Mean field theory for a balanced hypercolumn model of orientation selectivity in primary visual cortex

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Abstract. We present a complete mean field theory for a balanced state of a simple model of an orientation hypercolumn. The theory is complemented by a description of a numerical procedure for solving the mean-field equations quantitatively. With our treatment, we can determine self-consistently both the firing rates and the firing correlations, without being restricted to specific neuron models. Here, we solve the analytically derived mean-field equations numerically for integrate-and-fire neurons. Several known key properties of orientation selective cortical neurons emerge naturally from the description: Irregular firing with statistics close to – but not restricted to – Poisson statistics; an almost linear gain function (firing frequency as a function of stimulus contrast) of the neurons within the network; and a contrast-invariant tuning width of the neuronal firing. We find that the irregularity in firing depends sensitively on synaptic strengths. If Fano factors are bigger than 1, then they are so for all stimulus orientations that elicit firing. We also find that the tuning of the noise in the input current is the same as the tuning of the external input, while that for the mean input current depends on both the external input and the intracortical connectivity.

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1. Introduction

Neurons in primary visual cortex (V1) fire highly irregularly in response to visual stimuli, but with reproducible firing rates. They do so despite the fact that they receive synaptic input from thousands of other cortical neurons, which would lead to fluctuations in the input that were small compared to the mean if excitatory and inhibitory inputs were not balanced [1]. There has been some success in describing how such a balance can emerge self-consistently from dynamics that are plausible for cortical networks. This was accomplished by mean field-descriptions by van Vreeswijk and Sompolinsky [2, 3] and Amit and Brunel [4, 5, 6]. However, their treatments do not permit a selfconsistent calculation of firing correlations. How to do this correctly was first shown for an all-inhibitory network by Hertz *et al.* [7] using the systematic formulation of mean field theory due to Fulvi Mari [8]. In a recent paper [9] we presented a mean-field theory for a balanced network model that allowed us to quantify how the irregularity in firing and, more generally, the firing correlations depend on intrinsic network properties such as synaptic strengths. The analysis was applied to a statistically homogeneous network, representing a cortical column composed of neurons with similar response characteristics. Here, we show how to extend this treatment to networks with systematic structure, consisting of multiple cortical columns. In particular, we model an orientation hypercolumn, composed of a set of orientation columns.

An orientation column contains neurons that respond strongest to elongated visual stimuli of a specific orientation, the *preferred orientation* (PO). Orientation selective neurons exhibit a tuned response to other orientations, with sharply decreasing firing rates as the similarity between PO and stimulus orientation decreases, until the firing is completely suppressed for orientations outside the *tuning width* of the neuron in question. An important feature of orientation tuning is that the tuning width is independent of the stimulus contrast [10]. It is not possible to capture this feature in a single-neuron description using a Hubel and Wiesel feed-forward connectivity [11] from the lateral geniculate nucleus (LGN); rather, cortical interactions are needed to achieve contrast-invariant tuning (for review see [12]). Ben-Yishai *et al* [13] proposed a model for which the tuning width is independent of the contrast, but a threshold-linear relationship between input current and firing rate was an assumption of the model, and the problem of the firing statistics was not addressed.

Here, we show how a contrast-invariant tuning width, an almost linear inputoutput relationship, and irregular firing can all be explained by a balanced hypercolumn model. With our mean-field treatment, we can quantify how certain network properties like synaptic strengths, tuning of the LGN input and of the intracortical connectivity influence the statistics and tuning of the neuronal firing. Using the Fano factor F (the ratio of spike count variance and mean spike count) to quantify the irregularity in firing, we find, e.g., that if F is significantly greater than 1 the orientation tuning of F reaches a maximum at the PO (Fano factors greater than 1 are normally observed for neurons in V1 [14]). We also make quantitative predictions about the tuning of the input currents and their fluctuations.

2. Model and Methods

We model a single orientation hypercolumn in primary visual cortex, with a simplified network architecture as indicated in Figure 1. The network comprises an excitatory population and an inhibitory one, of sizes N_1 and N_2 , respectively. Each population is divided into *n* sub-populations (orientation columns), parameterized by an angle θ . The angles, spaced equally between $-\pi/2$ and $\pi/2$, indicate the preferred orientation (PO), to which the neurons in the corresponding column respond strongest.

We use leaky integrate-and-fire neurons and interconnect them randomly with a



Figure 1. Structure of the model network. The hypercolumn consists of multiple orientation columns, each of which has an excitatory and an inhibitory subpopulation and is assigned a preferred orientation (PO) θ . Columns with more similar POs share on average more connections than more dissimilar ones (the density of connections is indicated only between one column and the rest, for clarity). The network receives excitatory external input, weakly tuned to the stimulus orientation θ_0 . The inset shows a sketch for the connectivity and connection strengths J_{ab} within an orientation column.

connection probability $P_{ab}(\theta - \theta')$ that depends on the similarity of the POs. The probability that a neuron with PO θ (in population *a*) receives afferent input from a neuron with PO θ' in population *b* is taken as

$$P_{ab}(\theta - \theta') = \frac{K_b}{N_b} \left(1 + \gamma \cos 2(\theta - \theta') \right), \tag{1}$$

where K_b is the expected overall number of inputs from neurons in population b. We take the ratio K_b/N_b independent of b, i.e., excitatory and inhibitory neurons interconnect with the same probability in our model. The functional form of (1) is motivated by anatomical evidence that the connection probability between cortical neurons decreases as their distance increases, and by the fact that orientation columns with similar PO tend to lie closer together on the cortical surface than ones with dissimilar PO. We followed Ben-Yishai *et al.* [13] in choosing the simplest possible form that is periodic with period π . We assume that the degree of tuning, as measured by the parameter $\gamma \in (0, 1)$, is the same for both the inhibitory and the excitatory population.

