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# Directed virtual path layouts in ATM networks <sup>☆</sup>

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## Abstract

Motivated by asynchronous transfer mode in telecommunication networks, we investigate the problem of designing a directed virtual topology on a directed physical topology, which consists in finding a set of *directed virtual paths (VPs)* satisfying some constraints in terms of *load* (the number of VPs sharing a physical link) and *hop count* (the number of VPs used to establish a connection). For both general and particular networks, such as paths, cycles, meshes, tori and trees, we derive tight bounds on the *virtual diameter* (the maximum hop count for a connection) as a function of the *network capacity* (the maximum load of a physical link). © 2002 Elsevier Science B.V. All rights reserved.

*Keywords:* ATM; Virtual path layout; Diameter; Embedding; Load

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## 1. Introduction

Fiber optics have revolutionized the structure of communication networks and presented a need for a new comprehensive solution for handling many different types of traffic. A common solution for this problem is the *asynchronous transfer mode (ATM)* [11,23]. Data is transferred in ATM in fixed length packets termed *cells*. Each cell is routed independently according to two routing fields at the cell header, called the *virtual channel identifier (VCI)* and the *virtual path identifier (VPI)*. This method

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effectively creates two types of predefined simple routes in the network, namely, routes based on VPIs, called *virtual paths (VPs)*, and routes based on VCIs and VPIs, called *virtual channels (VCs)*. The latter are used for connecting network users (e.g., by telephone calls), whereas the former are used for network management, and particularly for routing VCs. The route of a VC is formed as a concatenation of a number of VPs.

A major problem in this framework is the one of defining the set of VPs in such a way that some good properties are achieved.

- (1) A capacity (or bandwidth) is assigned to each VP. The sum of the capacities of the VPs that share a physical link constitutes the *load* of this link. Naturally, this load must not exceed the link's capacity, namely, the amount of data it can carry. The sum of the capacities of all the physical links is a major component in the cost of the network, and should be kept as low as possible.
- (2) The maximum number of VPs in a virtual channel, termed *hop count* in the literature, should also be kept as low as possible so as to guarantee low set up times for the virtual channels and high data transfer rates.

In its most general formulation, the *virtual path layout (VPL)* problem is an optimization problem in which, given a certain communication demand between pairs of nodes and constraints on the maximum load and hop count, it is first required to design a system of virtual paths satisfying the constraints and then minimizing some given function of the load and hop count.

We employ a restricted model similar to the one presented by Cidon et al. in [19]. In particular, we assume that all VPs have equal capacities, normalized to 1. Hence the load of a physical link is simply the number of VPs that share this link.

Although links based on optical fibers and cables are directed, traditional research uses an undirected model. Indeed, this model imposes the requirement that if there exists a VP from  $u$  to  $v$  then there exists also a VP from  $v$  to  $u$ . In fact, that is the way ATM networks are implemented at the present time. However, the two VPs (the one from  $u$  to  $v$  and the one in the other direction) do not need to have the same capacity. Indeed, in many applications the flows on the VPs are not equal. For example, in a video application where  $u$  is a server and  $v$  a consumer there is a VP from  $u$  to  $v$  using a large capacity (transmission of video data) and a VP from  $v$  to  $u$  used only for control or acknowledgments with a very small capacity which can be considered as negligible. Therefore, it seems more reasonable to use a directed model like the one introduced by Chanas and Goldschmidt in [9]. This would allow us to model the situation described above by a single VP of capacity 1 in the main direction.

We focus on the *all-to-all problem* (all pairs of nodes are equally likely to communicate). Thus, the resulting maximum hop count can be viewed as the *diameter* of the graph induced by the VPs.

More formally, given a communication network, the VPs form a virtual directed graph (*digraph*) on the top of the physical one, with the same set of vertices but with a different set of arcs. (Specifically, a VP from  $u$  to  $v$  is represented by an arc from  $u$  to  $v$  in the virtual digraph.) This virtual digraph provides a *directed virtual path layout (DVPL)* for the physical graph. Each VC can be viewed as a simple dipath in the virtual digraph. Therefore, a central problem is to find a tradeoff between the maximum load and the virtual diameter. In this article, we consider the following problem:

Given a capacity on each physical arc, minimize the diameter of an admissible virtual graph (a virtual digraph that does not load an arc more than its capacity) *Related work*. The problem has been considered in the undirected case, for example, in [14,15,17,18,19,24,30]. Observe that the undirected and symmetric directed models are tightly coupled. Specifically, any solution of the undirected case with load  $c$  can be transformed into a solution for directed case with load  $c$ . Conversely, any solution of the directed case with load  $c$  can be transformed into a solution for the undirected case with load  $2c$ .

However, in general both of these transformations do not yield optimal results, neither for lower or upper bounds. This is mainly because optimal solutions for the directed case are far from being symmetric. Hence specialized methods are required for obtaining optimal solutions in either of the two models.

As an example, consider the case  $c=1$ . In the undirected case, the only feasible solution is to take the original edges as virtual paths of length 1, and the resulting virtual diameter is the diameter of the original graph. For example, for an undirected cycle with  $c=1$ , this results in a virtual diameter of  $n/2$ . So merely applying the above transformation will yield an upper bound of  $n/2$  for the directed case as well. In contrast, using a direct derivation we obtain a tight solution for this case which has a considerably lower diameter bound, namely,  $2\sqrt{2n}$ . As another example, for cycles, the results of [1] or [13] combined with the above transformation yield upper bounds of order  $n^{1/c}$ , whereas here we obtain a (tight) bound of  $n^{1/2c}$ .

The problem of minimizing the maximum load over all VPL with bounded hop-count is studied in [16,2,10], and minimizing also the average load is considered in [18]. The one-to-many problem is handled in [16,18], where the focus is on minimizing the eccentricity of the virtual graph from a special point called the root (this problem is the *rooted virtual path layout problem*) rather than minimizing the diameter of the virtual graph. A duality in the chain network between the problem of minimizing the hop-count knowing the maximum load, and the one of minimizing the load, knowing the maximum hop-count, is established in [16]. The reader can find an excellent survey of the results in the undirected model in [31].

The techniques involved in our constructions bear a certain resemblance to various embedding techniques used previously in the context of parallel computing, in order to implement a useful virtual architecture on a given practical machine topology (cf. [28,22]). The parameters of interest in such embeddings are the number of virtual processors mapped onto any physical processor, the load on the physical links, and the dilation of the embedding, namely, the maximum length of the physical path corresponding to a given virtual link. The relevant concerns in our context are somewhat different, as dilation is of no consequence, and on the other hand, we have the freedom of designing the virtual topology as desired, in order to optimize its diameter.

*Our results*. The following table summarizes our results, giving lower and upper bounds on the virtual diameter (the minimum diameter of an admissible virtual digraph) as a function of the number of vertices  $n$  in the physical graph, its diameter  $D_G$ , its maximum in- and out-degree  $d$ , and the capacity  $c$  considered as a constant. The results mentioned for the path in the special case  $c=1$  are due to [7,8].

Graph $G$	Capacity	Lower bound	Upper bound
General graph	$c = o(n)$	$\frac{\log n}{\log(cd)} - 1$	$D_G$ $\mathcal{O}(c \cdot n^{1/(2c-1)})$ $\mathcal{O}(D_G^{1/(2c-1)} \cdot \log n)$
Path $P_n$	$c = 1$ $c = o(n)$	$\frac{n}{2} + \log n - \mathcal{O}(1)$ $n^{1/(2c-1)}/2$	$\frac{n}{2} + \log n$ $\mathcal{O}(c \cdot n^{1/(2c-1)})$
Cycle $C_n$	$c = 1$ $c = o(n)$	$2\sqrt{2n} + \mathcal{O}(1)$ $n^{1/2c}/2$	$2\sqrt{2n} + 1$ $4c(\frac{n}{2})^{1/2c} + 1$
Torus $TM(a, b), a \leq b$	$c = o(n)$	$\Omega((a \cdot b)^{1/2ac})$	$\mathcal{O}(a \cdot b^{1/2ac})$
Mesh $M(a, b), \log b \leq a \leq b$	$c = o(n)$	$\Omega(\log n)$	$\mathcal{O}(\log n)$
Arbitrary tree $T$	$c = o(n)$	$D_T^{1/(2c-1)}/2$	$10c \cdot n^{1/(2c-1)}$ $\mathcal{O}(c \cdot D_T^{1/(2c-1)} \cdot \log n)$
Complete $k$ -ary tree $T$	$c = 2, k = 2$	$h$ ( $h$ even) $h - 1$ ( $h$ odd)	$h + 1$
$h = \text{depth}(T)$	$c = o(n)$	$2 \lfloor \frac{h-1}{\lfloor \log_k c \rfloor + 1} \rfloor + 1$	$2 \lfloor \frac{h}{\lfloor \log_k c + 1 \rfloor} \rfloor + 2$

## 2. The model

A physical network is represented by a *capacitated digraph*  $G = (V, E, c)$ , that is a directed graph with vertex set  $V$  and arc set  $E$ , together with a positive integral capacity function  $c$  on the set of arcs. We always denote by  $n$  the number of vertices and in this paper we mostly consider constant capacity functions, i.e.  $\forall e \in E, c(e) = c_0$ .

The network formed by a set of VPs is represented by a digraph  $H = (V, E')$  together with a layout  $P$  assigning to each arc  $e' = (x, y) \in E'$  a simple directed path (dipath)  $P(e')$  connecting  $x$  to  $y$  in  $G$ . In our terminology, the pair  $(H, P)$  is a *virtual digraph on  $G$* , an arc of  $H$  is a *virtual arc*, and the dipath  $P(e')$  in  $G$  associated with a virtual arc  $e'$  is a *virtual dipath (VP)*.

