

# EXTENDING BROOKS' THEOREM TO DIRECTED GRAPHS

JOINT WORK WITH PIERRE ABOULKER

GUILLAUME AUBIAN

TALGO/IRIF

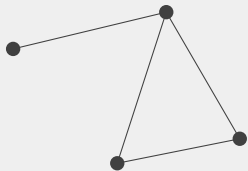
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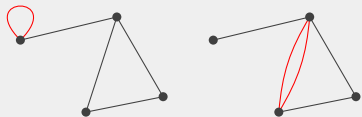
**INSTITUT  
DE RECHERCHE  
EN INFORMATIQUE  
FONDAMENTALE**

# **BACKGROUND AND CONTEXT**

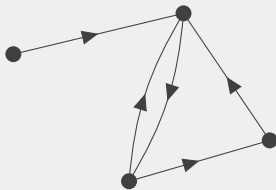
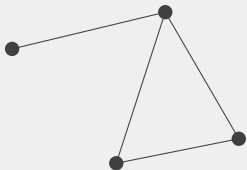
# (DI)GRAPHS



$(V, E)$  with  $E \subseteq \{\{u, v\}, u, v \in V\}$

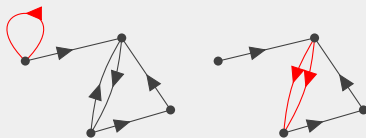
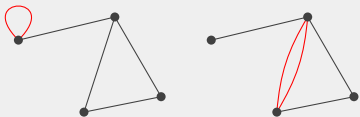


# (DI)GRAPHS



$(V, E)$  with  $E \subseteq \{\{u, v\}, u, v \in V\}$

$(V, E)$  with  $E \subseteq V \times V$

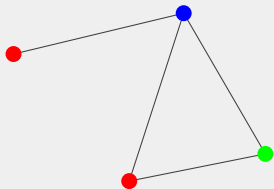


# (DI)CHROMATIC NUMBER

## Definition

$G = (V, E)$   $k$ -colorable iff

$V = \bigcup_{i=1}^k V_i$  and  $G[V_i]$  are edgeless.

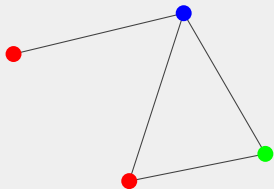


$$\chi(G) = \min_k \{k \mid G \text{ } k\text{-colorable}\} = 3$$

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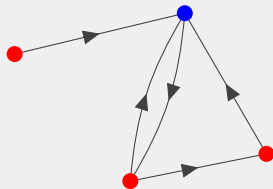
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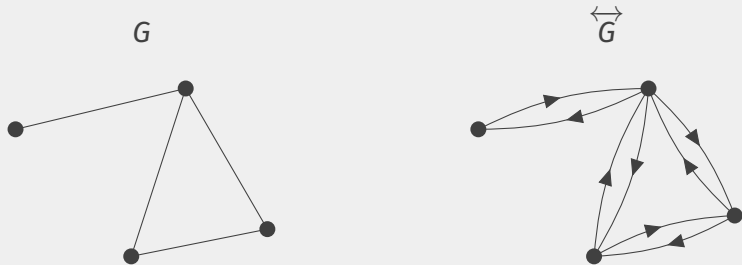
## Definition

$G = (V, E)$   $k$ -colorable iff  
 $V = \bigcup_{i=1}^k V_i$  and  $G[V_i]$  are acyclic.



$$\vec{\chi}(G) = \min_k \{k \mid G \text{ } k\text{-colorable}\} = 2$$

# $\vec{\chi}$ EXTENDS $\chi$



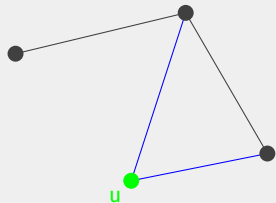
## Theorem

$$\chi(G) = \vec{\chi}(\overleftrightarrow{G})$$

**Generalize to directed graphs results that apply to graphs**



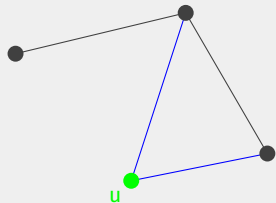
# $\{\emptyset, \text{IN}, \text{OUT}\}$ DEGREE