Each nonzero synapse from a neuron in population b to one in population a is taken to have strength

$$J_{ij}^{a\theta,b\theta'} = \frac{J_{ab}}{\sqrt{K_b}} \tag{2}$$

where the parameters J_{ab} are of order 1. With this scaling, the fluctuations in the input current are also of order 1, the same order as the distance between reset and threshold of our model neurons (cf. van Vreeswijk and Sompolinsky [2, 3]).

Mean field theory for a balanced hypercolumn model in V1

The subthreshold dynamics of the membrane potentials are given by

$$\frac{\mathrm{d}u_i^{a\theta}(t)}{\mathrm{d}t} = -\frac{u_i^{a\theta}(t)}{\tau} + I_{a\theta}^{\mathrm{ext}}(\theta_0) + I_i^{a\theta,\mathrm{rec}}(t),\tag{3}$$

where the membrane time constant τ is chosen to be the same for all neurons. The excitatory external input from the LGN, $I_{a\theta}^{\text{ext}}(\theta_0)$, is assumed to be (weakly) tuned to the orientation θ_0 of the stimulus due to a feed-forward connectivity from the LGN as in the classical model by Hubel and Wiesel [11]. For simplicity, we take it to be constant in time and the same for all neurons *i* within a column. The functional form we use is, similar to the tuning (1) of the intracortical connectivity,

$$I_{a\theta}^{\text{ext}}(\theta_0) = I_a^{\text{ext}}(1 + \epsilon \cos 2(\theta - \theta_0)), \tag{4}$$

where $\epsilon \in (0, 1)$ is the degree of tuning, which is assumed to be the same for both populations. (The condition $\epsilon < 1$ assures $I_{a\theta}^{\text{ext}}(\theta_0)$ to be non-negative, i.e. excitatory, for all orientations). A more detailed model for this external input current, including temporal fluctuations and random connectivity, was briefly described in an overview article by Hertz *et al.* [15].

The recurrent input $I_i^{a\theta, \text{rec}}(t)$ from within the model cortex is given by

$$I_i^{a\theta,\mathrm{rec}}(t) = \sum_{b=1}^2 \sum_{\theta'=\theta_1}^{\theta_n} \sum_{j=1}^{N_b/n} J_{ij}^{a\theta,b\theta'} S_j^{b\theta'}(t),$$
(5)

where $S_j^{b\theta'}(t) = \sum_s \delta(t - t_{j\theta'b}^s)$ is the spike train of neuron j with PO θ' in population b.

Mean Field Theory

In the following mean-field description of the orientation hypercolumn model, we consider stationary firing only, for simplicity. However, the formulation is general enough to allow for non-stationary rates. We presented such a time-dependent treatment for a balanced single-column model elsewhere [9].

Because of the dilute random connectivity, each neuron receives a high number of uncorrelated inputs (we assume K_b to be large, but smaller than N_b). According to the central limit theorem, the recurrent input currents given by (5) can therefore be described as Gaussian random processes. For stationary rates, the mean input current is constant in time for any given neuron, although the level of the mean does vary from neuron to neuron due to the random connectivity. In a general mean-field theory, one must consider temporal correlations in these currents, i.e., not restrict the description of the random processes to white noise.

To separate the mean of the currents from their fluctuations ("noise"), it is convenient to apply such separations to the description of both the synaptic weights $J_{ij}^{a\theta,b\theta'}$ and the spike trains $S_j^{b\theta'}(t)$ in (5). For the weights we can write

$$J_{ij}^{a\theta,b\theta'} = \overline{J_{ij}^{a\theta,b\theta'}} + \delta J_{ij}^{a\theta,b\theta'},\tag{6}$$

where the bar means averaging over the index j, i.e., the neurons in the source population:

$$\overline{J_{ij}^{a\theta,b\theta'}} = \frac{1}{N_b/n} \sum_{j=1}^{N_b/n} J_{ij}^{a\theta,b\theta'}$$
(7)

Generally, we use the bar-notation for averaging over neuron populations, which will always apply to the running index j in this work. To separate the spike trains into static and dynamic components, we write

$$S_j^{b\theta'}(t) = r_b(\theta') + \delta r_j^{b\theta'} + \delta S_j^{b\theta'}(t), \tag{8}$$

where $r_b(\theta') = \overline{r_j^{b\theta'}} = 1/(N_b/n) \sum_j r_j^{b\theta'}$ is the average rate of the neurons in subpopulation θ' of population b. The difference between this average rate and the actual rate of neuron j is denoted $\delta r_j^{b\theta'}$. These two components are both static, describing time-averaged quantities. The temporal fluctuations of the spike train and their possible correlations in time are captured by the third term on the right-hand side of (8), $\delta S_j^{b\theta'}(t)$. Using the central limit theorem and methods like those in [8] and [16] we can then derive the following mean-field formulation of the recurrent current:

$$I_{a\theta}^{\rm rec}(t) = \sum_{b=1}^{2} J_{ab} \left(\sqrt{K_b} A_b + \sqrt{1 - K_b/N_b} B_b(t) \right), \tag{9}$$

with

$$A_b = \frac{1}{n} \sum_{\theta'=\theta_1}^{\theta_n} (1 + \gamma \cos 2(\theta - \theta')) r_b(\theta')$$
(10)

