

# Evaluation of a New 3D/2D Registration Criterion for Liver Radio-Frequencies Guided by Augmented Reality

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**Abstract.** Our purpose in this article is to superimpose a 3D model of the liver, its vessels and tumors (reconstructed from CT images) on external video images of the patient for hepatic surgery guidance. The main constraints are the robustness, the accuracy and the computation time. Because of the absence of visible anatomical landmarks and of the “cylindrical” shape of the upper abdomen, we used some radio-opaque fiducials. The classical least-squares method assuming that there is no noise on the 3D point positions, we designed a new Maximum Likelihood approach to account for this existing noise and we show that it generalizes the classical approaches. Experiments on synthetic data provide evidences that our new criterion is up to 20% more accurate and much more robust, while keeping a computation time compatible with real-time at 20 to 40 Hz. Eventually, careful validation experiments on real data show that an accuracy of 2 mm can be achieved within the liver.

## 1 Introduction

The treatment of liver tumors by radio-frequencies is a new technique which begins to be widely used by surgeons. However, the guidance procedure to reach the tumors with the electrode is still made visually using per-operative 2D cross-sections of the patient using either Ultra-Sound (US) or Computed Tomography (CT). Because of the difficulty to locate in 3D the tumor’s center, surgeons estimate that the tumor size has to exceed 2 cm to perform a reliable intervention. Our purpose is to build an augmented reality system that could superimpose reconstructions of the 3D liver and tumor onto a video image in order to improve the surgeon’s accuracy during the guidance step. In such a system, the overall accuracy has to be less than 5 mm to provide a significant help to the surgeon.

Just before the intervention, a CT-scan of the patient is acquired and an automatic 3D-reconstructions of his skin, his liver and the tumors is performed [17]. Two cameras (jointly calibrated) are viewing the patient’s skin from two different points of view. The patient is intubated during the intervention, so the

volume of gas in his lungs can be controlled and monitored. Then, it is possible to fix the volume at the same value during a few seconds repetitively and to perform the CT and the electrode's manipulation almost in the same volume's condition. Thus, we assume that a rigid registration is sufficient to register accurately the 3D-model extracted from the CT with the 2D video images. Consequently we are confronted to the classical rigid problem of *3D/3D and 3D/2D Object Registration*.

*Surface and iconic registration* using mutual information have been used to register the 3D surface of the face to either video images [18] or another 3D surface acquired with a laser range scanner [5]. In both cases, thanks to several highly curved "edges" on the model (nose, ears, eyes), the reported accuracy was under 5 mm. We believe that in our case, the "cylindrical" shape of the human abdomen is likely to lead to much larger uncertainties along the cranio-caudal axis.

*Landmarks 3D/3D or 2D/3D registration* can be performed when several precisely located points are visible both in the 3D-model and in the video images. Since the landmarks are really homologous, the "cylindrical" geometry of the underlying abdomen surface is not any more a problem. As there are no visible anatomical landmarks in our case, we chose to stick to the patient skin some radio-opaque markers that are currently localized and matched interactively.

This problem was largely considered in a wide variety of cases. Closed-form solution for few points [2,1] and linear resolution [3] were proposed in the last decades to find the registration as quickly as possible to fulfill real-time constraints. Others [9,15] determine the object pose by minimizing the classical projective least-squares (LSQ) error function. In these cases, the methods differ by the minimization procedure and by the rotation parameterization. Haralick [6] and Or [10] turn the 2D/3D problem into a 3D/3D points registration problem in which they estimate the depth of the points seen in the image by minimizing a 3D LSQ Euclidean distance criterion. Finally, Yuan and Liu [19,8] solve the problem by separating the rotational components from the translational one.

Linear and closed-form solution provide a direct resolution, but they are very sensitive to noise because they assume that data points are exact. As the accuracy is crucial in our application, we cannot afford using them. An alternative approach that separates the rotation from the translation was examined by Kumar [7], and he shows that it leads to worse parameters estimation in the presence of noise than the classical LSQ estimation.

Therefore, we think that a LSQ criterion has a definite advantage among the other methods because it can take into account the whole information provided by the data. However, all of the existing methods implicitly consider that 2D points are noisy, but that 3D points are exact. In our case, this assumption is definitely questionable, which lead to the development of a new maximum likelihood (ML) criterion generalizing the standard 3D/2D LSQ criterion. Last but not least, LSQ criterion enables the prediction of the noise influence on the final registration. This would be very useful as it would allow us to detect an inaccurate registration. However, this aspect will be considered in a future work.

