-necessity
$if\ c\ then\ r = [a = 1, b = 2];$	creates a local object
$oa2.bar(r);$	sends to $oa2$ a possible future possibly awaited

#### 21.1.3 Process Networks

#### Comparing ASP and Process Networks Channels

#### 21.1.4 $\zeta$ -calculus

### 21.2 Concurrent Calculi and Languages

#### 21.2.1 MultiLisp

#### 21.2.2 Ambient Calculus

#### 21.2.3 join-calculus

### 21.3 Concurrent Object Calculi and Languages

#### 21.3.1 Obliq and Øjeblik

#### 21.3.2 The $\pi o\beta\lambda$ Language



---

## Conclusion

### 22.1 Summary

### 22.2 A Dynamic Property for Determinism

### 22.3 ASP in Practice

### 22.4 Stateful Active Objects vs. Immutable Futures

	Nature	Reference	Communication passing	Localization need due to	Localization strategy
<b>Active object</b>	Object: stateful, mutable	Global	Reference semantics	Mobility	Forwarding Server TTL-TTU
<b>Future</b>	Method result: single assignment immutable	Global	Reference + Copy semantics	First-class futures Delegation	Eager: forward / message Mixed Lazy
<b>Object</b>	Stateful, mutable	Local	Copy	None	None

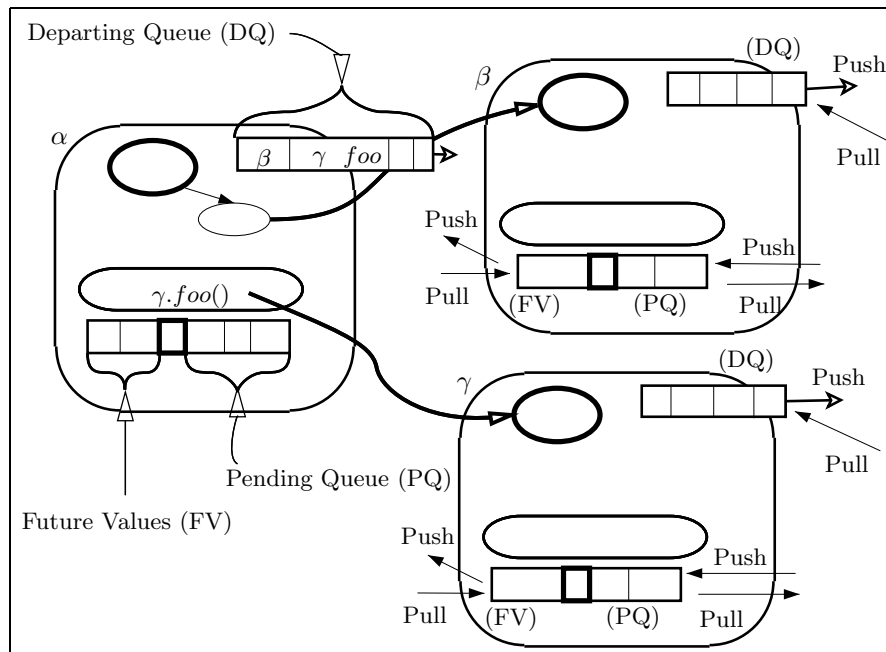
**Table 22.1.** Duality active objects (stateful) and futures (immutable)

### 22.5 Perspectives

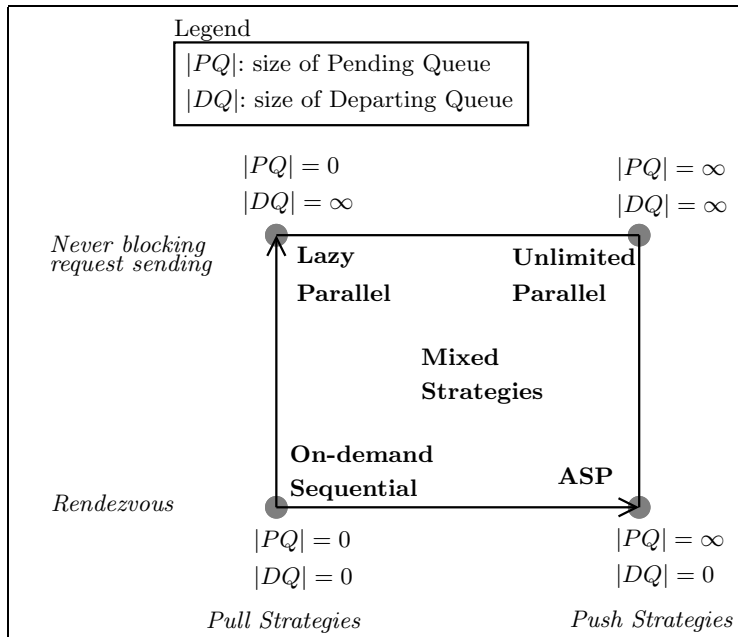




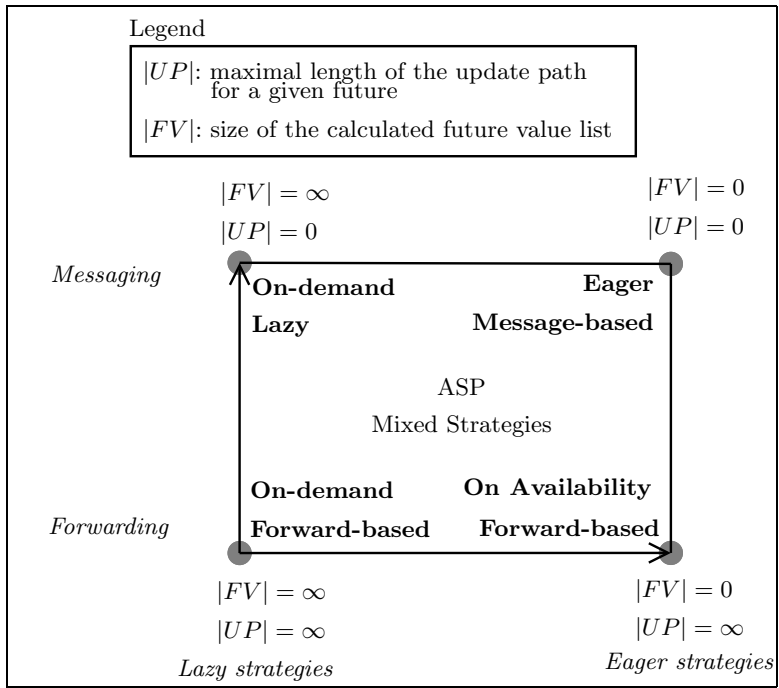
## Epilogue



**Fig. 23.1.** Potential queues, buffering, pipelining, and strategies in ASP



**Fig. 23.2.** Classification of strategies for sending requests



**Fig. 23.3.** Classification of strategies for future update



# Appendices



# A

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## Equivalence Modulo Future Updates

### A.1 Renaming

$$\Theta ::= \{f_i^{\beta \rightarrow \alpha} \leftarrow f_i^{\beta \rightarrow \alpha}, \dots\};$$

### A.2 Reordering Requests ( $R_1 \equiv_R R_2$ )

$\frac{\{M \in \mathcal{M}_{\alpha_{F_0}} \mid m_1 \in M\} \cap \{M \in \mathcal{M}_{\alpha_{F_0}} \mid m_2 \in M\} = \emptyset}{R_1 :: [m_1; \iota_1; f_1] :: [m_2; \iota_2; f_2] :: R_2 \equiv_R R_1 :: [m_2; \iota_2; f_2] :: [m_1; \iota_1; f_1] :: R_2}$ $R \equiv_R R$ $\frac{R \equiv_R R_1 \quad R_1 \equiv_R R'}{R \equiv_R R'}$
---

**Table A.1.** Reordering requests

### A.3 Future Updates

#### A.3.1 Following References and Sub-terms

**Definition A.1** ( $a \overset{\alpha}{\mapsto}_L b$ )

$a \overset{\alpha}{\mapsto}_L b$  means that  $b$  is reached from  $a$  by following, inside the activity  $\alpha$ , the

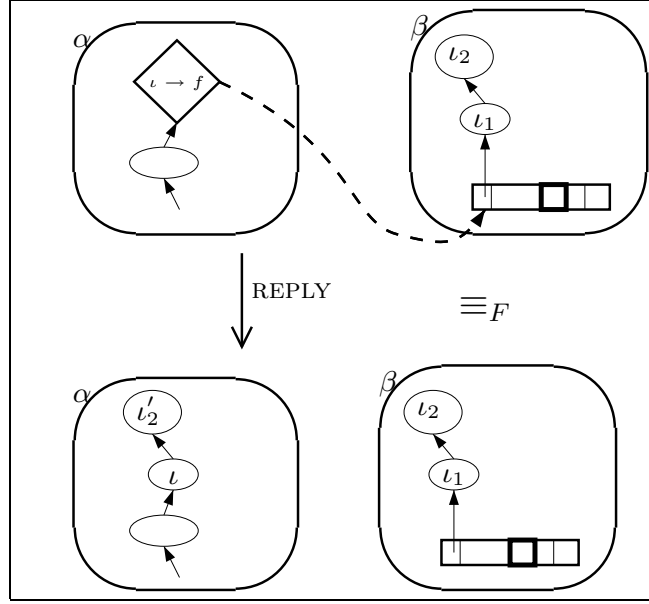


Fig. A.1. Simple example of future equivalence

path defined by  $L$ . Such paths are defined inductively from the definition of Table A.2 as follows:

$$\begin{aligned} a &\xrightarrow{\alpha}_\emptyset a \\ a &\xrightarrow{\alpha}_{L.x} b \text{ if } a \xrightarrow{\alpha}_L a' \wedge a' \xrightarrow{\alpha}_x b \end{aligned}$$

Extending the preceding definition,  $\xrightarrow{\alpha}_{L_1.L_2}$  denotes the concatenation  $\xrightarrow{\alpha}_{L_1} \xrightarrow{\alpha}_{L_2}$ . More generally the following notations are equivalent:

$$\begin{aligned} a \xrightarrow{\alpha}_{L_1.L_2} b &\Leftrightarrow a \xrightarrow{\alpha}_{L_1} \xrightarrow{\alpha}_{L_2} b \\ &\Leftrightarrow \exists c, a \xrightarrow{\alpha}_{L_1} c \xrightarrow{\alpha}_{L_2} b \\ &\Leftrightarrow \exists c, a \xrightarrow{\alpha}_{L_1} c \wedge c \xrightarrow{\alpha}_{L_2} b \end{aligned}$$

