

# Complexity Analysis by Graph Rewriting

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FLOPS 2010





# Term Rewriting

## First Order Functional Program

- ①  $d(c) = 0$     ③  $d(x + y) = d(x) + d(y)$   
②  $d(x \times y) = d(x) \times y + x \times d(y)$       ④  $d(x - y) = d(x) - d(y)$

data Exp = Zero	0
Const	c
Times Exp Exp	$e_1 \times e_2$
Plus Exp Exp	$e_1 + e_2$
Minus Exp Exp	$e_1 - e_2$

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## Underlying Computation

$$d(c + (c \times c))$$



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$$\begin{aligned} d(c + (c \times c)) &= d(c) + d(c \times c) \\ &= 0 + d(c \times c) \end{aligned}$$



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# Term Rewriting

First Order Functional Program  $\approx$  Term Rewrite System (TRS)

$$\begin{array}{ll} \textcircled{1} & d(c) \rightarrow 0 \\ \textcircled{2} & d(x \times y) \rightarrow d(x) \times y + x \times d(y) \\ \textcircled{3} & d(x + y) \rightarrow d(x) + d(y) \\ \textcircled{4} & d(x - y) \rightarrow d(x) - d(y) \end{array}$$

Underlying Computation  $\approx$  Rewriting


$$\begin{aligned} d(c + (c \times c)) &\rightarrow_{\mathcal{R}} d(c) + d(c \times c) \\ &\rightarrow_{\mathcal{R}} 0 + d(c \times c) \\ &\rightarrow_{\mathcal{R}} 0 + d(c) \times c + c \times d(c) \\ &\rightarrow_{\mathcal{R}} 0 + 0 \times c + c \times d(c) \\ &\rightarrow_{\mathcal{R}} 0 + 0 \times c + c \times 0 \end{aligned}$$

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Underlying Computation  $\approx$  Rewriting

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## Runtime Complexity

number of reduction steps as function in the size of the initial terms

# Term Rewriting

## Complexity

- ▶ **innermost** runtime complexity  
number of **eager** evaluation steps as function in the size of the initial terms





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$$rc_{\mathcal{R}}^i(n) = \max\{\text{dl}(t, \overset{i}{\rightarrow}_{\mathcal{R}}) \mid \text{size}(t) \leq n \quad \}$$

- $\overset{i}{\rightarrow}_{\mathcal{R}} \subseteq \rightarrow_{\mathcal{R}}$  is restriction to **eager** evaluation

- ▶ derivation length

$$\text{dl}(t, \overset{i}{\rightarrow}_{\mathcal{R}}) = \max\{\ell \mid \exists(t_1, \dots, t_\ell). t \overset{i}{\rightarrow}_{\mathcal{R}} t_1 \overset{i}{\rightarrow}_{\mathcal{R}} \dots \overset{i}{\rightarrow}_{\mathcal{R}} t_\ell\}$$

# Term Rewriting

## Complexity

► **innermost** runtime complexity

number of **eager** evaluation steps as function in the size of the initial terms

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- $\overset{i}{\rightarrow}_{\mathcal{R}} \subseteq \rightarrow_{\mathcal{R}}$  is restriction to **eager** evaluation
- **measure complexity of direct function calls**

► **derivation length**

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# Term Rewriting

## Complexity Analysis

### Example

$$\textcircled{1} \quad d(c) \rightarrow 0$$

$$\textcircled{2} \quad d(x \times y) \rightarrow d(x) \times y + x \times d(y)$$

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- ▶ runtime complexity of above TRS is **linear**
- ▶ this can be **automatically** verified

```
$ tct -a rc -p -s "wdp (matrix :kind trian
YES(? ,0(n^1))

'Weak Dependency Pairs'
-----
Answer:      YES(? ,0(n^1))
Input Problem: runtime-complexity with respect to
Rules:
{ D(c) -> 0()
  , D(*(x, y)) -> +(*(y, D(x)), *(x, D(y)))
  , D(+ (x, y)) -> +(D(x), D(y))
  , D(- (x, y)) -> -(D(x), D(y))}
Proof Details:
...

```

is this proof really  
meaningful?

# Term Rewriting

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Proof Details:
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is this proof really  
a certificate for  
polytime computability?

