

# M2 MPA - Computational Algebraic Geometry

Final exam - duration: two hours

November 30th, 2020

**Exercise 1** Let  $f(x_0, x_1, x_2, x_3)$  and  $g(x_0, x_1, x_2, x_3)$  be two homogeneous polynomials in  $R = \mathbb{C}[x_0, x_1, x_2, x_3]$  of degree 3 and 2 that define a cubic surface  $\mathcal{H}$  and a quadratic surface  $\mathcal{Q}$  in  $\mathbb{P}^3$ , respectively.

1. We assume that  $\mathcal{H}$  and  $\mathcal{Q}$  intersect in a curve  $\mathcal{C}$ . Show that this implies that  $(f, g)$  is a regular sequence in  $R$ .
2. Give a minimal graded finite free resolution of  $R/I$ .
3. Compute the Hilbert polynomial of the intersection curve  $\mathcal{C}$ . What is the degree of this curve?

## Solution 1

1. The polynomial  $f$  being nonzero, it is a nonzero divisor in  $R$ . Since  $\mathcal{H}$  and  $\mathcal{Q}$  cut out a curve then  $f$  and  $g$  have no common factors (otherwise  $\mathcal{H}$  and  $\mathcal{Q}$  would have a surface as a common component of dimension 2). Now, if  $h$  and  $k$  are polynomials in  $R$  such that  $hf + kg = 0$  we deduce that  $f$  divides  $k$ , which means that  $g$  is not a zero divisor in  $R/(f)$ .
2. Since  $(f, g)$  is a regular sequence in  $R$ , its associated Koszul complex is a F.F.R. of  $R/I$ :

$$0 \rightarrow R(-5) \xrightarrow{\begin{pmatrix} -g \\ f \end{pmatrix}} R(-3) \oplus R(-2) \xrightarrow{(f,g)} R.$$

It is clearly a minimal resolution.

3. Using the F.F.R. of  $R/I$  we get:

$$\begin{aligned} \text{HP}(R/I, t) &= \text{HP}(R, t) - \text{HP}(R, t-2) - \text{HP}(R, t-3) + \text{HP}(R, t-5) \\ &= \binom{t+3}{3} - \binom{t+1}{3} - \binom{t}{3} + \binom{t-2}{3} \\ &= 6t - 3. \end{aligned}$$

The curve is of degree 6.

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**Exercise 2** Let  $k$  be a commutative ring and  $f_1, \dots, f_n$  be  $n$  homogeneous polynomials in  $k[x_1, \dots, x_n]$  of degree  $d_1, \dots, d_n \geq 1$  respectively. Moreover, suppose given  $n$  homogeneous polynomials  $g := (g_1, \dots, g_n)$  in  $k[x_1, \dots, x_n]$  of the same degree  $d \geq 1$ . The goal of this exercise is to prove that the following equality holds in  $k$ :

$$\text{Res}(f_1 \circ g, \dots, f_n \circ g) = \text{Res}(g_1, \dots, g_n)^{d_1 d_2 \dots d_n} \text{Res}(f_1, \dots, f_n)^{d^{n-1}}$$

1. Justify that it is enough to prove the above formula over a universal ring of coefficients. Describe this ring.
2. Show that there exists an integer  $N$  such that for all  $i = 1, \dots, n$

$$g_i^N \operatorname{Res}(f_1, \dots, f_n) \in (f_1 \circ g, \dots, f_n \circ g).$$

3. Deduce that

$$\operatorname{Res}(f_1 \circ g, \dots, f_n \circ g) = \varepsilon \operatorname{Res}(g_1, \dots, g_n)^\lambda \operatorname{Res}(f_1, \dots, f_n)^\mu$$

with  $\lambda, \mu$  positive integers and  $\varepsilon = \pm 1$ .

4. Conclude with the help of the specialization  $f_j \mapsto u_j x_j^{d_j}$ ,  $g_j \mapsto v_j x_j^{d_j}$  for all  $j$ .
5. Make explicit the behavior of the resultant under a linear change of coordinates.

### Solution 2

1. By definition, the resultant is a universal object: it is first defined in the universal setting and then defined over any commutative by specialization (there is always a ring map from  $\mathbb{Z}$  to any commutative ring). In our setting, the universal ring  $A$  is the polynomial ring built from the coefficients of the  $f_i$ 's and  $g_j$ 's over the ring integers.
2. The resultant is an inertia form, so there exists  $N$  such that for all  $i = 1, \dots, n$  we have

$$x_i^N \operatorname{Res}(f_1, \dots, f_n) \in (f_1(x_1, \dots, x_n), \dots, f_n(x_1, \dots, x_n)).$$

Substituting  $x_i$  by  $g_i(x_1, \dots, x_n)$  in the above equality gives the claimed relation (notice that the resultant belongs to  $A$  and hence does not depend on the  $x_i$ 's).