$$d(u) = \{v \mid uv \in E\} = 2$$

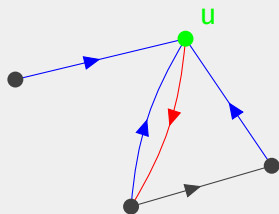
$$\Delta(G) = \max_{v \in V} d(v) = 3$$

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$$\Delta(G) = \max_{v \in V} d(v) = 3$$



$$d^-(u) = \{v \mid vu \in E\} = 3$$

$$d^+(u) = \{v \mid uv \in E\} = 1$$

$$\Delta_{\text{MAX}}(G) = \max_{v \in V} d_{\text{MAX}}(v) = 3$$

$$\Delta_{\text{MIN}}(G) = \max_{v \in V} d_{\text{MIN}}(v) = 1$$

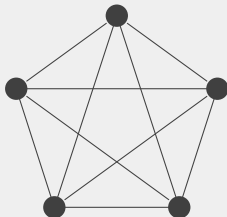
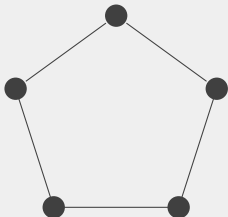
# BROOKS' THEOREM ON NON-ORIENTED GRAPHS

## Theorem

Let  $G$  be a connected graph.

$\chi(G) \leq \Delta(G) + 1$  and equality occurs if and only if  $G$  is :

- a cycle on an odd number of vertices or
- a complete graph on  $\Delta(G) + 1$  vertices.



$$\chi(G) \leq \Delta(G) + 1$$

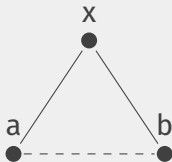


# LOVASZ' PROOF

$$\chi(G) \leq \Delta(G) + 1$$



**Lovasz' idea :**



## Theorem

$$\vec{\chi}(G) \leq \Delta_{\text{MIN}}(G) + 1 \leq \Delta_{\text{MAX}}(G) + 1$$

**Proof:** Consider a vertex of minimum indegree/outdegree. Color the rest of the graph, and color it with a color not assigned to any of its in/outneighbours

**Also:**  $G$  connected and not regular  $\implies \vec{\chi}(G) \leq \Delta_{\text{MAX}}(G)$

# BROOKS' THEOREM FOR $\Delta_{MIN}$

# BROOKS' THEOREM FOR $\Delta_{MIN}$ ?

## Theorem

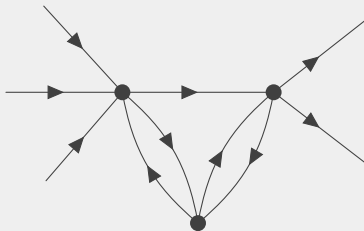
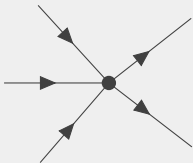
Let  $k \geq 2$ . The problem :

**Input:** a digraph  $G$  with  $\Delta_{MIN}(G) = k$ .

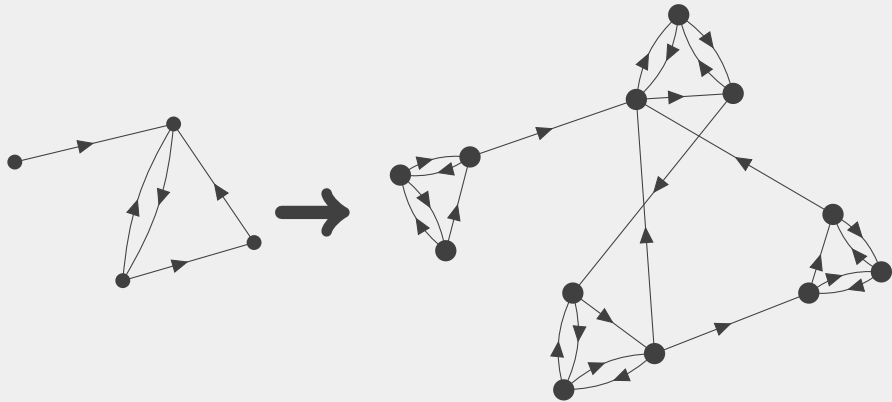
**Output:** Does there exist a  $k$ -dicoloring of  $G$ .  
is NP-complete.



