

# Graph Theory and Optimization

## Introduction on Graphs

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Master 1 international, Univ. Nice Sophia Antipolis, September, 2015

Lecture Notes:

<http://www-sop.inria.fr/members/Frederic.Giroire/teaching/ubinet/>

# Outline

- 1 Vertex/Edge
- 2 Examples of applications
- 3 Neighbor/Degree
- 4 Path/Distance/Connectivity
- 5 Cycle/Eulerian/Hamiltonian
- 6 Trees/SubGraph
- 7 Searching algorithms (BFS/DFS)
- 8 Directed graphs

# Graph: terminology and notations (Vertex/Edge)

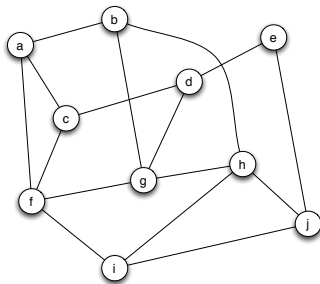
A graph  $G = (V, E)$

**Vertices:**  $V = V(G)$  is a finite set

*circles*

**Edges:**  $E = V(E) \subseteq \{\{u, v\} \mid u, v \in V\}$  is a binary relation on  $V$

*lines between two circles*



**Example:**  $G = (V, E)$  with  $V = \{a, b, c, d, e, f, g, h, i, j\}$  and

$E = \{\{a, b\}, \{a, c\}, \{a, f\}, \{b, g\}, \{b, h\}, \{c, f\}, \{c, d\}, \{d, g\}, \{d, e\}, \{e, j\}, \{f, g\}, \{f, i\}, \{g, h\}, \{h, i\}, \{h, j\}, \{i, j\}\}$ .

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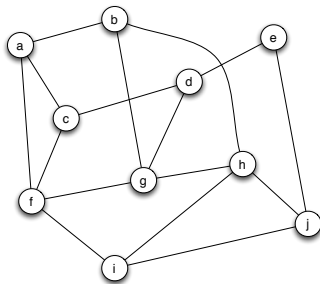
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**Exercise:** What is the maximum number of edges of a graph with  $n$  vertices?

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# 1st Example: roads' network

What is the "best" road for reaching Oulu from Helsinki?



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Model geographical network by a **graph**



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Model geographical network by a **graph**

Use powerful tools that deal with graphs



# 1st Example: roads' network

## More difficult setting

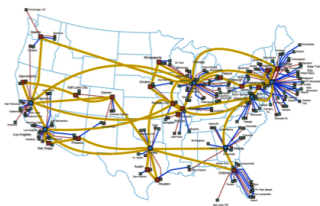
- traffic jam
- bus/subway schedule
- no-left, no-right and no U-turn signs at intersections.



Again, graph algorithm tools may help

That is how your GPS work !!

## 2nd Example: the Internet



Internet network (Autonomous Systems)

Optical networks (WDM)

- node= IP routers
- links= optical fiber
- capacity on links

- How to compute "best" routes?
- Where to put Amplificators?
- Which links to be turned off to limit energy consumption?

...

## 3rd Example: Social Network



Model of social interaction  
a user = a node  
two friends = an edge

- structure of social networks?
- communities?
- how to do advertisement?
- how to prevent advertisement?

## More Example: Web (google)

Showing search results in order of relevance

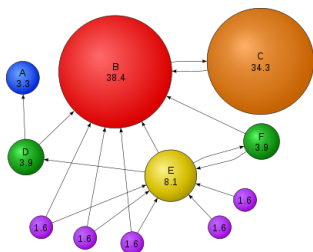
**Movies.com: Everything Movies**  
9/10  
 Movies.com: movie reviews, movie trailers, movie tickets and showtimes.  
 Movie Night Right!  
<http://movies.go.com/>  
[View META Data](#) - [View Inbound Links](#) - [Analyze Links](#)  
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Google **PageRank**:  
 sort search results  
 node= web page  
 link = hyperlink

- 1 finding pages with the word movies in it
- 2 determining the importance of a page.