The *load* of an arc  $e$  of  $G$  is the number of virtual dipaths containing the arc  $e$ , that is,  $l(e) = \#\{e' \in E' \mid e \in P(e')\}$ . A virtual digraph  $(H, P)$  satisfying the requirement  $\forall e \in E, l(e) \leq c(e)$  is referred to as a  *$c$ -admissible Directed Virtual Paths Layout of  $G$* , shortly denoted  *$c$ -DVPL of  $G$* . The aim is to design  *$c$ -DVPL of  $G$*  with minimum hop-count, i.e., to find a virtual digraph with minimum diameter.

For any digraph  $F$ ,  $d_F(x, y)$  denotes the distance from  $x$  to  $y$  in  $F$ , and  $D_F$  denotes diameter of  $F$ . The *virtual diameter*,  $\tilde{D}(G, c)$ , of the digraph  $G$  with respect to the capacity  $c$ , is the minimum of  $D_H$  over all the  *$c$ -DVPL  $H$  of  $G$* .

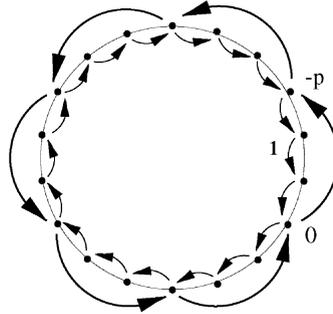


Fig. 1. Example of DVPL: the cycle, capacity 1.

In Fig. 1,  $G$  consists of the symmetric directed cycle  $C_n$ . The virtual graph  $H$  consists of arcs  $(i, i+1)$  in the clockwise direction and arcs  $(ip, (i-1)p)$  in the opposite direction (assuming that  $p$  divides  $n$ ).  $H$  is in fact a circuit-bracelet (see details in Section 3.2). The load of every arc of  $C_n$  is 1. Choosing  $p = \sqrt{n/2}$  gives good DVPL with diameter at most  $2\sqrt{2n} + 1$ .

### 3. The cycle $C_n$

In this section the physical digraph  $G$  is  $C_n$ , the symmetric directed cycle of length  $n$ . We choose arbitrarily a direction on  $C_n$ . For concreteness, consider as positive, or forward (resp., negative or backward) the clockwise (resp., counterclockwise) direction. We assume that  $\forall e \in E, c(e) = c^+$  if  $e$  is a forward arc and  $c(e) = c^-$  if  $e$  is a backward arc, for some constant nonnegative integers  $c^+, c^-$ .

It turns out that our bounds can be expressed as functions of  $\sigma = c^+ + c^-$ . It is then convenient to define  $ub_C(n, \sigma)$  (resp.,  $lb_C(n, \sigma)$ ) as an upper bound (resp., lower bound) on  $\tilde{D}(C_n, c)$  valid if  $c$  satisfies  $c^+ + c^- = \sigma$ . By the definition,  $lb_C(n, \sigma) \leq \tilde{D}(C_n, c) \leq ub_C(n, \sigma)$ .

#### 3.1. The general case

##### Proposition 1.

$$\frac{n^{1/\sigma}}{2} \leq \tilde{D}(C_n, c) \leq 2\sigma \left\lceil \left(\frac{n}{2}\right)^{1/\sigma} \right\rceil - 2\sigma + 1 < 2\sigma \left(\frac{n}{2}\right)^{1/\sigma} + 1.$$

The results of [1] or those of [13] for the undirected case (see the survey of Zaks [31]) yield upper bounds of  $\mathcal{O}(n^{1/c})$  for the directed case. In contrast, here we obtain a (tight) bound of  $\mathcal{O}(n^{1/2c})$ .

Upper and lower bounds are both proved by induction from the next two lemmas.

**Lemma 1.**  $lb_C(n, \sigma) \geq \min_{p \in \mathbb{N}^+} \{\max(n/2p, lb_C(p, \sigma - 1))\}$ .

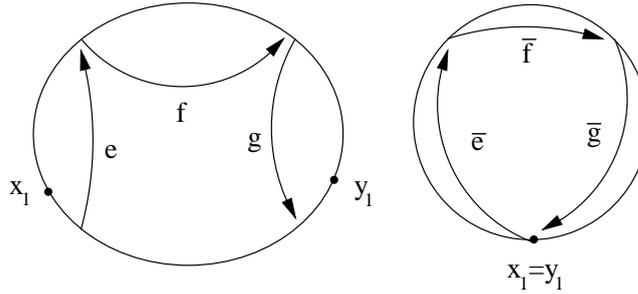


Fig. 2. Collapsing a cycle.

**Proof.** Let  $H$  be an optimal  $c$ -DVPL of  $C_n$  and let  $[x_1, y_1]^+$  be the dipath consisting of all the vertices of  $C_n$  between  $x_1$  and  $y_1$  in the positive direction. Let  $d^+(x_1, y_1)$  denote the number of arcs in  $[x_1, y_1]^+$ . We say that  $[x_1, y_1]^+$  is covered by  $H$  if (the VP corresponding to) some virtual arc  $e'$  contains  $[x_1, y_1]^+$ .

First we prove that if  $[x_1, y_1]^+$  is covered by  $e'$  then  $D_H \geq lb_C(d^+(x_1, y_1), \sigma - 1)$ . For this, we shorten the cycle by identifying all the nodes in  $[y_1, x_1]^+$  with  $x_1$ , obtaining a cycle  $C'$  of length  $d^+(x_1, y_1)$ . Virtual arcs are just transformed according to this graph quotient (see Fig. 2). As an example a virtual arc from  $x \in [x_1, y_1]^+$  to  $y \in [x_1, y_1]^+$  is left unchanged; and a virtual arc from  $x \in [x_1, y_1]^+$  to  $y \in [y_1, x_1]^+$  is transformed into the arc  $(x, x_1)$ . Note that the virtual arc containing the positive arcs of  $[x_1, y_1]^+$  is transformed into a loop. We also remove loops or multiple virtual dipaths in order to get a simple DVPL on  $C'$ .

This transformation does not increase the load of any arc; furthermore the virtual arc  $e'$  that contained  $[x_1, y_1]^+$  disappears, so the congestion of any positive arc decreases. Moreover, our transformation does not increase the virtual diameter.

Consequently, we obtain a  $c'$ -DVPL of  $C'$  (a cycle of length  $d^+(x_1, y_1)$ ) with  $c'^+ + c'^- = \sigma - 1$ , and diameter at most  $D_H$ . It follows that

$$D_H \geq lb_C(d^+(x_1, y_1), \sigma - 1). \quad (1)$$

Now we argue that there exist vertices  $u$  and  $v$  with large  $d^+(u, v)$  such that  $[u, v]^+$  is covered. Let  $\mathcal{P}$  be the shortest dipath in  $H$  from 0 to  $n/2$ , and assume w.l.o.g. that  $\mathcal{P}$  contains the arcs of  $[0, n/2]^+$ . Let  $\mathcal{S}$  denote the set of vertices of  $\mathcal{P}$  between  $x$  and  $y$  in the positive direction. Then  $|\mathcal{S}| \leq D_H + 1$ , and therefore there exist vertices  $u$  and  $v$  such that  $[u, v]^+$  is covered and with

$$d^+(u, v) \geq \frac{n}{2D_H}. \quad (2)$$

Let  $p = \max\{d^+(u, v) \mid [u, v]^+ \text{ is covered}\}$ . From (2) we have  $D_H \geq n/2p$ , and from (1) it follows that  $D_H \geq lb_C(p, \sigma - 1)$ .  $\square$

**Lemma 2.**  $ub_C(n, \sigma) \leq \min_{p \in \mathbb{N}^+} \{2(p - 1) + ub_C(\lceil \frac{n}{p} \rceil, \sigma - 1)\}$ .

**Proof.** Let us construct a  $c$ -DVPL on  $C_n$ . Without loss of generality suppose that  $c^+ \geq c^-$ , so  $c^+ \neq 0$ . Let  $p \in N^+$ , we proceed as follows.

- Use  $n$  virtual arcs  $(i, i + 1)_{i \in [0, n-1]}$  of dilation 1 in the positive direction.
- Let  $S$  be the set of vertices  $\{0, -p, -2p, \dots, -(\lceil n/p \rceil - 1)p\}$ , and note that vertices of  $S$  form a cycle  $C_{\lceil n/p \rceil}$ .
- Use an optimal  $c'$ -DVPL for  $C_{\lceil n/p \rceil}$  with  $c'^+ = c^+ - 1$ , and  $c'^- = c^-$ , that is  $c'^+ + c'^- = \sigma - 1$ .

We denote by  $\Delta(S)$  the diameter of the set  $S$ , that is, the maximal distance between any two vertices in  $S$ , and by  $d(S, x)$  (resp.,  $d(x, S)$ ) the minimum distance from  $x$  to any vertex of  $S$  (resp., from any vertex of  $S$  to  $x$ ). By construction  $\Delta(S)$  is at most  $ub_C(\lceil n/p \rceil, \sigma - 1)$ ; moreover, for any vertex  $x$ , we have  $d(S, x) \leq p - 1$  and  $d(x, S) \leq p - 1$ . Hence

$$d(x, y) \leq d(S, x) + d(y, S) + \Delta(S) \leq 2(p - 1) + ub_C\left(\left\lceil \frac{n}{p} \right\rceil, \sigma - 1\right). \quad \square$$

**Proof (Proposition 1).** First we consider the lower bound. We prove by induction on  $\sigma$  that  $lb_C(n, \sigma) \geq \frac{1}{2}n^{1/\sigma}$ . For the initial case we have  $lb_C(n, 1) = n - 1 \geq n/2$ . Now to go from  $\sigma - 1$  to  $\sigma$  we use Lemma 1 which states that  $lb_C(n, \sigma) \geq \min_{p \in N^+} \max(n/2p, \frac{1}{2}p^{1/(\sigma-1)})$ . An elementary analysis shows that  $\max(n/2p, \frac{1}{2}p^{1/(\sigma-1)}) \geq \frac{1}{2}n^{1/\sigma}$  attained for  $p = n^{1-1/\sigma}$ . Hence  $lb_C(n, \sigma) \geq \frac{1}{2}n^{1/\sigma}$  and the proof is completed.