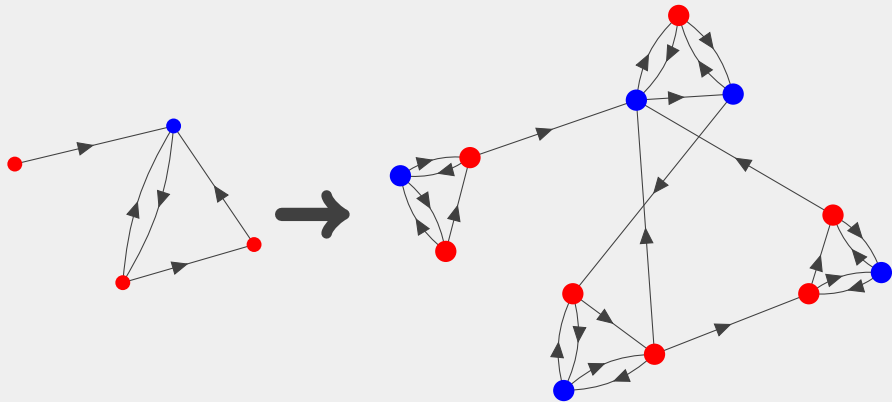
# PROOF



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# BROOKS' THEOREM FOR $\Delta_{MAX}$

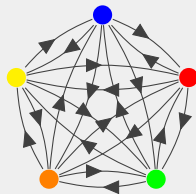
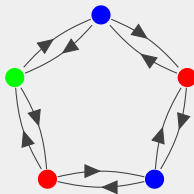
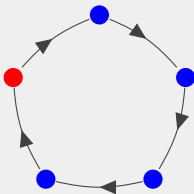
# BROOKS' THEOREM FOR $\Delta_{MAX}$

## Theorem (Mohar-Ararat, 2010)

Let  $G$  be a connected digraph.

$\vec{\chi}(G) \leq \Delta_{MAX}(G) + 1$  and equality occurs if and only if  $G$  is :

- a directed cycle or
- a symmetric cycle of odd length or
- a complete digraph on  $\Delta_{MAX}(G) + 1$  vertices.



- Adaptation of Lovasz' proof
- Adaptation of Rabern's proof
- Proof using " $k$ -trees"
- Proof by smart partition

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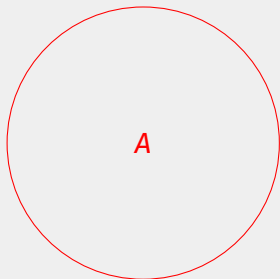
# SKETCH OF PROOF FOR $\Delta_{MAX}(G) \geq 3$

Let  $G$  a minimum counterexample

Lemma

$G$  does not contain  $\overleftrightarrow{K}_{\Delta_{MAX}(G)+1}$  less an arc.

$G$  does not contain  $\overleftrightarrow{K}_{\Delta_{MAX}(G)+1}$  less a digon.



...