$$B_b(t) = \frac{1}{n} \sum_{\theta'=\theta_1}^{\theta_n} \sqrt{1 + \gamma \cos 2(\theta - \theta')} \left(\left(\overline{(r_j^{b\theta'})^2} \right)^{\frac{1}{2}} x_{b\theta'} + \xi_{b\theta'}(t) \right)$$
(11)

where the values $x_{b\theta'}$ are drawn from a unit-variance normal distribution. Selecting specific values $x_{b\theta'}$ effectively samples different neurons within the column population. We have dropped the neuron index *i* because this statistical description of the input current reduces the network problem to single neuron problems – one for each column population, indexed by $a\theta$. The terms $\xi_{b\theta'}(t)$ stand for realizations of Gaussian random processes obeying

$$\langle \xi_{b\theta'}(t)\xi_{b\theta'}(t')\rangle = C_{b\theta'}(t-t'). \tag{12}$$

Here, $C_{b\theta'}(t-t')$ denotes the average autocorrelation function of the fluctuations in the spike trains of neurons with PO θ' in population b, given by

$$C_{b\theta'}(t-t') = \frac{1}{N_b/n} \sum_{j=1}^{N_b/n} \langle \delta S_j^{b\theta'}(t) \delta S_j^{b\theta'}(t') \rangle.$$
(13)

With the operation $\langle \cdot \rangle$ we mean averaging over realizations of random processes, such as stochastic spike trains. We will refer to such realizations as "trials" since they represent (responses to) repeated presentations of the same stimulus in experimental settings.

The balance condition

The input currents from the excitatory population and the inhibitory population have mean values of order $\sqrt{K_1} \gg 1$ and $\sqrt{K_2} \gg 1$, respectively (see Equation (9)). In addition, for the external input current (4) we take $I_a^{\text{ext}} = \sqrt{K_0} \hat{I}_a^{\text{ext}}$ with $\sqrt{K_0} \gg 1$. If the neurons are to exhibit irregular firing at a low rate, as cortical neurons do, these currents must nearly cancel and threshold crossings have to be caused by the fluctuations in the currents, which are of order 1. For our orientation hypercolumn model, this balance condition implies that the average input currents in (3) have to add up to zero for each orientation column θ :

$$\sqrt{K_0}\hat{I}_a^{\text{ext}}(1+\epsilon\cos 2(\theta-\theta_0)) + \sum_{b=1}^2 J_{ab}\sqrt{K_b}A_b = \mathcal{O}(1), \tag{14}$$

where A_b is defined in (10). Here, we have ignored the contribution of the leakage current (the first term on the right-hand side of (3)), because it is small compared to the input currents, and because the balance condition (14) holds only up to corrections of $\mathcal{O}(1)$.

To solve these equations, we consider a continuum formulation for the weighted average over all angles instead of the discrete formulation in (10) and write

$$A_b = \int_{-\pi/2}^{\pi/2} \frac{\mathrm{d}\theta'}{\pi} (1 + \gamma \cos 2(\theta - \theta')) r_b(\theta').$$
(15)

Then (14) becomes a pair of integral equations for $r_a(\theta)$.

In the broadly tuned case (all orientation columns respond with non-vanishing mean rates to every stimulus orientation), these integral equations can be solved directly. To do so, we perform a Fourier expansion centered at θ_0 of the mean rate within orientation column θ' and write $r_b(\theta') = r_{b,0} + r_{b,2} \cos 2(\theta' - \theta_0) + \cdots$. For both the input current and the connection probabilities, we have already used such Fourier notations with the fewest possible terms to retain a periodic function with period π . Due to that choice, all higher Fourier components for the mean currents vanish as well, and we get

$$\sqrt{K_0}\hat{I}_a^{\text{ext}}(1+\epsilon\cos 2(\theta-\theta_0)) + \sum_{b=1}^2 \sqrt{K_b}J_{ab}[r_{b,0} + \frac{1}{2}\gamma r_{b,2}\cos 2(\theta-\theta_0)] = 0.$$
(16)

By solving for each of the two Fourier components of the mean rates separately, we obtain

$$r_{a,0} = -\sum_{b=1}^{2} (\hat{\mathbf{J}}^{-1})_{ab} \hat{I}_{b}^{\text{ext}}$$
(17)

$$r_{a,2} = -\frac{2\epsilon}{\gamma} \sum_{b=1}^{2} (\hat{\mathbf{J}}^{-1})_{ab} \hat{I}_{b}^{\text{ext}} = \frac{2\epsilon}{\gamma} r_{a,0}, \qquad (18)$$

where the matrix $\hat{\mathbf{J}}$ is composed of the elements $\hat{J}_{ab} = J_{ab}\sqrt{K_b/K_0}$. Firing rates have to be non-negative, so this solution can only be valid for $\epsilon \in (0, \gamma/2]$. However, such a broad tuning is not normally observed for cortical neurons. Rather, orientation sensitive neurons tend to be more "narrowly tuned", with firing suppressed for stimulus orientations θ_0 that differ too much from the neuron's preferred orientation θ : $r_a = 0$ for $|\theta - \theta_0| \ge \theta_c$ for some tuning width θ_c . Within the parameter regime $\epsilon \in (\gamma/2, \gamma]$ we find such narrowly tuned solutions to our model. The tuning width θ_c turns out to be the same for both excitatory and inhibitory neurons, which is a consequence of the population-independence of the tuning parameters ϵ and γ .