In the sequel, we first recall that the classical 2D/3D registration criterion for points correspondences can be considered as a *Maximum Likelihood* estimation if the 3D points are exact. Then, modifying the statistical assumptions to account for an existing noise on the 3D point measurements, we derive a new and original criterion that generalizes the classical ML one. Section 3 is devoted to the comparative performance evaluation of both criteria with synthetic data while Section 4 focuses on a careful validation with real data.

## 2 Maximum Likelihood 2D/3D Registration

Let  $M_i = [x_i, y_i, z_i]^\top$  be the 3D points that represent the exact localization of the radio-opaque fiducials in the CT-scan reference frame and  $m_i^{(l)} = [u_i^{(l)}, v_i^{(l)}]^\top$  be the 2D points that represent its exact position in the images of camera ( $l$ ). In this article, we assume that correspondences are known. To account for occlusion, we use a binary variable  $\xi_i^l$  equal to 1 if  $M_i$  is observed in camera ( $l$ ) and 0 otherwise. We denote by  $T \star M$  the action of the rigid transformation  $T$  on the 3D point  $M$  and by  $P_l$  ( $1 \leq l \leq M$ ) the camera's projective functions from 3D to 2D such that  $m_i^{(l)} = P^{(l)}(T \star M_i)$  (we used in our implementation the calibration algorithm of [20]). In the following sections,  $\hat{A}$  will represent an *estimation* of a perfect data  $A$ , and  $\tilde{A}$  an *observed measure*. Thus, the 3D points measured by the user will be written  $\tilde{M}_i$ , and the measured video 2D points  $\tilde{m}_i$ .

### 2.1 Standard Projective Points Correspondences (SPPC) Criterion

Assuming that the 3D points are exact ( $\tilde{M}_i = M_i$ ) and that the 2D points only are corrupted by an isotropic Gaussian noise  $\eta_i$  of variance  $\sigma_{2D}^2$ , we have:

$$\tilde{m}_i^{(l)} = m_i^{(l)} + \eta_i = P^{(l)}(T \star M_i) + \eta_i \quad \text{with} \quad \eta_i \sim N(0, \sigma_{2D})$$

The probability of measuring the projection of the 3D point  $M_i$  at the location  $\tilde{m}_i^{(l)}$  in image ( $l$ ), knowing the transformation parameters  $\theta = \{T\}$  is given by:

$$p(\tilde{m}_i^{(l)} | \theta) = \frac{1}{2\pi\sigma_{2D}^2} \cdot \exp\left(-\frac{\|P^{(l)}(T \star M_i) - \tilde{m}_i^{(l)}\|^2}{2 \cdot \sigma_{2D}^2}\right)$$

Let  $\chi$  be the data vector regrouping all the measurements, in this case the 2D points  $\tilde{m}_i^{(l)}$  only. Since the detection of each point is performed independently, the probability of the observed data is  $p(\chi | \theta) = \prod_{l=1}^M \prod_{i=1}^N p(\tilde{m}_i^{(l)} | \theta)^{\xi_i^l}$ . In this formula, unobserved 2D points (for which  $\xi_i^l = 0$ ) are implicitly taken out of the probability. Now, the *Maximum likelihood* transformation  $\hat{\theta}$  maximizes the probability of the observed data, or equivalently, minimizes its negative log:

$$C_{2D}(T) = \sum_{l=1}^M \sum_{i=1}^N \xi_i^l \cdot \frac{\|P^{(l)}(T \star M_i) - \tilde{m}_i^{(l)}\|^2}{2 \cdot \sigma_{2D}^2} + \left(\sum_{l=1}^M \sum_{i=1}^N \xi_i^l\right) \cdot \log[2\pi\sigma_{2D}^2] \quad (1)$$

Thus, up to a constant factor, this ML estimation boils down to the classical LSQ criterion in the 2D coordinates. Of course, this criterion assumes that there is no noise on the 3D points, or that this noise could be distributed over the 2D measurements. This simple hypothesis makes the criterion very easy to optimize because there are only 6 parameters to estimate and lead to a very low calculations cost. However, from a statistical point of view, distributing the 3D error on 2D measurements leads to correlated noises, which does not agree with the independence assumption used to derive the ML estimation.