3. Using the relations obtained in the previous question and the divisibility property of the resultant, we get that

$$\operatorname{Res}(f_1 \circ g, \dots, f_n \circ g) \text{ divides } \operatorname{Res}(g_1^N \operatorname{Res}(f_1, \dots, f_n), \dots, g_n^N \operatorname{Res}(f_1, \dots, f_n))$$

in  $A$ . But since  $\operatorname{Res}(f_1, \dots, f_n) \in A$ , by homogeneity and multiplicativity of the resultant we have

$$\begin{aligned} \operatorname{Res}(g_1^N \operatorname{Res}(f_1, \dots, f_n), \dots, g_n^N \operatorname{Res}(f_1, \dots, f_n)) &= \operatorname{Res}(f_1, \dots, f_n)^{n(Nd)^{n-1}} \operatorname{Res}(g_1^N, \dots, g_n^N) \\ &= \operatorname{Res}(f_1, \dots, f_n)^{n(Nd)^{n-1}} \operatorname{Res}(g_1, \dots, g_n)^{N^n}. \end{aligned}$$

Now, since we are in the universal setting, over  $A$ ,  $\operatorname{Res}(f_1, \dots, f_n)$  and  $\operatorname{Res}(g_1, \dots, g_n)$  are both irreducible polynomials that are moreover coprime (they do not depend on the same variables). It follows that

$$\operatorname{Res}(f_1 \circ g, \dots, f_n \circ g) = \varepsilon \operatorname{Res}(g_1, \dots, g_n)^\lambda \operatorname{Res}(f_1, \dots, f_n)^\mu \tag{1}$$

for some non negative integers  $\lambda, \mu$  and an invertible element  $\varepsilon$  in  $\mathbb{Z}$ .

4. Using this specialization, (1) yields the equality

$$\operatorname{Res}(u_1 v_1^{d_1} x_1^{dd_1}, \dots, u_n v_n^{d_n} x_n^{dd_n}) = \varepsilon \operatorname{Res}(v_1 x_1^{d_1}, \dots, v_n x_n^{d_n})^\lambda \operatorname{Res}(u_1 x_1^{d_1}, \dots, u_n x_n^{d_n})^\mu.$$

Applying the homogeneity and multiplicativity properties of the resultant we get

$$\prod_i (u_i v_i^{d_i})^{d^{n-1} \frac{d_1 \dots d_n}{d_i}} = \varepsilon \left( \prod_i v_i^{d^{n-1}} \right)^\lambda \left( \prod_i u_i^{\frac{d_1 \dots d_n}{d_i}} \right)^\mu,$$

so we deduce that  $\varepsilon = 1$ ,  $\mu = d^{n-1}$  and  $\lambda = d_1 \dots d_n$ .

5. If the  $g_i$ 's are linear forms  $g_i = \sum_{j=1}^n a_{i,j}x_j$  then we know that

$$\text{Res}(g_1, \dots, g_n) = \det(a_{i,j})_{i,j=1,\dots,n}.$$

In this case, we get

$$\text{Res}(f_1 \circ g, \dots, f_n \circ g) = \det(a_{i,j})^{d_1 d_2 \dots d_n} \text{Res}(f_1, \dots, f_n).$$

The resultant is said to be invariant under a linear change of coordinates.

**Exercise 3** We suppose given  $n + 1$  homogeneous polynomials  $f_0, \dots, f_n$  in  $R = \mathbb{C}[s, t]$  of the same degree  $d \geq 1$ . We denote by  $I$  the ideal generated by  $f_0, \dots, f_n$  and we assume that  $V(f_0, \dots, f_n) = \emptyset$ .

1. Show that  $R/I$  admits a finite free resolution of the form

$$0 \rightarrow \bigoplus_{i=1}^n R(-d - \mu_i) \rightarrow R^{n+1}(-d) \rightarrow R$$

where the  $\mu_i$ 's are non negative integers such that  $\sum_{i=1}^n \mu_i = d$ .

2. We consider the curve  $\mathcal{C} \in \mathbb{P}^3$  which is obtained as the image of the parameterization

$$\begin{aligned} \mathbb{P}^1 &\rightarrow \mathbb{P}^3 \\ (s : t) &\mapsto (s^3 : s^2t : st^2 : t^3). \end{aligned}$$

Define  $f_0 = s^3, f_1 = s^2t, f_2 = st^2$  and  $f_3 = t^3$ . Give the finite free resolution of  $R/I$  in this particular case and provide the maps.

