

# Advanced Logic

<http://www-sop.inria.fr/members/Martin.Avanzini/teaching/2021/AL/>

Martin Avanzini



*Summer Semester 2021*

## Last Lecture

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- ★ an **alternating finite automata (AFA)** is a tuple  $\mathcal{A} = (Q, \Sigma, q_i, \delta, F)$  where all components are identical to an NFA except that

$$\delta : Q \times \Sigma \rightarrow \mathbb{B}^+(Q)$$

- ★ AFAs are more concise but otherwise equi-expressive to NFAs

### Theorem

For every AFA  $\mathcal{A}$  there exist a DFA  $\mathcal{B}$  with  $O(2^{2^{|\mathcal{A}|}})$  states such that  $L(\mathcal{A}) = L(\mathcal{B})$ .

### Corollary

AFAs recognize *REG*.

## Today's Lecture

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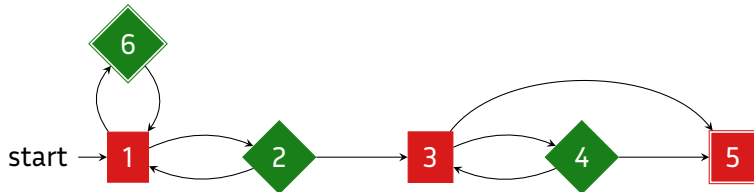
- ★ a short excursion to game theory
- ★ word-recognition in AFAs through games

# Short Excursion to Game Theory

# Reachability Games

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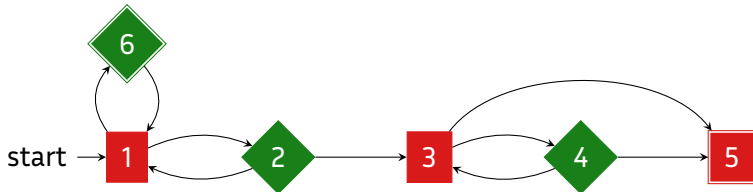
- ★ a reachability game is played by **two players**, players **◆** and **■**
- ★ the game is **played on a graph** which determines the current player and her possible moves



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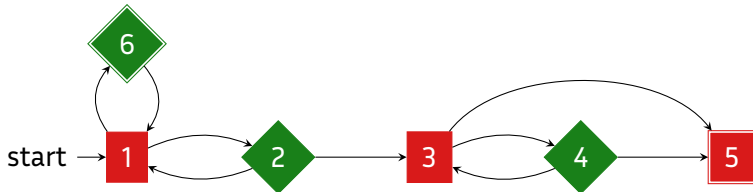
## Objectives

- ★ player **◆**: **reach a certain positions** (among possibly many)
- ★ player **■**: **prevent player ◆ from winning**

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## Objectives

- ★ player **◆**: **reach a certain positions** (among possibly many)
- ★ player **■**: **prevent player ◆ from winning**

**Main Question:** has player **◆** a winning strategy

## Definitions

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- ★ an arena is a tuple  $\mathcal{G} = (V, V_{\blacklozenge}, V_{\blacksquare}, E, v_I, Z)$  such that
  - $V = V_{\blacklozenge} \cup V_{\blacksquare}$  are the playing positions
  - $E$  are the possible moves
  - $v_I$  is the initial position
  - $Z \subseteq V$  is the set of goal positions for player  $V_{\blacklozenge}$



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- ★ a **match**  $\pi$  on  $\mathcal{G}$  is a (possible infinite) maximal path within  $(V, E)$  starting from  $v_I$

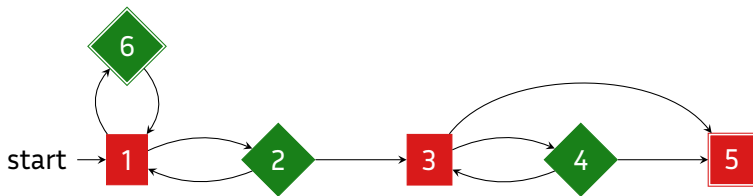
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- ★ player  $\blacklozenge$  **wins a match** if it passes through a position in  $F$ , or the path ends in a node  $V_{\blacksquare}$  (player  $\blacksquare$  got stuck)
- ★ otherwise player  $\blacksquare$  wins