## More Example: Web (google)



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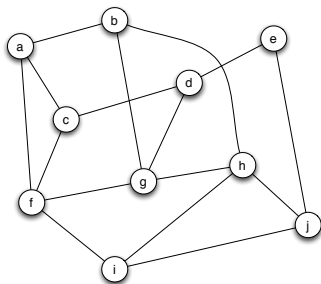
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- 1 finding pages with the word movies in it
- 2
  - build the graph of the Web
  - do a **random walk** on a the graph or compute the eigenvector of a matrix

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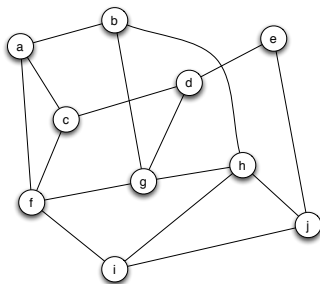


- two vertices  $x \in V$  and  $y \in V$  are **adjacent** or **neighbors** if  $\{x, y\} \in E$   
i.e. there is an edge  $\{x, y\}$
- $N(x)$ : set of neighbors of  $x \in V$  **ex:**  $N(g) = \{b, d, f, h\} \subseteq V$
- **degree** of  $x \in V$ : number of neighbors of  $x$  i.e.,  $deg(x) = |N(x)|$

**Exercise:** Prove that, for any graph  $G = (V, E)$ ,

$$\sum_{x \in V} deg(x) = 2|E|$$

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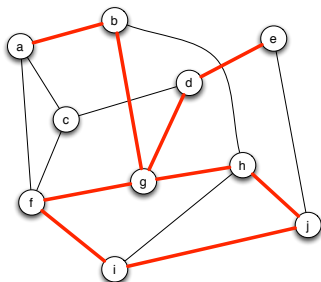
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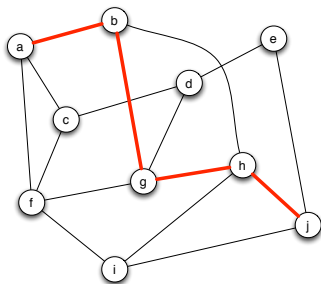
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# Terminology and notations (Path/Distance/Connectedness)



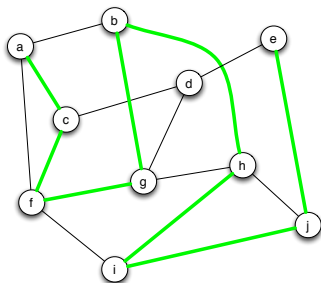
- **Walk:** sequence  $(v_1, \dots, v_\ell)$  of vertices such that consecutive vertices are adjacent, i.e.,  $\{v_i, v_{i+1}\} \in E$  for any  $1 \leq i < \ell$   
**ex:**  $W = (a, b, g, h, j, i, f, g, d, e)$

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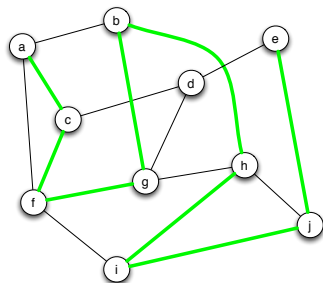
- **Path:** sequence  $(v_1, \dots, v_\ell)$  of distinct vertices such that consecutive vertices are adjacent, i.e.,  $\{v_i, v_{i+1}\} \in E$  for any  $1 \leq i < \ell$   
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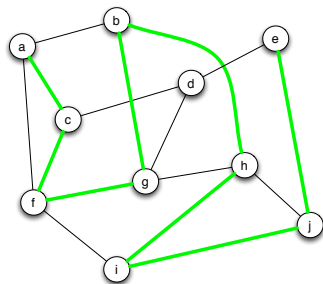
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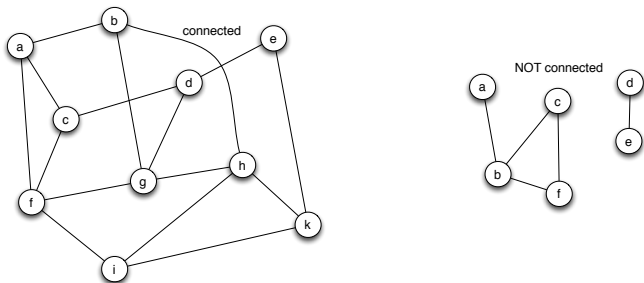
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- Length** of a path: number of its edges **ex:**  $\text{length}(P_2) = 8$