# Main Result

Yes





# Main Result

## Theorem

*If the (innermost) runtime-complexity of  $\mathcal{R}$  is polynomially bounded, then each function  $f$  computed by  $\mathcal{R}$  is polytime computable.*

$$\text{rc}_{\mathcal{R}}^i(n) \leq n^k \Rightarrow f \in \text{TIME}(O(n^{5 \cdot (k+1)})) \quad f \text{ computed by } \mathcal{R}$$



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① polynomial runtime complexity can be automatically verified

② polytime computability of above given function can be verified automatically

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## Proof Idea

- ▶ *implement rewriting efficiently*

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## Difficulty

a single rewrite step may copy arbitrarily large terms

👉 terms may grow exponential in the length of derivations



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$$d(c) = 0$$

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$$d(c \times c) = 0 \times c + c \times 0$$



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$$d((c \times c) \times c) = (0 \times c + c \times 0) \times c + (c \times c) \times 0$$

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$$d(c) = 0$$

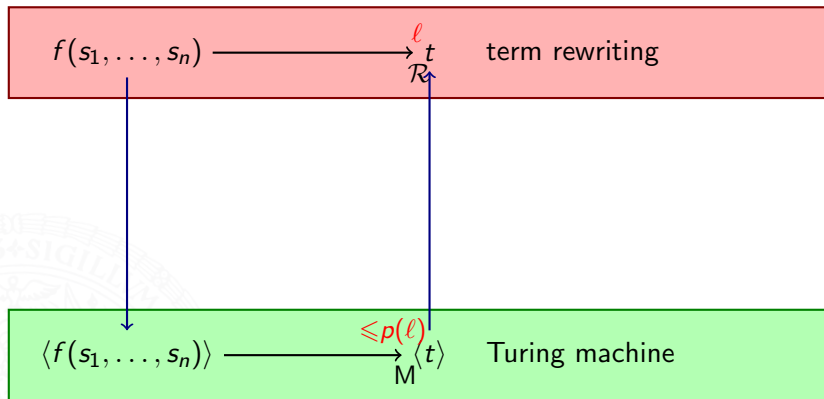
$$d(c \times c) = 0 \times c + c \times 0$$

$$d((c \times c) \times c) = (0 \times c + c \times 0) \times c + (c \times c) \times 0$$

$$d((c \times c) \times (c \times c)) = ((0 \times c + c \times 0) \times c + (c \times c) \times 0) \times (c \times c) \\ + (c \times c) \times ((0 \times c + c \times 0) \times c + (c \times c) \times 0)$$

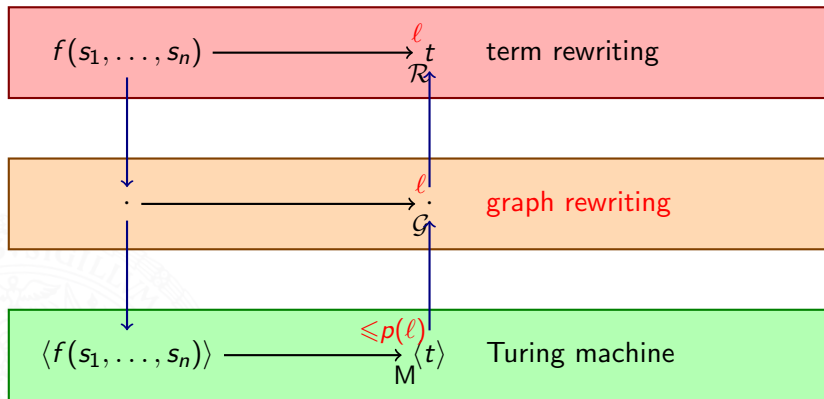
# Main Result

## Proof Outline



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# Outline

- Graph Rewriting in a Nutshell
- Adequacy of Graph Rewriting
- Conclusion



# Graph Rewriting in a Nutshell



# Graph Rewriting in a Nutshell

- ▶ term rewriting on graphs
- ▶ **copying**  $\rightsquigarrow$  **sharing**
- ▶ structural equality  $\rightsquigarrow$  pointer equality