Now, we prove the upper bound. First we show by induction on  $\sigma$  that for  $n = 2a^\sigma$ ,  $a \in N$ ,  $ub_C(n, \sigma) \leq 2\sigma(n/2)^{1/\sigma} - 2\sigma + 1 = 2\sigma a - 2\sigma + 1$ . For  $\sigma = 1$ ,  $ub_C(n, 1) \leq n - 1$  is true. For the inductive step from  $\sigma - 1$  to  $\sigma$ , we apply Lemma 2 with  $p = a$ , getting  $ub_C(n, \sigma) \leq 2(a - 1) + ub_C(2a^{\sigma-1}, \sigma - 1)$ . By induction,  $ub_C(2a^{\sigma-1}, \sigma - 1) \leq 2(\sigma - 1)a - 2(\sigma - 1) + 1$ ; so we get the expected result.

For other values of  $n$ , the claim is proved as follows. Let  $a = \lceil (n/2)^{1/\sigma} \rceil$   $a$  is such that  $n \leq 2a^\sigma$ . As  $ub_C$  is an increasing function on  $n$ , we obtain  $ub_C(n, \sigma) \leq 2\sigma a - 2\sigma + 1 = 2\sigma \lceil (n/2)^{1/\sigma} \rceil - 2\sigma + 1$ . As  $a < (n/2)^{1/\sigma} + 1$ , this implies  $ub_C(n, \sigma) < 2\sigma(n/2)^{1/\sigma} + 1$ . □

In particular we get

**Corollary 1.** *If  $c^+ = c^- = c$  then*

$$\frac{n^{1/2c}}{2} \leq \tilde{D}(C_n, c) < 4c \left(\frac{n}{2}\right)^{1/2c} + 1.$$

### 3.2. The case $c = 1$

In the case of capacity 1 we have been able to determine  $\tilde{D}(C_n, 1)$  up to an additive constant.

**Proposition 2.**

$$2\sqrt{2n} - \mathcal{O}(1) \leq \tilde{D}(C_n, 1) \leq 4 \left\lceil \sqrt{\frac{n}{2}} \right\rceil - 3 < 2\sqrt{2n} + 1.$$

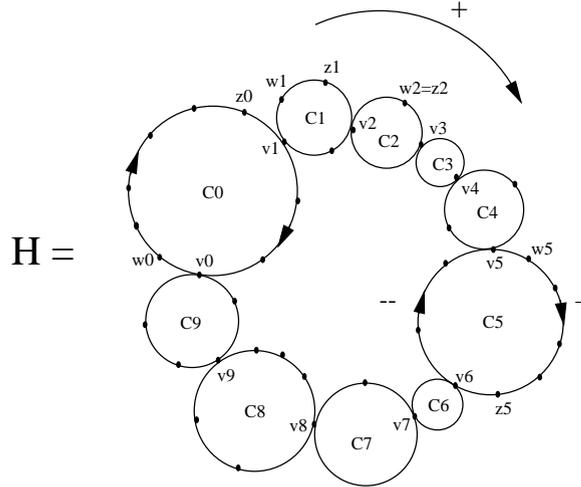


Fig. 3. A circuit-bracelet.

The upper bound is the one given for the general case. We conjecture that this bound is tight. It would be desirable to obtain a simpler argument that could extend to higher capacities.

Note also that using Lemma 1 from the starting condition  $lb_C(n, 2) \geq 2\sqrt{2n} + \Theta(1)$  would slightly improve the lower bound on  $lb_C(n, \sigma)$ . The lower bound proof requires some care so we first give some definitions.

Let  $H$  be an optimal virtual digraph on  $G$  with respect to the capacity 1. The following definitions are given for the positive direction, but similar notions apply for the negative direction as well.

- Definition 1.**
- The *forward successor* of a vertex  $x$  is denoted  $x^+$ ,
  - $[x, y]^+$  denotes the dipath from  $x$  to  $y$  in  $C_n$  in the positive direction,
  - a path  $Q = (e'_1, \dots, e'_q)$  from  $x$  to  $y$  in  $H$  is said to be *of type +* if  $[x, y]^+ \subset W(Q)$ . Where  $W(Q)$  is the route in  $G$  associated to the dipath  $Q$  in  $H$ .

**Definition 2.** A *circuit-bracelet* of size  $n$  is a digraph  $A$  of order  $n$  constructed as follows (see Fig. 3):

- The digraph is made of a set of cycles  $C_i$ ,  $i \in I$  directed in a clockwise manner.
- For any  $i$ ,  $C_i$  and  $C_{i+1 \bmod I}$  share a unique vertex  $v_{i+1 \bmod I}$ .
- The length of the dipath in  $C_i$  from  $v_{i-1}$  to  $v_i$  is denoted  $p_i$  and is called the *positive length* of  $C_i$ ; similarly, the length of the dipath in  $C_i$  from  $v_i$  to  $v_{i-1}$  is denoted  $n_i$  and is called the *negative length* of  $C_i$ .
- We denote the successor of  $v_i$  in  $C_i$  by  $w_i$ , and the ancestor of  $v_{i+1}$  in  $C_i$  by  $z_i$ .

Let  $f(n)$  be the minimal value of  $D_A$ , where  $A$  is any circuit-bracelet of size  $n$ . In the remaining section indices are taken modulo  $I$ .

**Lemma 3.**  $f(n) = \tilde{D}(C_n, 1)$ .

**Proof.** Notice that if an arc  $e$  of  $G$  is not used by a virtual dipath  $P(e')$  with  $e' \in E'$ , we add a virtual arc  $e'$  such that  $P(e') = (e)$ . This transformation can only decrease the diameter of  $H$ , which is of no consequence since we only seek for a lower bound on the virtual diameter. Using this manipulation, we know that  $\forall e \in E, \exists e' \in E'$  s.t.  $e \in P(e')$ . This implies

$$\sum_{e' \text{ arc of type-}} w(e') = \sum_{e' \text{ arc of type+}} w(e') = n. \quad (3)$$

Where  $w(e')$  is the *dilation* of a VP  $e'$ , i.e. the length of  $P(e')$ .

Now, we show that: *If  $e' = (x, y) \in E'$  is an arc of type + of dilation  $w(e') \geq 3$  then all the arcs of type - between  $y^-$  and  $x^+$  are of dilation 1.*

Since the capacity of any arc of  $G$  is 1, and there is already a virtual arc of type + between  $x$  and  $y$ , there is no virtual arc of type + ending at any vertex between  $x^+$  and  $y^-$ . Since  $H = (V, E')$  is strongly connected, there is at least one arc ending at each one of these vertices. These arcs are of type -. For the same reasons of capacity and connectivity, these virtual arcs are of dilation 1.

Due to this property it is easy to see that there exists a digraph isomorphism between  $H$  and a circuit-bracelet of size  $n$  (see Fig. 3).  $\square$

**Lemma 4.**  $f(n) = \Theta(\sqrt{n})$  and the total number of circuits in an optimal circuit-bracelet is also  $\Theta(\sqrt{n})$ .

**Proof.** By the construction of Lemma 2, there exists a regular circuit-bracelet with diameter at most  $2\sqrt{2n} + 1$ , so  $f(n) = \mathcal{O}(\sqrt{n})$ . Note that the size of any circuit in an optimal circuit-bracelet is at most  $f(n) + 2 = \mathcal{O}(\sqrt{n})$ , otherwise the distance from  $w_i$  to the second neighbor of  $v_i$  on the bigger cycle  $C_i$  is more than  $f(n)$ . Hence there are at least  $\Omega(\sqrt{n})$  circuits. Moreover the total number of circuits is less than  $2f(n) = \mathcal{O}(\sqrt{n})$ , otherwise there exist two vertices at distance more than  $f(n)$ . Thus  $f(n) = \Omega(\sqrt{n})$  and the lemma follows.  $\square$

We first prove Proposition 2 for the special case of a *regular circuit-bracelet*, namely, a circuit-bracelet satisfying  $n_i = 1$  for every  $i$ . The circuits of a regular circuit-bracelet all consist of a single arc of type - and  $p_i$  arcs of type +. Remark that  $p_i$  is then the length of  $C_i$ . Let  $g(n)$  denote the minimal value of  $D_A$  where  $A$  is any regular circuit-bracelet of size  $n$ .

**Lemma 5.**  $g(n) = 2\sqrt{2n} + \mathcal{O}(1)$ .

**Proof.** We prove here that  $g(n) \geq 2\sqrt{2n} + \mathcal{O}(1)$ . We assume that  $n$  is sufficiently large. Let  $p$  be an integer and  $D$  the diameter of the considered circuit-bracelet. Call a circuit *big* if its size is greater than  $D/p$ , *small* otherwise. Recall that the size of any circuit is less than  $D + 2$ . Let  $b$  denote the number of big circuits and  $s$  denote the number

of small circuits. We have

$$n \leq s \frac{D}{p} + b(D+2) \quad \text{and} \quad s+b \leq 2D. \quad (4)$$

Suppose that big circuits are ordered cyclically according to the circuit-bracelet structure:  $C_{i_0}, C_{i_1}, \dots, C_{i_{b-1}}$  as shown on Fig. 3. Let  $k \in \{0, 1, \dots, b-1\}$  and consider dipaths from  $w_{i_k}$  to  $z_{i_{k+p}}$ . In the positive direction the cost is exactly  $d_k = \sum_{j \in [k, k+p]} p_{i_j} - 2$ ; as these circuits are big,  $p_{i_j} \geq D/p$  and hence  $d_k \geq (p+1)/pD - 2 > D$  if  $p < D/2$ . So we must use the negative direction. The length is then  $d'_k = p_{i_k} + p_{i_{k+p}} + b + s - (i_{k+p} - i_k) - 3 \leq D$ . Summing on all the  $k$ 's we get

$$\sum_{k=0}^{k=b-1} d'_k = 2(n - \delta) + b(b+s) - p(b+s) - 3b \leq bD.$$

Where  $\delta$  denote the number of vertices in the small circuits.