To find the solutions for the *narrowly tuned case*, we use our insight from the broadly tuned case and make the *ansatz*

$$r_b(\theta') = \begin{cases} r_{b,0} + r_{b,2}\cos 2(\theta' - \theta_0) & \text{for } |\theta' - \theta_0| < \theta_c^b \\ 0 & \text{for } |\theta' - \theta_0| \ge \theta_c^b, \end{cases}$$
(19)

where $\theta_c^b = -1/2 \cos^{-1}(r_{b,0}/r_{b,2})$. As mentioned above, since we have assumed equal tuning in (1), θ_c^b is the same for both *b*. Thus, in (15) the integration is restricted to $|\theta' - \theta_0| < \theta_c$. Because $r_b(\theta') = 0$ at $\theta' - \theta_0 = \theta_c$, we can rewrite the part of the *ansatz* for $|\theta' - \theta_0| < \theta_c$ in the form

$$r_b(\theta') = r_{b,2}(\cos 2(\theta' - \theta_0) - \cos 2\theta_c).$$

$$\tag{20}$$

With this approach, we can indeed find solutions for the tuning width and the rates from the balance condition (14). Analogous to the solution for the broadly tuned case (16), now the total mean-input current can be expressed as

$$\langle I^{a\theta,\text{tot}} \rangle = \sqrt{K_0} \hat{I}_a^{\text{ext}} (1 + \epsilon \cos 2(\theta - \theta_0)) + \sum_{b=1}^2 \sqrt{K_b} J_{ab} [r_{b,2} f_0(\theta_c) + \gamma r_{b,2} f_2(\theta_c) \cos 2(\theta - \theta_0)],$$
(21)

where

$$f_0(\theta_c) = \int_{-\theta_c}^{\theta_c} \frac{\mathrm{d}\theta'}{\pi} (\cos 2\theta' - \cos 2\theta_c) = \frac{1}{\pi} (\sin 2\theta_c - 2\theta_c \cos 2\theta_c)$$
(22)

$$f_2(\theta_c) = \int_{-\theta_c}^{\theta_c} \frac{\mathrm{d}\theta'}{\pi} \cos 2\theta' (\cos 2\theta' - \cos 2\theta_c) = \frac{1}{\pi} (\theta_c - \frac{1}{4}\sin 4\theta_c).$$
(23)

(We have borrowed the notation from Ben-Yishai *et al.* [13] who studied a different kind of model that contains similar expressions; see also [17]). Again, the total current (21) has to vanish for all orientation columns θ , so both the constant and the $\cos 2(\theta - \theta_0)$ terms vanish separately:

$$\hat{I}_{a}^{\text{ext}} + \sum_{b=1}^{2} \hat{J}_{ab} r_{b,2} f_{0}(\theta_{c}) = 0$$
(24)

$$\epsilon \hat{I}_a^{\text{ext}} + \gamma \sum_{b=1}^2 \hat{J}_{ab} r_{b,2} f_2(\theta_c) = 0$$
(25)

Dividing (24) by (25) yields

$$\frac{f_2(\theta_c)}{f_0(\theta_c)} = \frac{\epsilon}{\gamma},\tag{26}$$

which can be solved for θ_c . Note that (26), and thus the tuning width of the mean rates, does not depend on the overall strength of the input, I_a^{ext} (i.e., the "contrast" of the stimulus). We find therefore contrast-invariant tuning of the mean rates as a result of

cortical interactions, in agreement with experimental findings [10]. Having calculated θ_c , we can find the mean rates with help of (24), via

$$r_{a,2} = -\frac{1}{f_0(\theta_c)} \sum_{b=1}^{2} (\hat{\mathbf{j}}^{-1})_{ab} \hat{I}_b^{\text{ext}},$$
(27)

and by using the equality $r_{a,0} = -r_{a,2}\cos 2\theta_c$.

The above calculations show how cortical interactions are responsible for a narrowing of the tuning of the population firing rates, relative to the tuning of the input to the network. We can proceed one step further in our analytical treatment of the mean-field model and consider the *tuning of the neuronal input noise spectrum*. We can write the dynamic noise in the input current as

$$\langle \delta I_{a\theta}^{\rm rec}(t) \delta I_{a\theta}^{\rm rec}(t') \rangle = \sum_{b=1}^{2} J_{ab}^{2} \int_{-\pi/2}^{\pi/2} \frac{\mathrm{d}\theta'}{\pi} (1 + \gamma \cos 2(\theta - \theta')) C_{b\theta'}(t - t'), \quad (28)$$

where we have used the continuum notation for the weighted averages. The correlation function $C_{b\theta'}(t-t')$ has a piece proportional to $r_b(\theta)\delta(t-t')$, which gives

$$\lim_{\omega \to \infty} \langle |\delta I_{a\theta}^{\rm rec}(\omega)|^2 \rangle = \sum_{b=1}^2 J_{ab}^2 \int_{-\pi/2}^{\pi/2} \frac{\mathrm{d}\theta'}{\pi} (1 + \gamma \cos 2(\theta - \theta')) r_b(\theta') \tag{29}$$

$$=\sum_{b=1}^{2} J_{ab}^{2} [r_{b,2} f_{0}(\theta_{c}) + \gamma r_{b,2} f_{2}(\theta_{c}) \cos 2(\theta - \theta_{0})].$$
(30)

To obtain (30), we performed calculations analogous to the ones for solving the integrals for the rate equations. Using (26) and (27), we can then write the flat contribution to the noise spectrum as

$$\lim_{\omega \to \infty} \langle |\delta I_{a\theta}^{\rm rec}(\omega)|^2 \rangle = -\hat{I}_a^{\rm ext} [1 + \epsilon \cos 2(\theta - \theta_0)] \sum_{b=1}^2 J_{ab}^2 \sum_{c=1}^2 (\hat{\mathbf{J}}^{-1})_{bc} \hat{I}_c^{\rm ext}, \qquad (31)$$

This result states that the high-frequency limit of the neuronal input noise has the same orientation tuning as the external input to the neuron.