## Example

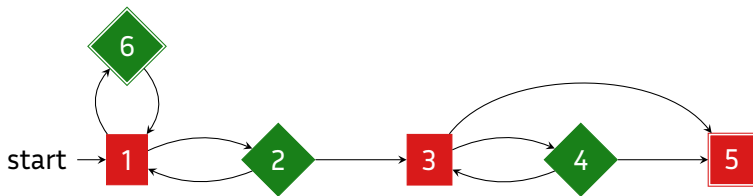
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the above depicts the arena  $(V, V_{\blacklozenge}, V_{\blacksquare}, E, v_I, Z)$  where

- ★  $V_{\blacklozenge} = \{2, 4, 6\}$  and  $V_{\blacksquare} = \{1, 3, 5\}$
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## Example



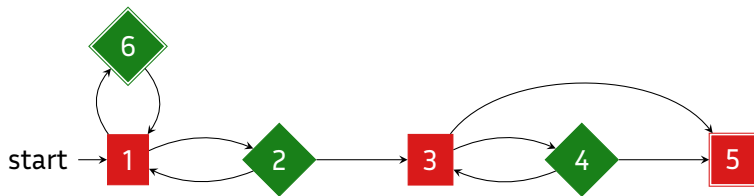
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- ★ the path  $\pi_1$ : **1** **2** **3** **4** **5** is a match won by  $\blacklozenge$

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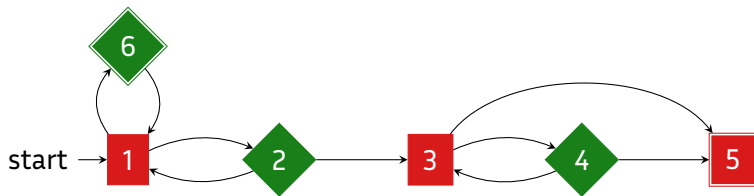
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- ★ in this arena,  $\blacklozenge$  can always win if played properly  $\Rightarrow$   $\blacklozenge$  has a winning strategy

## Strategies

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$$\sigma : V^* V_P \rightarrow V$$

such that  $\sigma(\pi \cdot v) = w$  implies  $(v, w) \in E$  for any match  $\pi \cdot v$



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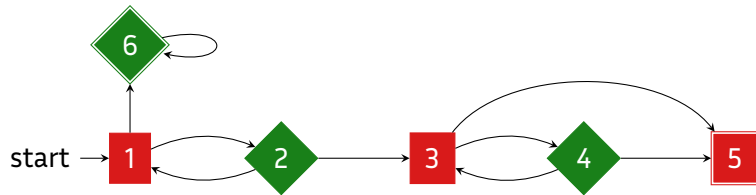
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## Theorem

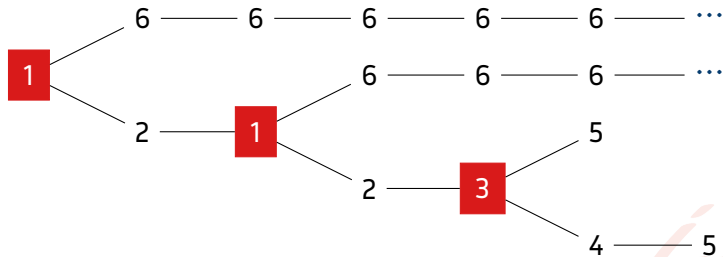
For every arena  $\mathcal{G}$ , either  $\blacklozenge$  or  $\blacksquare$  has a winning strategy.

- ★ instance of a more general theorem due to Donald A. Martin (1982)

# Strategies Seen as Trees



An arena



A strategy for player  $\blacklozenge$ ; this is a winning strategy

# Memoryless Strategies

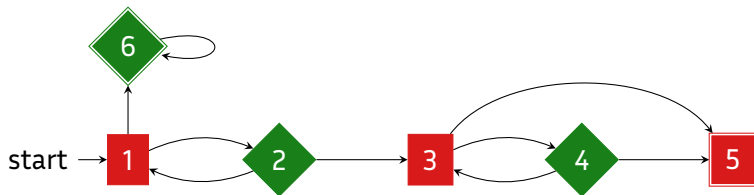
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- ★ a strategy  $\sigma$  is **memoryless** (or **positional**) if it depends only on the current players position