**2.2 Extended Projective Points Correspondences (EPPC) Criterion**

To introduce a more realistic statistical hypothesis on the 3D data, it is thus safer to consider that we are measuring a noisy version of the exact points:

$$\tilde{M}_i = M_i + \varepsilon_i \quad \text{with} \quad \varepsilon_i \sim N(0, \sigma_{3D}).$$

In this case, the exact location  $M_i$  of the 3D points is considered as a parameter, just as the transformation  $T$ . In statistics, this is called a *latent or hidden variable*, while it is better known as an *auxiliary variable* in computer vision. Thus, knowing the parameters  $\theta = \{T, M_1, \dots, M_N\}$ , the probability of measuring respectively a 2D and a 3D point is:

$$p(\tilde{m}_i^{(l)} | \theta) = G_{\sigma_{2D}} \left( P^{(l)}(T \star M_i) - \tilde{m}_i^{(l)} \right) \quad \text{and} \quad p(\tilde{M}_i | \theta) = G_{\sigma_{3D}} \left( M_i - \tilde{M}_i \right).$$

One important feature of this statistical modeling is that we can safely assume that all 3D and 2D measurements are independent. Thus, we can write the probability of our observation vector  $\chi = (\tilde{m}_1^1, \dots, \tilde{m}_N^1, \dots, \tilde{m}_1^M, \dots, \tilde{m}_N^M, \tilde{M}_1, \dots, \tilde{M}_N)$  as the product of the above individual probabilities. The ML estimation of the parameters is still given by the minimization of  $-\log(p(\chi|\theta))$ :

$$C(T, M_1, \dots, M_N) = \sum_{i=1}^N \frac{\|\tilde{M}_i - M_i\|^2}{2 \cdot \sigma_{3D}^2} + \sum_{l=1}^M \sum_{i=1}^N \xi_i^{(l)} \cdot \frac{\|\tilde{m}_i^{(l)} - m_i^{(l)}\|^2}{2 \cdot \sigma_{2D}^2} + K \quad (2)$$

where  $K$  is a normalization constant depending on  $\sigma_{2D}$  and  $\sigma_{3D}$ .

The obvious difference between this criterion and the simple 2D ML is that we now have to solve for the hidden variables (the exact locations  $M_i$ ) in addition to the previous rigid transformation parameters. An obvious choice to modify the optimization algorithm is to perform an alternated minimization w.r.t. the two groups of variables. Starting from a transformation initialization  $T_0$ , we initialize the  $M_i$  with the  $\tilde{M}_i$  and perform a first minimization on  $T$  (this corresponds to optimizing the simple 2D ML criterion). In a second step, we keep the transformation  $T$  fixed and we optimize for the  $M_i$ . We then continue to alternatively update the transformation  $\hat{T}$  (given the lastly estimated values  $\hat{M}_i$  of the exact  $M_i$ ) and the exact positions  $\hat{M}_i$  (given the lastly estimated transformation  $\hat{T}$ ). The algorithm is stopped when the distance between the two last estimation of the parameters become negligible. The convergence is insured since we minimize the same positive criterion at each step.

### 2.3 Dealing with 3D and 2D Anisotropic Noise

Up to now, we considered isotropic 2D and 3D noises. However, most of the CT-scan acquisition are not isotropic (the slice thickness is often larger than the pixel size within a slice). In that case, the markers 3D localization error will most probably be anisotropic:

$$\varepsilon_i \sim N(0, \Sigma_{3D}) \quad \text{with} \quad \Sigma_{3D} = \begin{pmatrix} \sigma_{3D_x}^2 & 0 & 0 \\ 0 & \sigma_{3D_y}^2 & 0 \\ 0 & 0 & \sigma_{3D_z}^2 \end{pmatrix}$$

This induces very small changes in our ML formulation: we just have to replace in our criterion (Eq. 2) the first term  $\frac{\|\tilde{M}_i - M_i\|^2}{2 \cdot \sigma_{3D}^2}$  by half of the Mahalanobis distance  $(\tilde{M}_i - M_i)^\top \cdot \Sigma_{3D}^{-1} \cdot (\tilde{M}_i - M_i)$ . The same kind of modifications obviously holds for the second term of the equation in the case of a 2D anisotropic noise.

### 2.4 Link with Reconstruction and 3D/3D Registration

Let us consider that the exact 3D points are measured in the reference frame of the cameras (instead of the CT frame as previously) and that we are looking for the transformation from the camera world to the CT (instead of the reverse as previously). The 3D/2D ML criterion is then rewritten:

$$C(T, M_1, \dots, M_N) = \sum_{i=1}^N \frac{\|\tilde{M}_i - T * M_i\|^2}{2 \cdot \sigma_{3D}^2} + \sum_{l=1}^M \sum_{i=1}^N \xi_i^l \cdot \frac{\|\tilde{m}_i^{(l)} - P^{(l)}(M_i)\|^2}{2 \cdot \sigma_{2D}^2} + K.$$

As explained in the section 2.2, this criterion can be optimized iteratively by successively estimating the 3D coordinates and the transformation  $T$ . Moreover, it has to be noticed that the change of variable does not affect the transformation to be found.