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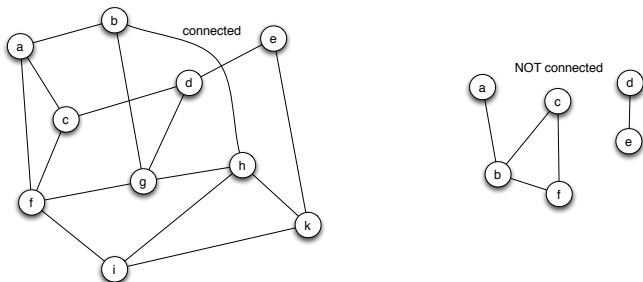
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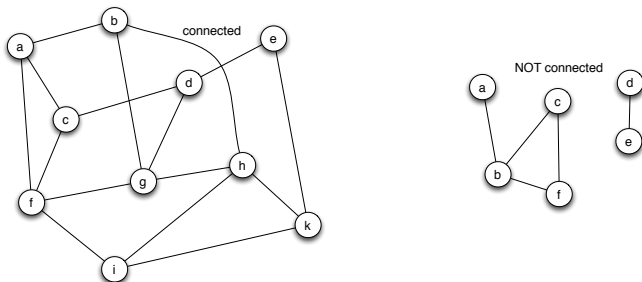
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**Exercise:** Let  $G$  be a graph and  $v \in V(G)$

Prove that  $G$  is connected iff, for any  $y \in V$ , there exists a path from  $x$  to  $y$ .



# Terminology and notations (Path/Distance/Connectedness)



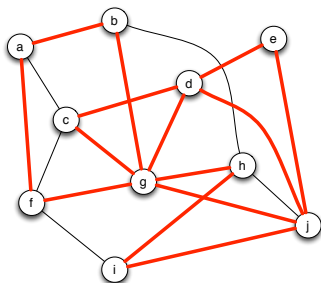
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**Exercise:** Prove that if  $|E| < |V| - 1$  then  $G = (V, E)$  is NOT connected

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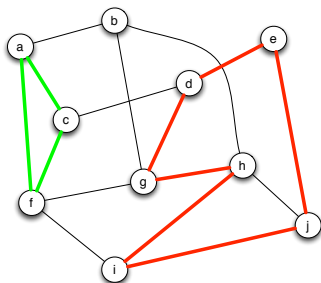
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## Graph: terminology and notations (Trail/Cycle)



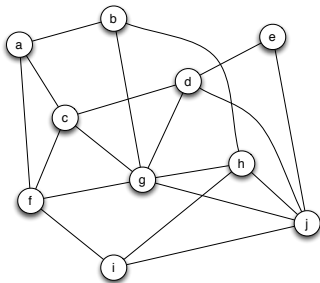
- **Trail:** walk  $(v_1, \dots, v_\ell)$  such that  $\ell \geq 3$  and  $\{v_1, v_\ell\} \in E$   
**ex:** *Trail* =  $(a, b, g, d, c, g, h, i, j, d, e, j, g, f)$

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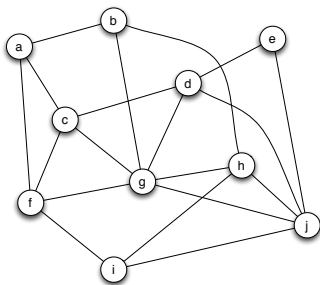
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**Exercise:** Is this graph Eulerian, i.e., does it have an Eulerian trail?

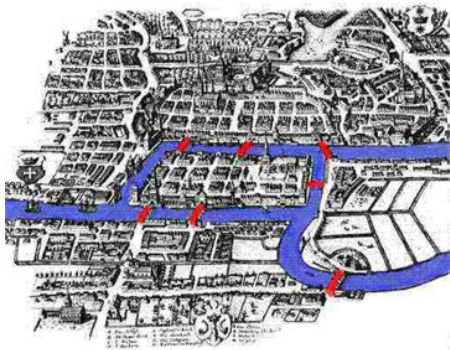
## Graph Theory

## an old story

**Euler 1735: Koenisberg bridges.**

*Existe-t-il un parcours empruntant tous les ponts une fois et une seule ?*

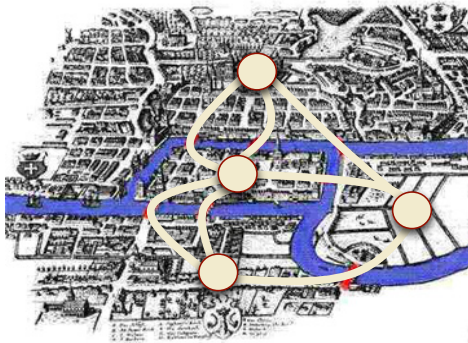
Is there a **trail** going through each bridge exactly once?