**Definition A.2** ( $a \xrightarrow{\alpha^*}_L b$ )

$$a \xrightarrow{\alpha^*}_{L_0 \dots L_n} b \Leftrightarrow \begin{cases} (n = 0 \wedge a \xrightarrow{\alpha}_{L_0} b) \vee \\ \exists t_i, f_i, \beta_i, \gamma_i \quad i \leq n \begin{cases} a \xrightarrow{\alpha}_{L_0} fut(f_1^{\gamma_1 \rightarrow \beta_1}) \wedge F_{\beta_1}(f_1^{\gamma_1 \rightarrow \beta_1}) = t_1 \wedge \\ \sigma_{\beta_1}(t_1) \xrightarrow{\beta_1}_{L_1} fut(f_2^{\gamma_2 \rightarrow \beta_2}) \wedge F_{\beta_2}(f_2^{\gamma_2 \rightarrow \beta_2}) = t_2 \\ \wedge \dots \wedge \\ \sigma_{\beta_n}(t_n) \xrightarrow{\beta_n}_{L_n} b \end{cases} \end{cases}$$

**Lemma A.3** ( $\xrightarrow{\alpha}_L$  and  $\xrightarrow{\alpha^*}_L$ )

$$a \xrightarrow{\alpha}_L b \Rightarrow a \xrightarrow{\alpha^*}_L b$$



$\iota \xrightarrow{\alpha}_{ref} \sigma_{\alpha}(\iota)$	$[l_i = b_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..n}^{i \in 1..n} \xrightarrow{\alpha}_{l_i} b_i$		
$[l_i = b_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..n}^{i \in 1..n} \xrightarrow{\alpha}_{m_j(s', x')} a_j \{ \{ x_j \leftarrow s', y_j \leftarrow x' \} \}$			
$a.l_i \xrightarrow{\alpha}_{field(l_i)} a$	$a.l_i := b \xrightarrow{\alpha}_{Update1(l_i)} a$	$a.l_i := b \xrightarrow{\alpha}_{Update2(l_i)} b$	
$a.l_i(b) \xrightarrow{\alpha}_{Invoke1(l_i)} a$	$a.l_i(b) \xrightarrow{\alpha}_{Invoke2(l_i)} b$	$clone(a) \xrightarrow{\alpha}_{clone} a$	
$Active(a, m_j) \xrightarrow{\alpha}_{Active(m_j)} a$		$Serve(M) \xrightarrow{\alpha}_{Serve(M)} \emptyset$	
$a \uparrow f, b \xrightarrow{\alpha}_{\uparrow curr} a$	$a \uparrow f, b \xrightarrow{\alpha}_{\uparrow f} a$	$a \uparrow f, b \xrightarrow{\alpha}_{\uparrow cont} b$	
$\alpha_p \xrightarrow{\alpha}_{ct} a_{\alpha}$	$\alpha_p \xrightarrow{\alpha}_{ao} \iota_{\alpha}$	$\alpha_p \xrightarrow{\alpha}_{cf} \iota_{\alpha}$	$\alpha_p \xrightarrow{\alpha}_{fv} F_{\alpha}$
$\frac{R_{\alpha} \equiv_R R'}{\alpha_p \xrightarrow{\alpha}_{rq} R'}$			
$[m; \iota; f] :: R \xrightarrow{\alpha}_{reqs\_meth} m$	$[m; \iota; f] :: R \xrightarrow{\alpha}_{reqs\_arg} \iota$		
$[m; \iota; f] :: R \xrightarrow{\alpha}_{reqs\_fut} f$	$[m; \iota; f] :: R \xrightarrow{\alpha}_{reqs\_cdr} R$		
$\{f_i^{\gamma \rightarrow \alpha} \mapsto \iota\} :: F \xrightarrow{\alpha}_{futs\_id} f_i^{\gamma \rightarrow \alpha}$	$\{f_i^{\gamma \rightarrow \alpha} \mapsto \iota\} :: F \xrightarrow{\alpha}_{futs\_val} \iota$		
$\{f_i^{\gamma \rightarrow \alpha} \mapsto \iota\} :: F \xrightarrow{\alpha}_{futs\_cdr} F$			

Table A.2. Path definition

**Lemma A.4 (Uniqueness of path destination)**

$$a \xrightarrow{\alpha^*}_L b \wedge a \xrightarrow{\alpha^*}_L b' \Rightarrow b = b'$$

$$\forall \exists f_n, \iota_n, \beta_n, \gamma, \delta \left\{ \begin{array}{l} (\sigma_{\beta_n}(\iota_n) = fut(f_n^{\gamma \rightarrow \delta}) \vee F_{\delta}(f_n^{\gamma \rightarrow \delta}) = \iota_n) \\ \wedge (b = \iota_n \vee b' = \iota_n) \end{array} \right.$$

$$a \xrightarrow{\alpha^*}_L b \wedge a \xrightarrow{\alpha^*}_L b' \Rightarrow b = b' \vee \exists \iota_n, \iota'_n, \left\{ \begin{array}{l} (\sigma_{\beta_n}(\iota_n) = fut(f_n^{\gamma \rightarrow \delta}) \wedge F_{\delta}(f_n^{\gamma \rightarrow \delta}) = \iota'_n) \\ (b = \iota_n \wedge b' = \iota'_n) \vee (b = \iota'_n \wedge b' = \iota_n) \end{array} \right.$$

**A.3.2 Equivalence Definition****Definition A.5 (Equivalence modulo future updates:  $P \equiv_F Q$ )**

$$P \equiv_F Q \Leftrightarrow \forall \alpha \text{ s.t. } \alpha \in P \vee \alpha \in R, \forall L \left( \exists a, \alpha_P \mapsto_L^{\alpha*} a \Leftrightarrow \exists a', \alpha_R \mapsto_L^{\alpha*} a' \right) \quad (1)$$

$$\wedge \forall L, L', a \left( \alpha_P \mapsto_L^{\alpha} a \wedge \alpha_P \mapsto_{L'}^{\alpha} a \Rightarrow \exists c, L_0, L'_0, a', L_1, L'_1, \gamma \right. \\ \left. \begin{cases} L = L_0.L_1 \wedge L' = L'_0.L'_1 \wedge L_1 \neq \emptyset \\ \alpha_R \mapsto_{L_0}^{\alpha*} c \mapsto_{L_1}^{\gamma} a' \\ \wedge \alpha_R \mapsto_{L'_0}^{\alpha*} c \mapsto_{L'_1}^{\gamma} a' \end{cases} \right) \quad (2)$$

$$\wedge \forall L, L' a \left( \alpha_R \mapsto_L^{\alpha} a \wedge \alpha_R \mapsto_{L'}^{\alpha} a \Rightarrow \exists c, L_0, L'_0, a', L_1, L'_1, \gamma \right. \\ \left. \begin{cases} L = L_0.L_1 \wedge L' = L'_0.L'_1 \wedge L_1 \neq \emptyset \\ \alpha_P \mapsto_{L_0}^{\alpha*} c \wedge \alpha_P \mapsto_{L'_0}^{\alpha*} c \\ c \mapsto_{L_1}^{\gamma} a' \wedge c \mapsto_{L'_1}^{\gamma} a' \end{cases} \right) \quad (3)$$

where  $R = Q\Theta$  and  $\Theta$  is a renaming of future identifiers like those defined in Sect. A.1.

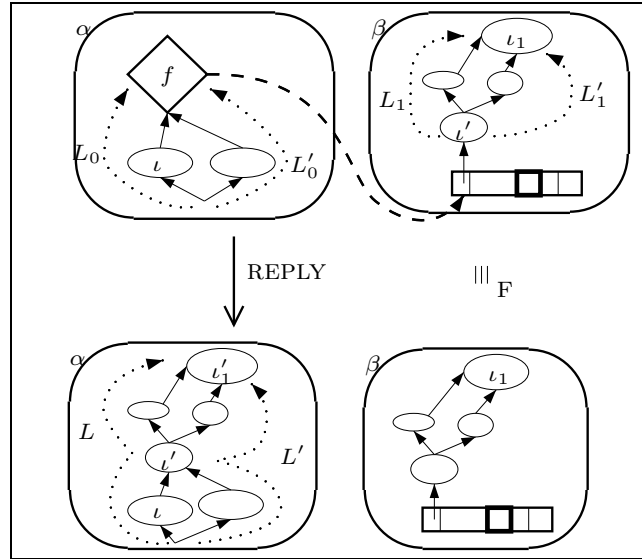


Fig. A.2. The principle of the alias conditions

**Property A.6 (Equivalence relation)**

$\equiv_F$  is an equivalence relation.

**Definition A.7 (Equivalence of sub-terms)**

$$a \equiv_F a' \Leftrightarrow \exists L, a \in \alpha_P \wedge a' \in \alpha_Q \wedge P \equiv_F Q \wedge \left( \alpha_P \xrightarrow{\alpha^*}_L a, \Leftrightarrow \alpha_Q \xrightarrow{\alpha^*}_L a' \right)$$

**Lemma A.8 (Sub-term equivalence)**

$$\begin{aligned} a \equiv_F a' \Rightarrow \forall L \left( \exists b, a \xrightarrow{\alpha^*}_{L'} b \Leftrightarrow \exists b', a' \xrightarrow{\alpha^*}_{L'} b' \right) \\ \wedge \forall L, L', b, a \xrightarrow{\alpha}_{L'} b \wedge a \xrightarrow{\alpha}_{L'} b \Rightarrow \exists c, L_0, L'_0, b', L_1, L'_1, \gamma \\ \left\{ \begin{array}{l} L = L_0.L_1 \wedge L' = L'_0.L'_1 \wedge L_1 \neq \emptyset \\ a' \xrightarrow{\alpha^*}_{L_0} c \xrightarrow{\gamma}_{L_1} b' \\ a' \xrightarrow{\alpha^*}_{L'_0} c \xrightarrow{\gamma}_{L'_1} b' \end{array} \right. \\ \wedge \forall L, L', b, a' \xrightarrow{\alpha}_L b \wedge a' \xrightarrow{\alpha}_{L'} b \Rightarrow \exists c, L_0, b', L_1, L'_1, \gamma \\ \left\{ \begin{array}{l} L = L_0.L_1 \wedge L' = L'_0.L'_1 \wedge L_1 \neq \emptyset \\ a \xrightarrow{\alpha^*}_{L_0} c \xrightarrow{\gamma}_{L_1} b' \\ a \xrightarrow{\alpha^*}_{L'_0} c \xrightarrow{\gamma}_{L'_1} b' \end{array} \right. \end{aligned}$$