Now, assuming that we are performing an estimation with a largely overestimated  $\sigma_{3D}$  and a correct estimation of  $\sigma_{2D}$ . Around the optimal transformation  $\hat{T}$ , we will have

$$\frac{1}{N} \sum_{i=1}^N \|\tilde{M}_i - T * M_i\|^2 \simeq \frac{1}{N} \sum_{i=1}^N \|\tilde{M}_i - \hat{T} * M_i\|^2 = \hat{\sigma}_{3D} \ll \sigma_{3D}$$

Thus, the first term of the criterion is negligible with respect to the second term (since  $\sigma_{2D}$  is assumed to be correctly estimated): optimizing for the exact 3D point positions boils down to the minimization of

$$C_{Rec}(M_1, \dots, M_N) = \sum_{l=1}^M \sum_{i=1}^N \xi_i^l \cdot \|\tilde{m}_i^{(l)} - P^{(l)}(M_i)\|^2$$

This criterion is in fact one of the more widely used reconstruction criterion. Then, after the determination of the 3D coordinates of the points in the cameras

frame, the next step consists in minimizing the criterion with respect to  $T$ . As the second term does not depend on  $T$ , this corresponds to the minimization of

$$C_{3Dreg}(T) = \sum_{i=1}^N \|\tilde{M}_i - T * M_i\|^2$$

which is no more than the standard 3D LSQ criterion.

As a conclusion, the method consisting in reconstructing the position of 3D points from the cameras and then registering in 3D can be viewed as a limit case of our 3D/2D ML criterion where the noise on 3D points is largely *overestimated* (with respect to the noise on 2D points). On the other hand, we have already seen that the standard 2D ML criterion for 3D/2D registration is a limit case of our 3D/2D ML criterion where the noise on 3D points is largely *underestimated* or really very small (still with respect to the noise on 2D points).

Thus, we may expect our criterion to perform better than these methods when there is effectively some noise on the 3D points and if we have a good estimation of relative 3D and 2D variances.

### 3 Performances Evaluation and Criteria Comparison

The goal of this section is to assess on synthetic data the comparative effectiveness of the SPPC and EPPC criteria in terms of computing cost, accuracy and robustness. Experiments are realized with two synthetic cameras with a default angle of 45 degrees and jointly calibrated in the same reference frame. The two cameras are focusing on the same 15 points  $M_i$  representing the markers localizations, distributed in a volume of about  $10 \times 10 \times 10 \text{ cm}^3$ . The ratio of the distance cameras/points with the cameras focal length is 25. We modeled the fiducial localization error by a Gaussian noise with different standard deviations on both the 3D and 2D data (default values are  $\sigma_{3D} = \sigma_{2D} = 2$  which corresponds to a SNR of 75 dB<sup>1</sup>). The optimization procedure used is the Powell algorithm. The registration error is evaluated using 9 control points  $C_i$  different from the  $M_i$  to assess a *Target Registration Error* (TRE) instead of a *Fiducial Localization Error* (FLE) that does not reflect correctly the real accuracy. For each experiment, we give the mean computation time, the mean RMS TRE and the mean relative error ( $TRE_{SPPC}/TRE_{EPPC}$ ) over 10000 registrations (a value above one means that EPPC is more accurate than SPPC).

#### 3.1 Accuracy Performances

Focusing on the accuracy and not on the robustness, we kept the initial and sought transformation fixed and close enough so that both algorithms do converge correctly. The two following tables present the performances w.r.t. a varying 3D/2D noise ratio (mean TRE values are meaningless and then not reported

<sup>1</sup>  $SNR_{dB} = 10 \log_{10}(\frac{\sigma_s}{\sigma_n})$  where  $\sigma_s$  (resp.  $\sigma_n$ ) is the variance of the signal (resp. noise).

since the noises do vary) and a varying angle between the cameras. In these tables, we should only consider the relative values of the computation times as the absolute value depends on the initialization.

Noise ratio $\sigma_{3D}/\sigma_{2D}$		4	2	1	0.5	0.25
Computation time (sec.)	SPPC	0.0024	0.0023	0.0024	0.0023	0.0024
	EPPC	0.119	0.057	0.025	0.024	0.021
Mean relative error		1.17	1.15	1.09	1.03	1.006

Cameras angle		90	60	45	30	10
Computation time (sec.)	SPPC	0.0025	0.0034	0.0026	0.0025	0.0031
	EPPC	0.015	0.021	0.025	0.028	0.022
Mean TRE (mm)	SPPC	1.76	1.94	2.14	2.50	4.12
	EPPC	1.75	1.83	1.94	2.22	3.40
Mean relative error		1.008	1.051	1.089	1.114	1.188

One can see that EPPC always provides a better TRE (up to 20%) than SPPC, at the cost of a 10 to 20 times larger computational time. The gain in accuracy is all the more sensitive that the angle between the cameras is small and the 3D noise is important w.r.t. the 2D noise. As the amount of 3D information depends on these two parameters, this results was expected since EPPC better captures than the SPPC the noisy nature of information on 3D data.