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**Modeling:** city = graph, island = vertex, bridge = edge





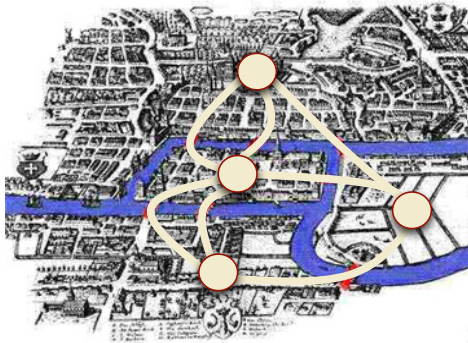
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**Question:** can we find an **eulerian cycle** in this graph?

Cycle going through all edges once and only once

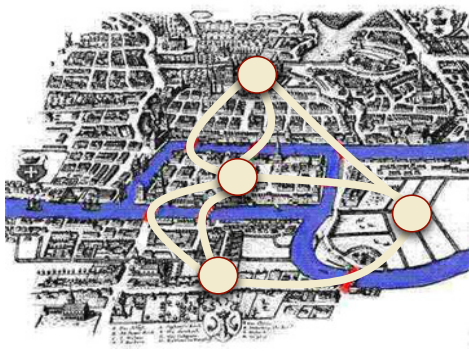


# Graph Theory an old story

**Modeling:** city = **graph**, island = **vertex**, bridge = **edge**

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**Solution:** **Such cycle exists if and only if all nodes have even degree**



## Graph Theory

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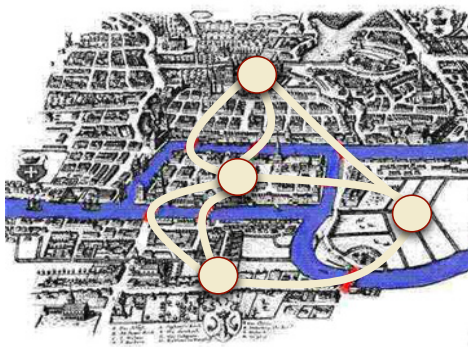
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**An intriguing variant:** find a cycle going through all vertices once and only once (**Hamiltonian cycle**) is **very** difficult

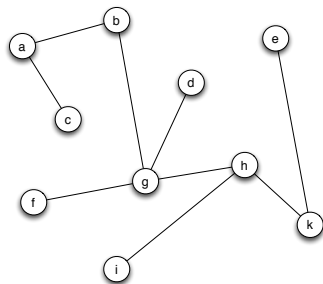
One million dollar (Clay price) !



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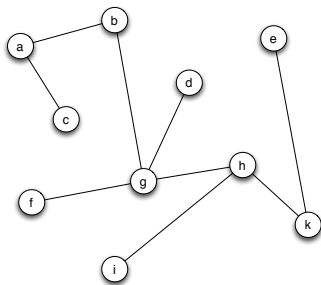
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Trees are important because:

“simple” structure + “minimum” structure ensuring connectivity

**Theorem:**

$T = (V, E)$  is a tree  $\Leftrightarrow T$  connected and  $|V| = |E| + 1$

## Graph: terminology and notations (Tree)

**Theorem:**  $T = (V, E)$  is a tree  $\Leftrightarrow T$  connected and  $|V| = |E| + 1$

$\Leftarrow$  By contradiction:

- if  $T$  not a tree, then  $\exists$  a cycle  $(v_1, \dots, v_\ell)$
- Let  $T'$  be obtained from  $T$  by removing edge  $\{v_1, v_\ell\}$
- $T'$  is connected *“technical” part, to be proved*
- $|E(T')| = |E| - 1 = |V| - 2 = |V(T')| - 2$
- so  $|E'| < |V'| - 1$  and  $T'$  is not connected by previous Exercise

A contradiction

## Graph: terminology and notations (Tree)

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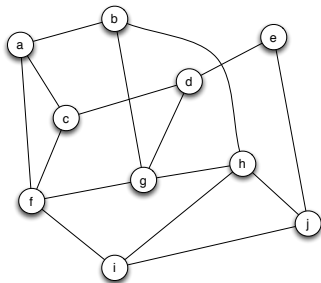
$\Rightarrow$  Induction on  $|V|$