## A.4 Properties of $\equiv_F$

**Property A.9 (Equivalence and compatibility)**

$$P \equiv_F Q \Rightarrow P \bowtie Q$$

**Lemma A.10 ( $\equiv_F$  and store update)**

$$\left\{ \begin{array}{l} P \equiv_F Q \quad \wedge \quad a \equiv_F a' \\ \iota \in \text{dom}(\sigma_{\alpha_P}) \wedge \iota' \in \text{dom}(\sigma_{\alpha_Q}) \quad \wedge \quad \iota \equiv_F \iota' \\ P' = P \text{ except } \sigma_{\alpha_{P'}} = \{\iota \rightarrow a\} + \sigma_{\alpha_P} \\ Q' = Q \text{ except } \sigma_{\alpha_{Q'}} = \{\iota' \rightarrow a'\} + \sigma_{\alpha_Q} \\ \vdash P' \text{ OK} \quad \wedge \quad \vdash Q' \text{ OK} \end{array} \right. \Rightarrow P' \equiv_F Q'$$

**Lemma A.11 ( $\equiv_F$  and substitution)**

$$\iota \equiv_F \iota' \Rightarrow a\{x \leftarrow \iota\} \equiv_F a\{x \leftarrow \iota'\}$$

**Lemma A.12 (A characterization of deep copy)**

$$a \in \text{copy}(\iota, \sigma_\beta) \Leftrightarrow \exists L, \iota \xrightarrow{\beta}_L a$$

$$\iota' \in \text{dom}(\text{copy}(\iota, \sigma)) \Rightarrow \text{copy}(\iota, \sigma)(\iota') = \sigma(\iota')$$

**Lemma A.13 (Copy and merge)**

If  $P' = P$  except  $\sigma_{\alpha_{P'}} = \text{Copy\&Merge}(\sigma_{\beta_P}, \iota_0 ; \sigma_{\alpha_P}, \iota)$  then

$$\iota_0 \xrightarrow{\beta_P}_L a \Leftrightarrow \iota \xrightarrow{\alpha_{P'}}_L a'$$

and

$$\iota_0 \xrightarrow{\beta_{P^*}}_L a \Leftrightarrow \iota \xrightarrow{\alpha_{P'^*}}_L a'$$

**Lemma A.14** ( $\equiv_F$  and store merge)

$$\left\{ \begin{array}{l} P \equiv_F Q \wedge \iota \in \alpha_P \wedge \iota' \in \alpha_Q \wedge \iota_0 \in \beta_P \wedge \iota'_0 \in \beta_Q \\ a \equiv_F a' \wedge \iota \equiv_F \iota' \wedge \iota_0 \equiv_F \iota'_0 \\ P' = P \text{ except } \sigma_{\alpha_{P'}} = \text{Copy\&Merge}(\sigma_{\beta_P}, \iota_0; \sigma_{\alpha_P}, \iota) \\ Q' = Q \text{ except } \sigma_{\alpha_{Q'}} = \text{Copy\&Merge}(\sigma_{\beta_Q}, \iota'_0; \sigma_{\alpha_Q}, \iota') \end{array} \right. \Rightarrow P' \equiv_F Q'$$

**A.5 Sufficient Conditions for Equivalence****Property A.15** (REPLY and  $\equiv_F$ )

$$P \xrightarrow{\text{REPLY}} P' \Rightarrow P \equiv_F P'$$

**Property A.16** (Sufficient condition for equivalence)

$$\left\{ \begin{array}{l} P_1 \xrightarrow{\text{REPLY}} P' \\ P_2 \xrightarrow{\text{REPLY}} P' \end{array} \right. \Rightarrow P_1 \equiv_F P_2$$

$$\left\{ \begin{array}{l} P \xrightarrow{\text{REPLY}} P_1 \\ P \xrightarrow{\text{REPLY}} P_2 \end{array} \right. \Rightarrow P_1 \equiv_F P_2$$

**A.6 Equivalence Modulo Future Updates and Reduction****Property A.17** ( $\equiv_F$  and reduction(1))

$$P \equiv_F P' \wedge P \xrightarrow{T} Q \Rightarrow \left\{ \begin{array}{l} \text{if } T = \text{REPLY} \text{ then } Q \equiv_F P' \\ \text{else } \exists Q', P' \xrightarrow{\text{REPLY}^*} Q' \wedge Q' \equiv_F Q \end{array} \right.$$

**Definition A.18** (Parallel reduction modulo future updates)

$$\xRightarrow{T} = \begin{array}{l} \xrightarrow{\text{REPLY}^*} \xrightarrow{T} \text{ if } T \neq \text{REPLY} \\ \xrightarrow{\text{REPLY}^*} \text{ if } T = \text{REPLY} \end{array}$$

**Property A.19** ( $\equiv_F$  and reduction(2))

$$P \equiv_F P' \wedge P \xrightarrow{T} Q \Rightarrow \exists Q', P' \xRightarrow{T} Q' \wedge Q' \equiv_F Q$$

**Corollary A.20** ( $\equiv_F$  and reduction)

$$P \equiv_F P' \wedge P \xRightarrow{T} Q \Rightarrow \exists Q', P' \xRightarrow{T} Q' \wedge Q' \equiv_F Q$$

## A.7 Another Formulation

$$\Theta ::= (\theta_{fut}, \theta_{\alpha \in P}^{\alpha \in P}, \dots, \theta_{\alpha \rightarrow \beta, \iota}^{\alpha \in P, \beta \in Q}, \dots)$$

$$\theta_{fut} ::= \{\{f_i^{\beta_P \rightarrow \alpha_P} \leftarrow f_i^{\beta_Q \rightarrow \alpha_Q}, \dots\}\};$$

for  $\alpha \in P \wedge \alpha \in Q$ ,

$$\theta_{\alpha} ::= \{\{l_1 \leftarrow l'_1 \dots\}\} \text{ where } l_1 \in \text{dom}(\sigma_{\alpha_P}), l'_1 \in \text{dom}(\sigma_{\alpha_Q})$$

for  $\alpha_P \in P, \beta_Q \in Q, \iota \in \text{dom}(\sigma_{\alpha_P}), \iota' \in \text{dom}(\sigma_{\beta_Q})$ ,

$$\theta_{\alpha_P \rightarrow \beta_Q, \iota} ::= \{\{l_1 \leftarrow l'_1, \dots\}\} \text{ where } l_1 \in \text{dom}(\sigma_{\alpha_P}), l', l'_1 \in \text{dom}(\sigma_{\beta_Q})$$

$$\theta_{\alpha_P \leftarrow \beta_Q, \iota} ::= \{\{l_1 \leftarrow l'_1, \dots\}\} \text{ where } \iota, l_1 \in \text{dom}(\sigma_{\alpha_P}), l'_1 \in \text{dom}(\sigma_{\beta_Q})$$

- for each  $\beta \in Q$  the sets  $\text{codom}(\theta_{\beta}), (\text{codom}(\theta_{\alpha \rightarrow \beta, \iota}))_{\alpha \in P, \iota \in \text{dom}(\sigma_{\beta})}$  are disjoint;
- for each  $\alpha \in P$  the sets  $\text{dom}(\theta_{\alpha}), (\text{dom}(\theta_{\alpha \leftarrow \beta, \iota}))_{\beta \in Q, \iota \in \text{dom}(\sigma_{\alpha})}$  are disjoint.

### Definition A.21 (Equivalence modulo future updates (2))

To prove  $P \equiv_F Q$ , one must provide

$$\Theta = (\theta_{fut}, \theta_{\alpha \in P}^{\alpha \in P}, \dots, \theta_{\alpha \rightarrow \beta, \iota}^{\alpha \in P, \beta \in Q}, \dots)$$

such that the rules of Table A.3 are coinductively verified (for all activities  $\alpha$  of  $P$  and  $Q$ ).

In Table A.3,  $x$  replaces  $\alpha$  or  $\alpha \rightarrow \beta, \iota'$  or  $\alpha \leftarrow \beta, \iota$ . All the trivial induction rules corresponding to operators in the syntax are not given explicitly in this table.

$\equiv_{\alpha}$  denotes the equivalence between (sub-)terms that appears in  $\alpha$  in both configurations.  $\equiv_{\alpha \rightarrow \beta, \iota'}$  denotes the equivalence between terms contained in a future calculated in the activity  $\alpha$  of  $P$  and its updated value in the location  $\iota'$  of the activity  $\beta$  of  $Q$ .  $\equiv_x$  denotes any equivalence relation (either inside an activity when  $x = \alpha$  or between activities when  $x$  is of the form  $\alpha \leftarrow \beta', \iota$  or  $\alpha \rightarrow \beta', \iota'$ ).

**Property A.22 (Equivalence of the two equivalence definitions)** *The two definitions of the equivalence modulo future updates presented in this appendix are equivalent.*

## A.8 Decidability of $\equiv_F$

### Property A.23 (Decidability)

$\equiv_F$  is decidable.