So  $2(n - \delta)/b + b + s - p - ps/b - 3 \leq D$ . Note now that  $\delta \leq sD/p$ , so

$$\frac{2n}{b} + b + s \left(1 - \frac{2D}{bp} - \frac{p}{b}\right) - p \leq D. \quad (5)$$

If the coefficient of  $s$  in inequality (5) is positive then the left factor of that inequality is greater than  $2n/b + b - p - 3$  which is greater than  $2\sqrt{2n} - p - 3$ . In turn, the coefficient of  $s$  is positive if  $b \geq 2D/p + p$ .

Eq. (4) implies  $n \leq 2D^2/p + 2bD$  and so  $b \geq n/2D - D/p$ . Using the fact that  $D \leq 2\sqrt{2n}$ , we obtain  $b \geq \sqrt{2n}((p-16)/8p)$ . But

$$\sqrt{2n} \left( \frac{p-16}{8p} \right) \geq \frac{2D}{p} + p$$

if  $p/8 - 4 - p^2/\sqrt{2n} \geq 0$ , and the latter inequality is true if  $p \geq 33$  and  $n$  is large enough. It follows that  $g(n) \geq 2\sqrt{2n} - 36$ .  $\square$

### Proposition 3.

$$\tilde{D}(C_n, 1) = f(n) = 2\sqrt{2n} + \Theta(1).$$

**Proof.** Recall that  $D = \Theta(\sqrt{n})$ . Consider a circuit-bracelet, and recall that  $n_i + p_i \leq D+1$ , so that we can find an integer  $k$  such that  $\sum_{i \in [1, k]} (n_i + p_i) \geq 2D+1$  with  $\sum_{i \in [1, k]} (n_i + p_i) = \Theta(\sqrt{n})$ . Consider the shortest dipath from  $v_1$  to  $v_{k+1}$  and suppose that it uses the positive direction; so  $\sum_{i \in [1, k]} p_i \leq D$ . It follows that  $\sum_{i \in [1, k]} n_i > D$ . So, the dipath from  $v_k$  to  $v_1$  cannot use the negative direction, and must use the positive one. It follows that  $\sum_{i \notin [1, k]} p_i \leq D$ . Globally,  $\sum p_i \leq 2D = \Theta(\sqrt{n})$ . If we remove this  $\Theta(\sqrt{n})$  vertices we obtain a regular circuit-bracelet with lesser diameter. It follows that  $f(n) \geq g(n - \Theta(\sqrt{n})) = 2\sqrt{2n}\sqrt{1 + \Theta(1/\sqrt{n})} = 2\sqrt{2n} + \Theta(1)$ . A new constant appears here in the bound.  $\square$

#### 4. The path $P_n$

In this section the physical digraph  $G$  is the  $n$ -vertex symmetric directed path  $P_n$ .

For general  $c$  in the undirected case, the bounds obtained in [24] are  $\mathcal{O}(cn^{1/c})$ , and these bounds translate into similar ones in the directed case. The construction presented next yields a bound of  $\mathcal{O}(cn^{1/2c-1})$ . Our bounds are valid for any capacity function  $c$  such that positive (resp., negative) arcs have capacity  $c^+$  (resp.,  $c^-$ ) and the additional requirement  $c^+ \geq 1, c^- \geq 1$ . Let  $\sigma = c^+ + c^-$ .

##### Proposition 4.

$$\frac{n^{1/(\sigma-1)}}{2} \leq \tilde{D}(P_n, c) \leq 2(\sigma - 1) \left\lceil \left( \frac{n-1}{2} \right)^{1/(\sigma-1)} \right\rceil - 2\sigma + 4.$$

**Proof.** Let us first prove the lower bound. Let  $H$  be a  $c$ -DVPL of  $P_n$ . We say that a sub-path  $[x, y]$  is covered by  $H$  if the dipaths from  $x$  to  $y$  and from  $y$  to  $x$  are both contained in (the VP corresponding to) some virtual arc.

First we show that if  $[x, y]$  is covered then  $D_H \geq lb_C(d(x, y), \sigma - 2)$ . Indeed if  $[x, y]$  is covered we identify  $x$  and  $y$  and collapse the path into a cycle of length  $d(x, y)$ , we then ignore the virtual paths covering  $[x, y]$  (see the proof of Lemma 1 for details). So doing we obtain a  $c'$ -DVPL for  $C_{d(x,y)}$  with  $c'^+ + c'^- = \sigma - 2$ .

Now, consider two shortest dipaths in  $H$ , one from 0 to  $n - 1$  and the second from  $n - 1$  to 0. There are at most  $2D_H$  intermediate points (including 0 and  $n - 1$ ) on these two dipaths. Hence we can find two consecutive intermediate vertices  $x$  and  $y$ , with  $[x, y]$  covered, such that  $d(x, y) \geq n/2D_H$ . Thus, if  $m = \max\{d(x, y) \mid [x, y] \text{ is covered}\}$ , we have  $D_H \geq n/2m$ . But due to the covering property  $D_H \geq lb_C(m, \sigma - 2)$ . Hence  $lb_P(m, \sigma) \geq \max(n/2m, lb_C(m, \sigma - 2))$ . Using the lower bound on  $lb_C(m, \sigma)$  given in Proposition 1, and maximizing in  $m$ , completes the lower bound proof.

To prove the upper bound, we construct a DVPL based on the best DVPL we know on the cycle  $C_{n-1}$  with  $c'^+ = c^+$  and  $c'^- = c^- - 1$ . In this DVPL, no VP passes over vertex 0. So, we cut the cycle at vertex 0 and consider it as the path  $P_n$ . On the negative direction, we add a VP of dilation  $n$  from  $n - 1$  to 0 (See Fig. 4). The added VP is used at most once in any path on  $H$ ; specifically, it is not needed for paths from  $x$  to  $y$  if  $x < y$ , and it is used on a path from  $x$  to  $y$  when  $y < x - n/2$ , in which case the shortest  $x - y$  dipath in  $H$  goes via  $n - 1$ . The bound is the same as the one for the cycle  $C_{n-1}$  with capacity  $\sigma - 1$  plus 1.  $\square$



Fig. 4.  $P_n, c = 2$ .

**5. The complete symmetric  $k$ -ary tree  $T(k, h)$**

In this section the physical digraph  $G$  is  $T(k, h)$ , the directed symmetric complete  $k$ -ary tree of depth  $h$  rooted at  $r_0$ . Recall that in a complete  $k$ -ary tree, each nonleaf vertex has exactly  $k$  children. The *depth* of a vertex is its distance from the root, and the depth of the tree is the maximum depth of any of its vertices. The root  $r_0$  is the only vertex of in and out-degree  $k$ .  $T(k, h)$  has  $(k^{h+1} - 1)/(k - 1)$  vertices and diameter  $2h$ .

The *ancestors* of a vertex  $x$  are all vertices except  $x$  on the shortest path connecting  $r_0$  and  $x$ . The deepest ancestor of a vertex is its *parent*, denoted by  $f(x)$ . A vertex  $y$  is said to be *below*  $x$  if  $\exists i \geq 0$  s.t.  $f^i(y) = x$ . Note that  $x$  is below itself.

**Proposition 5.**

$$2 \left\lfloor \frac{h-1}{\lfloor \log_k c \rfloor + 1} \right\rfloor + 1 \leq \tilde{D}(T(k, h), c) \leq 2 \left\lfloor \frac{h}{\lfloor \log_k c \rfloor + 1} \right\rfloor + 2.$$

**Proof.** Let us start with proving the lower bound. Let  $H$  be a  $c$ -DVPL of  $T(k, h)$ . Let  $\gamma = \lfloor \log_k c \rfloor + 1$ . Let  $r$  be a vertex of depth  $d$ ,  $1 \leq d \leq h - \gamma$ ; Let  $B(\gamma, r)$  denote the complete  $k$ -ary subtree of  $T(k, h)$  of depth  $\gamma$  rooted at  $r$ . A leaf  $x$  of  $B(\gamma, r)$  is said to be *upward-bad* for  $r$  if there does not exist any virtual arc  $e'$  that starts in a vertex below  $x$  and ends in a vertex not below  $r$ . If there does not exist any virtual arc  $e'$  that starts not below  $r$  and ends below  $x$  then  $x$  is said to be *downward-bad* for  $r$  (Fig. 5).

We claim the following: *For any vertex  $r$  of depth  $d$ ,  $1 \leq d \leq h - \gamma$  there exist an upward-bad vertex and a downward-bad vertex for  $r$ .*

Indeed, suppose that all the  $k^\gamma$  leaves of  $B(\gamma, r)$  are not upward-bad. There exists a virtual arc that starts below each leaf and ends not below  $r$ . Then the load of the arc  $(r, f(r))$  is at least  $k^\gamma$ . Contradicting the fact that the capacity of this arc is  $c < k^{\lfloor \log_k c \rfloor + 1}$ , there exists at least one leaf that is upward-bad for  $r$ . The same argument considering the load of arc  $(f(r), r)$  completes the proof of the claim.