OK if  $|V| = 1$

- Let  $P = (v_1, \dots, v_\ell)$  be a longest path in  $T$  ( $\ell$  max., in particular  $\ell \geq 2$ )
- $v_1$  is a leaf. By contradiction:
  - assume  $\deg(v_1) > 1$ , and  $x \in N(v_1) \setminus \{v_2\}$
  - $x \notin V(P)$  otherwise there is a cycle in  $T$
  - then,  $(x, v_1, \dots, v_\ell)$  path longer than  $P$ , a contradiction
- then  $S = T \setminus \{v_1\}$  is a tree *“technical” part, to be proved*
- $|V(S)| < |V|$  so, by induction  $|V(S)| = |E(S)| + 1$
- $|V| = |V(S)| + 1$  and  $|E| = |E(S)| + 1$ , so  $|V| = |E| - 1$

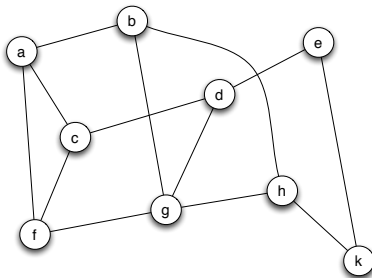


## Graph: terminology and notations (subgraph)



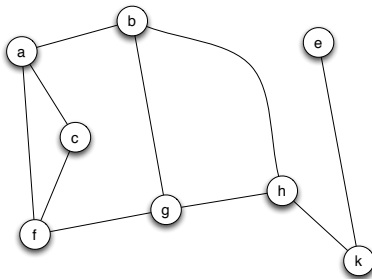
- Subgraph** of  $G = (V, E)$ : any graph  $H = (V', E')$  with  
 $V' \subseteq V$  and  $E' \subseteq \{\{x, y\} \in E \mid x, y \in V'\}$   
*obtained from  $G$  by removing some vertices and edges*

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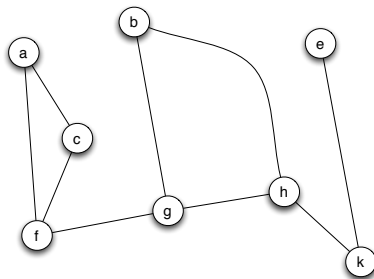
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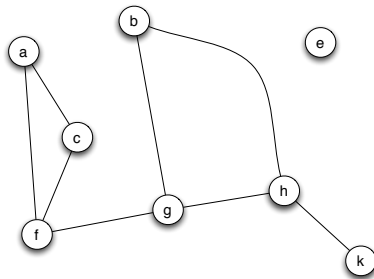
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## Graph: terminology and notations (subgraph)



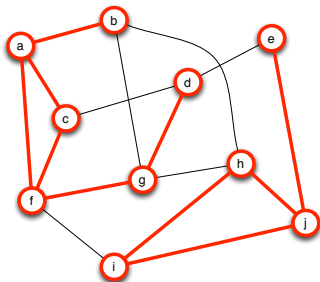
- **Subgraph** of  $G = (V, E)$ : any graph  $H = (V', E')$  with  $V' \subseteq V$  and  $E' \subseteq \{\{x, y\} \in E \mid x, y \in V'\}$   
*obtained from  $G$  by removing some vertices and edges*

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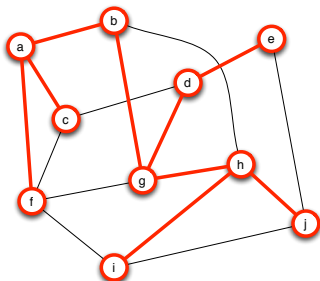
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## Graph: terminology and notations (subgraph)



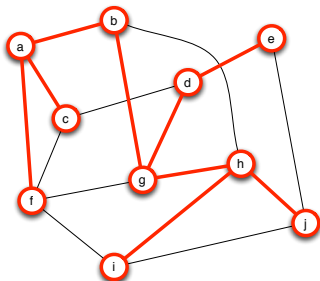
- **Subgraph:**  $H = (V', E')$  with  $V' \subseteq V$  and  $E' \subseteq \{\{x, y\} \in E \mid x, y \in V'\}$
- **Spanning** subgraph of  $G$ : subgraph  $H = (V', E')$  where  $V' = V$   
*obtained from  $G$  by removing only some edges*

## Graph: terminology and notations (subgraph)



- **Subgraph:**  $H = (V', E')$  with  $V' \subseteq V$  and  $E' \subseteq \{\{x, y\} \in E \mid x, y \in V'\}$
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*obtained from  $G$  by removing only some edges*
- **Spanning tree** of  $G$ : spanning subgraph  $H = (V, E')$  with  $H$  a tree