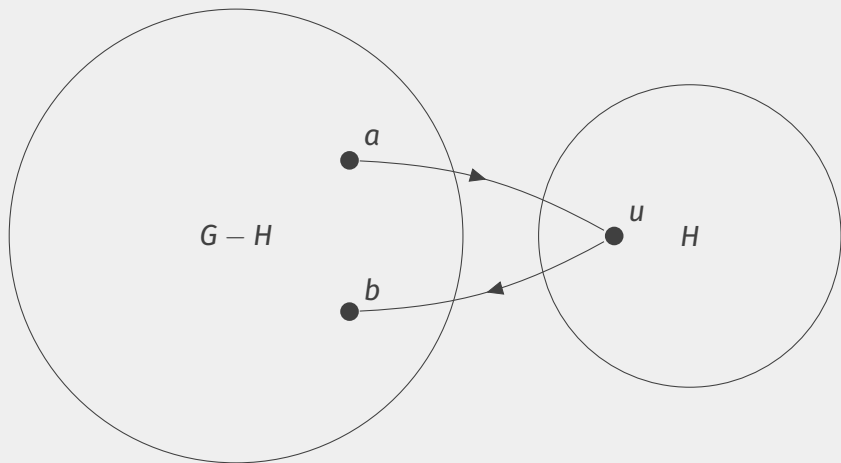


$A$  is a maximal DAG

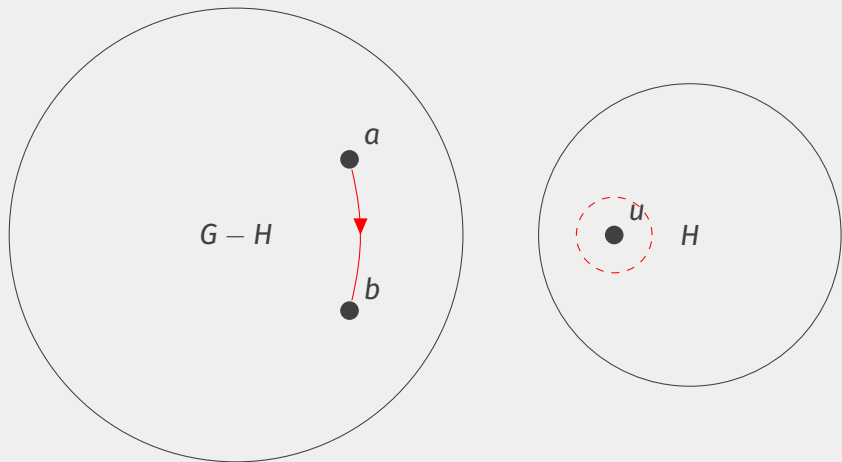
$H$  is an exception  
for  $\Delta_{MAX}(G) - 1$



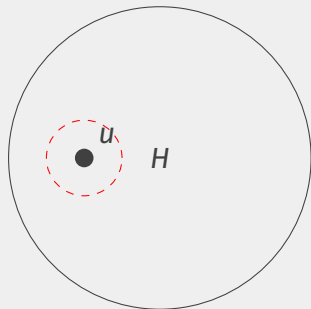
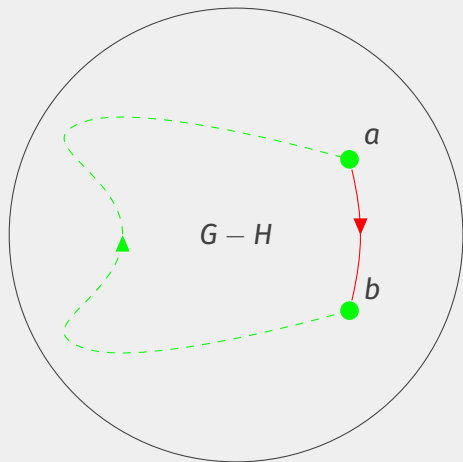
# A VERTEX OF $H$ HAS DISTINCT NEIGHBOURS OUTSIDE $H$