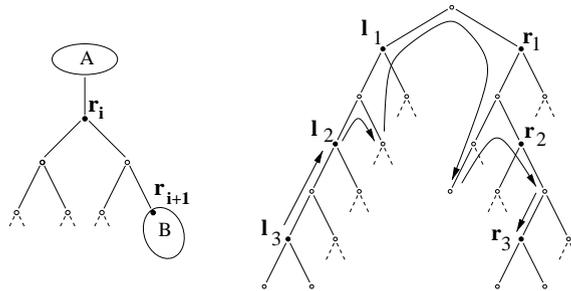


Fig. 5.  $k=2$ ,  $c=2$  or  $3$ ,  $\gamma=2$ , there exist no arcs from A to B; (on the right)  $k=2$ ,  $\gamma=2$ ,  $h=6$ , one cannot do better than 5 from  $l_3$  to  $r_3$ .

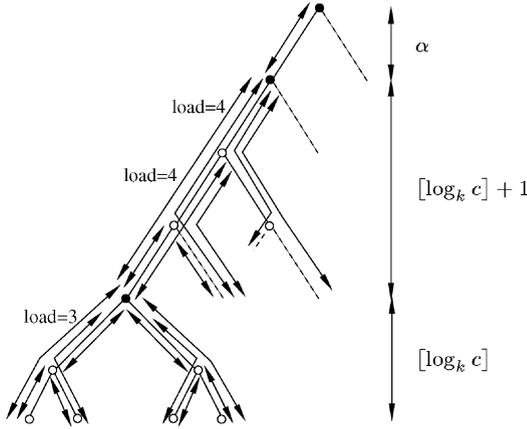


Fig. 6. Binary Tree,  $c=4$ ,  $h=6$ .

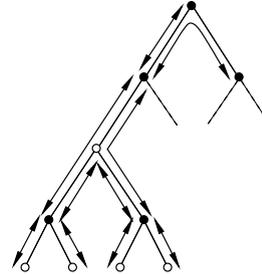


Fig. 7. Case  $c=2$ ,  $k=2$ .

Now we prove that  $D_H \geq 2(\lfloor (h-1)/\gamma \rfloor) + 1$ . Let  $i_0 = \lfloor (h-1)/\gamma \rfloor + 1$ . Define two sequences of vertices  $(l_i)_{i=1..i_0}$  and  $(r_i)_{i=1..i_0}$  as follows. Let  $l_1$  and  $r_1$  be the leftmost and the rightmost neighbors of  $r_0$ , respectively. If  $i \leq i_0 - 1$ , choose for  $l_{i+1}$  a leaf of  $B(\gamma, l_i)$  which is an upward-bad vertex for  $l_i$ . By induction, the depth of  $l_i$  is  $1 + \gamma(i-1)$  and if  $i \leq i_0 - 1$  it is less than  $h - \gamma$  so, from the claim,  $l_{i+1}$  exists. Symmetrically, we define the sequence  $(r_i)_{i=1..i_0}$  by choosing  $r_{i+1}$  as one of the downward-bad vertices for  $r_i$ .

Let us now consider the shortest path  $\mathcal{P}$  in  $H$  from  $l_{i_0}$  to  $r_{i_0}$ . Let  $y$  be the first vertex of  $\mathcal{P}$  not below  $l_1$ . By construction,  $\mathcal{P}$  uses at least  $i_0$  virtual arcs from  $l_{i_0}$  to  $y$ . Also  $x$ , the predecessor of  $y$  in  $\mathcal{P}$ , is below  $l_1$  and thus not below  $r_1$ . Hence,  $\mathcal{P}$  uses at least  $i_0$  virtual arcs from  $x$  to  $r_{i_0}$ . In summary,  $\mathcal{P}$  uses at least  $2i_0 - 1$  virtual arcs. So  $D_G \geq 2i_0 - 1$  that is  $2(\lfloor (h-1)/\gamma \rfloor) + 1$ .

To establish the upper bound, we describe a symmetric layout. An example is illustrated in Fig. 6. Each vertex of depth more than  $h - \lfloor \log_k c \rfloor$  is linked (by directed edges in both directions) to all its descendants. The load induced is less than  $k^{\lfloor \log_k c \rfloor} - 1/k - 1 \leq c$ . Each vertex of depth exactly  $h - \lfloor \log_k c \rfloor - t(\lfloor \log_k c \rfloor + 1)$  with  $t \geq 0$  is linked (by directed edges in both directions) to all its ancestors of depth more than  $h - \lfloor \log_k c \rfloor - (t+1)(\lfloor \log_k c \rfloor + 1)$ . If  $h = \lfloor \log_k c \rfloor + t(\lfloor \log_k c \rfloor + 1) + \alpha$  with  $t \geq 0$  and  $0 \leq \alpha < \lfloor \log_k c \rfloor + 1$ , the diameter is  $2(t+1)$  if  $\alpha = 0$  and  $2(t+2)$  if  $\alpha > 0$ , that is  $2\lceil h/(\lfloor \log_k c \rfloor + 1) \rceil + 2$ .

In the special case of  $c=2$ ,  $k=2$  we add two VPs between the two neighbors of the root as shown on Fig. 7. We get an upper bound of  $h + 1$ .

Let us remark that a similar upper bound is derived in [30], using a slightly different construction. The essential difference between the two constructions is that we connect a node at a certain depth to all its ancestors having more than a certain depth, whereas the construction of [30], connects a node at a certain depth to all its descendants of less than a certain depth. As a result, the construction of [30] achieves the same diameter bounds as ours, at a slightly higher capacity cost (namely, larger by a factor of roughly

$1 + 1/k + 1/k^2 + \dots$ ). For example, for the binary tree of depth 5, the construction of [30], depicted in Fig. 1 therein yields a diameter bound of 6 with capacity 3, whereas our construction for the same tree will achieve the same diameter bound using only 2 capacity units.  $\square$

## 6. Arbitrary trees

In this section the physical graph  $G$  is  $T$  a tree rooted at  $r$ . We assume that  $\forall e \in E$ ,  $c(e) = c^+ \geq 1$  if  $e$  is an arc going up (from a vertex to its parent) and  $c(e) = c^- \geq 1$  if  $e$  is an arc going down. Again, it turns out that our bound can be expressed as a function of  $\sigma = c^+ + c^-$ , and therefore it is convenient to define  $ub_T(\sigma)$  as an upper bound on  $\tilde{D}(T, c)$  valid if  $c$  satisfies  $c^+ + c^- = \sigma$  and  $g(\sigma, n)$  an upper bound on  $ub_T(\sigma)$  valid if  $T$  has  $n$  vertices. By the definition,  $\tilde{D}(T, c) \leq ub_T(\sigma) \leq g(\sigma, n)$ .

The lower bound follows from our bound for the  $n$ -vertex path  $P_n$ , upon noting the following. Let  $D_T$  denote the depth of  $T$ .

### Proposition 6.

$$\tilde{D}(T, c) \geq \tilde{D}(P_{D_T}, c) \geq (D_T)^{1/(2c-1)}/2.$$

**Proof.** Let  $H$  be an admissible virtual graph on  $T$ . Let  $u$  and  $v$  be two vertices at maximum distance,  $d_T(u, v) = D_T$ . Consider the shortest dipath  $\mathcal{Q}$  in  $T$  from  $u$  to  $v$ .

We build a DVPL on  $\mathcal{Q}$  based on  $H$ . To each VP  $P(e')$  on  $T$  is associated a VP on  $\mathcal{Q}$  that is the intersection of  $P(e')$  and  $\mathcal{Q}$  (i.e. the dipath formed by all the common arcs of  $P(e')$  and  $\mathcal{Q}$ ). Since  $T$  is a tree, the intersection of two paths is a well-defined path.

Thus,  $H$  induces a DVPL on  $\mathcal{Q}$  that loads physical arcs no more than the original DVPL on  $G$ . Furthermore the diameter of this new DVPL is no more than the diameter of the original one.  $\square$

### Proposition 7.

$$\tilde{D}(T, c) \leq \tilde{D}(C_{2n-2}, c/2) \leq 2c(n-1)^{1/c} + 1.$$

**Proof.** This natural upper bound follows by embedding a cycle around the tree. Consider a cycle  $C_{2n-2}$  embedded around the tree  $T$  in a depth-first fashion. Let  $c^+ = \lceil c/2 \rceil$  and  $c^- = \lfloor c/2 \rfloor$ . Using Proposition 1, an admissible graph  $H$  on  $C_{2n-2}$  with respect to  $c^+$  on positive arcs and  $c^-$  on negative ones gives us an admissible virtual graph on  $T$ .  $\square$

Our main DVPL construction for trees makes use of  $\rho$ -dominating sets. Hence to establish an upper bound on  $\tilde{D}(T, c)$ , we need the following two preliminary lemmas regarding the existence of small  $\rho$ -dominating sets in arbitrary trees.

**Definition 3.** Let  $\rho$  be a positive integer. A  $\rho$ -dominating set is a subset  $S$  of  $V(T)$  such that for all  $x \in V(T)$ ,  $d(x, S) \leq \rho$ .

**Lemma 6.** For any  $\rho$ , there exists a  $\rho$ -dominating set of cardinality at most  $(n - 1)/(\rho + 1) + 1$ .

**Proof.** Let  $L_i = \{x \in T; d(x, r) = i\}$  for  $i = 0..D_T$  and  $V_j = \bigcup_{i=j \bmod(\rho+1)} L_i \cup \{r\}$  for  $j = 0.. \rho$ .