$fut(f) \equiv_x fut(f\theta_{fut})$	$AO(\alpha) \equiv_x AO(\alpha)$	$\emptyset \equiv_x \emptyset$
$\frac{\sigma_{\alpha_P}(\iota) \equiv_\alpha \sigma_{\alpha_Q}(\iota\theta_\alpha)}{\iota \equiv_\alpha \iota\theta_\alpha}$		
$\frac{\sigma_{\alpha_P}(\iota_1) \equiv_{\alpha \leftarrow \beta, \iota_0} \sigma_{\beta_Q}(\iota_1\theta_{\alpha \leftarrow \beta, \iota})}{\iota \equiv_{\alpha \leftarrow \beta, \iota_0} \iota\theta_{\alpha \leftarrow \beta, \iota}}$		
<p><math>b</math> is in the location <math>\iota</math> of the activity <math>\gamma</math> of <math>P</math></p> $\frac{F_{\beta_Q}(f_i^{\alpha \rightarrow \beta}) = \iota' \quad \iota \equiv_{\gamma \leftarrow \beta, \iota} \iota'}{b \equiv_x fut(f_i^{\alpha \rightarrow \beta})}$		
$\frac{\sigma_{\alpha_P}(\iota) \equiv_{\alpha \rightarrow \beta, \iota'_0} \sigma_{\beta_Q}(\iota\theta_{\alpha \rightarrow \beta, \iota'_0})}{\iota \equiv_{\alpha \rightarrow \beta, \iota'_0} \iota\theta_{\alpha \rightarrow \beta, \iota'_0}}$		
<p><math>a</math> is in the location <math>\iota'</math> of the activity <math>\gamma</math> of <math>Q</math></p> $\frac{F_{\beta_P}(f_i^{\alpha \rightarrow \beta}) = \iota \quad \iota \equiv_{\beta \rightarrow \gamma, \iota'} \iota'}{fut(f_i^{\alpha \rightarrow \beta}) \equiv_x a}$		
$\frac{\iota \equiv_\alpha \iota' \quad R_{\alpha_P} \equiv_\alpha R_{\alpha_Q}}{[m_j, \iota, f] :: R_{\alpha_P} \equiv_\alpha [m_j, \iota', f\theta_{fut}] :: R_{\alpha_Q}}$		
$\frac{\iota \equiv_\alpha \iota' \quad F \equiv_\alpha F'}{\{f_i^{\gamma \rightarrow \alpha_P} \mapsto \iota\} :: F \equiv_\alpha \{f_i^{\gamma \rightarrow \alpha_Q} \theta_{fut} \mapsto \iota'\} :: F'}$		
$\frac{\forall M \in \mathcal{M}_{\alpha_P}, R_{\alpha_P} _M \equiv_F R_{\alpha_Q} _M \quad f_{\alpha_Q} = f_{\alpha_P} \theta_{fut}}{\alpha[a_{\alpha_P}; \sigma_{\alpha_P}; \iota_{\alpha_P}; F_{\alpha_P}; R_{\alpha_P}; f_{\alpha_P}] \equiv_\alpha \alpha[a_{\alpha_Q}; \sigma_{\alpha_Q}; \iota_{\alpha_Q}; F_{\alpha_Q}; R_{\alpha_Q}; f_{\alpha_Q}]}$		
$\frac{\exists \Theta = (\theta_{fut}, \theta_{\alpha_1}, \dots) \quad \alpha \in P \Leftrightarrow \alpha \in Q}{\forall \alpha \in P, \alpha[a_{\alpha_P}; \sigma_{\alpha_P}; \iota_{\alpha_P}; F_{\alpha_P}; R_{\alpha_P}; f_{\alpha_P}] \equiv_\alpha \alpha[a_{\alpha_Q}; \sigma_{\alpha_Q}; \iota_{\alpha_Q}; F_{\alpha_Q}; R_{\alpha_Q}; f_{\alpha_Q}]}$		
$P \equiv_F Q$		

Table A.3. Equivalence rules

## A.9 Examples

$$\theta_{\beta \rightarrow \alpha, \iota} = \{\{\iota_1 \leftarrow \iota, \iota_2 \leftarrow \iota'_2\}\}$$

$$\theta_{\alpha \rightarrow \alpha, \iota_2} = \{\{\iota_1 \leftarrow \iota_2, \iota_2 \leftarrow \iota_3\}\}$$

$$\theta_{\alpha \rightarrow \alpha, \iota_3} = \{\{\iota_1 \leftarrow \iota_3, \iota_2 \leftarrow \iota_4\}\}$$

$$\theta_{\alpha \rightarrow \alpha, \iota_4} = \{\{\iota_1 \leftarrow \iota_4, \iota_2 \leftarrow \iota_5\}\}$$

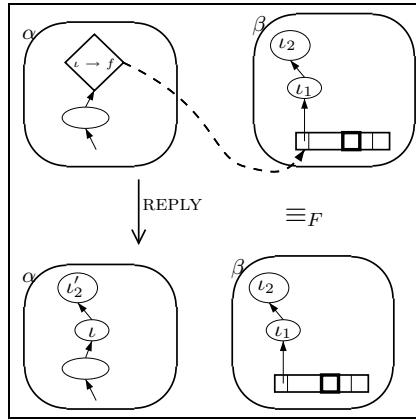


Fig. A.3. Simple example of future equivalence

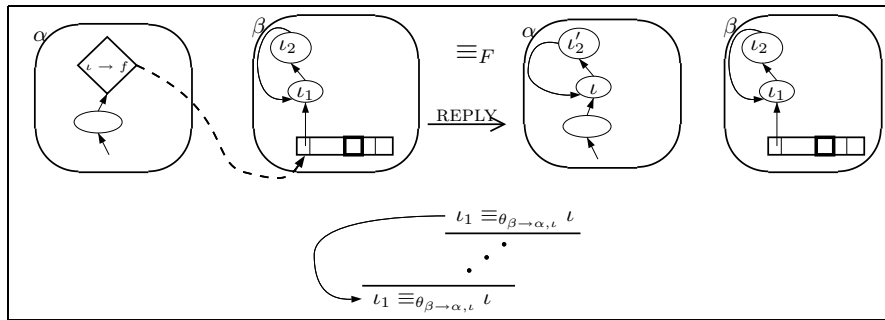


Fig. A.4. Example of a “cyclic” proof

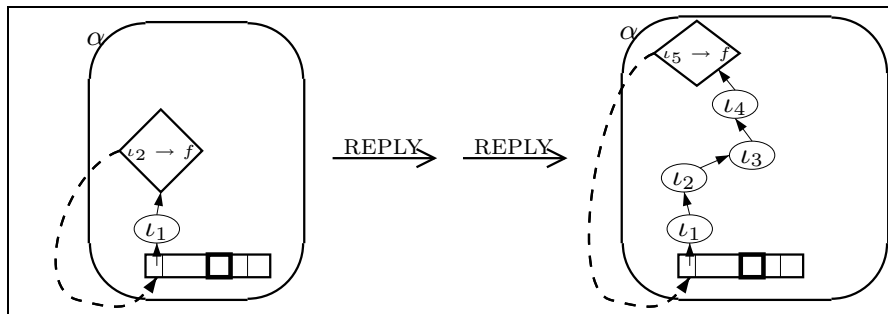


Fig. A.5. Equivalence in the case of a cycle of futures

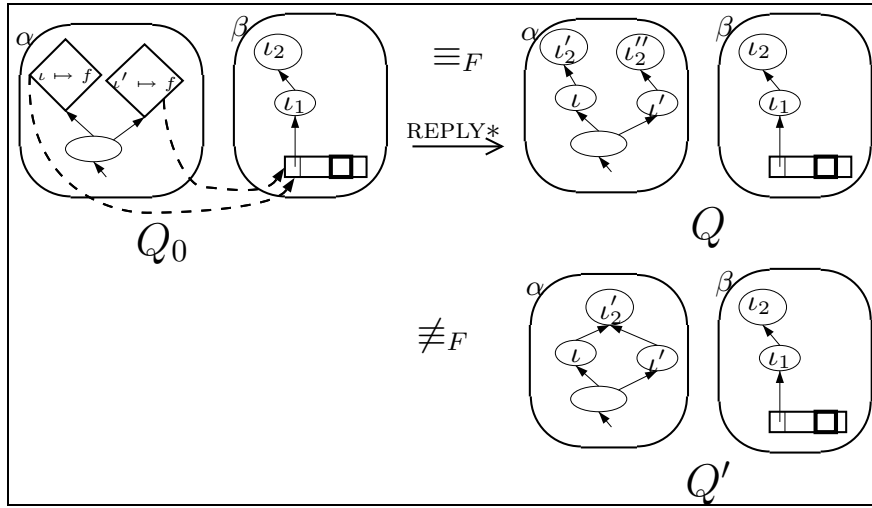


Fig. A.6. Example of alias condition



## B

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### Confluence Proofs

#### B.1 Context

##### Property B.1 (Confluence)

$$\begin{cases} P_0 \xrightarrow{*} Q \\ P_0 \xrightarrow{*} Q' \\ Q \bowtie Q' \end{cases} \implies Q \Downarrow Q'$$

$$\begin{aligned} \mathcal{Q}(Q, Q') &= \{R | P_0 \xrightarrow{*} R \wedge \forall M \in \mathcal{M}_{\alpha_{P_0}}, \forall \alpha \in R, \\ &\quad RSL(\alpha_R)|_M \sqsubseteq RSL(\alpha_Q)|_M \vee RSL(\alpha_R)|_M \sqsubseteq RSL(\alpha_{Q'})|_M\} \\ &= \{R | P_0 \xrightarrow{*} R \wedge \forall \alpha \in R, RSL(\alpha_R)|_M \sqsubseteq (RSL(\alpha_Q)|_M \sqcup RSL(\alpha_{Q'})|_M)\} \end{aligned}$$

#### B.2 Lemmas

##### Lemma B.2 ( $\mathcal{Q}$ and compatibility)

$$\forall R, R' \in \mathcal{Q}(Q, Q'), R \bowtie R'$$

##### Lemma B.3 (Independent stores)

$$dom(\sigma_1) \cap dom(\sigma_2) = \emptyset \implies \begin{cases} \sigma_1 :: \sigma_2 = \sigma_2 + \sigma_1 \\ \sigma_1 :: \sigma_2 = \sigma_2 :: \sigma_1 \\ \sigma_1 + \sigma_2 = \sigma_2 + \sigma_1 \\ \sigma_1 + (\sigma_2 :: \sigma) = \sigma_2 :: (\sigma_1 + \sigma) \end{cases}$$

##### Lemma B.4 (Extensibility of local reduction)

$$(a, \sigma) \rightarrow_S (a', \sigma') \implies (a, \sigma_0 :: \sigma) \rightarrow_S (a'', \sigma_0 :: \sigma'') \text{ where } (a'', \sigma'') \equiv_F (a', \sigma')$$

**Lemma B.5 (copy and locations)**

$$\text{dom}(\text{copy}(\iota, \sigma)) \subseteq \text{dom}(\sigma)$$

**Lemma B.6 (Multiple copies)**

$$\iota \in \text{dom}(\text{copy}(\iota', \sigma')) \Rightarrow (\text{copy}(\iota, \sigma) + \text{copy}(\iota', \sigma')) = \text{copy}(\iota', \text{copy}(\iota, \sigma) + \sigma')$$

**Lemma B.7 (Copy and store update)**

$$\begin{aligned} \sigma' + \text{Copy\&Merge}(\sigma_1, \iota ; \sigma_2, \iota') &\equiv_F \text{Copy\&Merge}(\sigma_1, \iota ; \sigma' + \sigma_2, \iota') \\ \text{if } \begin{cases} \text{dom}(\sigma') \cap \text{dom}(\text{Copy\&Merge}(\sigma_1, \iota ; \sigma_2, \iota')) \subseteq \text{dom}(\sigma_2) \\ \iota' \notin \text{dom}(\sigma') \end{cases} \end{aligned}$$

**Corollary B.8 (Copy and store update)** *If  $\iota' \notin \text{dom}(\sigma')$  then there is a way of choosing locations allocated by  $\text{Copy\&Merge}(\sigma_1, \iota ; \sigma_2, \iota')$  such that:*

$$\sigma' + \text{Copy\&Merge}(\sigma_1, \iota ; \sigma_2, \iota') \equiv_F \text{Copy\&Merge}(\sigma_1, \iota ; \sigma' + \sigma_2, \iota')$$