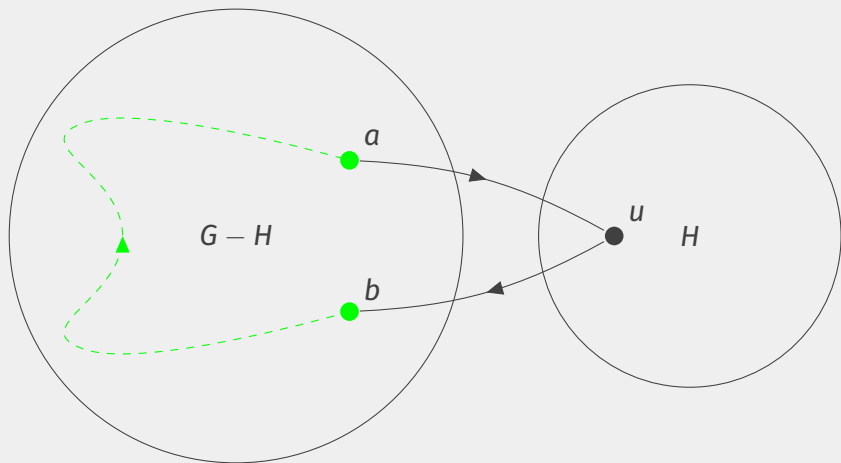
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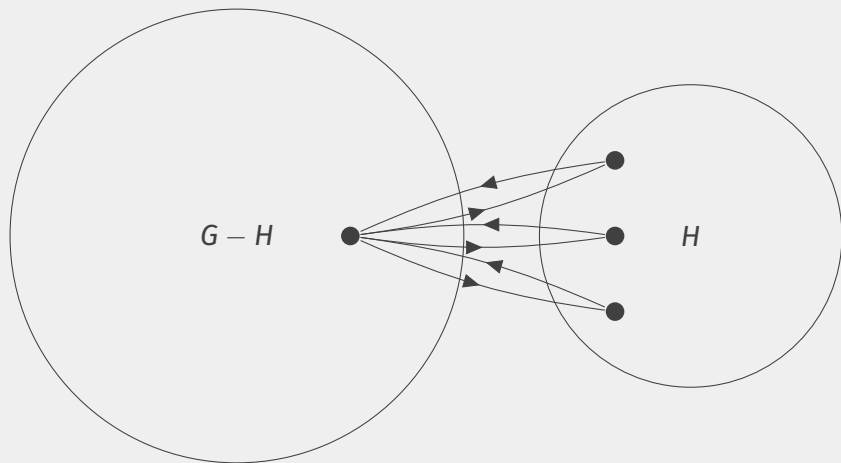
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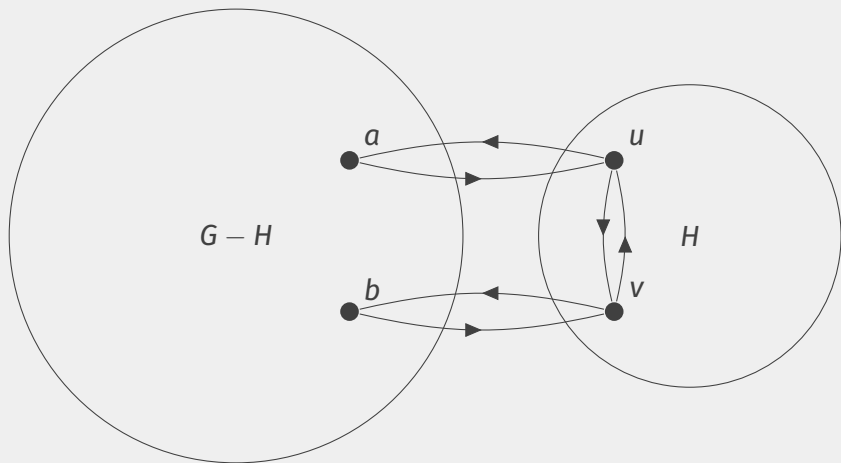
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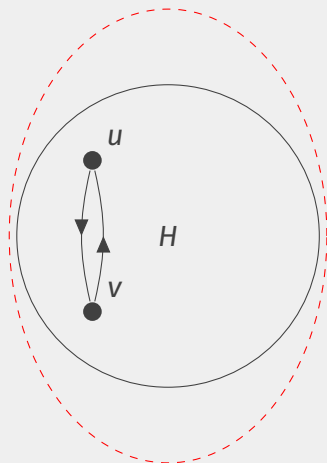
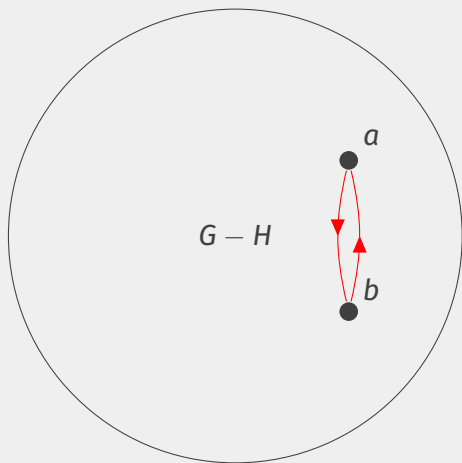
# THIS SITUATION CANNOT HAPPEN