3. Denoting by  $x_0, \dots, x_3$  the coordinates in  $\mathbb{P}^3$ , describe the equations in  $R[x_0, \dots, x_3]$  of the symmetric algebra  $\text{Sym}_A(I)$  of  $I$  from the syzygies obtained in the previous question.
4. Admitting that the annihilator over  $A = k[x_0, \dots, x_3]$  of the graded component of  $\text{Sym}_A(I)$  of degree  $\nu \geq 1$  with respect to  $s, t$  yields the defining ideal of  $\mathcal{C}$ , build a  $2 \times 3$ -matrix with entries in  $A$  that could serve as an implicit representation of this curve. Explain what this means.

### Solution 3

1. We proceed as in the lectures where we proved this result for  $n = 2$ . The syzygy module of  $I$  is free because of Hilbert Syzygy Theorem. It follows that  $R/I$  has a F.F.R. of the form

$$0 \rightarrow \bigoplus_{i=1}^N R(-d - \mu_i) \rightarrow \bigoplus_{i=0}^n R(-d) \rightarrow R.$$

Now, as  $V(I) = \emptyset$  the Hilbert polynomial of  $R/I$  is the null polynomial. It follows that

$$\begin{aligned} 0 = \text{HP}(R/I, t) &= \text{HP}(R, t) - \sum_{i=0}^n \text{HP}(R(-d), t) + \sum_{i=1}^N \text{HP}(R(-d - \mu_i)) \\ &= (t + 1) - (n + 1)(t - d + 1) + \sum_{i=1}^N (t - d - \mu_i + 1) \\ &= (N - n)t + 1 + (n + 1 - N)(d - 1) - \sum_{i=1}^N \mu_i. \end{aligned}$$

This implies that  $N = n$  and then that  $d = \sum_{i=1}^n \mu_i$ . Notice that if the  $f_i$ 's are linearly independent then  $\mu_i > 0$  for all  $i$ , otherwise we have a syzygy with constant coordinates.

- For this particular example, we have  $\sum_{i=1}^3 \mu_i = 3$  and  $\mu_i \geq 1$  for all  $i$  because  $f_0, \dots, f_3$  are clearly linearly independent. So we need to find three syzygies of degree 1 which are linearly independent. Looking at the definition of the  $f_i$ 's, it appears that  $tf_i - sf_{i+1} = 0$  for all  $i = 0, 1, 2$ . These three syzygies are clearly linearly independent and hence they provide a basis of the syzygy module of  $I$ .
- Following the lectures, the equations of the symmetric algebra are obtained by writing syzygies and replacing the  $f_i$ 's by the  $x_i$ 's. Here we get:

$$L_i = tx_i - sx_{i+1}, \quad i = 0, 1, 2.$$

It follows that  $\text{Sym}_R(I) = R[x_0, x_1, x_2, x_3]/(L_0, L_1, L_2)$ .

- By taking the component of degree 1 with respect to  $s, t$  of  $\text{Sym}_R(I)$ , we get

$$\oplus_{i=0}^2 R_{1-\mu_i}[x_0, \dots, x_3](-1) \xrightarrow{(L_0, L_1, L_2)_1} R_1[x_0, \dots, x_3] \rightarrow \text{Sym}_R(I)_1 \rightarrow 0$$

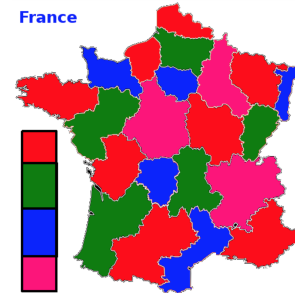
where the matrix is given by

$$\begin{pmatrix} -x_1 & -x_2 & -x_3 \\ x_0 & x_1 & x_2 \end{pmatrix}.$$

The variety cut out by the 2-minors of this matrix is exactly the curve  $\mathcal{C}$  (which is known as the twisted cubic).

#### Exercise 4

The famous ‘‘Four Color Theorem’’ shows that only four colors are needed to color planar map so that no bordering regions have the same color. Typical examples are a colored world map, a colored map of the states of the USA, or a colored map of the French regions (see the side picture). In this exercise, we will provide a method to determine if three colors are sufficient for a particular map.