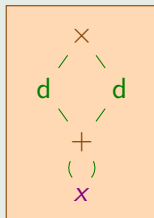


# Graph Rewriting in a Nutshell

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## Example

term  $t = d(x + x) \times d(x + x)$  represented by



- ▶ **variables** always represented by unique node

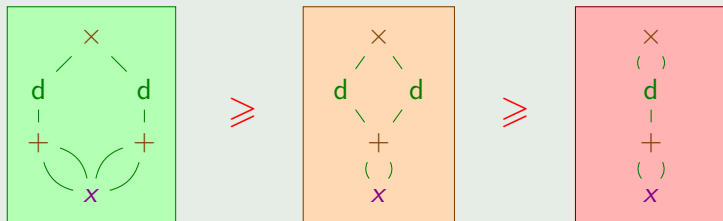


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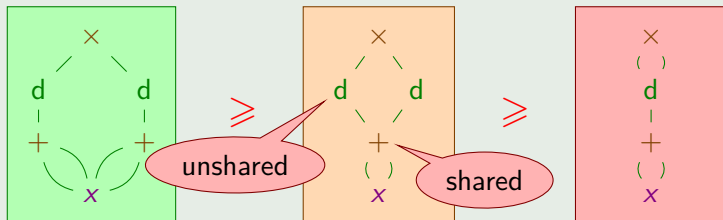
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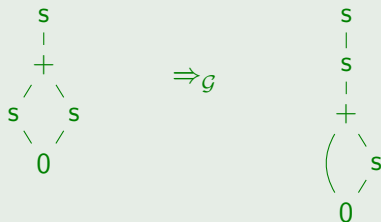
## Example

applying rule  $s(x) + y \rightarrow s(x + y)$  on  $s(s(0) + s(0)) \dots$

### Term Rewriting

$$s(s(0) + s(0)) \rightarrow_{\mathcal{R}} s(s(0 + s(0)))$$

### Graph Rewriting



# Graph Rewriting in a Nutshell

Rewriting  $s(s(0) + s(0))$  using rule  $s(x) + y \rightarrow s(x + y)$

term rewriting

graph rewriting

1. identifying matching subterm

$$s(s(0) + s(0))|_1 = \sigma(s(x) + y)$$

$$\sigma = \begin{cases} x \mapsto 0 \\ y \mapsto s(0) \end{cases}$$



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## term rewriting

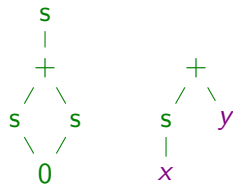
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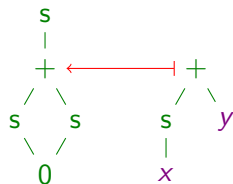
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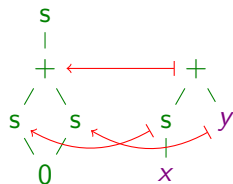
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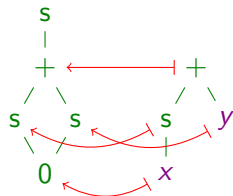
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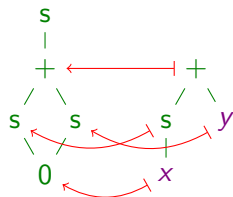
$$\sigma = \begin{cases} x \mapsto 0 \\ y \mapsto s(0) \end{cases}$$

### 2. replace matched subterm

$$s(s(s(0) + 0))$$

## graph rewriting

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# Graph Rewriting in a Nutshell

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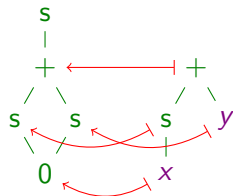
$$\sigma = \begin{cases} x \mapsto 0 \\ y \mapsto s(0) \end{cases}$$

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$$s(\sigma(s(x) + y))$$

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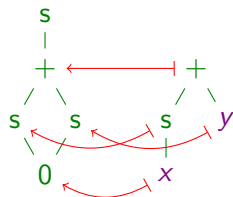
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$$s(\sigma(s(x) + y)) \rightarrow_{\mathcal{R}} s(\sigma(s(x + y)))$$