Eventually, the following table presents the influence of the number of points on the computation times and accuracy performances.

Number of points		30	15	8	4
Computation time (sec.)	SPPC	0.0042s	0.0026s	0.0016s	0.0011s
	EPPC	0.067s	0.025s	0.011s	0.006s
Mean TRE (mm)	SPPC	1.55	2.16	2.73	4.45
	EPPC	1.42	1.97	2.51	3.94
Mean relative error		1.080	1.090	1.079	1.117

The first observation is that the computation times are roughly proportional to the number of points. This was foreseeable since the computational complexity is linear in the number of data points. The second observation is that the measured TRE seems inversely proportional to the square root of the number of points (multiplying 2 times the number of points decrease the RMS error by a factor  $\sqrt{2}$ ). This is also in accordance with the standard accuracy improvements in statistics. One interesting consequence is that we need about 15% less points with EPPC than with SPPC to reach the same accuracy on this example.

### 3.2 Robustness Evaluation

To evaluate the robustness w.r.t the initial transformation, we select a random initial and/or sought transformation (uniform rotation and translation in a range of the order of the cameras' field of view), random 2D (resp. 3D) noises from 1 to 3 pixels (resp. mm), and a random angle between the cameras (from 10

to 90 degrees). In the following table, we display the performance results when the transformation is initialized using the identity or randomly. The first case represents the usual situation where we have no prior information, and the second one simulates a very bad initialization.

		Random T with identity initialization	Random T with random initialization
Computation time	SPPC	0.0223s	0.0250s
	EPPC	0.138s	0.342s
Ratio of wrong convergence (RWC)	SPPC	20.07%	31.55%
	EPPC	0.09%	0.67%
Mean relative error		1.107	1.139

In both cases, one can see that the SPPC converges wrongly in broadly 20% of the case, whereas the EPPC almost always converges toward the optimal transformation (RWC under 1%). The difference in performances between the two columns probably comes from the optimization algorithm (Powell) that uses a research domain centered around the identity. Another optimization scheme may lead to slightly different results.

### 3.3 Computation Times

EPPC is a more accurate and much more robust criterion than SPPC. This is paid by a higher computation time that remains however limited to a few tenths of seconds when the initialization is unknown, and to 0.025 to 0.05 seconds when the initialization is close to the sought transformation. Thus, in view of a real-time system, one may expect to obtain with EPPC the best performances with an initialization time of say 0.3 sec. and an update rate of 20 to 40 Hz if the motions are sufficiently slow w.r.t. the video-rate. One may further improve the tracking rate by updated the “exact 3D coordinates” only once in a while or using a Kalman filter.

## 4 Performances Assessment with Real Data

This section is devoted to accuracy experiments with real 3D CT-scans and 2D images of a plastic mannequin with approximately 25 fiducials sticked on its surface. Ideally, the method’s accuracy should be assessed by comparing each registration result with a gold-standard that relates both the CT and the camera coordinate systems to the same physical space, using an external and highly accurate apparatus. As such a system is not available (otherwise we would not have to develop a 3D/2D registration algorithm), we adapted the registration loops protocol introduced in [13,16,14], that enables to measure the TRE error for a given set of test points.

The principle is to acquire several CT scans of the mannequin that are registered using a method described below. Then, several couples of 2D images are