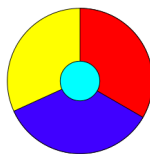
- Could you provide a simple planar map to illustrate that three colors are not always enough to color it so that no bordering regions have the same color?
- The three colors are represented by a complex cubic root of the unit and each region is represented by a variable  $x_i$ . Justify that for each region we have the polynomial equation

$$x_i^3 - 1 = 0.$$

- Let  $x_j$  and  $x_k$  be two neighboring regions. As neighboring regions cannot have the same color, show that  $x_j$  and  $x_k$  must satisfy a polynomial equation of degree 2. (Hint: use that  $x_j^3 - x_k^3 = 0$ ).
- Deduce from the previous questions that there exists a polynomial system such that a map with  $n$  regions can be colored with three colors if and only if there exists at least one solution to this polynomial system.
- Given a particular map, explain how you would use a computer algebra system to determine if it can be colored with three colors.

### Solution 4

1. It is easy to design small maps that cannot be colored with three colors. An example (from wikipedia):



2. The equation  $x_i^3 = 1$  has three distinct complex roots  $\{1, j, j^2\}$  that can be bijectively associated to three colors.
3. If  $x_j$  and  $x_k$  are two neighboring regions then the variables  $x_j$  and  $x_k$  are not allowed to take the same value. Since

$$0 = x_j^3 - x_k^3 = (x_j - x_k)(x_j^2 + x_j x_k + x_k^2)$$

we deduce that the polynomial  $x_j^2 + x_j x_k + x_k^2$  vanishes if and only if  $x_j \neq x_k$ , always under the assumption that  $x_j^3 = 1$  and  $x_k^3 = 1$ .

4. For all  $i$  we set  $f_i = x_i^3 - 1$  and for all couple  $(j, k)$  we set  $g_{j,k} = x_j^2 + x_j x_k + x_k^2$ . These are polynomials in  $\mathbb{C}[x_1, \dots, x_n]$ . Consider the algebraic affine variety  $V$  defined by all the  $f_i$ 's and the  $g_{j,k}$ 's such that  $x_j$  and  $x_k$  are neighboring regions. We deduce that the map can be colored with three colors, so that no bordering regions have the same color, if and only if  $V \neq \emptyset$ .
5. To conclude, by Hilbert Nullstellensatz we have to decide if  $1 \in I$ , where  $I$  is the ideal generated by the equations defining  $V$ . This can be done by computing a Grobner basis of  $I$ , a task that can/must be done with a computer algebra system.

**Exercise 5** Let  $M$  be a finitely generated  $A$ -module, show that if  $M$  is generated by  $q$  elements then

$$\text{ann}_A(M)^q \subset \mathcal{F}_0(M) \subset \text{ann}_A(M).$$

### Solution 5

Choose a presentation of  $M$ :

$$A^r \xrightarrow{(a_{i,j})} A^q \xrightarrow{(x_1, \dots, x_q)} M \rightarrow 0. \quad (2)$$

Notice that one can always assume  $r \geq q$  since one can easily add trivial columns to the matrix  $(a_{i,j})$ . Let  $N$  be a  $q \times q$  submatrix of  $(a_{i,j})$ . Then

$$N^T \begin{pmatrix} x_1 \\ \vdots \\ x_q \end{pmatrix} = 0.$$

Multiplying this equality on the left by the matrix of cofactors of  $N^T$  we get that  $\det(N)x_i = 0$  for all  $i$ , from we deduce that  $\mathcal{F}_0(M) \subset \text{ann}_A(M)$ .

Now, let  $a \in \text{ann}_A(M)$ . Starting from (2), we have the following presentation of  $M$ :

$$A^q \oplus A^r \xrightarrow{(a \cdot \text{Id}_q | a_{i,j})} A^q \xrightarrow{(x_1, \dots, x_q)} M \rightarrow 0$$

where  $a \cdot \text{Id}_q$  is the diagonal matrix where all the diagonal elements are equal to  $a$ . Thus, for all integer  $\nu \geq 0$  we have (Fitting ideals are independent on the choice of the presentation matrix)

$$\det_{q-\nu+1}(a_{i,j}) = \det_{q-\nu+1}(a \cdot \text{Id}_q | a_{i,j}) \supset a \cdot \det_{q-\nu}(a_{i,j}).$$

It follows that for all  $\nu \geq 1$

$$\text{ann}_A(M) \mathcal{F}_\nu(M) \subset \mathcal{F}_{\nu-1}(M). \quad (3)$$

To conclude, we use (3) iteratively to get that

$$\text{ann}_A(M)^q \mathcal{F}_q(M) \subset \text{ann}_A(M)^{q-1} \mathcal{F}_{q-1}(M) \subset \dots \subset \mathcal{F}_0(M)$$

and we observe that  $\mathcal{F}_q(M) = A$  since  $M$  is generated by  $q$  elements and that  $\mathcal{F}_0(M) \subset \text{ann}_A(M)$ , as already proved.