## graph rewriting

### 1. finding term graph morphism



# Graph Rewriting in a Nutshell

Rewriting  $s(s(0) + s(0))$  using rule  $s(x) + y \rightarrow s(x + y)$

## term rewriting

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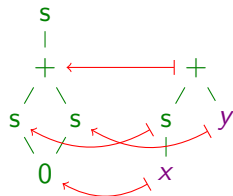
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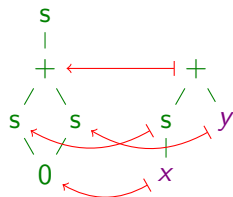
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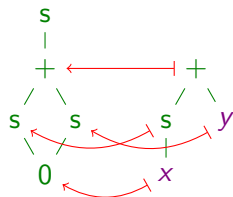
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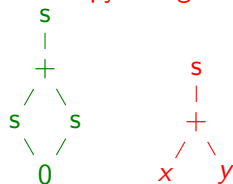
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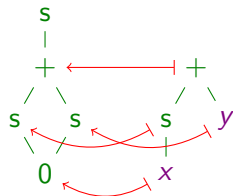
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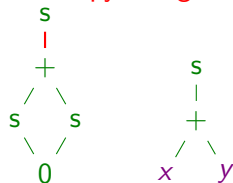
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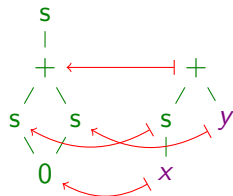
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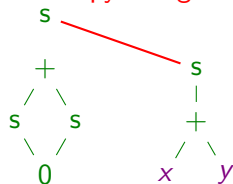
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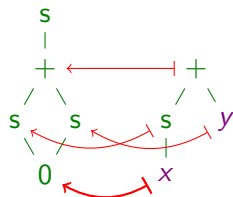
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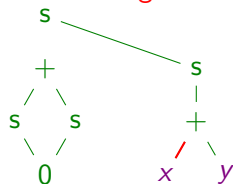
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### 2b. redirect edges



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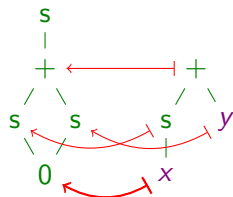
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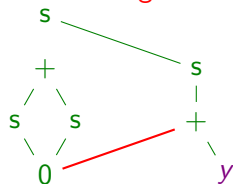
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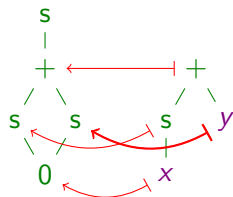
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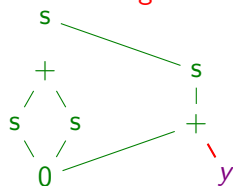
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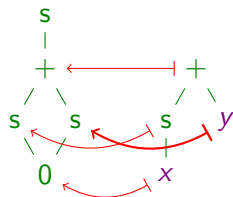
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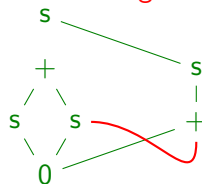
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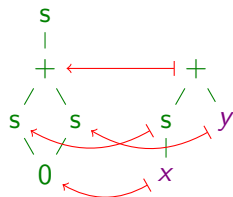
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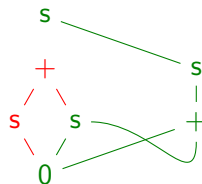
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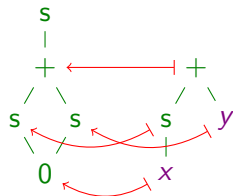
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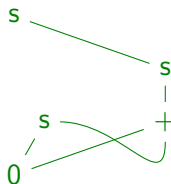
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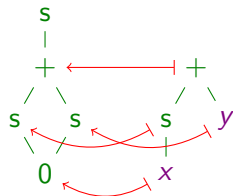
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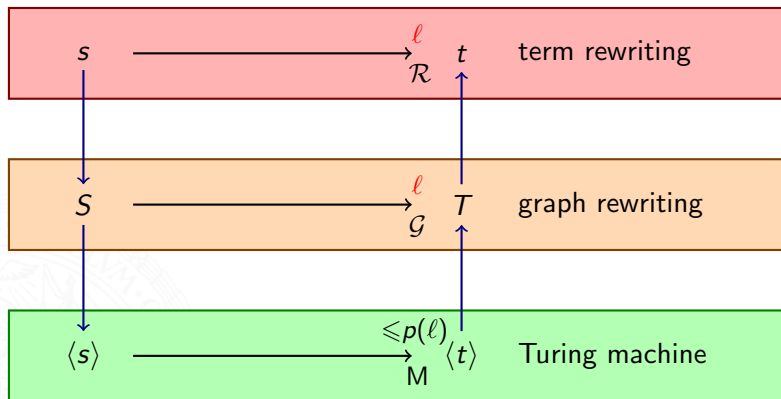