**B.3 Local Confluence****Property B.9 (Diamond property)**

Let  $P$  be a configuration obtained from  $P_0$ :  $P_0 \xrightarrow{*} P$ :

$$\left\{ \begin{array}{l} P \xrightarrow{T_1} P_1 \\ P \xrightarrow{T_2} P_2 \\ P, P_1, P_2 \in \mathcal{Q}(Q, Q') \end{array} \right. \implies P_1 \equiv_F P_2 \vee \exists P'_1, P'_2, \left\{ \begin{array}{l} P_1 \xrightarrow{T_2} P'_1 \\ P_2 \xrightarrow{T_1} P'_2 \\ P'_1 \equiv_F P'_2 \\ P'_1, P'_2 \in \mathcal{Q}(Q, Q') \end{array} \right.$$

**B.4 Calculus with service based on activity name:  
*Serve*( $\alpha$ )****B.5 Extension****Lemma B.10 ( $\equiv_F$  and  $\mathcal{Q}(Q, Q')$ )**

*If  $P$  is in  $\mathcal{Q}$  and  $P$  is equivalent modulo future updates to  $P'$  then  $P'$  is in  $\mathcal{Q}$ :*

$$P \equiv_F P' \wedge P \in \mathcal{Q}(Q, Q') \wedge P_0 \xrightarrow{*} P' \Rightarrow P' \in \mathcal{Q}(Q, Q')$$

**Lemma B.11 (REPLY vs. other reduction)**

$$P \xrightarrow{\neg\text{REPLY}} R \wedge P \xrightarrow{\text{REPLY}} P' \Rightarrow P' \xrightarrow{\neg\text{REPLY}} R' \wedge R' \equiv_F R$$

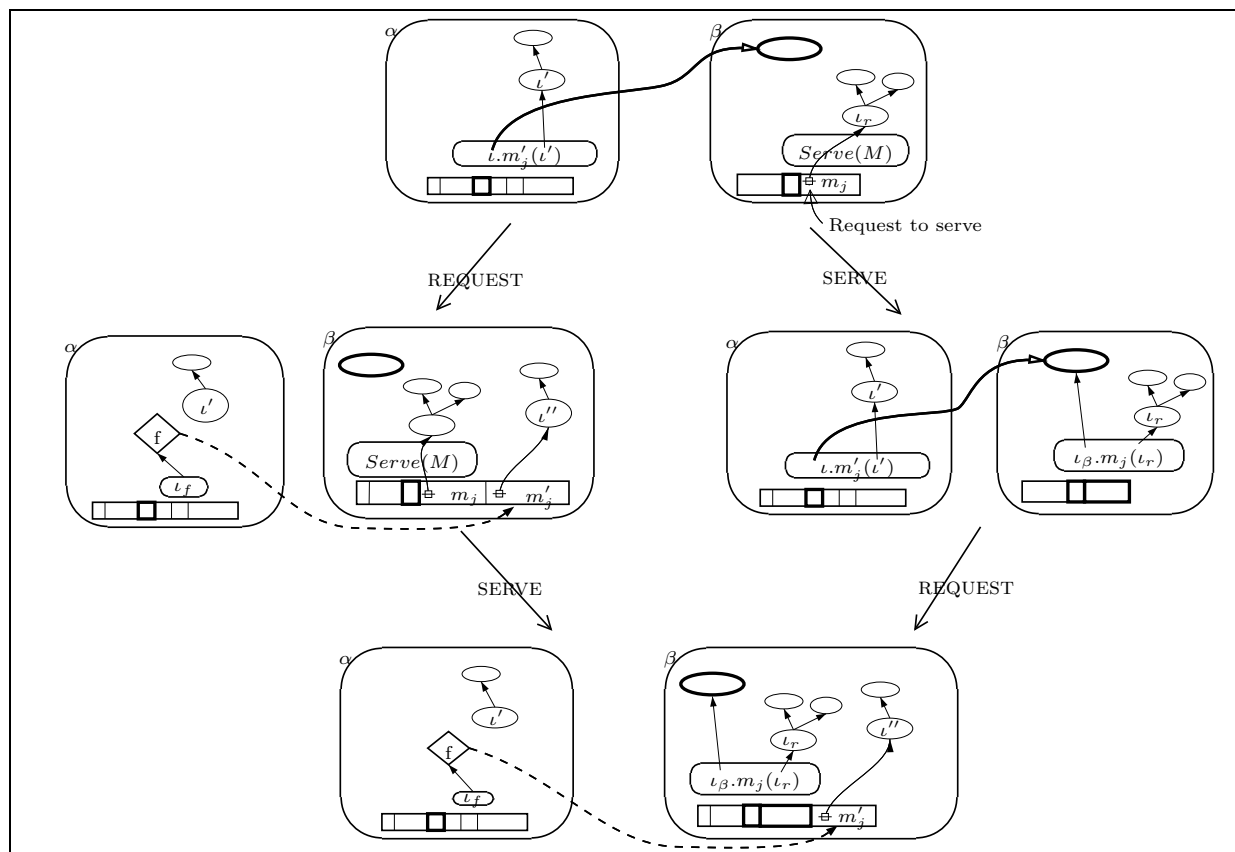


Fig. B.1. SERVE/REQUEST

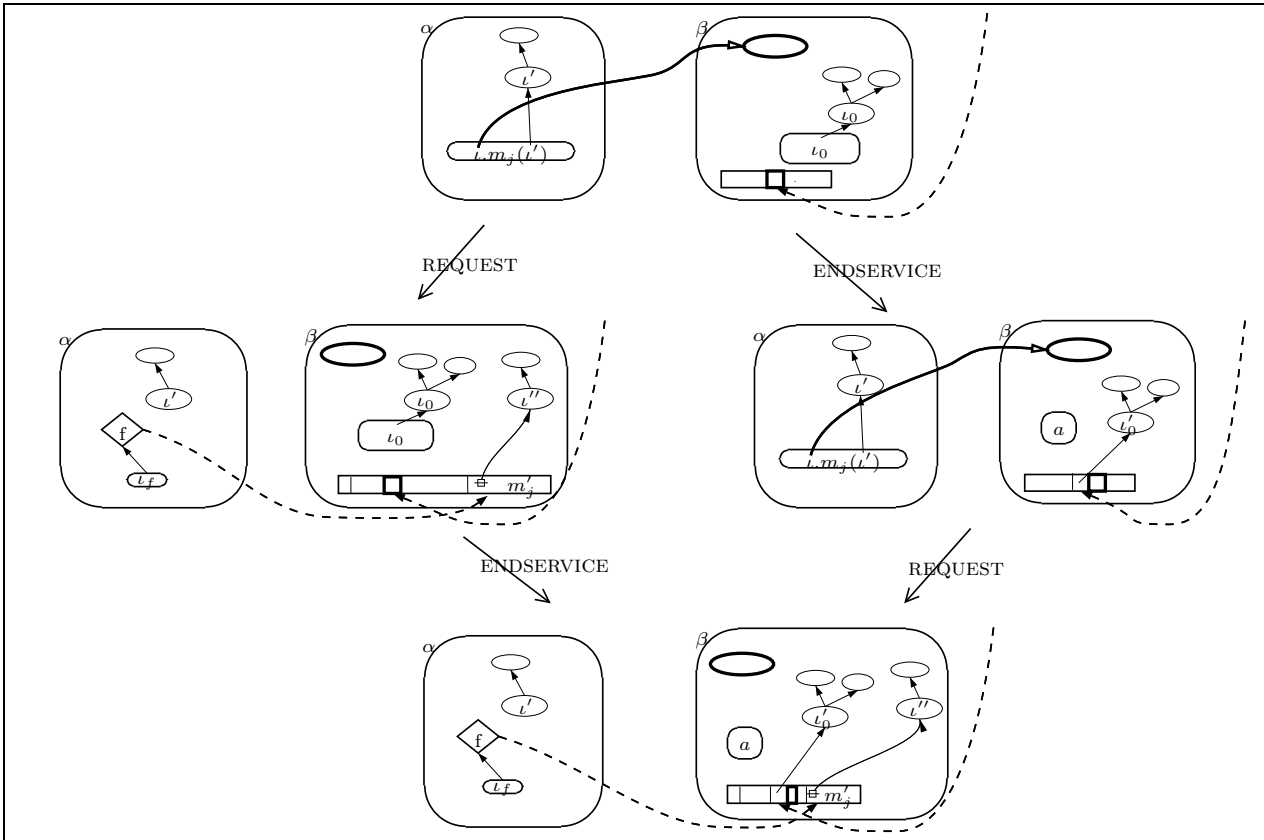
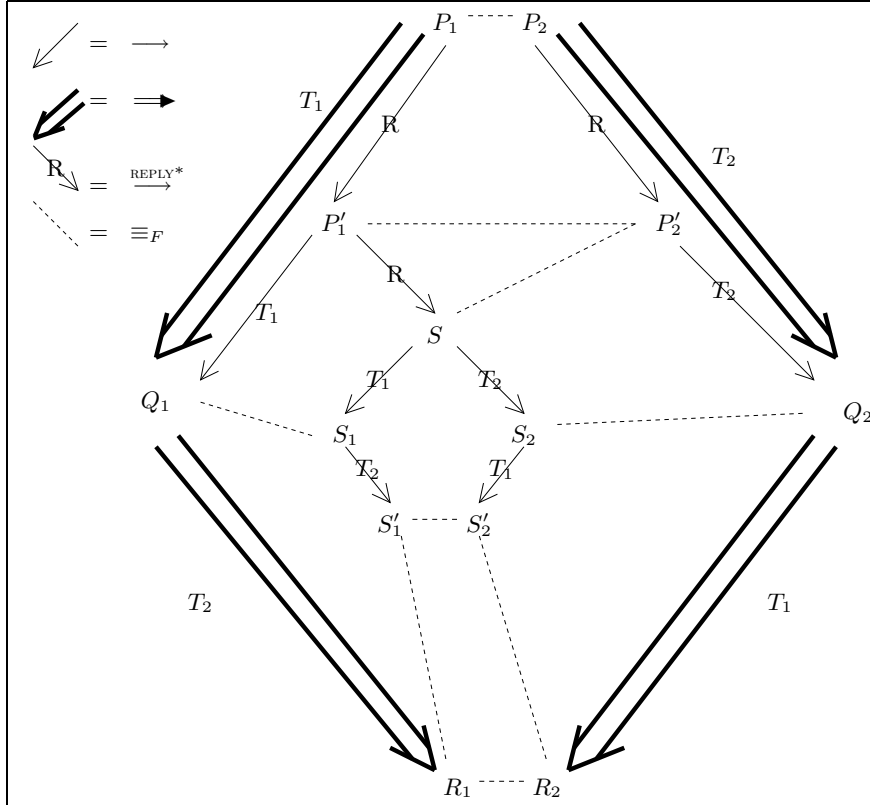