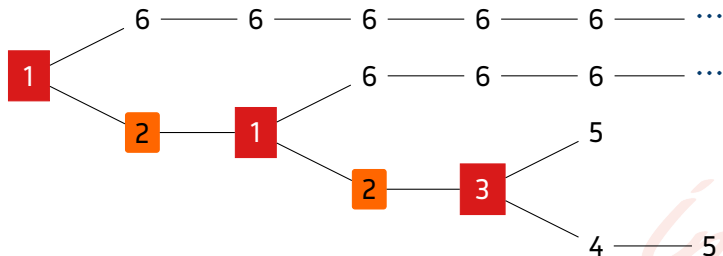
$$\sigma(\pi_1 \cdot v) = \sigma(\pi_2 \cdot v)$$

- ★ a memoryless strategy for player  $P \in \{\blacklozenge, \blacksquare\}$  can be seen as a function  $\sigma : V_P \rightarrow V$

# Example

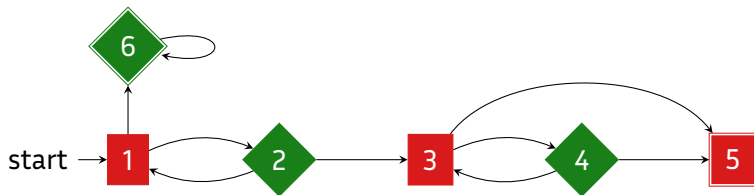


An arena

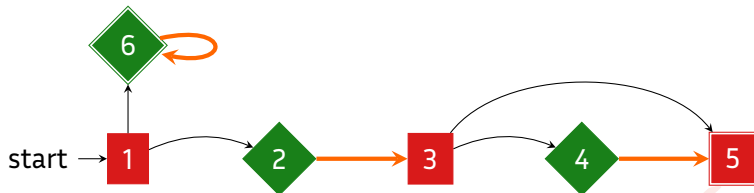


A strategy for  $\blacklozenge$  that is **not memoryless**

# Example



An arena



A **memoryless** strategy for  $\blacklozenge$

# Positional Winning Strategies

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let  $\mathcal{G} = (V, V_{\blacklozenge}, V_{\blacksquare}, E, v_I, Z)$

- ★ Let  $W$  be the set of positions  $v$  such that the game with initial position  $v$  admits a positional winning strategy for player  $\blacklozenge$
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    - otherwise, a winning strategy for player  $\blacklozenge$  on  $v$  could be defined by stepping to such a position, contradicting  $v \notin W$
  - $v \in V_{\blacksquare}$ : Then at least one successor  $w$  of  $v$  is not in  $W$ 
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  - moving for all  $v \in V_{\blacksquare}$  to a successor  $w \in V_{\blacksquare}$  yields a winning strategy for  $\blacksquare$

## Effective Computation of Winner

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- ★ For a set of positions  $W$ , denote by  $\text{Pre}_{\blacklozenge}(W)$  the set of positions  $v$  such that:
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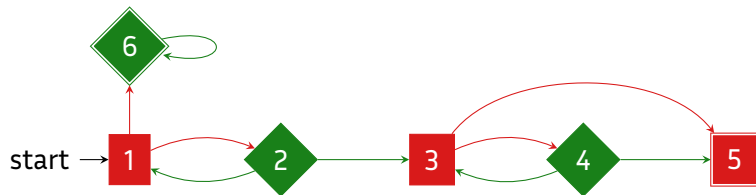
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- ★ this fixed-point is reached by iterating  $f$  on  $\emptyset$  at most  $|V|$  times
- ★ player  $\blacklozenge$  has a winning strategy iff  $v_1$  is within this fixed-point

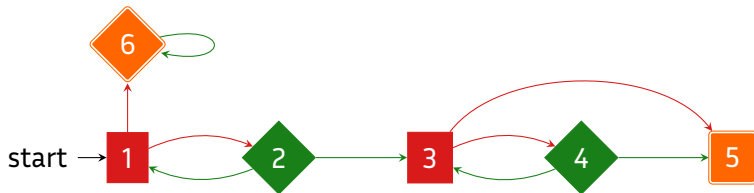
## Example

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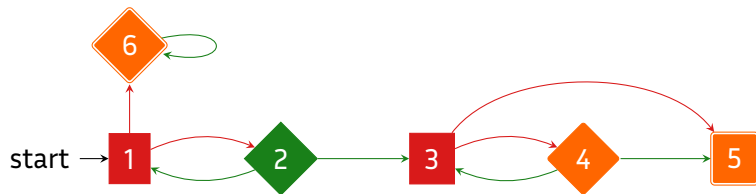