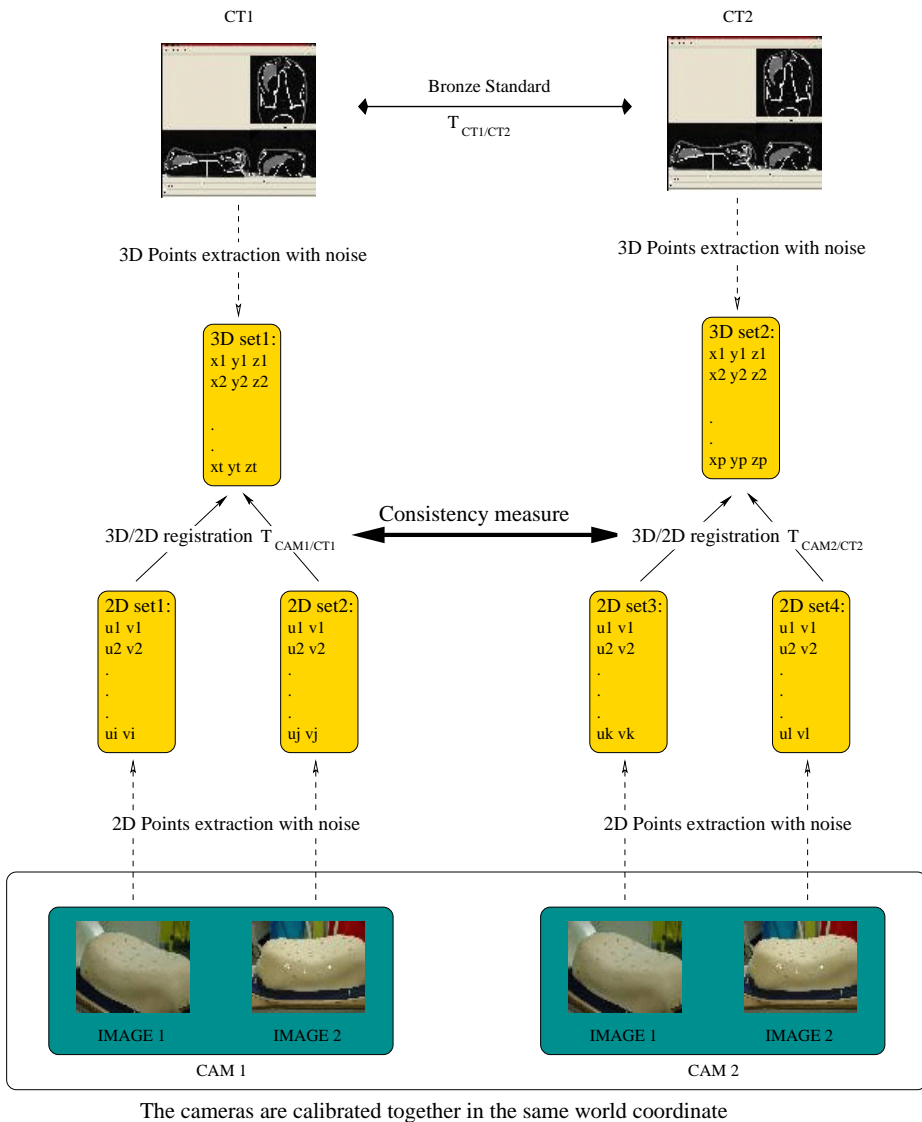


Fig. 1. Registration loops used to estimated the registration consistency.

acquired with the cameras jointly calibrated so that we can compare *independent* 3D/2D registration of the same object (different 2D and 3D images). A typical loop is sketched in Fig. 1: a test point in the faked liver of CT1 is transformed into the CAM1 coordinate system using a first 3D/2D registration, then into the coordinate system of CT2 using a second 3D/2D registration (the coordinate system of CAM1 and CAM2 are identical since cameras are jointly calibrated), and back to CT1 using the bronze standard registration. Using two different CT im-

ages allows to de-correlate the two 3D/2D transformation. Indeed, if we register the 2D points to the same set of 3D points (extracted from a single CT scan), the two transformations are similarly affected by the 3D points errors. Consequently, the variability of the 3D points extraction (and any possible bias) is hidden.

If all transformations were exact, we would obtain the same position for our test point. Of course, since the transformations are not perfect, we measure a Target Registration Error (TRE) whose variance is  $\sigma_{loop}^2 = 2\sigma_{CAM/CT}^2 + \sigma_{CT/CT}^2$ . This experiment providing only one error measurement, we still need to repeat it with different datasets to obtain statistically significant measures. In order to take into account possible calibration error and/or bias, it is necessary to repeat the experiment with different calibrations and positions of the cameras, and not only to move the object in the physical space. As a result, we are able to measure  $\sigma_{loop}^2$  and thus to estimate the variability due to the 3D/2D registration  $\sigma_{CAM/CT}^2$ , provided we know  $\sigma_{CT/CT}^2$ . For this purpose, we devise the following experimental procedure based on multiple CT scan registration that not only gives a very accuracy registration between CT images, but also evaluates the accuracy of this registration.