For $t \neq t'$, it is not possible to calculate analytically solutions to (28) because the correlation function $C_{b\theta'}(t-t')$ needs to be evaluated numerically. Similarly, the tuning of the irregularity in the neuronal firing (as described by, e.g., the Fano factor) can only be determined by solving the full mean-field model numerically.

3. Numerical procedure

In our simulations, we modeled the orientation hypercolumn as an assembly of 30 orientation columns, with their preferred orientations θ equally spaced between $-\pi/2$ and $\pi/2$ (or between -90 and 90 degrees, as in the figure captions). We used parameter values corresponding to $N_1 = 8000$ excitatory and $N_2 = 2000$ inhibitory neurons, and a membrane time constant of $\tau = 10$ ms for all neurons. The generic intra-cortical connection strengths J_{ab} in (2) were taken as

$$\begin{pmatrix} J_{11} & J_{12} \\ J_{21} & J_{22} \end{pmatrix} = \begin{pmatrix} 0.5 & -2 \\ 1 & -2 \end{pmatrix}.$$
 (32)

The synaptic strengths of the afferent inputs from the LGN are taken to be stronger for the excitatory neurons; specifically, in (4), we chose $I_2^{\text{ext}} = \frac{2}{3}I_1^{\text{ext}}$. To study the role of the overall strength of synapses, we multiply the generic synaptic weights (including the strength of the external input) by a common scaling factor J_s .

We use an iterative approach that was originally developed for spin glass models [18] to find self-consistent solutions of the firing statistics given by the rates $r_a(\theta)$, the rate fluctuations $(r_j^{a\theta})^2$, and the correlations $C_{a\theta}(t-t')$. We start with initial estimates of these quantities, which we obtain by using a white-noise approximation in the analytical treatment described above. We then generate many realizations of Gaussian synaptic currents using (4) and (9), which we use to drive single integrate-and-fire neurons. By collecting their firing statistics, we obtain improved estimates of the rates, rate fluctuations, and correlations. These are then used to repeat the cycle until the input and output statistics are consistent.

For the hypercolumn model, we need to determine these firing statistics for each population a (excitatory and inhibitory) within each orientation column θ . However, because of the inherent symmetry in the network topology, at each iteration step we only need to run simulations for half of the columns and mirror the results to obtain improved statistics for the entire network. To collect the firing statistics from the column population $a\theta$, we run many trials of single neurons that are sampled from the entire column population. This is achieved by generating Gaussian input currents that fluctuate not only in time (by generating realizations of the dynamic and appropriately colored input noise $\xi_{b\theta'}(t)$ in (11)), but also differ in their overall size due to the random numbers $x_{b\theta'}$ in (11), which reflects the fact that different neurons have in general different connectivity patterns. (Note that we have used here – as throughout the text – the indices $a\theta$ for referring to the "target column", whereas $b\theta'$ runs over all "source columns"). For a more detailed account on handling some of the subtleties in obtaining the correct statistics, see [9].

Once the procedure converges, which takes tens to hundreds of iterations, depending on the set of parameters and the specific approach taken, one has obtained a set of selfconsistent firing statistics, describing the population responses for a specific network input (stimulus contrast and stimulus orientation). Equipped with these population statistics we can then calculate input and firing statistics for individual neurons. To specify such a neuron, we select a set

$$\{x_{b\theta'} : b = 1, 2; \ \theta' = \theta_1, \dots, \theta_n\}$$
(33)

and keep it fixed over all trials to collect the statistics for that neuron. The $x_{b\theta'}$ represent the intrinsic variability across the population in the strength of synaptic input due to the randomness in the connectivity of the network.

4. Results

We concentrate first on results describing response characteristics of neurons obtained from their firing statistics. It is possible to compare these results directly with known



Figure 2. Contrast-invariant tuning width. Average over 100 neurons (upper-left panel) and three randomly chosen neurons. The parameter values for the stimulus tuning and the connectivity tuning were $\epsilon = 0.5$ and $\gamma = 0.625$, respectively, resulting in a tuning width of 43.2 degrees according to the calculations. Contrast-invariant tuning is observed for both averaged and single-neuron tuning, despite the small distortions and asymmetries for single neurons. (See the text for further details)

properties like contrast-invariant tuning, or with the variability in spike counts. We then describe results pertaining to properties of the neuronal input currents (and their orientation tunings) for the hypercolumn model.

4.1. Tuning of the neuronal firing

For the present model, we have shown analytically above that the tuning width of the column population rates is invariant with respect to the contrast of the stimulus (see Equation (26)). We investigated whether such contrast-invariant tuning is also observed for single, randomly chosen neurons. The number of afferent connections that a given neuron receives from neurons with another preferred orientation is a random number drawn from a probability distribution given by (1). This will in general distort the shape of the neuron's tuning curve. Figure 2 shows the tuning curves of three randomly chosen neurons from the column with $\theta = 0$ for three different contrasts $\hat{I}_a^{\text{ext}} = 0.5, 1, \text{ and } 2$. For our network with 30 orientation columns and 2 populations, the resulting realization of the random connectivity to a single neuron is therefore determined by a set of 60 random numbers (see Equation (33)). To record the neuronal responses, these sets were held fixed, while the network was presented successively with stimuli of all orientations θ_0 . Also shown in Figure 2 is the result of averaging over the tuning curves of n = 100 randomly chosen neurons. While the averaged tuning is both smooth and symmetric, the