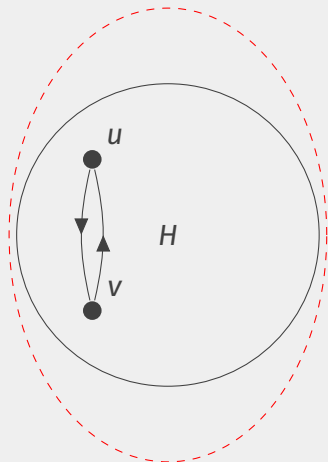
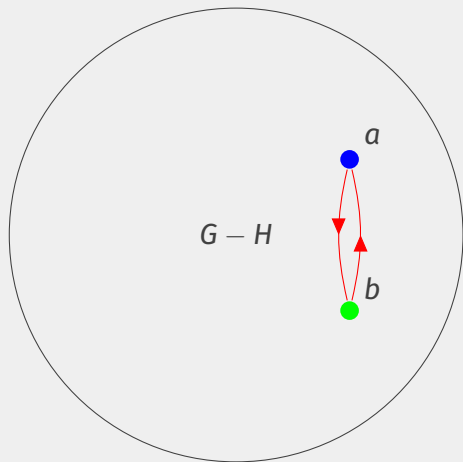
THUS, THIS SITUATION NECESSARILY ARISES



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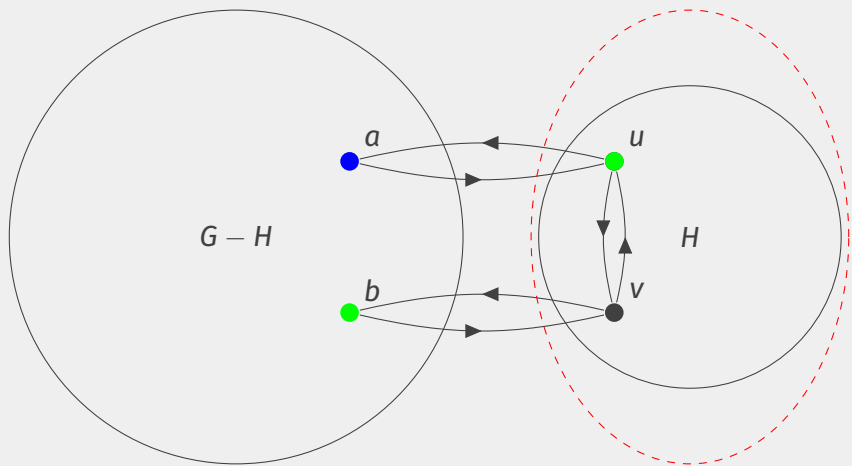


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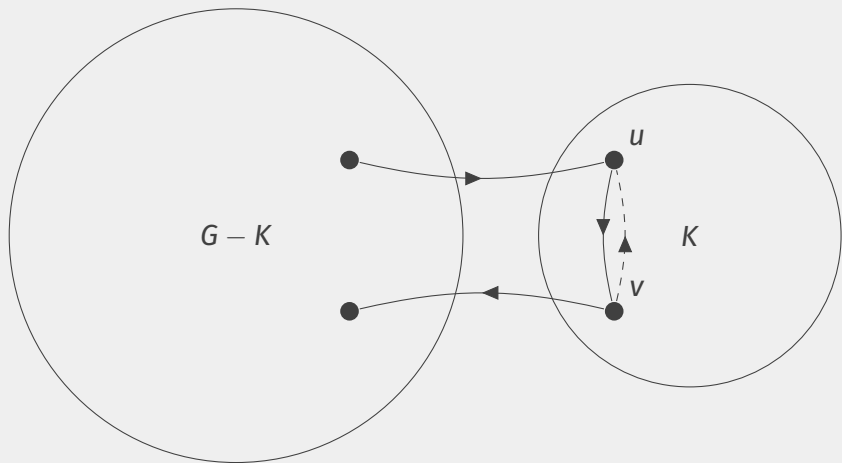