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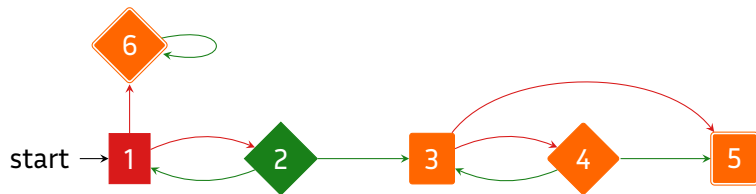
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6	6

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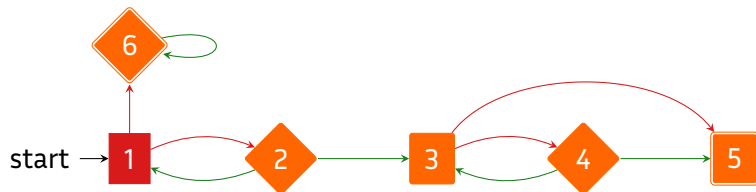
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4	5

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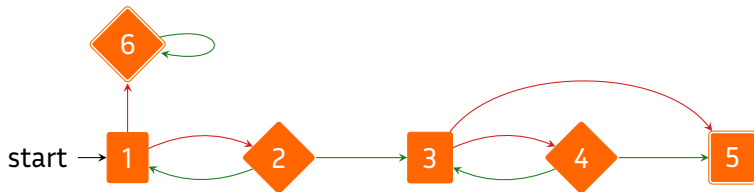
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$v \in V_{\blacklozenge}$	$\sigma$
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4	5
2	3

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◆ has winning strategy  $\sigma$

# Optimal Complexity

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## Theorem

For an arena  $\mathcal{G} = (V, V_{\blacklozenge}, V_{\blacklozenge}, E, v_I, Z)$ , the set of winning positions can be computed in time  $O(|V| + |E|)$ .

## Optimal Complexity

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**Note:** to arrive at this optimal bound, we proceed as before and iteratively compute the set of winner positions  $W$  for player  $\blacklozenge$ , but:

- ★ we associate each node in  $v \in V_{\blacksquare}$  with a counter  $k_v$
- ★  $k_v$  indicates the number of successors outside of  $W$ , initially it is simply the out-degree of  $v$
- ★ once  $k_v = 0$ ,  $v$  is added to  $W$

# Word-Recognition in AFAs through games



## AFAs and Reachability Games

---

consider a word  $w = a_0 \dots a_{n-1} \in \Sigma^*$  and AFA  $\mathcal{A} = (Q, \Sigma, q_I, \delta, F)$

the question  $w \in L(\mathcal{A})$  be conceived as a reachability game  $\mathcal{G}_{\mathcal{A}, w} \triangleq (V, V_{\blacklozenge}, V_{\blacksquare}, E, v_I, Z)$

## AFA's and Reachability Games

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- ★ the game is played in  $0 \leq i < n$  stages, each stage involves reading letter  $a_i$ :
  - being in a current state  $q$ , player  $\blacklozenge$  picks a model  $M$  which should satisfy  $\delta(q, a_i)$

$$V_{\blacklozenge} \triangleq Q \times \{0, \dots, n-1\} \quad (q, i) \rightarrow (M, i+1) : \Leftrightarrow M \models \delta(q, a_i) \text{ and } i < n$$

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- ★ the game is played in  $0 \leq i < n$  stages, each stage involves reading letter  $a_i$ :
  - being in a current state  $q$ , player  $\blacklozenge$  picks a model  $M$  which should satisfy  $\delta(q, a_i)$

$$V_{\blacklozenge} \triangleq Q \times \{0, \dots, n-1\} \quad (q, i) \rightarrow (M, i+1) : \Leftrightarrow M \models \delta(q, a_i) \text{ and } i < n$$