Fig. B.2. ENDSERVICE/REQUEST

**Property B.12 (Diamond property with  $\equiv_F$ )**

$$\left\{ \begin{array}{l} P_1 \xRightarrow{T_1} Q_1 \\ P_2 \xRightarrow{T_2} Q_2 \\ Q_1, Q_2 \in \mathcal{Q}(Q, Q') \\ P_1 \equiv_F P_2 \end{array} \right. \implies Q_1 \equiv_F Q_2 \vee \exists R_1, R_2, \left\{ \begin{array}{l} Q_1 \xRightarrow{T_2} R_1 \\ Q_2 \xRightarrow{T_1} R_2 \\ R_1 \equiv_F R_2 \\ R_1, R_2 \in \mathcal{Q}(Q, Q') \end{array} \right.$$



**Fig. B.3.** The diamond property (Property B.12) proof



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## Notation

### Concepts

Active object	Root object of an activity	
Activity	A process made of a single active object and a set of passive objects	
Wait-by-necessity	Blocking of execution upon a strict operation on a future: $\alpha[\mathcal{R}[\iota \dots], \sigma_\alpha \dots] \wedge \sigma_\alpha(\iota) = fut(f_i^{\gamma \rightarrow \beta})$	
Service method	Method started upon activation: $m_j$ in $Active(a, m_j)$	36
Request	Asynchronous remote method call	
Future	Represents the result of a request before the response is sent back	
Future value	Value associated to a future $f_i^{\alpha \rightarrow \beta}$ $copy(\iota, \sigma)$ where $\{f_i^{\alpha \rightarrow \beta} \mapsto \iota\} \in F_\alpha$	
Computed future	A future which has a value associated: $f_i^{\alpha \rightarrow \beta}$ where $f_i^{\alpha \rightarrow \beta} \in dom(F_\beta)$	
Not updated future	A computed future not yet locally updated	
Partial future value	Future value containing references to futures	
Closed term	Term without free variable ( $fv(a) = \emptyset$ )	
Source term	Closed term without location: $fv(a) = \emptyset \wedge locs(a) = \emptyset$	
Reduced object	Object with all fields reduced to a location: $o ::= [l_i = \iota_i; m_j = \varsigma(x_j, y_j)a_j]_{j \in 1..m}^{i \in 1..n}$	47
Potential services	Static approximation of the set of method names appearing in service primitives $\mathcal{M}_{\alpha_P}$	176
Interfering requests	Two requests that can be served by the same serve primitive: $[m_1; \iota; f_i^{\alpha \rightarrow \beta}]$ and $[m_2; \iota; f_i^{\gamma \rightarrow \beta}]$ such that there is $M, \{m_1, m_2\} \subseteq M$ and $Serve(M)$ can appear in $\beta$	



$r = [m_j; \iota; f_i^{\alpha \rightarrow \beta}]$	Request: asynchronous remote method call	47
$R_\alpha = \{[m_j; \iota; f_i^{\alpha \rightarrow \beta}]\}$	Pending requests: a queue of requests	47
$R :: r$	Adds a request $r$ at the end of the pending requests	
	$R$	
$r :: R$	Takes the first request $r$ at the beginning of the pending requests	
$F :: \{f_i \mapsto \iota\}$	Adds a new future association to the future values	47

### General Notation

$\{a_i\}$	List	32
$\{a \mapsto b\}$	Association/finite mapping	32
$\theta ::= \{\{b \leftarrow c\}\}$	Substitution	32
$\xrightarrow{*}$	Transitive closure of any reduction $\rightarrow$	176
$\oplus$	Disjoint union	56
$L _M$	Restriction of (RSL) list $L$ to labels belonging to $M$	59
$L_n$	$n^{\text{th}}$ element of the list $L$	59
$\sqcup$	Least upper bound	59
$\exists^1$	There is at most one	67

### Stores

$\sigma$	Store: finite map from locations to objects (reduced or generalized reference)	32
	$\sigma ::= \{\iota_i \mapsto o_i\}$	
$dom(\sigma)$	set of locations defined by $\sigma$	
$\sigma :: \sigma'$	Append of disjoint stores	
$\sigma + \sigma'$	Updates the values defined in $\sigma'$ by those defined in $\sigma$ : $(\sigma + \sigma')(\iota) = \begin{cases} \sigma(\iota) & \text{if } \iota \in dom(\sigma) \\ \sigma'(\iota) & \text{otherwise} \end{cases}$	32
$Merge(\iota, \sigma, \sigma')$	Store merge: merges $\sigma$ and $\sigma'$ independently except for $\iota$ which is taken from $\sigma'$ : $Merge(\iota, \sigma, \sigma') = \sigma' \theta + \sigma$ where $\theta = \{\{\iota' \leftarrow \iota'' \mid \iota' \in dom(\sigma') \cap dom(\sigma) \setminus \{\iota\}, \iota'' \text{ fresh}\}\}$	48
$copy(\iota, \sigma)$	Deep copy of $\sigma(\iota)$	48
$Copy\&Merge(\sigma, \iota; \sigma', \iota')$	Appends in $\sigma'(\iota')$ a deep copy of $\sigma(\iota)$ $= Merge(\iota', \sigma', copy(\iota, \sigma)\{\iota \leftarrow \iota'\})$	48

**Semantics**

$\mathcal{R}$	Reduction context	32,48
$\mathcal{R}[a]$	Substitution inside a reduction context	32
$\rightarrow_S$	Sequential reduction	33
$\longrightarrow$	Parallel reduction	50
$\xrightarrow{T}$	Parallel reduction where rule $T$ is applied	
$\Longrightarrow$	Parallel reduction with future updates, i.e., Parallel reduction preceded by some reply rules	63 150
$\xRightarrow{T}$	Parallel Reduction with future updates where rule $T$ is applied:	63
	$\xrightarrow{\text{REPLY}^*} \xrightarrow{T}$ if $T \neq \text{REPLY}$ and $\xrightarrow{\text{REPLY}^*}$ if $T = \text{REPLY}$	
$FL(\alpha)$	Future List of $\alpha$	54
$RSL(\alpha)$	Request Sender List of $\alpha$ : $(RSL(\alpha))_n = \beta^f$ if $f_n^{\beta \rightarrow \alpha} \in FL(\alpha)$	59
$\trianglelefteq$	RSL comparison: prefix order on sender activities	59
$\mathcal{M}_{\alpha_P}$	Potential services: Static approximation of the set of $M$ that can appear in the $Serve(M)$ instructions of $\alpha_P$ : $P \xrightarrow{*} Q \wedge Q = \alpha[\mathcal{R}[Serve(M)], \dots] \parallel \dots$ $\Rightarrow \exists M' \in \mathcal{M}_{\alpha_P}, M \subseteq M'$	
$ActiveRefs(\alpha)$	Set of active objects referenced by $\alpha$ : $\{\beta \mid \exists \iota \in dom(\sigma_\alpha), \sigma_\alpha(\iota) = AO(\beta)\}$	51
$FutureRefs(\alpha)$	Set of futures referenced by $\alpha$ : $\{f_i^{\beta \rightarrow \gamma} \mid \exists \iota \in dom(\sigma_\alpha), \sigma_\alpha(\iota) = fut(f_i^{\beta \rightarrow \gamma})\}$	51
$FF$	Set of Forwarded Futures: $\{(f_i^{\alpha \rightarrow \beta}, \gamma, \delta)\} \in FF$ if $f_i^{\alpha \rightarrow \beta}$ has been transmitted from $\gamma$ to $\delta$	117

**Equivalences**

$\equiv$	Equality modulo renaming (alpha conversion) of locations and futures, and reordering of pending requests	
$\equiv_F$	Equivalence modulo future updates also called equivalence modulo replies	61

**Properties**

$\vdash P \text{ OK}$	Well-formed configuration	54
$RSL(\alpha) \bowtie RSL(\beta)$	RSL compatibility	59
$P \bowtie Q$	Configuration compatibility	59
$P_1 \Downarrow P_2$	Configuration confluence: $\exists R_1, R_2, P_1 \xrightarrow{*} R_1 \wedge P_2 \xrightarrow{*} R_2 \wedge R_1 \equiv_F R_2$	64
$\mathcal{G}(P_0)$	Approximated call graph $\alpha$ can send a request <i>foo</i> to $\beta$ implies $(\dot{\alpha}, \dot{\beta}, foo) \in \mathcal{G}(P_0)$	67
Request flow graph	$\alpha \rightarrow_R \beta$ if $\alpha$ has sent a request to $\beta$	69
$DON(P)$	Deterministic Object Network	67
$SDON(P)$	Static Deterministic Object Network	68
$TDON(P)$	Tree Deterministic Object Network	69





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## Syntax of ASP Calculus

### Source terms

$a, b \in L ::= x$	variable	
$  [l_i = b_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..n}$	object definition	
$  a.l_i$	field access	
$  a.l_i := b$	field update	
$  a.m_j(b)$	method call	
$  clone(a)$	superficial copy	
$  Active(a, m_j)$	activates object:	where
	deep copy + activity creation	
	$m_j$ is the activity method	
	or $\emptyset$ for FIFO service	
$  Serve(M)$	Serves a request among	
	a set of method labels	

$M$  is a set of method labels used to specify which request has to be served.

$$M = m_1, \dots, m_k$$

## Intermediate Terms

### Terms

$a, b \in L' ::= x$	variable
$  [l_i = b_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..n}$	object definition
$  a.l_i$	field access
$  a.l_i := b$	field update
$  a.m_j(b)$	method call
$  clone(a)$	superficial copy
$  Active(a, m_j)$	object activation
$  Serve(M)$	service primitive
$  \iota$	location
$  a \uparrow f, b$	$a$ with continuation $b$

### Configurations

$$P, Q ::= \alpha[a; \sigma; \iota; F; R; f] \parallel \beta[\dots] \parallel \dots$$

### Requests

$$R ::= \{[m_j; \iota; f_i^{\alpha \rightarrow \beta}]\}$$

### Future Values

$$F ::= \{f_i^{\gamma \rightarrow \alpha} \mapsto \iota\}$$

### Store

$$\sigma ::= \{\iota_i \mapsto o_i\}$$

$o ::= [l_i = \iota_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..n}$	reduced object
$  AO(\alpha)$	active object reference
$  fut(f_i^{\alpha \rightarrow \beta})$	future reference