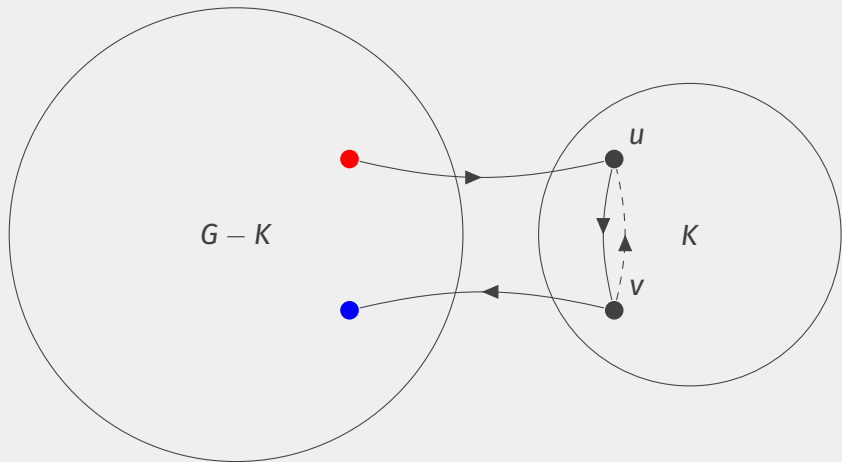
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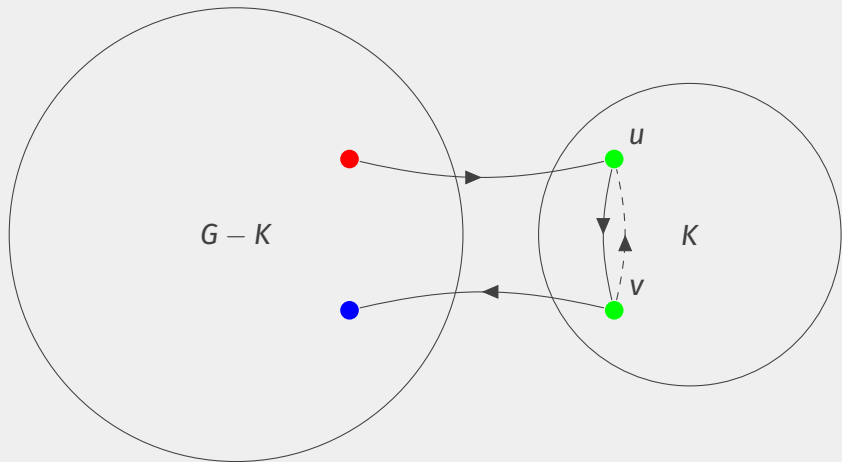
NO INDUCED  $\overleftrightarrow{K}_{\Delta_{\text{MAX}}(G)+1}$  LESS AN ARC