Figure 3. Tuning of the gain function. Upper panel: Spike count as a function of stimulus contrast, parameterized by the stimulus orientation. The input-output relationship is linear, and the slope decreases as the stimulus orientations θ_0 becomes more dissimilar to the neuron's preferred orientation $\theta = 0$ (results shown for neuron 5 in Figure 2). Lower panel: Spike count ratios for two pairs of spike counts resulting from doubling the contrast. At the preferred orientation (PO) and for orientations not too far from the PO, doubling the contrast doubles the spike count. For more dissimilar stimulus orientations, the ratios decrease systematically.

tuning curves of single neurons show small distortions and asymmetries. Additionally, the overall strength of the response varies from neuron to neuron. However, despite the somewhat irregular shapes, the contrast-invariance of the tuning width is preserved for single, randomly chosen neurons. The analytical treatment predicts a threshold-cosine shape of the tuning, while the curves shown here, including the averaged ones, show a rounded fall-off to zero with non-zero rates for angles just outside the tuning width. This "rounding artifact" appears to be due to a slow convergence of the numerical procedure at extremely low firing rates; the artifact is reduced when the algorithm is run for more iterations.

In all our simulations, we observe an almost linear input-output relationship between stimulus contrast and firing rate, in agreement with experiments (see, e.g., Figure 1 in [12]). Figure 3 shows how the input-output relationship depends on the stimulus orientation. In the upper panel of Figure 3, the spike count is plotted as a function of the external input strength \hat{I}_1^{ext} , i.e. the contrast of the stimulus, for a single neuron (neuron 5 of Figure 2). The slope changes systematically with stimulus orientation θ_0 , getting smaller as the difference between the stimulus orientation and the neuron's preferred orientation increases. The lower panel of Figure 3 shows the spike count ratios of two pairs of spike counts that resulted from doubling the stimulus contrast. In contrast to the upper panel of Figure 3, these curves show results of



Figure 4. Tuning of the Fano factors. Tuning curves, parameterized by relative synaptic strengths J_s , are shown for the same three neurons as in Figure 2 and for an average over 100 neurons (upper left panel). The Fano factors F depend systematically on J_s : stronger synapses lead to higher Fano factors. On average, F stays either above 1 for all orientations or below 1 for all orientations. For $F \approx 1$, the tuning is almost flat, while it reaches a maximum (resp. minimum) at the preferred orientation for F > 1 (resp. F < 1).

averaging spike counts over 100 neurons, in order to make the general tendency clearer. It can be seen that for the preferred orientation, doubling the stimulus almost perfectly doubles the spike count (this is also true for single neurons, as can be read off from Figure 2). This relationship also holds for stimulus orientations away from the PO, until about 20 degrees difference, which is about half the tuning width of these neurons. For larger orientation differences, the ratio decreases. It seems likely that at large orientation differences (near the tuning width) this reduction is due to the rounding artifact for very low spike rates discussed above. For intermediate orientation differences, say 20–35 degrees, the reason for the reduction is not evident to us.

We characterize the irregularity in the neuronal firing by the Fano factor F. For a Poisson process F = 1, while $F \neq 1$ implies temporal correlations in the spike times: F > 1 indicates a tendency towards "bursty" spiking behavior, and F < 1 indicates more regular spike trains with narrower interspike interval (ISI) distributions. Figure 4 shows the tuning of the Fano factor for three different overall connection strengths $J_s = 0.4, 0.7$, and 1.2. As in Figure 2, the results for (the same) three individual neurons are shown, as well as an averaged tuning curve. It can be seen that the Fano factor depends systematically on the overall strength of connectivity: stronger synapses lead to more irregular spike counts. The averaged tuning curves reveal two further properties, which we observed consistently in all our simulations, performed with many



Figure 5. Analysis of Fano factor tuning: tuning of mean spike count and spike count variance for relative synaptic strengths $J_s = 0.4, 0.5, \ldots, 0.9$. For each J_s , the variance stays either below the mean or above the mean for all orientations (upper and lower panels, respectively), resulting in ratios F < 1 and F > 1 for all orientations. The variance increases with J_s – most sensitively at the preferred orientation (PO). For $F \approx 1$, the variance and mean tuning curves are almost identical, resulting in an almost flat tuning of their ratio F, while for $F \neq 1$ the ratios reach a minimum/maximum at the PO.

different sets of parameters: First, Fano factors are either less than 1 at all angles or greater than 1 at all angles. Second, if they are considerably greater than 1, they peak at the preferred orientation, falling off as the difference between stimulus orientation and PO increases; in the case where F stays below 1, the opposite tuning is observed, i.e., the Fano factor reaches a minimum at the preferred orientation. We can shed some light on the emergence of these two properties by looking at pairs of tuning curves for the spike count variance and the mean spike count and then systematically changing the connection strengths. We show these tuning curves for 6 different values of J_s in Figure 5. It can be seen that both the mean and the variance peak at the PO, falling off towards increasing angle differences. Furthermore, for $F \approx 1$ at $J_s = 0.7$, the tuning curves are nearly identical resulting in almost untuned Fano factors close to 1. For lower J_s values, the variance curve stays entirely below the mean curve, while the opposite is true for J_s values bigger than 0.7. Therefore, the ratio of the curves, which is the tuning curve of the Fano factor, stays either always below 1 or always above 1. The size of the spike count variance depends sensitively on the overall connection strengths J_s . Apparently, this sensitivity is strongest at the PO, decreasing towards greater angle differences. Therefore, the Fano factor reaches its minimum for the cases with F < 1