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## Operational Semantics

STOREALLOC:	$\frac{\iota \notin \text{dom}(\sigma)}{(\mathcal{R}[o], \sigma) \rightarrow_S (\mathcal{R}[\iota], \{\iota \mapsto o\} :: \sigma)}$
FIELD:	$\frac{\sigma(\iota) = [l_i = \iota_i; m_j = \varsigma(x_j, y_j)a_j]_{j \in 1..m}^{i \in 1..n} \quad k \in 1..n}{(\mathcal{R}[\iota.l_k], \sigma) \rightarrow_S (\mathcal{R}[\iota_k], \sigma)}$
INVOKE:	$\frac{\sigma(\iota) = [l_i = \iota_i; m_j = \varsigma(x_j, y_j)a_j]_{j \in 1..m}^{i \in 1..n} \quad k \in 1..m}{(\mathcal{R}[\iota.m_k(\iota')], \sigma) \rightarrow_S (\mathcal{R}[a_k \{x_k \leftarrow \iota, y_k \leftarrow \iota'\}], \sigma)}$
UPDATE:	$\frac{\sigma(\iota) = [l_i = \iota_i; m_j = \varsigma(x_j, y_j)a_j]_{j \in 1..m}^{i \in 1..n} \quad k \in 1..n \quad o' = [l_i = \iota_i; l_k = \iota'; l_{k'} = \iota_{k'}; m_j = \varsigma(x_j, y_j)a_j]_{j \in 1..m}^{i \in 1..k-1, k' \in k+1..n}}{(\mathcal{R}[\iota.l_k := \iota'], \sigma) \rightarrow_S (\mathcal{R}[\iota], \{\iota \rightarrow o'\} + \sigma)}$
CLONE:	$\frac{\iota' \notin \text{dom}(\sigma)}{(\mathcal{R}[\text{clone}(\iota)], \sigma) \rightarrow_S (\mathcal{R}[\iota'], \{\iota' \mapsto \sigma(\iota)\} :: \sigma)}$

**Table 1.** Sequential reduction

$\iota \in \text{dom}(\text{copy}(\iota, \sigma))$
$\iota' \in \text{dom}(\text{copy}(\iota, \sigma)) \Rightarrow \text{locs}(\sigma(\iota')) \subseteq \text{dom}(\text{copy}(\iota, \sigma))$
$\iota' \in \text{dom}(\text{copy}(\iota, \sigma)) \Rightarrow \text{copy}(\iota, \sigma)(\iota') = \sigma(\iota')$

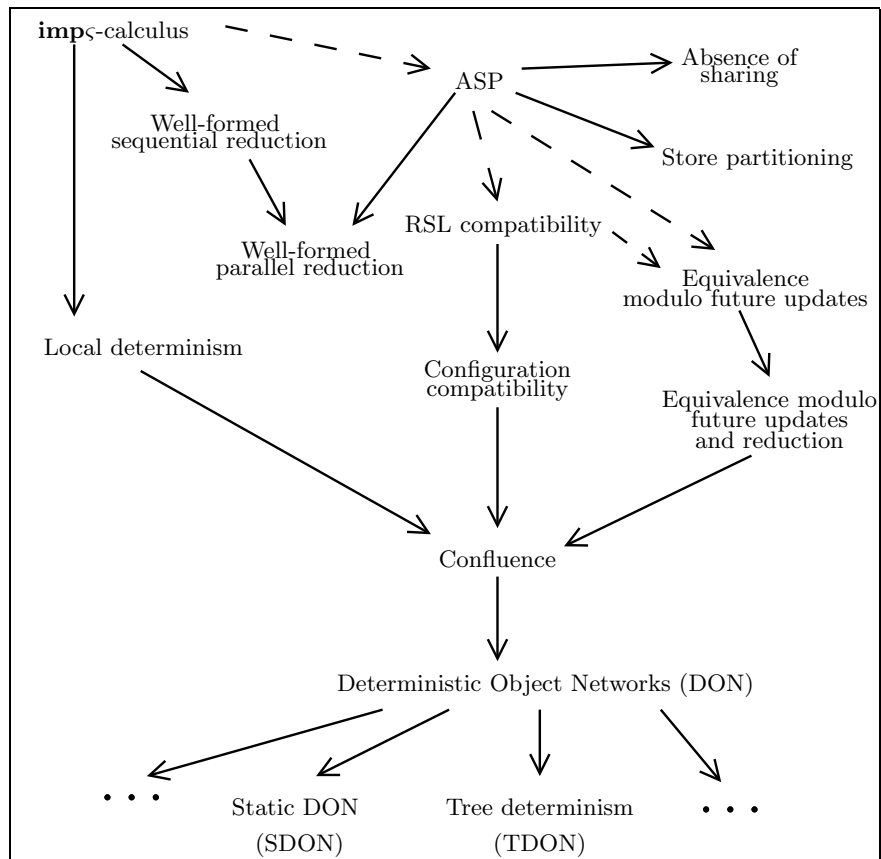
**Table 2.** Deep copy

LOCAL:	$\frac{(a, \sigma) \rightarrow_S (a', \sigma') \quad \rightarrow_S \text{ does not clone a future}}{\alpha[a; \sigma; \iota; F; R; f] \parallel P \longrightarrow \alpha[a'; \sigma'; \iota; F; R; f] \parallel P}$
NEWACT:	$\frac{\gamma \text{ fresh activity} \quad \iota' \notin \text{dom}(\sigma) \quad \sigma' = \{\iota' \mapsto \text{AO}(\gamma)\} :: \sigma}{\sigma_\gamma = \text{copy}(\iota', \sigma) \quad \text{Service} = (\text{if } m_j = \emptyset \text{ then } \text{FifoService} \text{ else } \iota'' . m_j())}$ $\frac{\alpha[\mathcal{R}[\text{Active}(\iota'', m_j)]; \sigma; \iota; F; R; f] \parallel P \longrightarrow}{\alpha[\mathcal{R}[\iota']; \sigma'; \iota; F; R; f] \parallel \gamma[\text{Service}; \sigma_\gamma; \iota''; \emptyset; \emptyset] \parallel P}$
REQUEST:	$\frac{\sigma_\alpha(\iota) = \text{AO}(\beta) \quad \iota'' \notin \text{dom}(\sigma_\beta) \quad f_i^{\alpha \rightarrow \beta} \text{ new future} \quad \iota_f \notin \text{dom}(\sigma_\alpha)}{\sigma'_\beta = \text{Copy\&Merge}(\sigma_\alpha, \iota'; \sigma_\beta, \iota'') \quad \sigma'_\alpha = \{\iota_f \mapsto \text{fut}(f_i^{\alpha \rightarrow \beta})\} :: \sigma_\alpha}$ $\frac{\alpha[\mathcal{R}[\iota . m_j(\iota'); \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P \longrightarrow}{\alpha[\mathcal{R}[\iota_f]; \sigma'_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma'_\beta; \iota_\beta; F_\beta; R_\beta :: [m_j; \iota''; f_i^{\alpha \rightarrow \beta}]; f_\beta] \parallel P}$
SERVE:	$\frac{R = R' :: [m_j; \iota_r; f'] :: R'' \quad m_j \in M \quad \forall m \in M, m \notin R'}{\alpha[\mathcal{R}[\text{Serve}(M)]; \sigma; \iota; F; R; f] \parallel P \longrightarrow \alpha[\iota . m_j(\iota_r) \uparrow f, \mathcal{R}[\square]; \sigma; \iota; F; R' :: R''; f'] \parallel P}$
ENDSERVICE:	$\frac{\iota' \notin \text{dom}(\sigma) \quad F' = F :: \{f \mapsto \iota'\} \quad \sigma' = \text{Copy\&Merge}(\sigma, \iota; \sigma, \iota')}{\alpha[\iota \uparrow (f', a); \sigma; \iota; F; R; f] \parallel P \longrightarrow \alpha[a; \sigma'; \iota; F'; R; f'] \parallel P}$
REPLY:	$\frac{\sigma_\alpha(\iota) = \text{fut}(f_i^{\gamma \rightarrow \beta}) \quad F_\beta(f_i^{\gamma \rightarrow \beta}) = \iota_f \quad \sigma'_\alpha = \text{Copy\&Merge}(\sigma_\beta, \iota_f; \sigma_\alpha, \iota)}{\alpha[a_\alpha; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P \longrightarrow \alpha[a_\alpha; \sigma'_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P}$

**Table 3.** Parallel reduction (used or modified values are non-gray)

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## Overview of Properties



**Fig. 2.** Diagram of properties



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# Overview of ASP Extensions

We present here most of the features that have been added to ASP in Part IV. We provide a brief summary, based on the syntax, and most of the reduction rules associated with these features. When several and somehow equivalent reduction rules exist for the same feature, we choose one of them.

## Three Confluent Features:

### 1. Delegation

Delegates to another activity the responsibility to reply to the current request (confluent).

*Syntax*

$delegate(a)$

*Reduction Rules*

Parallel DELEGATE:

$$\frac{\sigma_\alpha(\iota) = AO(\beta) \quad \iota'' \notin dom(\sigma_\beta) \quad \sigma'_\beta = Copy\&Merge(\sigma_\alpha, \iota' ; \sigma_\beta, \iota'') \quad f_\emptyset \text{ new future}}{\alpha[\mathcal{R}[delegate(\iota.m_j(\iota'))]; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_i^{\gamma \rightarrow \alpha}] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P \longrightarrow \alpha[\mathcal{R}[\Box]; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\emptyset] \parallel \beta[a_\beta; \sigma'_\beta; \iota_\beta; F_\beta; R_\beta :: [m_j; \iota''; f_i^{\gamma \rightarrow \alpha}]; f_\beta] \parallel P}$$

Sequential DELEGATE:

$$\frac{\sigma_\alpha(\iota) = [l_i = \iota_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..n}^{i \in 1..m} \quad k \in 1..m}{\alpha[\mathcal{R}[delegate(\iota.m_j(\iota'))]; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel P \longrightarrow \alpha[\mathcal{R}[a_k \{x_k \leftarrow \iota, y_k \leftarrow \iota'\}]; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel P}$$

Generalized REPLY:

$$\frac{\sigma_\alpha(\iota) = fut(f_i^{\gamma \rightarrow \delta}) \quad F_\beta(f_i^{\gamma \rightarrow \delta}) = \iota_f \quad \sigma'_\alpha = Copy\&Merge(\sigma_\beta, \iota_f ; \sigma_\alpha, \iota)}{\alpha[a_\alpha; \sigma_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P \longrightarrow \alpha[a_\alpha; \sigma'_\alpha; \iota_\alpha; F_\alpha; R_\alpha; f_\alpha] \parallel \beta[a_\beta; \sigma_\beta; \iota_\beta; F_\beta; R_\beta; f_\beta] \parallel P}$$

## 2. Explicit Wait

Waits for a future update (confluent).