## Graph: terminology and notations (subgraph)



- **Subgraph:**  $H = (V', E')$  with  $V' \subseteq V$  and  $E' \subseteq \{\{x, y\} \in E \mid x, y \in V'\}$
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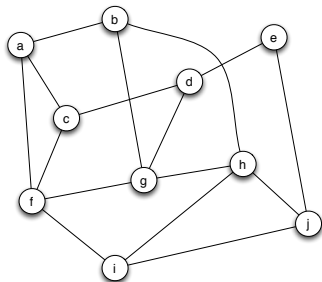
**Exercise:** A graph  $G$  is connected if and only if  $G$  has a spanning tree



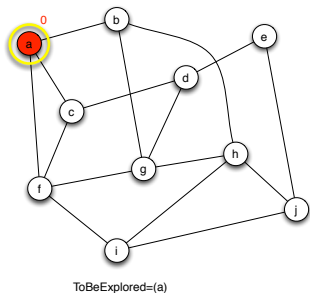
# Outline

- 1 Vertex/Edge
- 2 Examples of applications
- 3 Neighbor/Degree
- 4 Path/Distance/Connectivity
- 5 Cycle/Eulerian/Hamiltonian
- 6 Trees/SubGraph
- 7 Searching algorithms (BFS/DFS)
- 8 Directed graphs

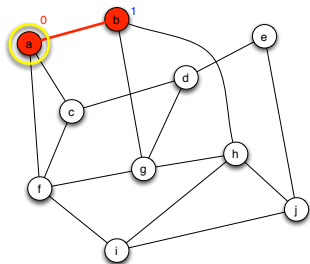
## Searching algorithms (BFS/DFS)



# Searching algorithms (BFS/DFS)

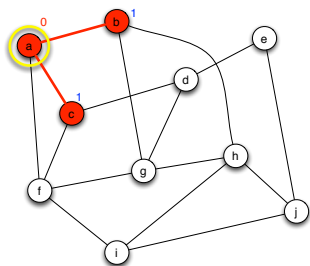


# Searching algorithms (BFS/DFS)



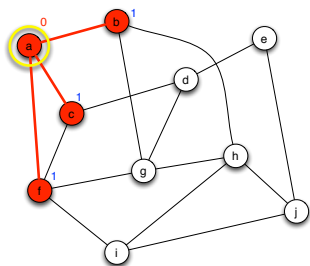
ToBeExplored=(a,b)

# Searching algorithms (BFS/DFS)



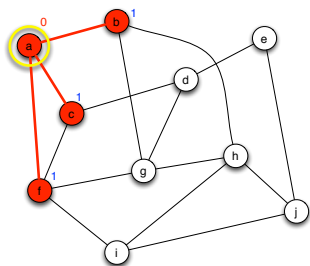
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# Searching algorithms (BFS/DFS)



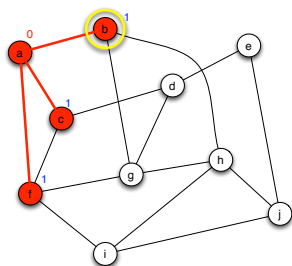
ToBeExplored=(a,b,c,f)

# Searching algorithms (BFS/DFS)



ToBeExplored=(b,c,f)

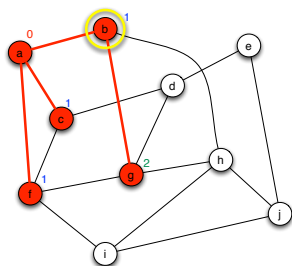
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ToBeExplored=(b,c,f)

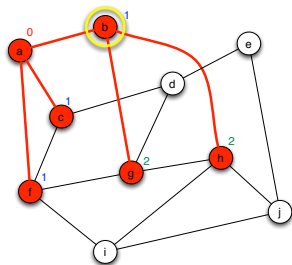


# Searching algorithms (BFS/DFS)



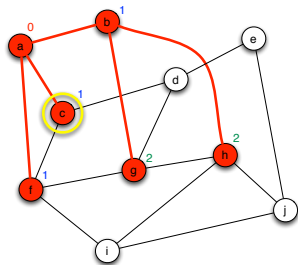
ToBeExplored=(b,c,f,g)