Figure 6. Autocorrelation tuning. Upper panels: Weak synapses with $J_s = 0.5$. There is a dip to negative values for small time differences. It decreases in strength at greater time differences. The dip indicates a relative refractoriness to emitting a spike immediately after a previous one, resulting in Fano factors F < 1. Lower panels: Strong synapses with $J_s = 1.3$. There is a hill of positive correlations for short intervals, falling off to zero for increasing time differences. The hill indicates a tendency toward clustered spikes, resulting in F > 1. The autocorrelations for excitatory neurons (left panels) and inhibitory neurons (right panels) show the same qualitative features, differing only in overall size.

(respectively its maximum for F > 1) when the stimulus is at the preferred orientation.

As already mentioned, Fano factors that deviate from 1 indicate temporal correlations in the spike trains. The nature of these correlations and their orientation dependence is summarized in Figure 6 for a case with F < 1 ($J_s = 0.5$; upper panels) and a case with F > 1 ($J_s = 1.3$; lower panels) for both excitatory neurons (left panels) and inhibitory ones (right panels). For $J_s = 0.5$, there is a negative dip for small time differences, indicating a relative refractoriness to emitting a spike immediately after a previous one. For stronger synapses ($J_s = 1.3$) there is no such refractoriness. On the contrary, for strong and weak synapses, the correlations are strongest at the preferred orientation and decrease monotonically for less optimal stimulus orientations. The autocorrelations for excitatory and inhibitory neurons show the same qualitative features, differing only in their overall size.

In Figure 7 we illustrate how the firing statistics depend on ϵ and γ , which determine how strongly the input current and the intracortical connectivity are tuned (see equations (4) and (1), respectively). Fano factor tuning curves (left panels) and firing rate tuning curves (right panels) for three different combinations of ϵ and γ are



Figure 7. Dependence of the Fano factors on tuning parameters ϵ and γ at three different values of relative synaptic strengths J_s . Fano factors and mean spike counts are shown for three different combinations of ϵ (external input tuning) and γ (connectivity tuning). The tuning of both the Fano factors and the mean counts are controlled by the ratio ϵ/γ .

shown, parameterized by J_s , the scaling factor for the synaptic strengths. As shown analytically above, the ratio ϵ/γ determines the tuning width of the neuronal firing (see Equation (26)). This is reflected by the identical firing tuning widths in the first and second row of Figure 7, for both of which $\epsilon/\gamma = 0.8$, resulting in a tuning width of $\theta_c = 43.2$ degrees. The third row of Figure 7 shows results for the same external input tuning $\epsilon = 0.5$ as in the first row, but for a different ratio $\epsilon/\gamma = 0.6$. This results in $\theta_c = 67.7$ degrees and an accordingly broader tuning curve of the firing, plotted in the right panel of the third row. The curves for the Fano factor tuning in the left panels of Figure 7 suggest that the tuning of the firing irregularity is – just as the tuning of the firing itself – only dependent on the ratio ϵ/γ . (We consistently found this dependence in all our simulations.)

4.2. Tuning of the neuronal input current

Our analytical treatment of the balanced hypercolumn model reveals that the highfrequency neuronal input noise power has the same tuning as the external input. In Figure 8 we show simulation results of the noise tuning for the same three combinations of ϵ and γ as in Figure 7. For the panels in the first and the second row of Figure 8, $\epsilon/\gamma = 0.8$, but $\epsilon = 0.5$ and $\epsilon = 0.25$ in the upper and middle rows, respectively.



Figure 8. Dependence of the noise on tuning factors ϵ and γ . External input and dynamic input noise versus tuning of the neuronal firing for the same three combinations of ϵ and γ as in Figure 7. It can be seen that the tuning of the noise is determined by ϵ , while the tuning of the firing rate is determined by the ratio ϵ/γ .

While the tuning of the neuronal firing is identical for these two cases, the noise tuning is weaker in the middle row, reflecting the weaker tuning of the external input (left panels). The results presented in the third row of Figure 8 show a case with a broader tuning of the response, resulting from a different ratio between ϵ and γ , but with the same $\epsilon = 0.5$ as in the first row. For these two cases, the tunings on the input side – concerning external input and dynamic noise – are practically indistinguishable, while the tunings of the firing differ. Thus, the noise tuning is determined by ϵ , unlike the response tuning, which depends on the ratio ϵ/γ .

The balanced state for the orientation hypercolumn implies that the mean input currents (external and recurrent currents), which are each of $\mathcal{O}(\sqrt{K_a})$ with $K_a \gg 1$, cancel up to corrections of $\mathcal{O}(1)$. It is not straightforward to calculate the tuning of the resulting net mean current, since the balance condition (14) does not allow inferences about its size. However, the solutions obtained by the numerical algorithm provide direct access to the net mean currents, which we depict in Figure 9 for the same combinations of ϵ and γ as for the noise tuning in Figure 8. It is clear from Figure 9 that the tuning of the mean input, unlike the dynamic input noise tuning, is not determined by the tuning of of the external input. Rather, it seems to be the ratio ϵ/γ that primarily determines it, as suggested by the almost identical tunings for the two cases with identical ϵ/γ . Since the tuning of the external input and that of the noise variance are the same, the left panels of Figure 9 also show how the tuning of the noise compares to that of the



Figure 9. Dependence of the mean input current on tuning factors ϵ and γ . External input tuning and mean-input tuning versus tuning of the response for the same three combinations of ϵ and γ as in Figure 7 and Figure 8. The tuning of the mean input is not determined by ϵ ; rather, as for the spike count tuning shown in the right panels, the ratio ϵ/γ plays an important role.

mean input current for the three combinations of ϵ and γ .