#### 4.1 Bronze Standard Registration between the CT Images

Our goal here is to compute the  $n - 1$  most reliable transformations  $\bar{T}_{i,i+1}$  that relate the  $n$  (successive)  $CT_i$  images. Estimations of these transformations are readily available by computing all the possible registrations  $T_{i,j}$  between the CT images using  $m$  different methods ([16]). Then, the transformations  $\bar{T}_{i,i+1}$  that best explain these measurements are computed by minimizing the sum of the squared distance between the observed transformations  $T_{i,j}$  and the corresponding combination of the sought transformation  $\bar{T}_{i,i+1} \circ \bar{T}_{i+1,i+2} \dots \bar{T}_{j-1,j}$ . The distance between transformations is chosen as a robust variant of the left invariant distance on rigid transformation developed in [13].

The estimation  $\bar{T}_{i,i+1}$  of the perfect registration  $T_{i,i+1}$  is called *bronze standard* because the result converges toward  $T_{i,i+1}$  as  $m$  and  $n$  become larger. Indeed, considering a given registration method, the variability due to the noise in the data decreases as the number of images  $n$  increases, and the registration computed converges toward the perfect registration up to the intrinsic bias (if there is any) introduced by the method. Now, using different registration procedures based on different methods, the intrinsic bias of each method also becomes a random variable, which is hopefully centered around zero and averaged out in the minimization procedure. The different bias of the methods are now integrated into the transformation variability. To fully reach this goal, it is important to use as many independent registration methods as possible.

In our setup, we used five CT scan of the plastic mannequin in different positions, and five different methods with different geometric features or intensity measures. Three of these methods are intensity-based: the algorithm `aladin` [11] has a block matching strategy where matches are determined using the coefficient of correlation, and the transformation is robustly estimated using a least-trimmed-squares; the algorithm `yasmina` uses the Powell algorithm to optimize

the SSD or a robust variant of the correlation ratio (CR) metrics between the images [16]. For the feature-based methods, we used the `crest lines` registration described in [12], and the multi-scale EM-ICP algorithm of [4] on zero-crossings of the Laplacian surfaces (the images were down-sampled by a factor of 2 to limit the number of surface points to about 1.5 million...). Since none of these methods uses the 3D extracted points as registration data, we ensure the independence with respect to the marker localization noise that corrupts the 3D/2D registration.

As a side effect, we may use only four of the five methods to determine the bronze standard registration, and use that standard to determine the accuracy of the fifth method (a kind of leave-one-method-out test). This uncertainty is then propagated into the final bronze standard registration (including all methods) to estimate its accuracy. In the table below, we give the standard deviation determined this way on the rotational and translational components of each method, the uncertainty of the resulting bronze standard registration and the uncertainty of the 3D registration using standard least-squares of the fiducial markers positions (w.r.t. the bronze standard).

	$\sigma_{\text{rot}}$ (deg)	$\sigma_{\text{trans}}$ (mm)
Aladin	0.09	0.56
Yasmina SSD	0.02	0.41
Yasmina CR	0.06	0.41
Crest lines	0.04	0.27
EM-ICP	0.08	0.68
Bronze standard	0.01	0.07
Fiducials	0.15	0.85

One can observe that the crest lines registration is performing the best, quickly followed by the Yasmina registrations. EM-ICP is not performing very well due to the down sampling of the images. The final bronze standard registration accuracy is very good (it corresponds to 0.08 mm TRE on the test points). Finally, we point out that the lack of accuracy of the fiducials registration w.r.t. the other methods is due to the fact that the markers were stick on the “skin” of the mannequin, which is elastic and did move by about 1 to 2 mm between the acquisitions, while all other methods did focus on the rigid structure of the mannequin (this effect was checked on the images after registration).

## 4.2 Validation Results and Discussion

After the bronze standard registration of our 5 CT images of the mannequin, we took pictures of the mannequin in four different positions with four different cameras and three different (but joint) calibrations. As a result, we have 12 pairs of 2D images to register to 5 CT scans for each pair of cameras (the second pair of camera being used to close the registration loop on a different CT scan). To robustify the experiment, we randomized the camera used in each pair among the four available, which finally leads to 180 registration loops. Approximately

25 stick fiducials were interactively localized in each image ( $\sigma_{3D} = 0.75$  mm,  $\sigma_{2D} = 2.0$  pixel). This lead to the following quantitative evaluation.