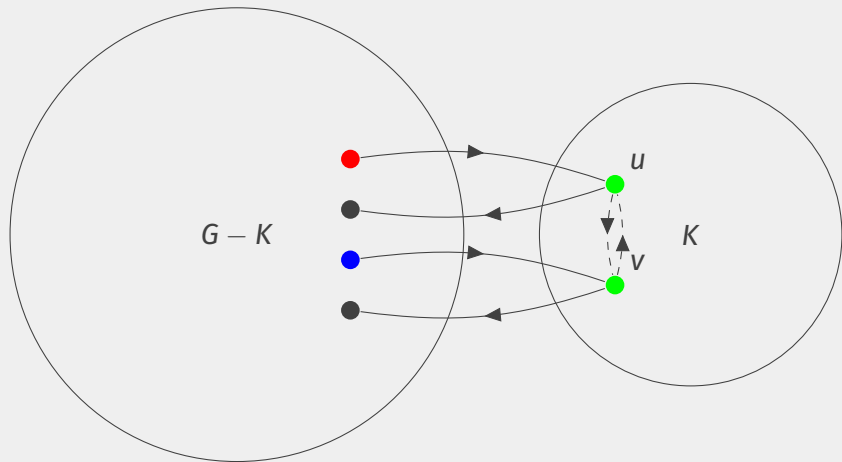
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# NO INDUCED $\overleftrightarrow{K}_{\Delta_{MAX}(G)+1}$ LESS A DIGON



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**Somehow, yes.**

## Theorem

*If  $\vec{\chi}(G) \geq \Delta_{MAX}(G) \geq 9$ , then  $\omega(G) \geq \lceil \frac{\Delta_{MAX}(G)+1}{2} \rceil$ .*

# PARTITION

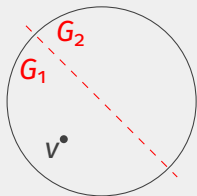
## Definition

A  $(r_1, r_2)$ -partition of a digraph  $G$  is a partition  $(V_1, V_2)$  of  $V$  which minimizes  $r_1|E(G[V_2])| + r_2|E(G[V_1])|$ .

## Theorem

If  $r_1 + r_2 \geq 2\Delta_{MAX}(G) - 1$ , then for  $i \in \{1, 2\}$ :

$$\forall v \in V_i, d_{G[V_i]}^-(v) + d_{G[V_i]}^+(v) \leq r_i$$



$$d_{G_1}^-(v) + d_{G_1}^+(v) > r_i$$



# PARTITION

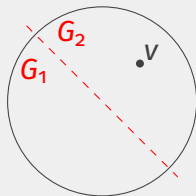
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$$r_1(r_2 - 1) - r_2(r_1 + 1)$$

## Theorem

If  $\vec{\chi}(G) \geq \Delta_{MAX}(G) \geq 9$ , then  $\omega(G) \geq \lceil \frac{\Delta_{MAX}(G)+1}{2} \rceil$ .

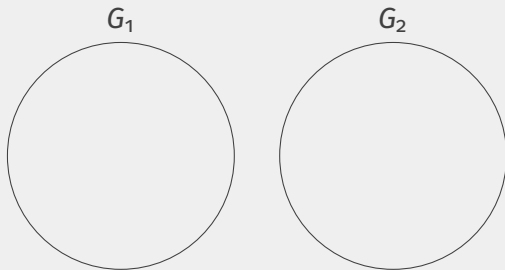
Let  $r_1 = \lceil \frac{\Delta_{MAX}(G)-1}{2} \rceil$  and  $r_2 = \lfloor \frac{\Delta_{MAX}(G)-1}{2} \rfloor$

# PROOF : BORODIN-KOSTOCHKA ON DIGRAPHS

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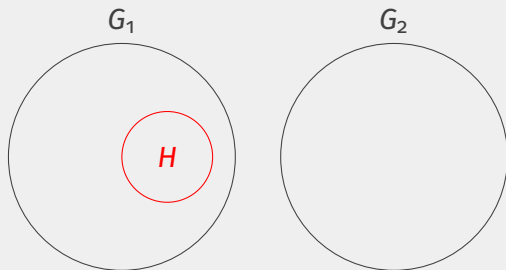


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## FURTHER DIRECTIONS

- Borodin–Kostochka :  $\vec{\chi}(G) \geq \Delta_{MAX}(G) \geq 9 \implies \vec{\chi}(G) = \omega(G)$
- Reed :  $\vec{\chi}(G) \leq \lceil \frac{\omega + \Delta_{MAX}(G) + 1}{2} \rceil$
- Using other invariants instead of  $\Delta_{MIN}/\Delta_{MAX}$

THANKS FOR YOUR ATTENTION!