5. Discussion

In this work, we presented a complete mean field theory for a balanced network with structural inhomogeneity, together with an algorithm that allows one to find the selfconsistent solutions for the mean rates, their cell-to-cell fluctuations, and the correlation functions. We applied the theory to a simple model of an orientation hypercolumn in primary visual cortex, comprised of integrate-and-fire neurons. Despite the relative simplicity of the model, the resulting dynamics capture several key properties known about responses of orientation selective cortical neurons *in vivo*. Within this description, we can pinpoint how the resulting neuronal dynamics are controlled by parameters of the model, and quantify their influence.

Specifically, we find contrast-invariant tuning of the neuronal firing not only for the population rates, as derived from the analytical treatment, but also for single, randomly chosen neurons. Moreover, the firing rate increases linearly with the strength of the input current (i.e., the contrast of the stimulus). Note that these are network effects originating in the dynamical balance between excitation and inhibition, not properties of isolated neurons. This is in agreement with experimental results, where such a linear

input-output relationship can only be found for cortical neurons *in vivo*, but not for single neurons *in vitro*.

Another network effect that emerges naturally from the self-consistent dynamic balance, in combination with the static randomness in the connectivity, is the irregularity in the neuronal firing. We are able to describe it quantitatively through the correlation functions, which are determined self-consistently in the theory. Such firing-statistical issues cannot be addressed in "rate models", which simply assume a particular relation between average input current or membrane potential and firing rate. While it is possible to calculate the firing variability in the mean-field treatment of Brunel [6], it cannot be done in a self-consistent manner because of the assumption that the neuronal input is uncorrelated in time (white noise). Here we color the noise self-consistently. Poissonlike statistics (Fano factor F = 1) are only one possibility within a continuum of firing statistics that depend sensitively on the strengths of the synapses: stronger synapses generally lead to higher Fano factors. The underlying mechanism can be summarized as follows: Stronger synapses increase the probability of a spike shortly after reset, which leads to a higher tendency of spikes occurring in "clusters", thereby increasing the spike count variance. A detailed account of this mechanism, involving the dependence of the membrane potential distribution on the synaptic strength can be found in [9], where the analysis was carried out for a single cortical column.

The mean field theory applied to the present model allows us to study tuning properties of both the neuronal firing and the neuronal input and their dependence on network parameters. Concerning the irregularity of firing, our results suggest that Fstays either above 1 or below 1 for all orientations. Moreover, the modulation strength of F over angles increases, relative to the almost untuned case of $F \approx 1$, with increasing (resp. decreasing) overall values of F, reaching a maximum (resp. a minimum) at the preferred orientation.

Concerning the tuning of the input currents, we find analytically that the highfrequency input noise power has the same tuning as the external input to the neuron (which in turn is determined by a Hubel-Wiesel feed-forward connectivity from the LGN). In our numerical calculations we observe a close fit between the tuning of the overall input noise and the one of the external input. This suggests that the tuning of the external input may be a good predictor for the noise tuning, and vice versa. In contrast, we find that the tuning of the mean input current does not reflect the one of the external input, but is predominantly determined by the ratio ϵ/γ of the modulation strengths of the external input and the cortical interactions.

Some of our results (the existence of a stable, asynchronous low-rate state, contrast-invariant orientation tuning, and the inverse relation between the sharpness of orientation tuning and intracortical tuning strength γ) were obtained previously by Wolf *et al.* [19] in an extension of van Vreeswijk and Sompolinsky's stochastic binary model [2, 3] to a hypercolumn, but the treatment of a spiking neuron model and all the results for correlations of both input and output are new here. Also new is that we go beyond population statistics and make quantitative predictions about input and output

characteristics of *individual* neurons, which can be tested directly.

Firing irregularity of neurons in primary visual cortex has been investigated experimentally for a long time (see, e.g., [20, 21, 22, 23, 14]). Well studied is also the dependence of firing rate on the stimulus orientation [10, 24], but we are not aware of studies investigating the dependence of firing irregularity on the orientation. Our predictions concerning the tuning of the input currents (for both mean and noise) can be tested experimentally by systematically changing ϵ (the external input tuning strength) via changing the spatial modulation of the stimulus and then observing how the the mean and noise tunings are affected separately.

The mean field theory presented here, in combination with the numerical procedure for finding the self-consistent solutions, can be applied to models that capture more of the known neuronal and cortical physiology. For example, it is straightforward to incorporate conductance-based synapses into the hypercolumn model, as has already been done for a single-column model (see [25] and [15]). It is also straightforward to use different, possibly more realistic neuron models – even several kinds of neuron models within one given network model, since the neuronal dynamics are explicitly simulated within the numerical procedure for collecting the firing statistics. Here, we have shown how the theory can be applied to networks with non-homogenous architecture, using a simple one-dimensional model for a cortical hypercolumn. This model can be thought of as describing an annulus around a pinwheel center. Using the same general techniques as introduced here, the model can be extended to incorporate a two-dimensional geometry to describe an entire pinwheel. Similarly, as we have shown for orientation selectivity, it is possible to include other coding features, such as spatial phase, for example. Thus, the power of this mean-field approach lies in its generality, which makes it possible to quantify dynamics of balanced, highly connected networks.

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