# Adequacy of Graph Rewriting

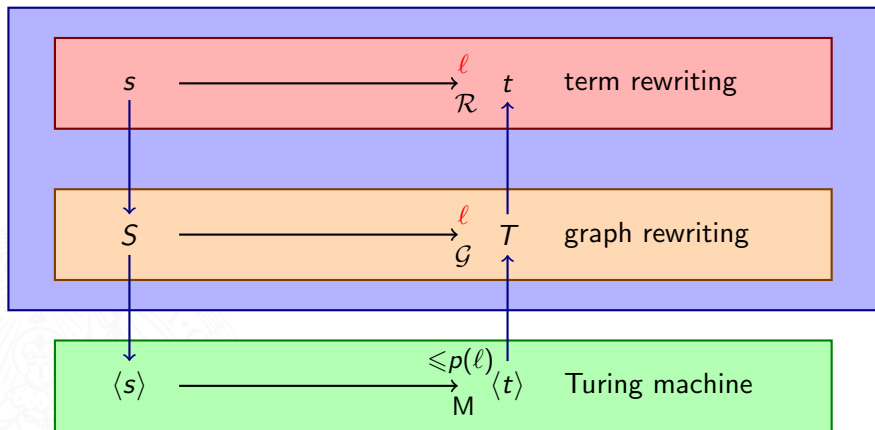




# Adequacy of Graph Rewriting for Term Rewriting



# Adequacy of Graph Rewriting for Term Rewriting



# Simulating Graph Rewrite System

## Definition

- ▶ **simulating graph rewrite system**  $\mathcal{G}(\mathcal{R})$  of TRS  $\mathcal{R}$

$$\mathcal{G}(\mathcal{R}) := \{ \Delta(l) \rightarrow \Delta(r) \mid (l \rightarrow r) \in \mathcal{R} \}$$

- ▶  $\Delta(s)$  is **minimally sharing** graph representing  $s$

## Example

$$x + x \rightarrow d(x) \quad \Rightarrow \quad \begin{array}{ccc} + & \rightarrow & d \\ \left( \begin{array}{c} \\ \end{array} \right) & & | \\ x & & x \end{array}$$

# Simulating Graph Rewrite System

## Problems

$$x + x \rightarrow d(x)$$



$$\begin{array}{ccc} + & \rightarrow & d \\ \left( \right. & & | \\ x & & x \end{array}$$



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$$x + x \rightarrow d(x) \quad \Rightarrow \quad \begin{array}{ccc} + & \rightarrow & d \\ \left( \right) & & | \\ x & & x \end{array}$$

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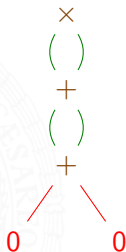
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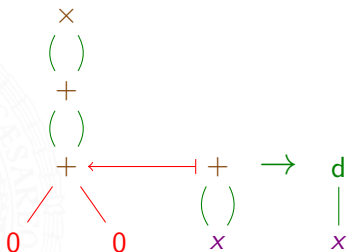
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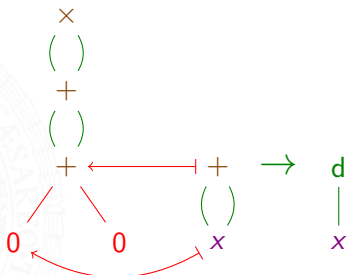
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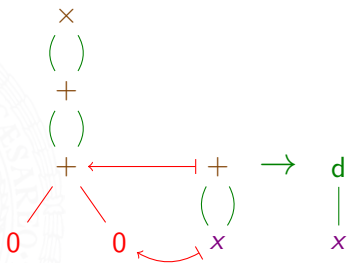
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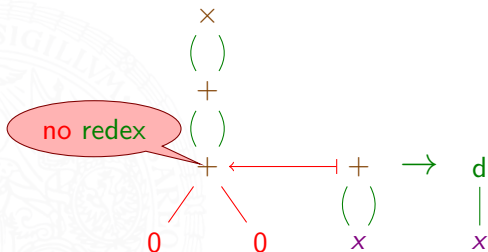
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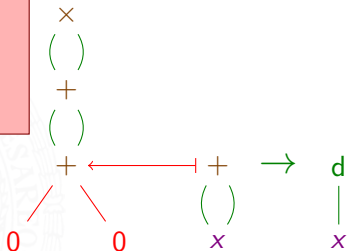
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### Problem ①