- having received a model  $M$ , player  $\blacksquare$  picks a state  $q \in M$  contradicting this fact

$$V_{\blacksquare} \triangleq 2^Q \times \{1, \dots, n\} \quad (M, i) \rightarrow (q, i) : \Leftrightarrow q \in M$$

## AFA and Reachability Games

consider a word  $w = a_0 \dots a_{n-1} \in \Sigma^*$  and AFA  $\mathcal{A} = (Q, \Sigma, q_I, \delta, F)$

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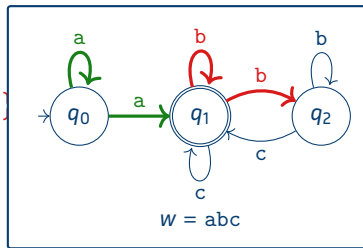
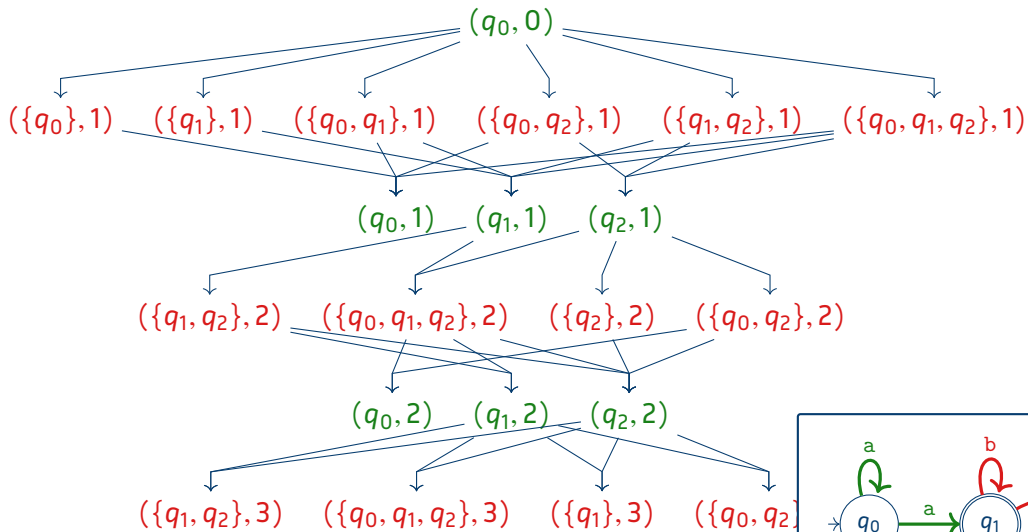
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$$V_{\blacksquare} \triangleq 2^Q \times \{1, \dots, n\} \quad (M, i) \rightarrow (q, i) : \Leftrightarrow q \in M$$

- ★ the game starts at  $(q_I, 0)$ , the goal for player  $\blacklozenge$  is to reach  $(M, n)$  with  $M$  final

$$v_I \triangleq (q_I, 0) \quad F \triangleq \{(M, n) \mid M \subseteq F\}$$

# Example







## AFAs and Reachability Games (II)

---

Theorem

$w \in L(\mathcal{A})$  if and only if player  $\blacklozenge$  has a winning strategy in  $\mathcal{G}_{\mathcal{A},w}$ .

## AFA's and Reachability Games (II)

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### Theorem

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### Corollary

The word problem for an AFA with  $n$  states is decidable in time  $O(|V| + |E|) = O(|V_{\blacklozenge}| \cdot |V_{\blacksquare}|) = O(n \cdot 2^n \cdot |w|^2)$ .

## AFA and Reachability Games (II)

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### Remarks

- ★ translating an AFA to DFA takes  $O(2^{2^n})$  space
- ★ it is more efficient to resolve the game instead
- ★ however, it may be more efficient to construct the DFA on the fly, avoiding the state-space explosion to some extent

## Programming Project (II)

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write a solver for a reachability game, computing the set of winning positions for player ♦

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- ★ the algorithm should obey the optimal complexity bound
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- ★ parser and stand-alone executable nice to have, but not a must
- ★ send solutions including instructions to [martin.avanzini@inria.fr](mailto:martin.avanzini@inria.fr)
- ★ **deadline** Friday 14/05 08:00, exercise will be discussed in lecture 7