*Syntax*

$$waitFor(a)$$

*Encoding*

$$\begin{aligned} \llbracket l_i = b_i; m_j = \varsigma(x_j, y_j) a_j \rrbracket_{j \in 1..m}^{i \in 1..n} &\triangleq [wait = [], l_i = b_i; m_j = \varsigma(x_j, y_j) a_j]_{j \in 1..m}^{i \in 1..n} \\ \llbracket waitFor(a) \rrbracket &\triangleq a.wait \end{aligned}$$

## 3. Method Update

Changes the code associated to a method (confluent).

*Syntax*

$$x.foo \Leftarrow b$$



## Five Non-confluent Features:

### 1. Testing Future Reception

Returns “true” if a future is awaited, and “false” if it has already been updated.

*Syntax*

$$awaited(a)$$

*Reduction Rules*

WAITT:

$$\frac{\sigma(\iota) = fut(f_i^{\alpha \rightarrow \beta})}{(\mathcal{R}[awaited(\iota)], \sigma) \rightarrow_S (\mathcal{R}[true], \sigma)}$$

WAITF:

$$\frac{\sigma(\iota) \neq fut(f_i^{\alpha \rightarrow \beta})}{(\mathcal{R}[awaited(\iota)], \sigma) \rightarrow_S (\mathcal{R}[false], \sigma)}$$

### 2. Non-blocking Service

Serves a request if it is in the request queue, else continues the execution.

*Syntax*

$$ServeWithoutBlocking(M)$$

*Reduction Rules*

SERVEWBSERVE:

$$\frac{R = R' :: [m_j; \iota_r; f'] :: R'' \quad m_j \in M \quad \forall m \in M, m \notin R'}{\alpha[\mathcal{R}[ServeWithoutBlocking(M)]; \sigma; \iota; F; R; f] \parallel P \longrightarrow \alpha[\iota.m_j(\iota_r) \uparrow f, \mathcal{R}[\Box]; \sigma; \iota; F; R' :: R''; f'] \parallel P}$$

SERVEWBCONTINUE:

$$\frac{\forall m \in M, m \notin R}{\alpha[\mathcal{R}[ServeWithoutBlocking(M)]; \sigma; \iota; F; R; f] \parallel P \longrightarrow \alpha[\mathcal{R}[\Box]; \sigma; \iota; F; R; f] \parallel P}$$



**5. Extended Join Services**

$$\text{Join}((m_{11}, m_{12}, \dots, m_{1n_1}), (m_{21}, \dots, m_{2n_2}), \dots, (m_{k1}, \dots, m_{kn_k}))$$

$$\begin{aligned} \text{Join}((m_1, m_2), (m_1, m_3)) &\triangleq \text{let } \text{served} = \text{false} \text{ in} \\ &\text{Repeat} \\ &\quad \text{if } (\text{inQueue}(m_1) \wedge \text{inQueue}(m_2)) \text{ then} \\ &\quad\quad (\text{Serve}(m_1); \text{Serve}(m_2); \text{served} := \text{true}) \\ &\quad \text{else if } (\text{inQueue}(m_1) \wedge \text{inQueue}(m_3)) \text{ then} \\ &\quad\quad (\text{Serve}(m_1); \text{Serve}(m_3); \text{served} := \text{true}) \\ &\text{Until}(\text{served} = \text{true}) \end{aligned}$$

## Migration

Simulates the migration: makes the current activity forward the requests to a newly created activity.

*Syntax*

$$\text{thisActivity.Migrate()}$$

*Encoding*

$$\begin{aligned} \text{Migrate} &\triangleq \zeta(\text{this}) \text{ let } \text{newao} = \text{Active}(\text{this}, \text{sevice}) \text{ in} \\ &\quad (\text{CreateForwarders}(\text{newao}); \text{FifoService}) \\ \text{CreateForwarders}(\text{newao}) &\triangleq \forall m_j, m_j \Leftarrow \zeta(x, y) \text{newao}.m_j(y) \end{aligned}$$

## Groups

Entity containing several objects that can be accessed as a single one.

### Passive Groups

*Syntax*

$$\text{Group}(a_k^{k \in 1..l})$$

*Reduction Rules*

$$\mathcal{R} ::= \dots \mid \text{Group}(\iota_k, \mathcal{R}, b_{k'})^{k \in 1..m-1, k' \in m+1..l}$$

Store group:

$$\frac{\iota \notin \text{dom}(\sigma)}{(\text{Group}(\iota_k)^{k \in 1..l}, \sigma) \rightarrow_G (\iota, \{\iota \mapsto \text{Gr}(\iota_k)^{k \in 1..l}\} :: \sigma)}$$

Field access:

$$\frac{\sigma(\iota) = \text{Gr}(\iota_k)^{k \in 1..l}}{(\mathcal{R}[\iota.l_i], \sigma) \rightarrow_G (\text{Group}(\iota_k.l_i)^{k \in 1..l}, \sigma)}$$

Field update:

$$\frac{\sigma(\iota) = \text{Gr}(\iota_k)^{k \in 1..l}}{(\mathcal{R}[\iota.l_i := \iota'], \sigma) \rightarrow_G (\text{Group}(\iota_k.l_i := \iota')^{k \in 1..l}, \sigma)}$$

Invoke method:

$$\frac{\sigma(\iota) = Gr(\iota_k)^{k \in 1..l}}{(\mathcal{R}[\iota.m_j(\iota')], \sigma) \rightarrow_G (Group(\iota_k.m_j(\iota'))^{k \in 1..l}, \sigma)}$$

### Active Groups

*Syntax*

$$ActiveGroup(a_1, \dots, a_n, m)$$

*Encoding*

$$ActiveGroup(a_1, \dots, a_n, m) \triangleq Group(Active(a_1, m), \dots, Active(a_n, m))$$

## Components

### Primitive Component

A *primitive component* is defined from an activity  $\alpha$ , a set of *server interfaces* (SI, a subset of the served methods), and a set of *client interfaces* (CI, references to other activities contained in fields):

$$SI_i \subseteq \bigcup_{M \in \mathcal{M}_{\alpha P_0}} M$$

$$PC ::= C_n < a, srv, \{SI_i\}^{i \in 1..k}, \{CI_j\}^{j \in 1..l} >$$

### Composite Component

A composite component is a set of components (either primitive (PC) or composite (CC)) exporting some server interfaces (some  $SI_i$ ), some client interfaces (some  $CI_j$ ), and connecting some client and server interfaces (defining a partial binding  $(CI_i, SI_j)$ ). Such a component is given a name  $C_n$ .  $CC$  is a composite component and  $C$  either a primitive or a composite one:

$$CC ::= C_n \ll C_1, \dots, C_m; \{(C_{i_p}.CI_{j_p}, C_{i'_p}.SI_{j'_p})\}^{p \in 1..k};$$

$$\{C_{i_q}.CI_{j_q} \rightarrow CI_q\}^{q \in 1..l}; \{C_{i_r}.SI_{j_r} \rightarrow SI_r\}^{r \in 1..l'} \gg$$

$$C ::= PC | CC$$

where each  $C_i$  is the name of one included component  $C_i$  ( $i \in 1..m$ ), supposed to be pairwise distinct; each exported  $SI$  is only bound once to an included component, and each internal client interface  $(C_i.CI_j)$  appears at most one time:

$$\forall p, p' \in 1..k, \forall q, q' \in 1..l, \forall r, r' \in 1..l' \begin{cases} p \neq p' \Rightarrow C_{i_p}.CI_{j_p} \neq C_{i_{p'}}.CI_{j_{p'}} \\ q \neq q' \Rightarrow C_{i_q}.CI_{j_q} \neq C_{i_{q'}}.CI_{j_{q'}} \\ C_{i_p}.CI_{j_p} \neq C_{i_q}.CI_{j_q} \\ r \neq r' \Rightarrow SI_r \neq SI_{r'} \end{cases}$$

### Deterministic Primitive Component (DPC)

A DPC is a primitive component defined from an activity  $\alpha$ , such that server interfaces  $SI$  are disjoint subsets of the served method of the active object of  $\alpha$  such that every  $M \in \mathcal{M}_{\alpha P_0}$  is included in a single  $SI_i$ :

$$\begin{cases} \forall i, k, i \neq k \Rightarrow SI_i \cap SI_k = \emptyset \\ \forall M \in \mathcal{M}_{\alpha P_0}, \forall M_1 \subseteq M, \forall M_2 \subseteq M (M_1 \subseteq SI_i \wedge M_2 \subseteq SI_j) \Rightarrow i = j \end{cases}$$

**Deterministic Composite Component (DCC)**

A DCC is

- either a DPC,
- or a composite component connecting some DCCs such that the binding between server and client interfaces is one to one. More precisely the following constraints must be added to the ones of Definition 14.2:

$$\left\{ \begin{array}{l} \text{Each } C_i \text{ is a DCC} \\ \forall p, p' \in 1..k, \forall q, q' \in 1..l, \forall r, r' \in 1..l' \end{array} \right\} \left\{ \begin{array}{l} p \neq p' \Rightarrow C_{i'_p} \cdot SI_{j'_p} \neq C_{i'_{p'}} \cdot SI_{j'_{p'}} \\ r \neq r' \Rightarrow C_{i_r} \cdot SI_{j_r} \neq C_{i_{r'}} \cdot SI_{j_{r'}} \\ C_{i'_p} \cdot SI_{j'_p} \neq C_{i_r} \cdot SI_{j_r} \\ q \neq q' \Rightarrow CI_q \neq CI_{q'} \end{array} \right.$$

Caromel · Henrio

## A Theory of Distributed Objects

Distributed and communicating objects are becoming ubiquitous. In global, Grid and peer-to-peer computing environments, extensive use is made of objects interacting through method calls. So far, no general formalism has been proposed for the foundation of such systems.


Caromel and Henrio are the first to define a calculus for distributed objects interacting using asynchronous method calls with generalized futures, i.e., wait-by-necessity – a must in large-scale systems, providing both high structuring and low coupling, and thus scalability. The authors provide very generic results on expressiveness and determinism, and the potential of their approach is further demonstrated by its capacity to cope with advanced issues such as mobility, groups, and components.

Researchers and graduate students will find here an extensive review of concurrent languages and calculi, with comprehensive figures and summaries.

Developers of distributed systems can adopt the many implementation strategies that are presented and analyzed in detail.

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