Each  $V_j$  is a  $\rho$ -dominating set and the family  $(V_j - \{r\})_{j=0.. \rho}$  is a partition of  $V(T) - \{r\}$ . Thus, by choosing the  $V_j$  of smallest cardinality, we get Lemma 6.  $\square$

**Lemma 7.** For any  $\rho$ , there exists a  $\rho$ -dominating set  $S$  of cardinality at most  $2(n - 1)/(\rho + 1)$  such that the paths from a vertex of  $S$  to its deepest ancestor in  $S$  are pairwise arc-disjoint.

**Proof.** Let  $S$  be a  $\rho$ -dominating set of cardinality  $s_0$ . We apply the following algorithm to  $S$ :

Initialization  $S' := S$ .

**while** there exist two distinct vertices  $x$  and  $y$  in  $S'$  such that the deepest common ancestor  $z$  of  $x$  and  $y$  is not in  $S$  **do**

**begin**

    Choose a triple  $x, y, z$  such that the depth of  $z$  is maximum.

$S' := (S' - \{x, y\}) \cup \{z\}$ .

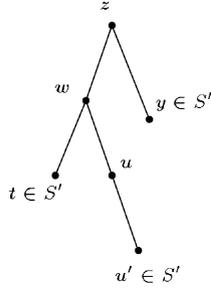
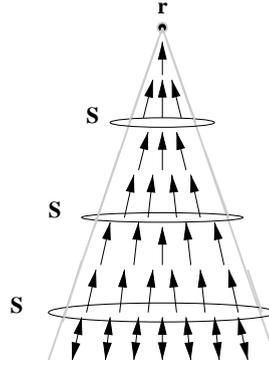
$S := S \cup \{z\}$ .

**end**

Since the initial set  $S$  is a  $\rho$ -dominating set, the final set  $S$ , that contains the initial one, is also a  $\rho$ -dominating set.

Since  $r$  can never be deleted from  $S'$ , the cardinality of  $S'$  must be at least 3 ( $r, x$  and  $y$ ) to enter the loop. Thus, since at each step of the algorithm  $|S'|$  decreases by 1, the algorithm terminates at most after  $s_0 - 2$  steps. Since at each step  $|S|$  increases by 1, the final set  $S$  has cardinality at most  $2s_0 - 2$ . By Lemma 6 if the initial set  $S$  is minimal then  $s_0 \leq (n - 1)/(\rho + 1) + 1$  and thus the cardinality of the final set  $S$  is no more than  $2(n - 1)/(\rho + 1)$ .

It remains to prove that paths from a vertex of  $S$  to its deepest ancestor in  $S$  are pairwise arc-disjoint. Let  $t$  and  $u$  be two vertices in  $S$  such that this condition fails. Let  $w$  be their deepest common ancestor.  $w$  is not in  $S$ . We can suppose that there is no vertex of  $S$  between  $t$  (resp.  $u$ ) and  $w$ . Otherwise, replace  $t$  (resp.  $u$ ) by the last vertex of  $S$  on the dipath from  $t$  (resp.  $u$ ) to  $w$ . Since  $t$  and  $u$  are in the final set  $S$ , they both have been in some set  $S'$ . Since the algorithm is completed  $t$  and  $u$  are not both in the final  $S'$ . W.l.o.g we can suppose that  $t$  is deleted from  $S'$  before  $u$ . Let  $i$  be the step of the algorithm where  $t$  is deleted from  $S'$ , let  $t$  and  $y$  be the two vertices chosen by the algorithm at step  $i$  and let  $z$  is their deepest common ancestor. Since after step  $i$ ,  $z$  is in  $S$  and  $w$  is below the lowest ancestor of  $t$  in the final  $S$ ,  $w$  is strictly below  $z$ . Since at the end of the algorithm  $u$  is in  $S$ , there exists a vertex

Fig. 8. Step  $i$  of the algo.Fig. 9. A step in the construction of the DVPL.  
case 1,  $c^+ \geq c^-$ .

$u'$  in  $S'$  such that  $w$  is the deepest common ancestor of  $u'$  and  $t$ . The triple  $(t, u', w)$  should have been chosen instead of  $(t, y, z)$  and thus  $w$  should be in the final set  $S$ . This contradicts our hypothesis and completes the proof of lemma 7 (Fig. 8).  $\square$

### Proposition 8.

$$\tilde{D}(T, c) \leq 5\sigma n^{(1/\sigma-1)}.$$

**Proof.** The proof is by induction. We first construct a  $\rho$ -dominating set  $S$  of representative vertices in  $T$  using Lemma 7. Hence every vertex is at distance at most  $\rho$  from  $S$ , and the paths between a vertex of  $S$  and its deepest ancestor in  $S$  are arc-disjoint. Then we construct a DVPL by induction on  $\sigma$ , i.e., we apply induction on a tree built on the set  $S$  with capacity  $\sigma - 1$ .

Given  $S$ , let  $T'$  be the tree rooted at  $r$  defined by  $V(T') = S$  and

$$A(T') = \{(s, s'), (s', s) \mid s' \text{ is the deepest ancestor of } s \text{ in } S\}.$$

An arc of  $T'$  corresponds to a path in  $T$ . By Lemma 7 arcs of  $T'$  correspond to arc disjoint paths in  $T$  and  $|V(T')| \leq 2(|V(T)| - 1)/(\rho + 1)$ .

The DVPL is built as follows (Fig. 9)

#### If $c^+ \geq c^-$ then

All arcs of  $T$  from a vertex to its parent are VPs.

All arcs of  $T$  from a vertex that is not an ancestor of a vertex of  $S$  to its child are VPs.

We construct the DVPL for the tree  $T'$  with capacities  $c'^+ = c^+ - 1$  and  $c'^- = c^-$ .

#### else

All arcs of  $T$  from a vertex to its child are VPs.

All arcs of  $T$  from a vertex that is not an ancestor of a vertex of  $S$  to its parent are VPs.

We construct the DVPL for the tree  $T'$  with capacities  $c'^+ = c^+$  and  $c'^- = c^- - 1$ . To go from a vertex  $u$  to a vertex  $v$  in the virtual graph, we go from  $u$  to a vertex in  $S$  in at most  $\rho$  steps and then move on the virtual graph built on  $T'$  (in at most  $\tilde{D}(T', c')$  steps) and then go from a vertex in  $S$  to  $v$  in at most  $\rho$  steps. So,  $\tilde{D}(T, c) \leq 2\rho + \tilde{D}(T', c')$ .

For an arbitrary tree with capacity 1 on each link, the trivial VPL (where each arc becomes a VP) has diameter at most  $n$ .

$\tilde{D}(T, c) \leq 2\rho + \tilde{D}(T', c')$ . Thus,  $\forall T, \tilde{D}(T, c) \leq 2\rho + g(\sigma - 1, 2(n - 1)/(\rho - 1))$  and thus,  $g(\sigma, n) \leq 2\rho + g(\sigma - 1, 2(n - 1)/(\rho - 1))$ .

Let us show by induction that  $\forall \varepsilon > 0, \exists N$  such that  $\forall n \geq N, g(\sigma, n) \leq (4 + \varepsilon)\sigma n^{1/(\sigma-1)}$ . The assertion is true for  $c = 1$  (i.e.  $\sigma = 2$ ). Suppose it is true for  $\sigma - 1$ . Then

$$g(\sigma, n) \leq 2\rho + (4 + \varepsilon)(\sigma - 1) \left(2 \frac{n - 1}{\rho - 1}\right)^{1/(\sigma-2)}.$$

Let  $\rho = 2(n - 1)^{1/(\sigma-1)} + 1$ . Then

$$\begin{aligned} g(\sigma, n) &\leq 4(n - 1)^{1/(\sigma-1)} + 2 + (4 + \varepsilon)(\sigma - 1)(n - 1)^{1/(\sigma-1)} \\ &= (4 + \varepsilon)\sigma(n - 1)^{1/(\sigma-1)} + 2 - \varepsilon(n - 1)^{1/(\sigma-1)}. \end{aligned}$$

For  $c = o(n)$  we get  $g(\sigma, n) \leq (4 + \varepsilon)\sigma n^{1/(\sigma-1)}$  for  $n$  greater than some  $N$ .

Taking  $\varepsilon = 1$  completes the proof of the Proposition ( $N = 2^{\sigma-1} + 1$ ).  $\square$

In particular we have

**Corollary 2.** *If  $c^+ = c^- = c$  then  $\tilde{D}(T, c) \leq 10cn^{1/(2c-1)}$ .*

Our final construction is given in the following claim.

**Proposition 9.**

$$\tilde{D}(T, c) \leq \tilde{D}(P_{D_T}, c) \log n = \mathcal{O}(c \cdot D_T^{1/(2c-1)} \log n)$$

**Proof.** Construct an admissible virtual graph  $H$  on  $T$  by recursively decomposing  $T$  using tree separators. A separator node  $S(T)$  breaks  $T$  into subtrees of cardinality less than  $n/2$ . It is well-known that such a separator always exists, and can be found via the following straightforward algorithm. Start with an arbitrary node as  $S(T)$ . While  $S(T)$  does not break the tree  $T$  into subtrees of cardinality less than  $n/2$ , one of the considered subtrees is of cardinality strictly more than  $n/2$ , move  $S(T)$  to its neighbor in this subtree. This node is shown to be the *median* of the tree in [32,20] (Fig. 10).

Let  $T_i$  be a subtree of  $T$  rooted at  $r_i$  and  $v_i$  be the only neighbor of  $r_i$  that is not in  $T_i$ . We describe here a procedure  $A(T_i)$ , used for constructing  $H$ .