Angle between the cameras		60°	40°	10°
Computations time	SPPC	0.023 s	0.025 s	0.027 s
	EPPC	0.21 s	0.21 s	0.25 s
Mean TRE RMS error in mm	SPPC	1.80	1.99	2.30
	EPPC	1.70	1.83	2.20
Relative error		1.062	1.089	1.054

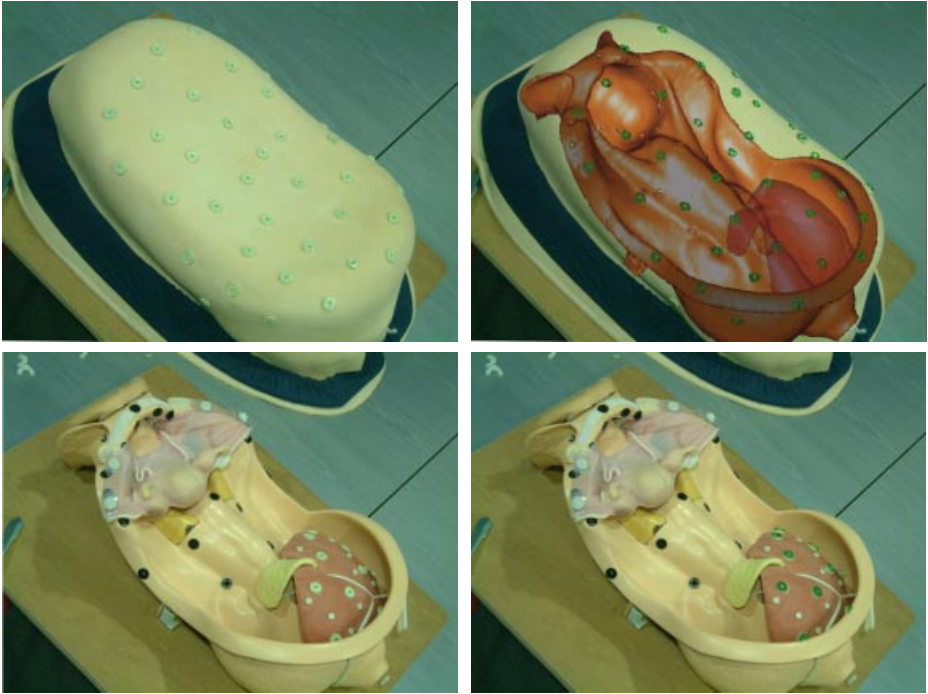
One can observe that EPPC is always more accurate than SPPC but the relative error does not increase as much as denoted with synthetic data when the angle is small (10°). This may be explained by the various conditions in which the measurement were done (different local lengths, number of fiducial observed...), and the simple representation of the camera (pinhole model).

Another explanation is obviously the consistent but non-rigid motion (mentioned in the last section) of the skin markers on which the registration is done. However, these small movements realistically simulate the imperfect repositioning of the skin and the organs that is induced by our gas volume monitoring protocol. In this context, the assumption (on which are based our criteria) of independent noises on each 3D marker position may not be fulfilled. Despite these variations from the theoretical assumptions, we underline that the achieved accuracy is around 2mm, which is by far better than the 5mm needed for our medical application. One can assess the visual accuracy of our registration on one particular case in Fig. 2.

## 5 Conclusion

We devised in this paper a new 2D/3D Maximum Likelihood registration criterion (EPPC) based on better statistical hypotheses than the classical 3D/2D least-square registration criterion (SPPC). Experiments on synthetic and real data showed that EPPC is 5 to 20% more accurate and much more robust than SPPC, but requires higher computation times when the initialization is unknown (0.3 instead of 0.03 sec.). However, synthetic experiments provide evidences that a refreshment rate of 20 to 40 Hz is achievable in a tracking phase, where only small motions have to be detected. In the context of augmented reality for liver radio-frequencies, we showed that the proposed method provides an accuracy of about 2mm within the liver, which fits the initial goal of 5mm that was necessary to provide a significant help for radiologists and surgeons.

In the future, we will focus on the automatic detection, tracking and matching of the fiducials in the 3D and 2D images, in order to fully automate the algorithm. Incidentally, we expect to obtain a much better (probably sub-pixel) localization of the fiducials, and thus drastically improve the accuracy of the 3D/2D registration down to less than one millimeter. We will also investigate the effects of the optimization procedure and of the camera calibration algorithm. Another work in progress concerns the prediction of the uncertainty of



**Fig. 2.** The top left image shows the plastic mannequin with the radio-opaque fiducials. The top right image displays the augmented reality view of the surgeon, i.e. the superimposition on the video image of the 3D reconstruction of the fiducials and internal parts (plastic frame and liver) after the registration process. To check visually the quality of the achieved registration on the liver, we put off the skin (bottom left image), and we superimposed the reconstruction of the fiducials on the liver (bottom right).

the 3D/2D registration w.r.t. the current data used. This important element of the system safety will allow the detection of bad geometric fiducials configurations (for instance not enough fiducials visible in both cameras, etc) that lead to very inaccurate registrations. Lastly, we intend to extend the current system in order to take into account the deformations due to breathing.

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