below redex  
maximal sharing  
required



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below redex  
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$$\begin{array}{c} \times \\ (\ ) \\ + \\ (\ ) \\ + \\ (\ ) \\ 0 \end{array} \Rightarrow_{\mathcal{G}(\mathcal{R})} \begin{array}{c} \times \\ (\ ) \\ + \\ (\ ) \\ d \\ | \\ 0 \end{array}$$

# Simulating Graph Rewrite System

## Problems

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below redex  
maximal sharing  
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$$\begin{array}{c} \times \\ \left( \right) \\ + \\ \left( \right) \\ + \\ \left( \right) \\ 0 \end{array}$$

 $\Rightarrow_{\mathcal{G}(\mathcal{R})}$ 

$$\begin{array}{c} \times \\ \left( \right) \\ + \\ \left( \right) \\ d \\ | \\ 0 \end{array}$$

### Problem ②

both arguments  
of  $+ \setminus \times$  rewritten

# Adequacy of Graph Rewriting

## Theorem

suppose  $s$  is a term and  $S$  is a term graph representing  $s$  such that for redex position  $p$  in  $s$

- ① node corresponding to  $p$  is unshared
- ② subgraph  $S \upharpoonright p$  is maximally shared

Then

$$s \rightarrow_{\mathcal{R},p} t \quad \iff \quad S \Rightarrow_{\mathcal{G}(\mathcal{R}),p} T$$

where  $T$  represents  $t$

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## Idea

- ▶ recover condition ② by extending rewrite relation with **sharing**

$$\overset{\geq}{\Rightarrow}_{\mathcal{G}} := \overset{i}{\Rightarrow}_{\mathcal{G}} \cdot \geq$$



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## Idea

- ▶ condition ① is invariant on innermost  $\mathcal{G}(\mathcal{R})$  reductions
- ▶ recover condition ② by extending rewrite relation with sharing

$$\overset{\geq}{\Rightarrow}_{\mathcal{G}} := \overset{i}{\Rightarrow}_{\mathcal{G}} \cdot \geq$$

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## Theorem

$$s \xrightarrow{i}_{\mathcal{R}}^l t \quad \iff \quad S \xRightarrow{\geq}_{\mathcal{G}(\mathcal{R})}^l T$$

for suitable graph representations  $S$  and  $T$  of terms  $s$  and  $t$

# Main Result Revisited

## Theorem

*If the (innermost) runtime-complexity of  $\mathcal{R}$  is polynomially bounded, then each function  $f$  computed by  $\mathcal{R}$  is polytime computable.*

$$\text{rc}_{\mathcal{R}}^i(n) \leq n^k \Rightarrow f \in \text{FP} \quad f \text{ computed by } \mathcal{R}$$



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- 1 employ innermost rewriting for computation of results

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- ① employ innermost *graph* rewriting for computation of results  
adequacy theorem
- ② graphs *grow only polynomial in size*      $S \xrightarrow{\geq \ell}_{\mathcal{G}} T \Rightarrow |T| \leq |S| + \ell\Delta$

# Main Result Revisited

## Theorem

If the (innermost) runtime-complexity of  $\mathcal{R}$  is polynomially bounded, then each function  $f$  computed by  $\mathcal{R}$  is polytime computable.

$$\text{rc}_{\mathcal{R}}^i(n) \leq n^k \Rightarrow f \in \text{FP} \quad f \text{ computed by } \mathcal{R}$$

## Proof Idea

- ① employ innermost **graph** rewriting for computation of results  
adequacy theorem
- ② graphs **grow only polynomial in size**      $S \xRightarrow{\geq \ell}_{\mathcal{G}} T \Rightarrow |T| \leq |S| + \ell \Delta$
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- ▶ complexity preserving translations of (pure) **functional programs**