1. Find  $S(T_i)$ .
2. Apply the optimal path layout to the path  $P_i$  from  $v_i$  to  $S(T_i)$ .

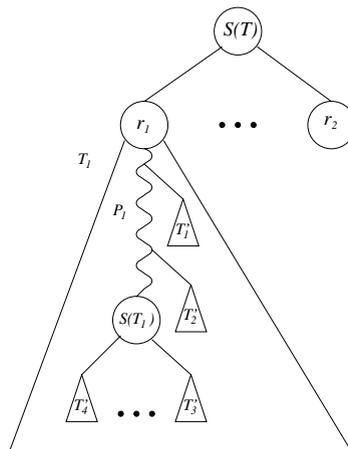


Fig. 10.

3. Consider the trees  $(T'_i)_{i=1..k}$  made of arcs not already involved in the layout rooted at a neighbor of  $S(T_i)$  or of a vertex of  $P_i$ .
  4. Apply  $A(T'_i)$  to each subtree  $T'_i$  that is not only one vertex.
- The construction of  $H$  is as follows:

1. Find  $S(T)$ .
2. Recursively apply  $A(T_i)$  on each subtree. (Note that for the first use of the algorithm,  $v_i = S(T)$ .)

To analyze the diameter of the resulting virtual graph  $H$ , let

$$f(n) = \max_{T \text{ rooted at } r, |T|=n} \max_x (d_H(r, x), d_H(x, r)).$$

At this point, it is possible to derive a result very similar to that of Proposition 8, by observing that  $f(n)$  can be bounded recursively by

$$f(n) \leq f(n/2) + \tilde{D}(P_n, c).$$

This yields that there exists  $\alpha(c)$  such that  $f(n) \leq \alpha(c) \cdot n^{1/(2c-1)}$ . Indeed,  $\tilde{D}(P_n, c) \leq (4c-2)((n-1)/2)^{1/(2c-1)} + 2$  and thus for  $\alpha(c) \geq 8c$  the result is proved by induction. For  $c=2$  it gives  $f(n) \leq 16n^{1/3}$  and thus  $\tilde{D}(T, 2) \leq 32n^{1/3}$ .

However, for low-depth trees  $T$  it may be preferable to use a different recursive bound for  $f(n)$ , namely,

$$f(n) \leq f(n/2) + \tilde{D}(P_{D_T}, c),$$

which gives the following upper bound:  $f(n) \leq \tilde{D}(P_{D_T}, c) \log n$ .  $\square$

## 7. Toroidal meshes and meshes

### 7.1. Toroidal meshes

In this section we consider as our physical digraph  $G$  the toroidal mesh of dimensions  $a \times b$ ,  $TM(a, b)$ . Recall that  $TM(a, b) = C_a \square C_b$ , the Cartesian sum (also called product) of two cycles.

#### Proposition 10.

$$\tilde{D}(TM(a, b), c) \leq 4(2c - 1) \left(\frac{a}{2}\right)^{1/(2c-1)} + 4ac \left(\frac{b}{2}\right)^{1/2ac} + 2a + 1.$$

**Proof.**  $TM(a, b)$  can be viewed as composed of  $a$  different *main cycles* of length  $b$  each, numbered from 1 to  $a$  and connected to each other cyclically by  $b$  *transversal cycles* numbered from 1 to  $b$ .

On a cycle of length  $b$ , the best  $ac$ -DVPL we have is the one built in Section 3. This DVPL is made of VPs of  $2ac$  different lengths,  $(b/2)^{i/2ac}$  for  $0 \leq i \leq 2ac - 1$ . Its diameter is  $D_m \leq 4ac(b/2)^{1/2ac} + 1$ .

The DVPL we build on  $TM(a, b)$  is based on this one. On the  $i$ th main cycle (of length  $b$ ), we build VPs of  $2c$  different lengths

$$\left(\frac{b}{2}\right)^{(2(i-1)c)/2ac}, \left(\frac{b}{2}\right)^{(2(i-1)c+1)/2ac}, \dots, \left(\frac{b}{2}\right)^{(2ic-1)/2ac},$$

in alternating directions, i.e., one in the positive direction and the next in the negative direction. The load is  $c$ .

On the  $b$  transversal cycles, we build the best DVPL we have with  $c^+ = c$  and  $c^- = c - 1$ , as described in Section 3.1. The construction sets up VPs of  $2c - 1$  different lengths

$$1, \left(\frac{a}{2}\right)^{1/(2c-1)}, \dots, \left(\frac{a}{2}\right)^{(2c-2)/(2c-1)},$$

again in alternating directions. Hence the load is  $c$  in the positive direction and  $c - 1$  in the negative one. The remaining unit of capacity in the negative direction is used to build VPs of length 1.

Finally, we have VPs of length 1 in both the directions on the transversal cycles and the virtual diameter of transversal cycles is  $D_t \leq 2(2c - 1)(a/2)^{1/(2c-1)} + 1$ .

To move from  $[i, j]$  to  $[i', j']$ , we first reach the first main cycle where we find main VPs of length  $1, b/2, \dots, (b/2)^{(2c-1)/2ac}$ . It costs at most  $D_t$  hops to reach  $[1, j]$ . Then, we move to  $[1, j']$  using main VPs of increasing then decreasing dilation and moving between main cycles using transversal VPs of dilation 1. It costs at most  $2(a - 1) + D_m$ . We then reach  $[i', j']$  in at most  $D_t$  hops. Finally, we get an upper bound on the virtual diameter of  $2D_t + D_m + 2(a - 1)$ .  $\square$

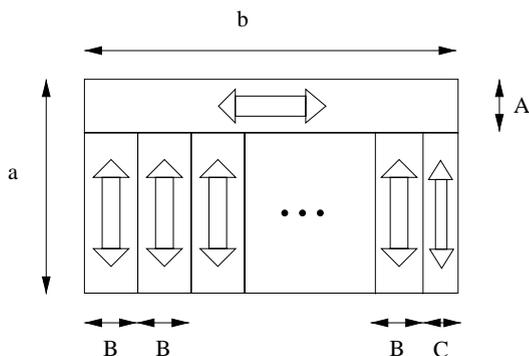


Fig. 11. Position of the strips.

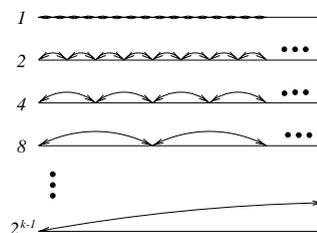


Fig. 12. Composition of a strip.

An interesting question that one may raise concerns the extremal behavior of virtual graphs. In particular, are there graph families of very high diameter that admit very low diameter virtual graphs using low capacity? It turns out that in order to get a graph  $G$  such that  $\tilde{D}(G, c) \sim \log n$  with  $c \geq 1$  we can use a toroidal mesh  $TM(\log n, n/\log n)$ . We have the following:

**Proposition 11.** *There exists an infinite family of digraphs with  $n$  vertices and diameter  $n/\log n$ , such that  $\tilde{D}(G, 1) = \Theta(\log n)$ .*

**Proof.** The upper bound follows from Proposition 10 taking  $a = \log n$  and  $b = n/\log n$ . The lower bound of  $\log n$  is proved in Section 8.  $\square$

## 7.2. Meshes

For  $\lceil \log_2 b \rceil \leq a \leq b$  we do not need the toroidal structure to get a good upper bound. We present here a VPL on a mesh  $M(a, b) = P_a \square P_b$  that leads to the following bound.

**Proposition 12.** *For a mesh  $M(a, b)$  with  $\lceil \log_2 b \rceil \leq a \leq b$ ,*

$$\tilde{D}(M(a, b), 1) = \mathcal{O}(\log n).$$

**Proof.** Construct an admissible virtual graph  $H$  on  $M(a+1, b+1)$  by patching together a number of *strips*, defined as follows. Let  $A = \lceil \log_2 b \rceil$ ,  $B = \lceil \log(a - A + 1) \rceil$ , and  $C = b + 1 - \lfloor (b + 1)/B \rfloor B$ .

$H$  is made of a horizontal strip of width  $A$ ,  $\lfloor (b + 1)/B \rfloor$  vertical strips of width  $B$  and a last “remainder” vertical strip of width  $C$  (see Fig. 11).

A horizontal strip of width  $k$  is made of  $k$  rows, where row  $i$ ,  $1 \leq i \leq k$  uses horizontal symmetric arcs of dilation  $2^{i-1}$ . A vertical strip is similarly defined for vertical virtual arcs (see Fig. 12).

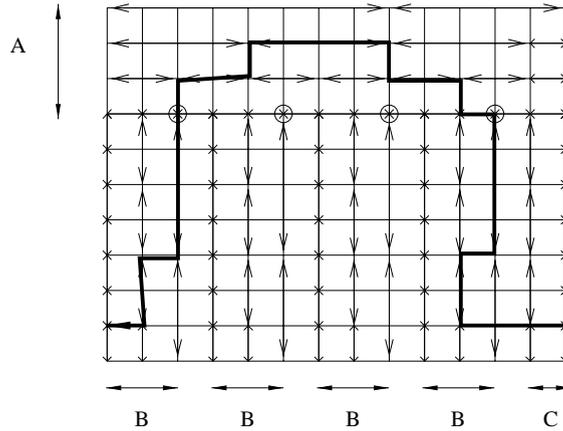


Fig. 13.  $M(10,13)$ ,  $A=4$ ,  $B=3$ ,  $C=2$ .

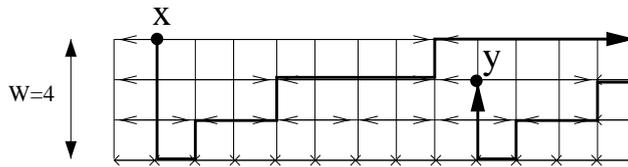


Fig. 14. How to move in a strip.