# Searching algorithms (BFS/DFS)



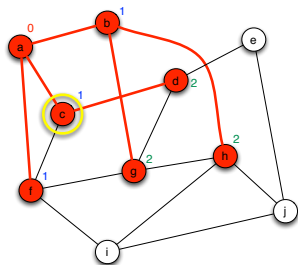
ToBeExplored={b,c,f,g,h}

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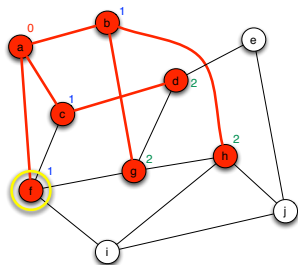
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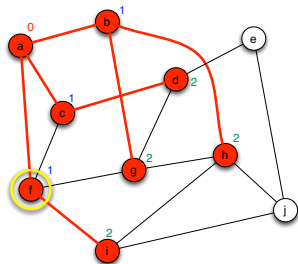
ToBeExplored=(c,f,g,h,d)

# Searching algorithms (BFS/DFS)



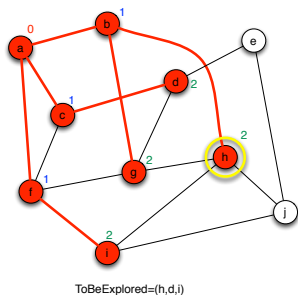
ToBeExplored=(f,g,h,d)

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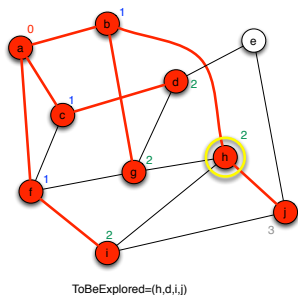


ToBeExplored=(f,g,h,d,i)

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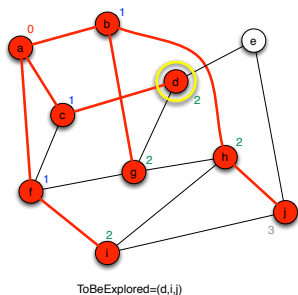


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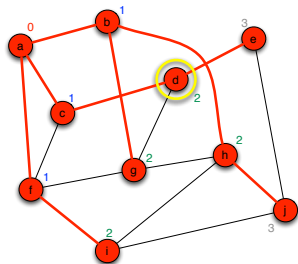




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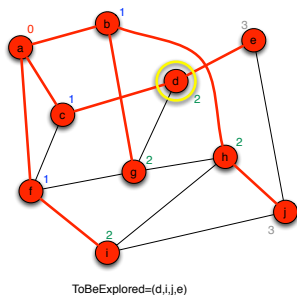


# Searching algorithms (BFS/DFS)



ToBeExplored=(d,i,j,e)

# Searching algorithms (BFS/DFS)



## Breadth First Search

**input:** unweighted graph  $G = (V, E)$  and  $r \in V$

**Initially:**  $d(r) = 0$ ,  $ToBeExplored = (r)$   
and  $T = (V(T), E(T)) = (\{r\}, \emptyset)$

**While**  $ToBeExplored \neq \emptyset$  **do**

Let  $v = head(ToBeExplored)$

**for**  $u \in N(v) \setminus ToBeExplored$  **do**

$d(u) \leftarrow d(v) + 1$

add  $u$  in  $V(T)$  and  $\{v, u\}$  in  $E(T)$

add  $u$  at the end of  $ToBeExplored$

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## Searching algorithms (BFS/DFS)

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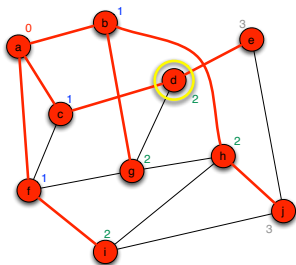
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$ToBeExplored = \{d, i, j, e\}$

**Output:** for any  $v \in V$ ,  $d(v) = dist(r, v)$ .

$T$  is a **shortest path tree** of  $G$  rooted in  $r$ : i.e.,  $T$  spanning subtree of  $G$  s.t.

for any  $v \in V$ , the path from  $r$  to  $v$  in  $T$  is a shortest path from  $r$  to  $v$  in  $G$ .

## Searching algorithms (BFS/DFS)

### Breadth First Search

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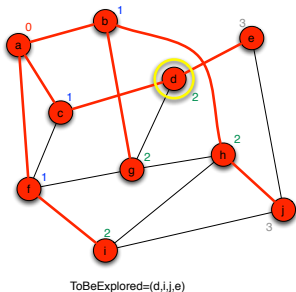
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**Time-Complexity:** # operations =  $O(|E|)$

*each edge is considered*

**Exercise:** Give an algorithm that decides if a graph is connected

## Searching algorithms (BFS/DFS)

### Breadth First Search

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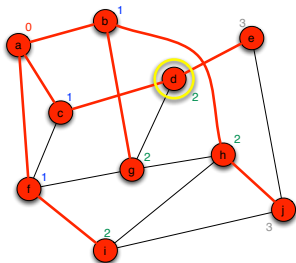
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$ToBeExplored = \{d, i, j, e\}$

**Time-Complexity:** # operations =  $O(|E|)$  *each edge is considered*

**Rmk1:** allows to decide whether  $G$  is connected

$G$  connected iff  $dist(r, v) < \infty$  defined for all  $v \in V$

## Searching algorithms (BFS/DFS)

### Breadth First Search

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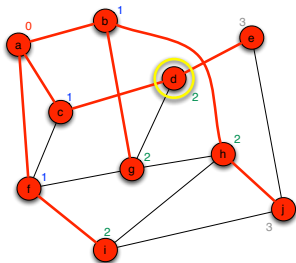
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$ToBeExplored = (d, i, j, e)$

**Time-Complexity:** # operations =  $O(|E|)$  *each edge is considered*

**Rmk2:** gives only one shortest path tree, may be more...

*depends on the ordering in which vertices are considered*

## Searching algorithms (BFS/DFS)

### Depth First Search

**input:** unweighted graph  $G = (V, E)$  and  $r \in V$

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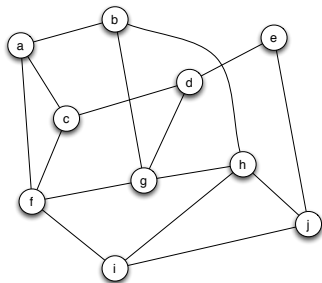
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add  $u$  at **the beginning** of  
 $ToBeExplored$

remove  $v$  from  $ToBeExplored$



**Exercise:** Gives a DFS-tree rooted in  $a$  in this graph



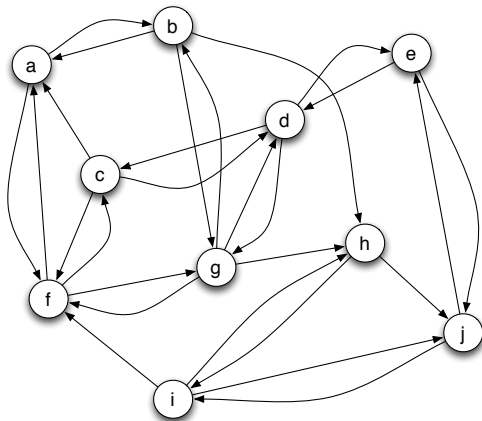
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## Directed graphs

Directed graph:  $D = (V, A)$ ,  $A$ : set of arcs,  $(x, y) \in A$  ordered pair

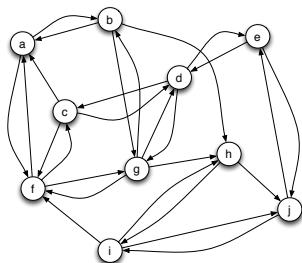
*arrows*



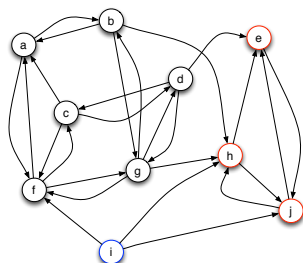
## Directed graphs

Directed graph:  $D = (V, A)$ ,  $A$ : set of arcs,  $(x, y) \in A$  ordered pair

arrows



strongly connected



not strongly connected

$D = (V, A)$  is **strongly connected** if, for any two vertices  $x \in V$  and  $y \in V$ , there exists a directed path from  $x$  to  $y$  AND a directed path from  $y$  to  $x$ .

# Directed graphs

# strongly connected

**Exercise 1:** Let  $D$  be a digraph and  $v \in V(D)$

Prove that  $D$  is strongly connected iff, for any  $y \in V$ , there exist a directed path from  $x$  to  $y$  AND a directed path from  $y$  to  $x$ .

**Exercise 2:**

Give an **efficient** algorithm that decides if a digraph is strongly connected

## Summary: To be remembered

All definitions will be important in next lectures

Please remember:

- graph, vertex/vertices, edge
- neighbor, degree
- path, distance, cycle (Eulerian,Hamiltonian)
- connected graph
- tree
- subgraph, spanning subgraph
- BFS/DFS
- Directed graph