All physical arcs that are not used in virtual arcs involved in the strips are used as virtual arcs of length 1. An example of this construction is depicted in Fig. 13.

We show that the diameter of such a graph is at most  $18A + 12B + 2C$ . Towards that proof, define special vertices named *main points*. These are the vertices on the  $A$ th line from the top, at columns that are multiples of  $B$  from the left. The routing strategy is based on reaching a main point from the initial vertex, then reaching the main point closest to the destination vertex using virtual arcs of the horizontal strip, and finally reach the destination vertex itself.

The diameter of a strip of width  $W$  is at most  $d(W) = 6W$ . Indeed, as illustrated in Fig. 14, from any vertex  $x$  one need at most  $W$  hops to reach the last line (made of VPs of length 1), then at most  $2W$  hops to reach one of the end points of the VPs of the first line (made of VPs of length  $2^{W-1}$ ) and then again at most  $3W$  hops to reach  $y$ .

The diameter of the grid is at most  $2 \max\{d(A), C + d(B)\} + d(A)$ , which is an upper bound on the sum of twice the distance between any point and a main point and the distance between two main points. It follows that the diameter of the grid is bounded by  $3d(A) + 2d(B) + 2C = 18A + 12B + 2C$ , which is less than  $32 \log n$ .  $\square$

The problem was given a solution in the undirected case for  $c \geq 2$  and  $a = b = \sqrt{n}$  in [30]. The diameter bound obtained therein is  $\mathcal{O}(\log n / \log c)$ . Note that our construction

can also be transformed into a solution for the directed case, using  $c = 1$  units of capacity, for any  $a$  and  $b$  such that  $\lceil \log_2 b \rceil \leq a \leq b$ .

## 8. General bounds

As the diameter of a random graph is constant with high probability [6], it is clear that for most digraphs  $\tilde{D}(G, c)$  is at most logarithmic even for  $c = 1$ . Hence the ratio  $\tilde{D}(G, c_0)/\log n$  is of importance. For  $d$ -bounded degree digraphs,<sup>1</sup> a classical result states that  $\log n/\log(c_0 d) - 1 \leq \tilde{D}(G, c_0)$ . It is obtained by applying the Moore bound to the virtual digraph with  $n$  nodes, degree at most  $c_0 d$ , and diameter  $\tilde{D}(G, c_0)$  (see [24,30]). Note also that  $\tilde{D}(G, 1) \leq D_G$ . Here we derive a tighter bound related to the expansion-congestion parameters of  $G$ . First we recall three standard definitions. A *routing* for  $G$  is a mapping associating with each ordered pair of vertices  $(x, y)$  a *route* (i.e. a dipath in  $G$ ) from  $x$  to  $y$ ; the *congestion* of a routing is the maximal *load* of an arc of  $G$  (i.e., the maximum number of routes going through an arc); the *arc-forwarding index* of  $G$ , denoted  $\pi(G)$ , is the minimum congestion over all possible routings.

The parameter  $\pi(G)$  has been extensively studied and many relations exist between  $\pi$  and other parameters like bisection or expansion, see [21,27,29]. There are strong relationships between  $\pi(G)$  and the DVPL issue. A routing for  $G$  is a DVPL of  $G$  where  $H$  is the complete digraph, and so  $\pi(G)$  is the smallest integer  $c_0$  such that  $\tilde{D}(G, c_0) = 1$ .

**Proposition 13.** *Let  $G$  be a  $d$ -bounded digraph.*

$$\frac{\log \pi(G)}{\log(c_0 d)} + \mathcal{O}(\log \tilde{D}(G, c_0)) \leq \tilde{D}(G, c_0).$$

**Proof.** With every  $c_0$ -DVPL  $H$  of  $G$  one can associate a routing for  $G$  as follows. Note that for any ordered pair of vertices  $(x, y)$  there exists at least one dipath in  $H$  from  $x$  to  $y$  with length smaller than  $D_H$ . We select one such dipath and choose the associated real dipath as the route from  $x$  to  $y$ . Due to the capacity constraint, at most  $c_0 d$  virtual dipaths enter (resp., leave) any given vertex of  $G$ ; one can easily check that the number of dipaths in  $H$  of length  $k$  that use an arc is at most  $kc_0(c_0 d)^{k-1}$ . Hence the congestion of our routing is upper-bounded by

$$M = c_0 + 2c_0(c_0 d) + 3c_0(c_0 d)^2 + \dots + D_H c_0(c_0 d)^{D_H-1}.$$

By definition,  $\pi \leq M$ ; as  $M \leq c_0 D_H (c_0 d)^{D_H} / c_0 d - 1$ , taking the logarithm we obtain the result.  $\square$

Let us remark that the lower bound of Proposition 13 is rather similar to the one derived on the gossip time of a network under WDM or wormhole models [12,3].

<sup>1</sup> Where both the in- and out-degrees are upper-bounded by  $d$ .

In both cases one must construct a route between any pair of vertices: for gossip problems the route is built along  $T$  time steps, whereas in the context of DVPL design it is constructed by using  $\tilde{D}(G, c)$  jumps.

Also note that the above lower bound, which is expressed according to  $\pi$ , can be easily turned into a bound involving more classical graph parameters like edge expansion, bisection, treewidth, etc., by using appropriate relations between  $\pi$  and these parameters (see [21]). Indeed, a standard “crossing demand argument” shows that  $\pi \geq n^2/4B$  where  $B$  is the edge bisection, and duality of multicommodity flow proves that  $\pi \geq \sum_{x,y \in V} d(x,y)/|E|$ . As an example, it follows that  $\pi \geq \Omega(n^{1+1/\delta})$  in a graph with genus  $\delta$ . More generally, there exists a tight relation between  $\pi$  and the minimum sparsity ratio (see [25]).

The following proposition is the counterpart of Proposition 11, and in some sense establishes its tightness. Specifically, it indicates that for *bounded*  $c_0$ , one can expect  $\tilde{D}(G, c_0)$  to be logarithmic only if  $D_G$  is not too large. The result is valid for (distance-) symmetric digraphs (namely, such that  $d(x, y) = d(y, x)$ ).

**Proposition 14.** *Let  $G$  be a symmetric bounded degree digraph with  $\log D_G = \Omega(\log n)$ .*

$$\tilde{D}(G, c) = \Theta(\log n) \Rightarrow c = \Omega(D_G \log n/n).$$

*In particular, if  $c$  is constant*

$$\tilde{D}(G, c) = \Theta(\log n) \Rightarrow D_G = \mathcal{O}(n/\log n).$$

**Proof.** The idea is that the design of an efficient DVPL is prevented by the existence of a long geodesic dipath contained in  $G$ . Let us first formalize the notion that a digraph “contains” some bad sub-structure.

Define a *retraction* of a digraph  $G$  as a digraph  $G'$  such that there exists a mapping  $f$  from  $V(G)$  onto  $V(G')$  satisfying the following *contraction* condition:  $d_G(x, y) \geq d_{G'}(f(x), f(y))$ .

Define the *total load* of  $G$  for virtual diameter  $D_0$  as

$$\mathcal{L}(G, D_0) = \min \left( \sum_{e \in E} l(e) \right),$$

where the minimum is taken on all DVPL such that  $D_H \leq D_0$ .

Due to the contraction condition, for any retraction  $G'$  of  $G$  we have  $\mathcal{L}(G, D_0) \geq \mathcal{L}(G', D_0)$ . Moreover, denoting the number of arcs of  $G$  by  $|E|$ , the maximum load is greater than or equal to the average load. Hence we have proven the following.

**Lemma 8.** *If  $G'$  is a retraction of  $G$  then*

$$\pi(G, D_0) \geq \frac{\mathcal{L}(G, D_0)}{|E|} \geq \frac{\mathcal{L}(G', D_0)}{|E|}.$$

Next, we claim that the path  $P_{D_G}$  of length  $D_G$  is a retraction of  $G$ . To prove this, consider the following mapping. Label the vertices of  $P_{D_G}$  by  $0, 1, \dots, D_G$ , and choose

a pair of vertices  $(x, y)$  of  $G$  such that  $d(x, y) = d(y, x) = D_G$ ; then map any vertex at distance  $i$  from  $x$  onto vertex  $i$  of the path. Due to the triangle inequality, and to symmetry, the mapping is contracting.

Now, suppose that we are given a bounded degree digraph  $G$  with  $\log D_G = \Theta(\log n)$ , and the capacity function  $c_0$ . Consider any DVPL with diameter  $D_H = \Theta(\log n)$ . By Lemma 8 we have  $c_0 \geq \mathcal{L}(L_{D_G}, D_H)/|E|$ . We also know that if  $D_0 \sim \log D_G$  then  $\mathcal{L}(P_{D_G}, D_0) \sim D_G \log D_G$  [31]. It follows that  $c_0 \geq D_G \log D_G/|E|$ . As  $|E| \leq nd$ , we obtain  $c_0 \geq D_G \log n/dn$ .  $\square$

## 9. Open problems and directions

Some of our bounds are not tight, and the remaining gaps may be narrowed. Establishing upper and lower bounds on  $\tilde{D}$  for other families of graphs may also be interesting and useful.

Looking for the minimum diameter is reasonable when all the connections may be requested with roughly the same probability, which is also not always realistic. In case of non-uniform traffic, instead of studying  $\tilde{D}$ , one may try to optimize its weighted counterpart,  $\sum r(i, j) \cdot d_H(i, j)$ , where  $r(i, j)$  denotes the traffic requirements between  $i$  and  $j$ ; such a target function may make it desirable to place the VPs between the node pairs which communicate the most.

Finally, there may be other parameters of the directed ATM model worth studying. One may also consider variations on the model with variable capacity of the VPs.

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